Rare and very rare adverse effects of clozapine

Abstract: Clozapine (CLZ) is the drug of choice for the treatment of resistant schizophrenia; however, its suitable use is limited by the complex adverse effects profile. The best-described adverse effects in the literature are represented by agranulocytosis, myocarditis, sedation, weight gain, hypotension, and drooling; nevertheless, there are other known adverse effects that psychiatrists should readily recognize and manage. This review covers the “rare” and “very rare” known adverse effects of CLZ, which have been accurately described in literature. An extensive search on the basis of predefined criteria was made using CLZ and its combination with adverse effects as keywords in electronic databases. Data show the association between the use of CLZ and uncommon adverse effects, including ischemic colitis, paralytic ileus, hematemesis, gastroesophageal reflux disease, priapism, urinary incontinence, pityriasis rosea, intertriginous erythema, pulmonary thromboembolism, pseudo-phaeochromocytoma, periorbital edema, and parotitis, which are influenced by other variables including age, early diagnosis, and previous/current pharmacological therapies. Some of these adverse effects, although unpredictable, are often manageable if promptly recognized and treated. Others are serious and potentially life-threatening. However, an adequate knowledge of the drug, clinical vigilance, and rapid intervention can drastically reduce the morbidity and mortality related to CLZ treatment.

Keywords: clozapine, rare adverse effects, schizophrenia, atypical antipsychotic

Introduction
Clinical use
Clozapine (CLZ) is the “prototypical” of second-generation antipsychotics (APs), also named “atypical antipsychotics” (AAPs).1 It was synthesized in 1958, developed by Sandoz in 1961, and introduced in Europe in 1970. Hence, CLZ was widely viewed as the most important advance in the treatment of schizophrenia since the discovery of the first AP drugs (chlorpromazine and haloperidol in the 1950s and 1960s, respectively).

In 1975, 17 patients out of 2,660 (0.7%) exposed to CLZ in Finland developed agranulocytosis, defined as an absolute neutrophil count <500/mm³, and eight (50%) of them subsequently died from secondary infections; CLZ was voluntarily withdrawn by the manufacturer.2

The effectiveness of CLZ in cases of conventional treatment-resistant schizophrenia3 has allowed the molecule to be reintroduced into clinical use. About 25%-60% of patients are unresponsive to conventional or combined pharmacological treatment (AP combined with electroconvulsive therapy or AP combined with psychotherapy).4,5 In these forms, which are defined as being “resistant”, CLZ was considered the most effective AP6 for the management of severely ill schizophrenic patients who fail to respond adequately to standard APs. It was approved by the US Food and Drug Administration and health authorities in most countries, under regular hematological monitoring aimed to detect early granulocytopenia, and was first marketed in the US in January 1990.7

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Pharmacological properties
In comparison to traditional neuroleptics, CLZ has more robust effectiveness trials than other APs in all symptomatic schizophrenia dimensions and a lower incidence of extrapyramidal symptoms; it also has greater specificity for the limbic system, improving negative symptoms and cognitive impairment associated with schizophrenia.

CLZ belongs to the family of dibenzodiazepines (8-chloro-11-(4-methyl-piperazinyl)-5H-dibenzo-[1,4]-diazepine). It interacts with several different subtypes of dopamine receptors (D1, D2, D3, D4), serotonin receptors (5-HT1A, 5-HT2A, 5-HT2C, 5-HT3, 5-HT6, 5-HT7), adrenergic receptors (alpha-1, alpha-2), histaminergic receptor (H1), and muscarinic receptor (M1).

It is often mentioned that CLZ simultaneously blocks the serotonin 5-HT2A receptors or other serotonin receptors as well as dopamine D2 receptors, suggesting that the block of serotonin receptors may prevent the Parkinson-like motor side effects of APs.

The greater difference between the serotonin 5-HT2A receptor and D2 receptor affinities in atypical APs was not due to higher 5-HT2A affinities but due to lower D2 affinities.

It has also been demonstrated that CLZ possesses the ability to modulate the production of pro-inflammatory cytokines such as interleukin-10 and interferon-γ in peripheral blood cells, which also supports its potential neuroprotective effect. Moreover, an increase in plasma concentrations of CLZ leads to a significant decrease in the presence of free radicals (reactive oxygen species), which may also contribute to this latter effect.

In addition to its indication in the treatment of resistant schizophrenia, CLZ has other important therapeutic uses. It has demonstrated efficacy in ameliorating tardive dyskinesia, mood disorders, and some neurological disorders, as well as psychosis in patients with dementia and parkinsonism. CLZ has level A evidence to support its use in Parkinson’s disease patients with psychosis, whether demented or not. Moreover, CLZ drastically reduces mortality rates for suicide in patients with schizophrenia; in contrast, it increases the mortality rate in psychiatric patients for other rare drug-related causes, such as pulmonary embolism (PE) and CLZ-related heart problems.

Side effects
Despite all this effectiveness, similar to many other available drugs, it has side effects that can be serious and bothersome. In agreement, the use of CLZ in schizophrenia is limited by the potentially fatal side effects and contraindications, which is the reason why it requires frequent monitoring. Approximately 17% of patients taking CLZ discontinue the treatment because of adverse effects.

Since clinical trials are conducted under widely varying conditions, adverse reaction rates observed in clinical trials may not reflect the rates observed in clinical practice.

The most commonly reported adverse effects across CLZ clinical trials were central nervous system reactions (sedation, dizziness/vertigo, headache, and tremor), cardiovascular reactions (tachycardia, hypotension, and syncope), autonomic nervous system reactions (hypersalivation, weight gain, drooling, sweating, dry mouth, and visual disturbances), and gastrointestinal reactions (constipation and nausea). Other adverse effects are rare or less frequent.

Adverse reactions are divided by frequency, using the following parameters: very common (≥1/10), common (≥1/100, <1/10), uncommon (≥1/1,000, <1/100), rare (≥1/10,000, <1/1,000), very rare (<1/10,000), and not known (cannot be estimated from the available data). Table 1 shows other adverse reactions of CLZ according to their currently established frequency.

Among uncommon adverse reactions, severe cardiovascular effects are connected with the appearance of myocarditis, with 0.015%–1.3% of cases occurring in more than 50% of cases within the first 2 weeks of treatment, as well as the appearance of pericarditis and cardiomyopathy, and the lengthening of the corrected QT. The clinical presentation generally consists of heart failure including shortness of breath (60%) and palpitations (36%). Echocardiography at presentation showed dilated cardiomyopathy in 39% of cases.

Furthermore, CLZ is associated with a significant incidence of drug-induced diabetes mellitus and dyslipidemia.

On the other hand, there are many other related contraindications, such as active liver disease, progressive liver disease and hepatic failure, uncontrolled epilepsy, preexisting cardiovascular disease, and severe central nervous system depression or comatose state, in alcoholic/toxic psychosis and previous hypersensitivity to CLZ. CLZ is also contraindicated in patients with myeloproliferative disorders and a history of toxic or idiosyncratic agranulocytosis or severe granulocytopenia. The underutilization of CLZ is likely related to uneasiness on the part of physicians in managing adverse effects, particularly agranulocytosis, and a reluctance by patients to submit to frequent blood sampling.

However, an early recognition of more serious side effects may allow a better therapy management. For example, the
monitoring system has been effective in reducing both the
incidence of CLZ-related agranulocytosis and the associ-
ated mortality rate. Therefore, patients generally tolerate
most common side effects associated with CLZ use, and
appropriate medical management facilitates a maximiza-
tion of the treatment benefits. Conversely, some adverse effects
are not described in the data sheet, and less known by most
psychiatrists because of their presence in case reports only;
however, some of them are potentially fatal.

The aim of the present review is to describe the “rare” and “very rare” adverse effects related to CLZ’s treatment,
which are often not described in the data sheet but potentially
life-threatening; we also describe strategies for their man-
agement according to literature reports, in order to provide
psychiatrists and physicians with a quick reference tool that
contains appropriate therapeutic measures to be taken in
the case of these kinds of adverse effects. Anticipating and
managing these adverse effects may be essential for a valu-
able therapeutic outcome.

Methods

This review considered articles and case reports that better
describe rare and very rare adverse effects of CLZ, at any
dose; common side effects are widely described.

The following scientific databases were consulted to find
studies published in English without year limits: Medline
(OvidSP), CINAHL (Ebsco), EMBASE (Ovid), PsychINFO
(Ebsco), AgeLine, Cochrane Database of Systematic
Reviews, and the Database of Abstracts of Reviews of
Effects. Additional references were identified from the bibli-
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Three reviewers independently inspected all study cita-
tions identified by the searches. The search was made using
the terms “clozapine” and “clozapine and adverse effects”
as keywords. Studies were included on the basis of the fol-
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effects and also included strategies for an early recognition and management of them. Articles focusing on widely known physical adverse effects or on psychiatric side effects and studies that considered CLZ in association with other psychotropic drugs were excluded. The search produced 4,090 results. Only 56 studies fulfilled the inclusion criteria and thus were selected for this review at the end of the selection, and were considered on the basis of frequency of every rare and very rare adverse effect.

Results

Gastrointestinal adverse effects

Rare adverse effects affecting the gastrointestinal system have been described in CLZ-treated patients.\(^{35-37}\) CLZ can affect the entire gastrointestinal system, from esophagus to rectum, and may cause bowel obstruction, ischemia, perforation, and aspiration.\(^{38}\)

Due to its anticholinergic properties, the use of CLZ was related to varying degrees of impairment of intestinal peristalsis ranging from chronic constipation, paralytic ileus, and intestinal obstruction to fecal impaction. Epidemiological data on the occurrence of chronic constipation in treated patients are conflicting. Some surveys reported incidence between 22.8% and 33.3% of acute events of constipation in patients receiving chronic treatment,\(^{39}\) whereas in other studies, such incidence was as high as 60%.\(^{40}\) So far, seven deaths due to severe gastrointestinal complications have been reported in patients treated with CLZ (Table 2). The strategies needed to prevent the occurrence of gastrointestinal complications are a recommended dietary fiber intake, an adequate intake of liquids, especially in the presence of drooling, and adequate regular physical activity. In patients with a high risk of developing constipation, therapeutic options should always consider low doses of CLZ or association with a second AP.\(^{41}\)

These strategies are similar to those used in the treatment of constipation caused by opioids,\(^{42}\) which could be useful in reducing morbidity and mortality from CLZ-induced adverse gastrointestinal effects. The use of prolonged-release formulations of naloxone or methylnaltrexone was considered by some authors for the agonist action exerted by norclozapine on opioid receptors.\(^{43,44}\) Another severe and rare CLZ-related effect is hematemesis (vomiting of blood). The possible pathophysiological mechanisms by which CLZ may cause severe esophagitis and subsequent hematemesis are not fully understood, but a role for the anticholinergic activity of the drug can be assumed. Antagonism of cholinergic receptors may be responsible for the reduction until abolition of esophageal motility, increased pressure in the lower sphincter tone, and overall reduction in esophageal tone.\(^{45,46}\)

Another hypothesized pathophysiological mechanism regards the effects of CLZ on the regulation of vagal tone in esophageal peristalsis and consequent abolition and sirolorrhoea (excessive flow of saliva) not due to anticholinergic effects.\(^{47}\)

Although the number of cases of esophageal reflux is small, it still represents the most common gastrointestinal effect reported in CLZ-treated patients.\(^{35,48,49}\) It was estimated that approximately 11% of patients treated with CLZ develop gastrointestinal symptoms similar to reflux esophagitis with endoscopic evidence within 6 weeks of treatment.\(^{35}\) Reflux symptoms could be due to the association between CLZ and proton pump inhibitor drugs, rather than only the use

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**Table 2: Death due to clozapine-associated gastrointestinal hypomotility**

<table>
<thead>
<tr>
<th>Patient (sex, age [years])</th>
<th>Presentation</th>
<th>CLZ dose (mg/day)</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>M, 29</td>
<td>Aspiration of vomit secondary to obstruction of the transverse colon</td>
<td>400</td>
<td>Hayes and Gibler(^{40})</td>
</tr>
<tr>
<td>F, 31</td>
<td>Large bowel obstruction with mucosal necrosis, pulmonary edema, and shock</td>
<td>300</td>
<td>Thèret et al(^{34})</td>
</tr>
<tr>
<td>M, 49</td>
<td>Intermittent indigestion, nausea, chest pain, and vomiting. Collapsed with pulmonary edema secondary to inhalation of feculent vomit</td>
<td>500</td>
<td>Drew and Herndon(^{37})</td>
</tr>
<tr>
<td>M, 36</td>
<td>Necrotizing colitis</td>
<td>600</td>
<td>Shammi and Remington(^{34})</td>
</tr>
<tr>
<td>M, 43</td>
<td>History of ulcerative esophagitis. Abdominal pain, feculent vomiting, and severe fecal impaction with large intestinal necrosis</td>
<td>–</td>
<td>Levin et al(^{39})</td>
</tr>
<tr>
<td>M, 44</td>
<td>Found dead. Evidence of pulmonary edema, paralytic ileus, and gastroenteritis</td>
<td>–</td>
<td>Ferslew et al(^{20})</td>
</tr>
<tr>
<td>F, 47</td>
<td>Large bowel infarct</td>
<td>–</td>
<td>Flanagan et al(^{10})</td>
</tr>
</tbody>
</table>

**Note:** – Data unavailable.

**Abbreviations:** CLZ, clozapine; M, male; F, female.
of CLZ,\textsuperscript{46} in fact, there is a temporal association between gastroesophageal reflux symptoms onset and CLZ–proton pump inhibitor drug association.\textsuperscript{49,50}

Ogilvie’s syndrome is reported in some CLZ-treated schizophrenic patients.\textsuperscript{51} Ogilvie’s syndrome is a massive dilatation of the loops of the bowel without radiological evidence of mechanical obstruction. This condition occurs more frequently in hospitalized or institutionalized patients with significant medical and surgical conditions and metabolic disorders, and in cases of spinal or retroperitoneal cord injuries. These injuries are the basis of altered regulation of the autonomic parasympathetic nervous system with suppression and enhancement of sympathetic tone; they cause intestinal atony and massive expansion of the loops.

Recognition and proper therapeutic management are essential to reduce the mortality rate, which is around 40%, when it evolves to ischemia and colonic perforation.\textsuperscript{52}

There are reported cases of gastrointestinal adverse events that cause acute abdomen, and so, it must be remembered that schizophrenic patients may have an altered sensitivity to painful stimuli and poor compliance during clinic visits.\textsuperscript{53} This event is very important for the acute abdomen differential diagnosis.\textsuperscript{54,55} APs can often have a sedative and modulating effect on a patient’s nociceptive threshold. Another possibility is that pain perception is normal but that schizophrenic patients have difficulties in verbalizing their expression of pain.\textsuperscript{56}

**Urogenital adverse effects**

The genitourinary adverse events described in CLZ-treated patients are mainly priapism and urinary incontinence. Priapism is a prolonged and persistent penile erection, independent from stimulation or sexual desire.\textsuperscript{57} It is a urological emergency that, if not treated in a timely and appropriate manner, can cause permanent damage such as impotence, necrosis, and acute urinary retention.\textsuperscript{58} Most typical and atypical APs can cause priapism.\textsuperscript{53} The first case of CLZ-induced priapism was reported in 1992; this was probably caused by many factors including prolactin increase.\textsuperscript{59}

In 2010, another case of a patient with CLZ-treated schizophrenia who developed a severe case of priapism was described; in this circumstance, he required surgical decompression and drug suspension. After a relative clinical stabilization period, the same patient was treated with CLZ for a new episode of psychosis and again developed priapism.\textsuperscript{60} Another patient was treated with hormone replacement therapy (3.5 mg goserelin acetate every 28 days) that resolved the urological condition and allowed the patient to continue treatment with CLZ. Regular goserelin acetate administration is the pharmacological treatment of choice in patients with recurrent priapism due to its antagonistic action on testosterone plasma levels.\textsuperscript{61}

Urinary incontinence requires a perfect balance between urethral closure and bladder detrusor muscle activity.\textsuperscript{62} CLZ-induced urinary incontinence often occurs as nocturnal enuresis\textsuperscript{63} and generally develops within the first few days after starting therapy. It may also occur after weeks or months. For some patients, this condition is temporary and self-limiting, while for others, it is permanent and requires treatment.\textsuperscript{64} The mechanism of CLZ-induced urinary incontinence is multifactorial:\textsuperscript{65} CLZ can reduce the internal bladder sphincter tone through its adrenergic blocking action. Incontinence can also be caused by intrinsic CLZ activity, that is, antimuscarinic action, and 5-HT2A and 5-HT2C receptor antagonisms.\textsuperscript{66,67}

CLZ can cause an overactive bladder tone that results in continence impairment due to acting as a dopamine antagonist.\textsuperscript{68}

CLZ α-adrenergic receptor antagonism can be considered the major cause of urinary incontinence;\textsuperscript{69} in fact, the efficacy of pseudoephedrine has been demonstrated in incontinence due to CLZ treatment. Fifty-seven patients with schizophrenia who began CLZ treatment and developed urinary incontinence were treated with ephedrine; the dose was gradually increased to 150 mg/day until the resolution of urologic adverse effects. Sixteen patients were treated with ephedrine, and 15 of them showed improvement after optimization of the assay. Two patients had complete resolution of symptoms, three a partial resolution, and only one had no response to treatment. Ephedrine did not alter patients’ psychopathology.

**Dermatological adverse effects**

Many medications can cause skin rashes that occur in various forms and severity levels. Most of these reactions are mild; however, severe cases can lead to a decrease in the patient’s quality of life. Serious reactions may include angioedema, acute generalized exanesthetic reactions, Stevens–Johnson syndrome, and toxic epidermal necrolysis. Two cases of CLZ-treated patients with schizophrenia who developed angioneurotic edema have been reported; discontinuation of the drug resulted in resolution of the clinical symptoms.\textsuperscript{69}

Although minor skin reactions may occur in 5% of APs-treated patients, a 54-year-old patient with schizophrenia treated for 28 days with CLZ developed a generalized rash compatible with pityriasis rosea (skin rash that usually begins
as one large circular or oval spot on your chest, abdomen, or back), hyperthermia, and abnormal liver function tests. Discontinuation of the drug, together with corticosteroids and antihistamine treatment, led to the resolution of the clinical symptoms. A case of CLZ-induced flexural intertrigo (inflammation of the body folds) has also been reported, although the correlation between autoimmune mechanism and CLZ was not clarified; discontinuation of treatment resulted in resolution of the dermatological condition.

### Pulmonary adverse effects

Case reports exist linking CLZ use and onset of pulmonary thromboembolism. These events were related considering the lack of important risk factors such as hypercoagulability or previous surgery in patients who have had an adverse event. There is no definitive proof of a biological mechanism through which CLZ induces PE. It is believed that there is an indirect effect via weight increase and sedation, which correlate positively with abolition of physical activity, a recognized risk factor in the development of thromboembolic disease. Risk of developing PE is a rare event, but it is about 28 times higher in CLZ-treated patients compared to the general population. Although it is not an absolute contraindication, the potential risk of developing PE should be assessed at the beginning of CLZ treatment. The creation of a link between CLZ and PE has been described in a study in which death causes were investigated, including PE, by examining medical records of 67,072 patients with previous or current CLZ treatment. The rate ratio of deaths attributed to PE for current CLZ exposure was 5.2. Two case-control studies have explored the relationship between the use of first-generation APs and PE occurrence. There is no definitive evidence about a causal link between PE and use of first-generation APs, but it can be assumed that the use of low-potency first-generation APs is able to produce higher rates of PE compared to high-potency first-generation APs.

In the case of nonspecific symptoms in CLZ-treated patients, such as dyspnea, caution is important for the suspect of PE even when there are no other critical elements.

### Other “very rare” adverse effects

#### Pseudopheochromocytoma (severe paroxysmal hypertension)

The rise of norepinephrine plasma levels in CLZ-treated patients is an expected effect caused by α2-adrenergic receptor antagonism. Increased catecholamine plasma levels cause nonspecific symptoms; however, there may be clinical scenarios that can be classified as pseudopheochromocytoma.

Clinical manifestations are related to paroxysmal or continuous catecholamine plasma secretion with hypertensive crises. Other detectable symptoms are hyperglycemia, panic attacks, and weight loss. There have been cases of pseudopheochromocytoma reported in CLZ-treated patients aged between 22 years and 51 years suffering from treatment-resistant schizophrenia. These patients were treated with CLZ (300–900 mg) for 2–18 months, and all had elevated urinary catecholamine levels and severe hypertension with no other organic causes. CLZ was discontinued resulting in normalization of blood pressure and urinary catecholamine concentration. This evidence suggests the need for routine urinary catecholamine screening and blood pressure monitoring.

#### Periorbital edema

There have been three reported cases of periorbital edema associated with CLZ treatment; in two cases, CLZ-treated patients (150 mg/day and 50 mg/day) developed fever, blisters, and periorbital edema after few days. In both patients, laboratory tests showed neutrophilic leukocytosis. CLZ treatment was discontinued, and introduction of corticosteroids and antihistamine drugs caused complete symptoms remission within a few days; an IgE-mediated reaction to CLZ was assumed.

Another case report describes a pretibial and periorbital edema in a CLZ-treated patient (400 mg daily) after 6 weeks of treatment. Laboratory tests showed a modest eosinophilia only. Halving the dose led to a regression of the allergic reaction; the CLZ antagonistic effect on the D4 receptor resulting in sodium retention and hypertension could explain the regression.

#### Parotitis (inflammation of one or both parotid glands)

Sialorrhea in CLZ-treated patients is a known side effect, but it can occur in a secondary form caused by parotitis. Drug-induced parotitis is a rare adverse drug reaction. Based on the quantitative and qualitative evidence collected from the case reports, medications that are associated with drug-induced parotitis include L-asparaginase, CLZ, and phenylbutazone.

Parotid gland inflammation is among the least prominent side effects reported in CLZ-treated patients. The UK Medicines and Healthcare Products Regulatory Agency has collected 32 reports of parotitis in 504 cases of sialorrhea prior to January 2012. Most of the treatments were aimed at resolving sialorrhea and consequently the parotid swelling. There are
no intervention protocols for these cases, and treatment can be anticholinergic drugs with the aim of blocking muscarinic receptors, and adrenergic drugs (α2 agonists/α1 antagonists) for the suppression of sympathetic stimulation.83

To the best of our knowledge, there is only one randomized trial that demonstrated a better response to treatment associated with terazosin (α1 lytic) and benzotropine (antimuscarinic) rather than monotherapy with either drug.84

**Conclusion**

It was not possible to provide details regarding the dosage and associations of CLZ with other APs in each study.

Despite the benefits in relieving positive and negative symptoms in treatment-resistant schizophrenia and other psychiatric and neurological disorders,19 the use of CLZ has been strongly limited by the possibility of the onset of severe adverse effects.

Clinical research has to concentrate on the presence, early recognition, and treatment of CLZ-related adverse effects.85 Clinical monitoring, laboratory tests, and instrumental controls for these adverse effects are very strict to limit possible complications, which may be fatal.

Some of these adverse effects are predictable, less serious, and more common and are directly related to the receptor-mediated mechanisms that can often be managed medically.

Others are rare and less known, and the hypothesized pathophysiology is not completely clear. The presence of adverse effects should not deter psychiatrists from using CLZ when it is necessary.

In the studies included in our review, many important outcomes were described. Monitoring both common and rare adverse effects could significantly reduce the disability and mortality associated to CLZ treatment. An early recognition of both more common and rare adverse effects may allow a better therapy management.

Furthermore, adherence to CLZ treatment can be significantly enhanced if patients are adequately informed about the nature and risk of its adverse effects and if the clinician recognizes and attempts to treat them. The appropriate management of other CLZ adverse effects facilitates a maximization of the benefits of CLZ treatment. Physicians and patients alike should be aware that there is a range of benefits of CLZ use that is wider than its risk.

**Disclosure**

All authors declare that they have no competing interests relevant to this work.

**References**