Transarterial chemoembolization versus hepatic resection in hepatocellular carcinoma treatment: a meta-analysis

Xin Tian1
Ying Dai5
Da-qing Wang3
Li Zhang1
Cheng-guang Sui1
Fan-dong Meng1
Shen-yi Jiang1
Yun-peng Liu1
You-hong Jiang1

1Molecular Oncology Laboratory of Cancer Research Institute, The First Affiliated Hospital of China Medical University, Shenyang, 2Health and Family Planning Commission of Liaoning Province, People’s Republic of China

Background: A number of cohort studies have compared the outcomes of transarterial chemoembolization (TACE) and hepatic resection (HR) in the treatment of hepatocellular carcinoma (HCC). However, the effect of TACE versus HR remains controversial. Therefore, we conducted a meta-analysis to assess the effectiveness of TACE and HR in HCC treatment.

Materials and methods: PubMed, Embase, Web of Science, Scopus, ClinicalTrials.gov, and Cochrane library were searched from their inception until February 27, 2015 for relevant studies. The literature search was updated on May 25, 2015. Eligible studies were cohort studies comparing the survival outcomes between HCC patients undergoing TACE and HR. The primary outcome was overall survival (OS). Secondary outcomes were the recurrence rate and prognostic factors for OS. The risk ratio (RR) was used for the meta-analysis and was expressed with 95% confidence intervals (CIs).

Results: This meta-analysis included eleven cohort studies with 6,297 patients, all treated with TACE or HR. Pooled estimates showed that, compared with TACE, HR significantly improved the 3-year OS (RR =0.77; 95% CI, 0.63–0.93; P=0.009). TACE and HR had similar effects on OS after 1 year (RR =0.94; 95% CI, 0.86–1.01; P=0.103), 2 years (RR =0.50; 95% CI, 0.21–1.19; P=0.114), 4 years (RR =0.61; 95% CI, 0.58–1.10; P=0.174), and 5 years (RR =0.77; 95% CI, 0.59–1.01; P=0.60). There was no significant difference between the 3-year (RR =1.31; 95% CI, 0.65–2.64; P=0.457) and 5-year recurrence rates (RR =1.14; 95% CI, 0.69–1.89; P=0.597) in the TACE and HR groups. Age (>65 vs ≤65 years; hazard ratio =0.99; 95% CI, 0.98–1.00; P=0.000), sex (male vs female; hazard ratio =0.79; 95% CI, 0.65–0.96; P=0.02), treatment method (TACE vs HR; hazard ratio =1.90; 95% CI, 1.46–2.46; P=0.000), and Eastern Cooperative Oncology Group performance score (≥1 vs 0; hazard ratio =1.69; 95% CI, 1.22–2.33; P=0.002) were independent predictors for OS.

Conclusion: This meta-analysis suggests that the TACE and HR likely have similar effects in the treatment of HCC patients in terms of OS and recurrence rate. However, this conclusion should be interpreted cautiously due to the presence of further subgroup analyses with respect to outcomes in patients with different liver statuses (Barcelona Clinic Liver Cancer stage A or stage B).

Keywords: transarterial chemoembolization, hepatic resection, hepatocellular carcinoma, meta-analysis

Introduction

Hepatocellular carcinoma (HCC) is the third leading cause of cancer-related deaths worldwide, accounting for almost half a million deaths annually.1 2 The known causes of HCC are hepatitis B virus, hepatitis C virus, and chronic alcohol use.3 4 With improved periodic surveillance of high-risk patients with chronic hepatitis and
advances in imaging, more patients are diagnosed with HCC at an early stage.\(^5\)

For patients with early-stage HCC, curative treatments, including liver transplantation, hepatic resection (HR), and radio frequency ablation (RFA), are currently recommended.\(^6\)–\(^8\) HR has been widely used as the standard modality of curative treatment for patients with resectable HCC.\(^9\) However, it is considered extremely challenging when there is coexisting liver cirrhosis.\(^9\)–\(^10\) Liver transplantation is the optimal therapy for HCC, but it is generally limited by the shortage of donors.\(^11\)–\(^12\) Consequently, transarterial chemoembolization (TACE) is also widely applied in the treatment of small single-nodule HCC when other curative therapies are not feasible.

TACE is currently recommended for intermediate- or advanced-stage HCC, but it is not recommended for early-stage or resectable HCC.\(^13\) Some previously published studies have shown that TACE improved long-term survival outcomes in patients with early-stage HCC.\(^14\)–\(^15\) In contrast, the results were not observed in another study.\(^16\) Therefore, we conducted a meta-analysis of all available observational studies to compare survival outcomes for HCC patients undergoing TACE or HR.

Materials and methods

Literature search

We conducted this meta-analysis following the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines.\(^17\) To identify relevant studies, we comprehensively searched PubMed, Embase, Web of Science, Scopus, ClinicalTrials.gov, and Cochrane library from their inception until February 27, 2015. The literature search was updated on May 25, 2015. Eligible studies were those comparing the effectiveness of TACE with HR in patients with HCC. The following search terms were used: (“carcinoma, hepatocellular” [MeSH Terms] OR (“carcinoma” [All Fields] AND “hepatocellular” [All Fields]) OR “hepatocellular carcinoma” [All Fields] OR (“hepatocellular” [All Fields] AND “carcinoma” [All Fields])) AND hepatic [All Fields] AND resection [All Fields] AND transarterial [All Fields] AND chemoembolization [All Fields]. The search was limited to trials in human subjects, and no language restriction was applied. Additionally, we also screened the references of identified articles and previous reviews until no new potential articles could be found.

Study selection criteria

Published studies were included if 1) HCC patients were treated with TACE or HR; 2) the effectiveness of TACE and HR on HCC were compared; and 3) the studies presented risk ratio (RR) or hazard ratio estimates with 95% confidence intervals (95% CIs). When multiple publications from the same trial were available, we selected only the publication with the most information or latest data. Narrative reviews, systematic reviews, letters, comments, or studies unrelated to our topics were excluded.

Data extraction and quality assessment

A structured questionnaire was used for data extraction. Two investigators (YD and YHJ) independently performed the eligibility evaluation, data extraction, and quality assessment. Disagreements were resolved through discussion and consensus. Data extracted from each study were as follows: first author’s name, year of publication, study population, range for follow-up, hepatitis status, overall survival (OS) rate, recurrence rate, and hazard ratio estimates with 95% CIs.

The methodological quality of the studies included in the meta-analysis was assessed using the 9-star system of the modified Newcastle–Ottawa Scale.\(^18\) The scale consists of three items describing patient selection, comparability of the TACE and HR groups, and assessment of outcomes of interest. The full score was 9, and articles with quality score greater than or equal to 6 were considered to be of high quality.

Statistical analysis

We compared the overall effectiveness of TACE and HR in the treatment of HCC based on the data from the included studies. For the dichotomous variables (ie, the OS rate, recurrence rate), the number of events and the total number of patients were extracted from the included studies. For time-to-event variables (ie, cumulative OS), hazard ratios with 95% CIs were directly extracted from the included studies. Finally, the RR and hazard ratio of each study were pooled using a fixed-effects model (Mantel–Haenszel method)\(^19\) or a randomized-effects model (DerSimonian–Laird method).\(^20\) Heterogeneity between studies was assessed using the \(I^2\) statistic. An \(I^2\) value of <25%, ~50%, ~75%, or ~100% was considered to have no, low, moderate, or high heterogeneity, respectively.\(^21\) When substantial heterogeneity existed, a randomized-effects model was used to summarize the pooled data. Otherwise, a fixed-effect model was used.

The presence of publication bias was evaluated by using the Begg and Egger tests.\(^22\)–\(^23\) A \(P\)-value <0.05 was judged as statistically significant. All statistical analyses were performed using STATA version 12.0 (StataCorp LP, College Station, TX, USA).
Results
Identification of eligible studies
The initial search yielded 1,519 relevant citations from PubMed, Embase, Web of Science, Scopus, ClinicalTrials.gov, and Cochrane library. Of these, 789 articles were excluded due to duplicate records and 677 after review of the title and abstract (Figure 1). Therefore, 53 articles were identified for full-text information analysis, and 42 studies were excluded because they did not report usable or sufficient data for analysis. Finally, eleven studies involving 6,297 patients who met the inclusion criteria were included in this meta-analysis.

Characteristics of eligible studies
The baseline characteristics of the patients in the included studies are presented in Table 1. The eleven articles were published between 1992 and 2014. Of the eleven trials, four were done in Taiwan, People’s Republic of China; two in each of mainland People’s Republic of China and Japan; and one each in Korea, Germany, and France. The number of patients ranged from 72 to 1,296, with a total of 6,297 patients. In a study conducted by Yang et al the authors used inverse probability weighting and a regression method to balance underlying liver function in the treatment group. In three other studies, propensity score matching was performed to reduce the effects of selection bias. Most individual studies were matched or adjusted for a wide range of potential confounders, including patient age, severity of liver disease, alpha-fetoprotein levels, and tumor size. The quality of the nonrandomized studies was evaluated according to a modification of the Newcastle–Ottawa Scale. The scores ranged from 6 to 9, indicating that these studies were of high quality (Table 1).

Figure 1 Eligibility of studies for inclusion in the meta-analysis.
Table 1 Baseline characteristics of the included studies

<table>
<thead>
<tr>
<th>Study</th>
<th>Treatment</th>
<th>Number of patients</th>
<th>Male/female</th>
<th>Median age, years (range)</th>
<th>Child-Pugh class</th>
<th>Total bilirubin (mg/dL)</th>
<th>Virology (HBV/HCV/other) (Persons)</th>
<th>Tumor size (cm)</th>
<th>Quality score</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hsu et al14</td>
<td>TACE</td>
<td>73</td>
<td>39/34</td>
<td>61.9±11.7</td>
<td>NR</td>
<td>0.95±0.45</td>
<td>37/32/4</td>
<td>2.34±0.74</td>
<td>7</td>
</tr>
<tr>
<td></td>
<td>HR</td>
<td>112</td>
<td>76/36</td>
<td>60.3±11.7</td>
<td>NR</td>
<td>0.81±0.33</td>
<td>62/36/14</td>
<td>2.48±0.69</td>
<td>9</td>
</tr>
<tr>
<td>Yang et al14</td>
<td>TACE</td>
<td>66</td>
<td>49/17</td>
<td>59.0±9.5</td>
<td>A/B:55/11</td>
<td>1.14±0.54</td>
<td>46/13/0</td>
<td>≤2/=&gt;2.4/26</td>
<td></td>
</tr>
<tr>
<td></td>
<td>HR</td>
<td>52</td>
<td>38/14</td>
<td>55.7±10.6</td>
<td>A/B:50.2</td>
<td>1.02±0.45</td>
<td>34/6/0</td>
<td>≤2/=&gt;2.2/131</td>
<td></td>
</tr>
<tr>
<td>Shi et al25</td>
<td>TACE</td>
<td>648</td>
<td>147/501</td>
<td>56.3 (1.2.2)</td>
<td>NR</td>
<td>NR</td>
<td>199/136/313</td>
<td>NR</td>
<td>7</td>
</tr>
<tr>
<td></td>
<td>HR</td>
<td>648</td>
<td>130/518</td>
<td>55.8 (1.2.8)</td>
<td>NR</td>
<td>NR</td>
<td>214/124/310</td>
<td>NR</td>
<td></td>
</tr>
<tr>
<td>Lin et al26</td>
<td>TACE</td>
<td>78</td>
<td>53/25</td>
<td>62.0±12.9</td>
<td>NR</td>
<td>1.3±1.3</td>
<td>651/26/0</td>
<td>7.7±4.4</td>
<td>7</td>
</tr>
<tr>
<td></td>
<td>HR</td>
<td>93</td>
<td>75/18</td>
<td>59.0±15.6</td>
<td>NR</td>
<td>1.8±1.2</td>
<td>60/22/0</td>
<td>8.0±3.3</td>
<td></td>
</tr>
<tr>
<td>Sotiropoulos et al27</td>
<td>TACE</td>
<td>64</td>
<td>NR</td>
<td>62.4 (1.77.80.5)</td>
<td>NR</td>
<td>NR</td>
<td>NR</td>
<td>NR</td>
<td>6</td>
</tr>
<tr>
<td></td>
<td>HR</td>
<td>61</td>
<td>NR</td>
<td>NR</td>
<td>NR</td>
<td>NR</td>
<td>NR</td>
<td>NR</td>
<td></td>
</tr>
<tr>
<td>Guo et al28</td>
<td>TACE</td>
<td>221</td>
<td>206/15</td>
<td>47.8±12</td>
<td>NR</td>
<td>15.7 (1.14.21.2.2)</td>
<td>189/32/0</td>
<td>9.6±4.4</td>
<td>7</td>
</tr>
<tr>
<td></td>
<td>HR</td>
<td>360</td>
<td>312/48</td>
<td>47.1±11.7</td>
<td>NR</td>
<td>13.5 (9.6.17.1.2)</td>
<td>318/42/0</td>
<td>5.9±3.1</td>
<td></td>
</tr>
<tr>
<td>Yoshimi et al29</td>
<td>TACE</td>
<td>29</td>
<td>22/7</td>
<td>59.8±7.86</td>
<td>NR</td>
<td>NR</td>
<td>5/24</td>
<td>=2/=&gt;5/=&gt;5.3/21/5</td>
<td>7</td>
</tr>
<tr>
<td></td>
<td>HR</td>
<td>66</td>
<td>56/10</td>
<td>58.5±7.48</td>
<td>NR</td>
<td>NR</td>
<td>10/56</td>
<td>=2/=&gt;5/=&gt;5.26/19/19</td>
<td></td>
</tr>
<tr>
<td>Luo et al30</td>
<td>TACE</td>
<td>83</td>
<td>79/4</td>
<td>55.9±11.2</td>
<td>A/B:71/1</td>
<td>17.1±7.4²</td>
<td>76/4/3</td>
<td>7.8±2.5</td>
<td>9</td>
</tr>
<tr>
<td></td>
<td>HR</td>
<td>85</td>
<td>70/15</td>
<td>47.5±12.8</td>
<td>A/B:60/4</td>
<td>15.8±6.9³</td>
<td>70/2/13</td>
<td>8.7±3.5</td>
<td></td>
</tr>
<tr>
<td>Bronowicki et al31</td>
<td>TACE</td>
<td>42</td>
<td>NR</td>
<td>65±6</td>
<td>NR</td>
<td>NR</td>
<td>NR</td>
<td>=3/3/=&gt;5.2/21/19</td>
<td>6</td>
</tr>
<tr>
<td></td>
<td>HR</td>
<td>30</td>
<td>NR</td>
<td>60/9</td>
<td>NR</td>
<td>NR</td>
<td>NR</td>
<td>=3/3/5/=&gt;5/12/16</td>
<td></td>
</tr>
<tr>
<td>Kanematsu et al32</td>
<td>TACE</td>
<td>20</td>
<td>14/6</td>
<td>62.7±10.1</td>
<td>NR</td>
<td>1.0±0.5</td>
<td>2/18</td>
<td>4.1±2.8</td>
<td>7</td>
</tr>
<tr>
<td></td>
<td>HR</td>
<td>67</td>
<td>56/11</td>
<td>56.5±90</td>
<td>NR</td>
<td>0.8±0.3</td>
<td>10/57</td>
<td>3.7±2.5</td>
<td></td>
</tr>
<tr>
<td>Liu et al33</td>
<td>TACE</td>
<td>181</td>
<td>145/36</td>
<td>62.1±14</td>
<td>A/B/C:127/45/9</td>
<td>1.2±1.2</td>
<td>80/31/70</td>
<td>=8/8.78/103</td>
<td>8</td>
</tr>
<tr>
<td></td>
<td>HR</td>
<td>247</td>
<td>203/44</td>
<td>58.1±14</td>
<td>A/B/C:225/22/0</td>
<td>0.9±0.7</td>
<td>138/30/79</td>
<td>=8/8.156/91</td>
<td></td>
</tr>
</tbody>
</table>

Notes: *µmol/L (values appear in a range); †mmol/L. *No patients were categorized as the other cause. A/B: demonstrates the number of patients diagnosed with Child-Pugh class A/number of patients diagnosed with Child-Pugh class B. A/B/C: demonstrates the number of patients diagnosed with Child-Pugh class A/number of patients diagnosed with Child-Pugh class B/number of patients diagnosed with Child-Pugh class C. Data are presented as mean ± standard deviation.

Abbreviations: TACE, transarterial chemoembolization; HR, hepatic resection; NR, not reported; HBV, hepatitis B virus; HCV, hepatitis C virus.
Overall survival

One-year survival

Ten studies reported the data of 1-year survival rates.\textsuperscript{16,24–30,32,33} Of them, seven studies\textsuperscript{16,24,25,27,29,30,32} showed that the 1-year survival rate was similar between the two groups. The remaining three studies\textsuperscript{26,28,33} demonstrated that the 1-year survival rate was significantly higher in the HR group than in the TACE group. Using a random-effects model, the aggregated results suggested that the TACE was not significantly associated with higher 1-year survival compared to HR (RR = 0.94; 95% CI, 0.86–1.01; \(P = 0.103\)) (Figure 2). The Egger test \((P = 0.474)\) and Begg test \((P = 0.236)\) revealed no publication bias.

Two-year survival

Among the eleven studies included in this meta-analysis, four reported the data of 2-year survival rates.\textsuperscript{26,27,29,32} Of them, two studies\textsuperscript{29,32} showed that the 2-year survival rate was similar between the two groups. The remaining two studies\textsuperscript{26,27} demonstrated that the 2-year survival rate was significantly higher in the HR group than in the TACE group. Using a random-effects model, the pooled estimates revealed that patients in the TACE group did not have higher 2-year survival rate than those in the HR group (RR = 0.50; 95% CI, 0.21–1.19; \(P = 0.114\)) (Figure 3). As the number of included studies was less than five, publication bias was not assessed.

Three-year survival

All the studies reported the data of 3-year survival rates.\textsuperscript{16,24–33} Of them, seven studies\textsuperscript{26,27,29–32} showed that the 3-year survival rate was similar between the two groups. The remaining four studies\textsuperscript{24,27,28,33} demonstrated that the 3-year survival rate was significantly higher in the HR group than in the TACE group. The aggregated results suggested that patients treated with HR had a higher 3-year survival rate compared with those treated with TACE (RR = 0.77; 95% CI, 0.63–0.93; \(P = 0.009\)) (Figure 4). An Egger test \((P = 0.107)\) and a Begg test \((P = 0.533)\) revealed no publication bias.

Four-year survival

Among the eleven studies included in this meta-analysis, only three studies reported the data of 4-year survival rates.\textsuperscript{27,29,32} Of them, two studies\textsuperscript{29,32} showed that the 4-year survival rate was similar between the two groups. The remaining study\textsuperscript{27} demonstrated that the 4-year survival rate was significantly higher in the HR group than in the TACE group. Using a random-effects model, the pooled estimates revealed that the patients in the TACE group did not have a higher 4-year survival rate than those in the HR group (RR = 0.61; 95% CI, 0.34–1.10; \(P = 0.174\)) (Figure 5). As the number of eligible studies for meta-analysis was less than five, publication bias was not assessed.

Five-year survival

Of the eleven studies included in this meta-analysis, ten studies reported the data of 5-year survival rates.\textsuperscript{16,24,25,27–33}

<table>
<thead>
<tr>
<th>Study</th>
<th>RR (95% CI)</th>
<th>% weight</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hsu et al\textsuperscript{16}</td>
<td>0.97 (0.89, 1.07)</td>
<td>13.14</td>
</tr>
<tr>
<td>Yang et al\textsuperscript{24}</td>
<td>0.99 (0.93, 1.05)</td>
<td>14.62</td>
</tr>
<tr>
<td>Shi et al\textsuperscript{25}</td>
<td>1.01 (0.96, 1.06)</td>
<td>14.92</td>
</tr>
<tr>
<td>Lin et al\textsuperscript{26}</td>
<td>0.46 (0.34, 0.63)</td>
<td>4.80</td>
</tr>
<tr>
<td>Sotropoulos et al\textsuperscript{27}</td>
<td>1.13 (0.83, 1.55)</td>
<td>4.63</td>
</tr>
<tr>
<td>Guo et al\textsuperscript{28}</td>
<td>0.86 (0.76, 0.96)</td>
<td>11.98</td>
</tr>
<tr>
<td>Yoshimi et al\textsuperscript{29}</td>
<td>1.09 (0.90, 1.33)</td>
<td>8.28</td>
</tr>
<tr>
<td>Luo et al\textsuperscript{30}</td>
<td>0.96 (0.78, 1.17)</td>
<td>7.85</td>
</tr>
<tr>
<td>Kanematsu et al\textsuperscript{32}</td>
<td>1.00 (0.85, 1.19)</td>
<td>9.35</td>
</tr>
<tr>
<td>Liu et al\textsuperscript{33}</td>
<td>0.85 (0.73, 0.98)</td>
<td>10.42</td>
</tr>
<tr>
<td>Overall ((I^2 = 78.5%, \ P = 0.000))</td>
<td>0.94 (0.86, 1.01)</td>
<td>100</td>
</tr>
</tbody>
</table>

Figure 2 TACE versus HR for HCC in terms of 1-year overall survival rate.

Notes: Boxes are the relative risk estimates from each study; the horizontal bars are 95% CIs. The size of the box is proportional to the weight of the study in the pooled analysis. Weights are from random effects analysis.

Abbreviations: TACE, transarterial chemoembolization; HR, hepatic resection; HCC, hepatocellular carcinoma; CI, confidence interval; RR, risk ratio.
Of them, six studies\(^\text{16,25,27,29–31}\) showed that 5-year survival rate was similar between the two groups. The remaining four studies\(^\text{24,28,32,33}\) demonstrated that 5-year survival was significantly higher in the HR group than in the TACE group. Using a random-effects model, the pooled studies revealed that patients in the TACE group did not have a higher 5-year survival rate than those in the HR group (RR = 0.77; 95% CI, 0.59–1.01; \(P = 0.06\)) (Figure 6). An Egger test (\(P = 0.080\)) and a Begg test (\(P = 1.000\)) revealed no publication bias.

### Recurrence

Among the eleven studies included in this meta-analysis, three studies reported the data of 3-year recurrence rate.\(^\text{16,24,31}\) Two studies\(^\text{16,24}\) showed that patients treated with TACE appeared to have a higher 3-year recurrence rate than those treated with HR. The other study\(^\text{31}\) indicated that the 3-year recurrence rate of TACE was lower than that of HR. The pooled results indicated that the 3-year recurrence rate was not significantly different between the TACE and HR groups (RR = 1.31; 95% CI, 0.65–2.64; \(P = 0.457\)) (Figure 7).

### Figure 3

TACE versus HR for HCC in terms of 2-year overall survival rate.

**Notes:** Boxes are the relative risk estimates from each study; the horizontal bars are 95% CIs. The size of the box is proportional to the weight of the study in the pooled analysis. Weights are from random effects analysis.

**Abbreviations:** TACE, transarterial chemoembolization; HR, hepatic resection; HCC, hepatocellular carcinoma; CI, confidence interval; RR, risk ratio.

### Figure 4

TACE versus HR for HCC in terms of 3-year overall survival rate.

**Notes:** Boxes are the relative risk estimates from each study; the horizontal bars are 95% CIs. The size of the box is proportional to the weight of the study in the pooled analysis. Weights are from random effects analysis.

**Abbreviations:** TACE, transarterial chemoembolization; HR, hepatic resection; HCC, hepatocellular carcinoma; CI, confidence interval; RR, risk ratio.
Three studies\textsuperscript{16,24,31} presented the data of 5-year recurrence rate. Of them, two studies\textsuperscript{16,24} showed that the 5-year recurrence rate was significantly higher in the TACE group than in the HR group. The remaining study\textsuperscript{31} suggested that the 5-year recurrence rate of TACE was lower than that of HR. The pooled analysis showed that the 5-year recurrence rate was not significantly different between the two groups (RR = 1.14; 95% CI, 0.69–1.89; \( P = 0.597 \)) (Figure 7).

### Prognostic factors for OS

Five studies\textsuperscript{16,24–26,33} reported the data of prognostic factors for OS. The pooled estimates showed that age (>65 vs \( \leq 65 \) years; hazard ratio = 0.99; 95% CI, 0.98–1.00; \( P = 0.000 \)), sex (male vs female; hazard ratio = 0.79; 95% CI, 0.65–0.96; \( P = 0.02 \)), treatment method (TACE vs HR; hazard ratio = 1.90; 95% CI, 1.46–2.46; \( P = 0.000 \)), and Eastern Cooperative Oncology Group performance score (\( \geq 1 \) vs 0; hazard ratio = 1.69; 95% CI, 1.22–2.33; \( P = 0.002 \)) were independent predictors of OS (Table 2).

### Discussion

To the best of our knowledge, this meta-analysis is the first to compare survival outcomes and prognostic factors for HCC patients receiving TACE or HR. Meta-analysis of all included studies showed that the 1-year, 2-year, 4-year, and 5-year OS rates and 3-year and 5-year recurrence rates

---

**Figure 5** TACE versus HR for HCC in terms of 4-year overall survival rate.  
**Notes:** Boxes are the relative risk estimates from each study; the horizontal bars are 95% CIs. The size of the box is proportional to the weight of the study in the pooled analysis. Weights are from random effects analysis.  
**Abbreviations:** TACE, transarterial chemoembolization; HR, hepatic resection; HCC, hepatocellular carcinoma; CI, confidence interval; RR, risk ratio.

**Figure 6** TACE versus HR for HCC in terms of 5-year overall survival rate.  
**Notes:** Boxes are the relative risk estimates from each study; the horizontal bars are 95% CIs. The size of the box is proportional to the weight of the study in the pooled analysis. Weights are from random effects analysis.  
**Abbreviations:** TACE, transarterial chemoembolization; HR, hepatic resection; HCC, hepatocellular carcinoma; CI, confidence interval; RR, risk ratio.
were not significantly different in HCC patients treated with TACE or HR. However, the 3-year OS rate of HCC patients undergoing HR was higher than that of those undergoing TACE (RR = 0.77; 95% CI, 0.63–0.93; \( P = 0.009 \)). Our findings demonstrated similar benefits with TACE and HR on survival and recurrence.

HR is recognized as the standard modality of curative treatment for patients with resectable HCC. Also, HR has better recurrence-free survival rates than that of TACE, which may affect the life quality of patients. However, the drawback of HR is that it is a more invasive treatment with surgical complications, and it is considered extremely challenging when the liver cirrhosis coexists.\(^8\) TACE is currently recommended for intermediate or advanced-stage HCC, but it is not recommended for early-stage or resectable HCC.\(^13\) When TACE is used as an initial treatment, special care should be taken to obtain a complete response, and surveillance for tumor recurrence should be undertaken.

TACE is considered as a palliative treatment for HCC. The extensive tumor necrosis induced by TACE treatment could occur in ~30%–50% of patients, while only less than 2% of patients achieved a complete response.\(^8\) According to previous studies, the 5-year survival rates of TACE in unresectable HCC patients were about 6%–19%.\(^35\)–\(^37\) However, in this study, we found a higher 5-year survival rate, 11.0%–74.2%, than previous studies. Yang et al\(^24\) reported a retrospective cohort study comparing the effectiveness of TACE, RFA, and HR in patients with small single-nodule HCC. In their study, they found a 5-year OS rate of 74.2% in the TACE group, 86.6% in the RFA group, and 93.6% in the HR group \( (P = 0.023) \). However, when the inverse probability weighting was used, the weighted OS rates among the three groups were similar. The 5-year OS was 80.7% with TACE, 87.6% with RFA, and 85.6% with HR \( (P = 0.834) \).\(^24\) Therefore, the authors concluded that TACE is an effective treatment and is comparable to HR and RFA in patients with small single-nodule HCC.

### Table 2 Meta-analysis of the prognostic factors for overall survival

<table>
<thead>
<tr>
<th>Prognostic factors</th>
<th>HR</th>
<th>95% CI</th>
<th>( P )-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age, years (&gt;65 vs ≤65)</td>
<td>0.99</td>
<td>0.98–1.00</td>
<td>0.000</td>
</tr>
<tr>
<td>Sex (M vs F)</td>
<td>0.79</td>
<td>0.65–0.96</td>
<td>0.020</td>
</tr>
<tr>
<td>HBV (+ vs –)</td>
<td>1.10</td>
<td>0.92–1.30</td>
<td>0.295</td>
</tr>
<tr>
<td>HCV (+ vs –)</td>
<td>1.00</td>
<td>0.86–1.20</td>
<td>0.820</td>
</tr>
<tr>
<td>Platelet, 10^9/L (&lt;10 vs ≥10)</td>
<td>1.24</td>
<td>0.89–1.72</td>
<td>0.212</td>
</tr>
<tr>
<td>Albumin, g/dL (&lt;4 vs ≥4)</td>
<td>1.16</td>
<td>0.60–2.24</td>
<td>0.664</td>
</tr>
<tr>
<td>Total bilirubin, mg/dL (&lt;1.2 vs ≥1.2)</td>
<td>0.95</td>
<td>0.46–1.93</td>
<td>0.876</td>
</tr>
<tr>
<td>ALT, U/L (&lt;80 vs ≥80)</td>
<td>0.92</td>
<td>0.67–1.30</td>
<td>0.621</td>
</tr>
<tr>
<td>AFP, ng/mL (&gt;200 vs ≤200)</td>
<td>1.27</td>
<td>0.73–2.19</td>
<td>0.394</td>
</tr>
<tr>
<td>Tumor size (&gt;2 cm vs ≤2 cm)</td>
<td>1.36</td>
<td>0.73–2.7</td>
<td>0.358</td>
</tr>
<tr>
<td>Tumor number (2 or 3 vs 1)</td>
<td>1.01</td>
<td>0.38–2.68</td>
<td>0.981</td>
</tr>
<tr>
<td>Treatment method (TACE vs HR)</td>
<td>1.90</td>
<td>1.46–2.46</td>
<td>0.00</td>
</tr>
<tr>
<td>Liver cirrhosis</td>
<td>1.46</td>
<td>0.43–4.94</td>
<td>0.540</td>
</tr>
<tr>
<td>ECOG PS (≥1 vs 0)</td>
<td>1.69</td>
<td>1.22–2.33</td>
<td>0.002</td>
</tr>
</tbody>
</table>

**Abbreviations:** HR, hazard ratio; CI, confidence interval; M, male; F, female; HBV, hepatitis B virus; HCV, hepatitis C virus; TACE, transarterial chemoembolization; ECOG PS, Eastern Cooperative Oncology Group performance score; ALT, alanine aminotransferase; AFP, alpha-fetoprotein.
In contrast, HR is the current standard treatment for early-stage HCC and allows a better long-term survival rate. Moreover, recurrence is lower with HR than with TACE, which may affect the patient’s quality of life. In a propensity score-matched study, HCC patients with Barcelona Clinic Liver Cancer (BCLC) stage A were treated with TACE or HR. The 3-year and 5-year OS rates were 24.1% and 13.7% after TACE, respectively, and 44.8% and 30.2% after HR, respectively. Therefore, it was assumed that HR was associated with higher survival rates than TACE in patients with BCLC stage A and stage B. However, in this study, these survival benefits were not observed when HR was applied in HCC patients with Child class A or class B. Of the included studies, two trials conducted by Hsu et al and Yoshimi et al showed that HR did not provide significantly better survival over TACE in HCC patients. These differences in survival outcomes between TACE and HR might be attributed to the liver status and other characteristics of enrolled patients.

One of the studies included in our meta-analysis reported an interesting outcome for recurrence. Among the three studies reporting the recurrence rate, two showed that TACE resulted in a significantly higher recurrence rate than HR. In the study by Hsu et al the 3-year and 5-year recurrence rates were 72.6% versus 44.6% for TACE, respectively, and 83.6% versus 65.2% for HR, respectively. However, another study showed that patients treated with TACE had lower 3-year and 5-year recurrence rates (3-year and 5-year recurrence rates, 35%) than those treated with HR (3-year and 5-year recurrence rates, 64%). The reason HCC patients treated with TACE in the latter study had a lower recurrence rate than those treated with HR remains unknown.

This meta-analysis has several potential limitations. First, we acknowledge that this meta-analysis was performed based on cohort studies rather than randomized controlled trials (RCTs). RCTs are regarded as the most efficient and high-level evidence for clinical research, whereas cohort studies provided relatively low-level clinical evidence, which may lead to selection bias. Although an RCT would allow unbiased comparison of treatment effectiveness, it is not easy to execute the TACE and HR procedures using a randomized controlled design due to current practice guidelines and ethical issues. Second, there was significant heterogeneity between studies in the overall analysis. This might be attributed to the baseline patient characteristics (age, cause of liver disease, liver function, tumor size, and tumor number), sample size, and study quality. These factors may have a potential impact on our final results. Third, due to the lack of sufficient data, we were unable to perform subgroup analyses to compare the effect of TACE and HR on patients with different disease statuses.

In summary, the current meta-analysis shows that TACE and HR seem to have similar effects in HCC treatment with respect to OS and recurrence. Although further multicenter, well-designed RCTs are needed to verify these findings, TACE could be an alternative treatment in patients with early-stage or resectable HCC. More notably, insufficient data limits further subgroup analyses and comparison of the treatment effect between TACE and HR by liver status (BCLC stage A or stage B). Further research should focus on resolving this issue.

**Acknowledgments**

This study was supported by grants from the National Natural Science Foundation of China (81202955), Shenyang Municipal Science and Technology Program Funded Projects (F 12-278-6-09), Liaoning Medical Peak Construction Engineering Projects (2012029), and Liaoning Science and Technology Projects (2011415052-3).

**Disclosure**

The authors report no conflicts of interest in this work.

**References**