Sex differences in predictors of ischemic stroke: current perspectives

Alyana A Samai1,2
Sheryl Martin-Schild1

1Department of Neurology, Stroke Program, Tulane University School of Medicine, 2Department of Epidemiology, Tulane School of Public Health and Tropical Medicine, New Orleans, LA, USA

Correspondence: Sheryl Martin-Schild
Department of Neurology, Stroke Program, Tulane University School of Medicine, 1440 Canal Street, TB-52, Suite 1000, New Orleans, LA 70112, USA
Tel +1 504 988 0972
Fax +1 504 988 6263
Email smartin2@tulane.edu

Abstract: Globally, stroke is a significant public health concern affecting more than 33 million individuals. Of growing importance are the differences between males and females in the predictors and overall risk of stroke. Given that women have a higher lifetime risk for stroke and account for more than half of all stroke deaths, sex-specific stroke risk factors merit investigation and may help target public health interventions. This review aims to discuss the current body of knowledge regarding sex-specific predictors of ischemic stroke including both modifiable and non-modifiable risk factors, as well as specific pathologies known to increase stroke risk.

Keywords: thrombosis, risk factors, sex, cerebral stroke

Introduction

Stroke affects 33 million individuals worldwide each year.1 As of 2012, stroke was the third leading cause of death and the third leading cause of years of life lost due to premature mortality worldwide.2 In the US, stroke is the fourth leading cause of death, accounting for 1 in 20 deaths, and is the leading cause of serious long-term disability. According to the Centers for Disease Control and Prevention, stroke accounted for 33% of potentially preventable deaths in the US between 2008 and 2010.3 Nearly 87% of all strokes are ischemic.1

Stroke in women is a growing public health concern. Stroke is the fourth leading cause of death in women ages 20–59 worldwide; by current estimates, women account for approximately 60% of all stroke deaths.1,4 In the >60 age group, stroke is the second leading cause of death among women. Recent research has also suggested that, among first-ever stroke patients, women are more frequently older, are more likely to have hypertension, atrial fibrillation (Afib), and cardioembolic infarction, and experience reduced mortality and length of hospitalization.5

Among elderly stroke patients, 43% experienced moderate-to-severe neurological deficits post-stroke.6 However, of these, women more frequently need assistance with activities of daily living and walking, and more often live in nursing homes after their stroke. Within the first 6 months after their stroke, women more often have disabilities of greater severity and extent than their male counterparts. In a subanalysis of the Framingham Heart Study, it was found that women were more disabled and 3.5 times as likely to be institutionalized at 3–6 months post-stroke compared with men.7 Furthermore, the women in this cohort more frequently classified as a first-stroke event.

Compared with males, females have a higher lifetime risk for stroke, are more likely to experience recurrent stroke, and are more likely to have more severe strokes.1

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Patients with recurrent stroke are recognized to have as much as a 38% greater cost per patient-year after discharge from acute stroke hospitalization when compared with first-ever stroke patients. As such, understanding the predictors of stroke unique to females is of significant import.

This article will explore differences in the risk among males and females posed by various predictors of stroke including non-modifiable risk factors, modifiable risk factors, and specific disease pathologies.

Non-modifiable risk factors

Sex differences in age
According to 2010 US Census Data, the 65+ age group accounts for 13% of the total US population; of these, 56.9% are female. Nearly 75% of all strokes occur in individuals over 65 years old. As of 2014, women worldwide have surpassed their male counterparts with respect to at-birth life expectancy by nearly 10 years on average. In the US, the estimated at-birth life expectancy is 81.94 years for females compared with 79.56 years for males; after 65 years, life expectancy is 20.50 years for females and 17.90 years for males. On average, women are about 4 years older than men at the time of their first stroke.

Overall, the incidence rate of stroke is 1.25 times higher in males compared with females and increases steadily with age for both males and females. Given that a greater proportion of the population is female and females are likely to live longer, it logically follows that females account for nearly 60% of post-stroke mortality.

Recent research has also demonstrated that women are older at the time of their first stroke. Appelros et al found that, in 98 studies spanning 19 countries and 5 continents, the mean age of first-ever stroke is 72.9 years in women compared with 68.6 years in men. Research has also shown that, due to longer lifespan, women have more strokes during their lifetime.

Sex differences in race
Differences are recognized in the prevalence of stroke between races. According to 2013 data from the Behavioral Risk Factor Surveillance System, American Indians/Alaska Natives exhibited the highest prevalence of history of stroke (4.6%), tied with other races/multiracial individuals. Non-Hispanic blacks had the next highest prevalence (4.0%), followed by non-Hispanic whites (2.5%), Hispanics (2.3%), and Asian/Pacific Islanders (1.3%). Furthermore, between 2006 and 2010, individuals of black race had a higher prevalence of stroke compared with other races. Although the current evidence suggests a decline in the overall incidence of stroke among whites when comparing 2005 with earlier years, this decline has not been observed among blacks.

With respect to short-term outcomes following ischemic stroke, race and sex do not appear to significantly modify the relationship. However, racial differences do exist in stroke mortality when stratified by sex. Compared with white men, black men experience a 1.4 times increased risk for stroke-related mortality. Interestingly, black women experienced a twofold increased risk (risk ratio = 2.0) when compared with white women. Some evidence also suggests that socioeconomic status may account for some of the risk attributed to stroke among black men; however, evidence for this relationship is limited.

Modifiable risk factors

Sex differences relating to hypertension
Approximately 77% of individuals who have an incident stroke have blood pressure greater than 140/90 mmHg. The attributable risk for ischemic stroke due to hypertension is approximately 26%. As of 2008, the prevalence of hypertension was approximately 40% in adults over 25 years of age, affecting 29.2% of males and 24.8% of females worldwide. In the US, among adults ≥20 years old, the prevalence of hypertension varies by both race and sex as follows: among non-Hispanic whites, 33.4% for men and 30.7% for women; among non-Hispanic blacks, 42.6% for men and 47.0% for women; and among Mexican Americans, 30.1% for men and 28.8% for women. In the <45 age group, more men than women have hypertension, in the 45–64 age group, the proportion of men and women with hypertension is approximately equal, and in the >64 age group, far more women than men have hypertension. Physical inactivity may be influencing this fluctuation in hypertension rates as women are more likely than men to be inactive in all age ranges (33.2% vs 29.9%).

Data from the National Health and Nutrition Examination Survey between 2007 and 2010 showed that, of individuals with high blood pressure in the US, approximately 47.5% do not have it controlled. Furthermore, control rate among older women is lower than for older men. It has been demonstrated that controlling blood pressure reduces the incidence of ischemic stroke. Additionally, lower rates of recurrent stroke have been associated with lower blood pressures. In the US alone, the indirect and direct costs of hypertension were estimated to be more than $46 billion, suggesting that better management of hypertension could have a significant economic impact.
Sex differences relating to diabetes mellitus

Globally, in 2014, the prevalence of diabetes mellitus (DM) was 9% among adults over 18 years of age. An estimated 347 million individuals have DM worldwide. Of the 29.1 million individuals with DM in the US, approximately 8.1 million are undiagnosed. More than 50% of individuals with DM will die from a cardiovascular-related cause.22

The diabetic stroke patient is more likely to be younger, have hypertension, and have slower recovery time compared with non-diabetic patients. Furthermore, stroke patients with diabetes are more likely to die as a result of the stroke. In those <55 years of age, the risk for stroke is ten times greater in diabetics compared with non-diabetics. Women with DM are also at increased risk for stroke compared with their male counterparts, possibly due to the relationship between DM and atherosclerosis. In the Framingham study cohort, diabetic women had a 3.5 times higher risk for stroke compared with non-diabetic women, whereas diabetic men had a 2.1 times higher risk for stroke compared with non-diabetic men.27

Sex differences relating to dyslipidemia

Total cholesterol

Regardless of sex, the relationship between total cholesterol and stroke remains unclear. Although the mechanism by which high cholesterol levels may lead to stroke is widely agreed upon, the current body of literature regarding cholesterol and ischemic stroke may be subject to bias. In many studies, both hemorrhagic and ischemic stroke were evaluated in tandem, thereby clouding the association. Furthermore, many studies appear to frequently include fatal stroke as an endpoint, again leading to bias. Overall, it appears that total cholesterol may have a cooperative effect with other factors that may contribute to the atherosclerotic process and, by extension, contribute to rates of ischemic stroke.

High-density lipoprotein

High-density lipoprotein (HDL) appears to have a direct association with ischemic stroke. Men and women have comparable HDL levels until puberty, but the level of HDL decreases significantly in men during adolescence to a normal adult level. In women, there is a steady increase in HDL levels over time, possibly contributing to the later presentation of carotid disease. Several studies have demonstrated increased rates of ischemic stroke among men with low levels of HDL, though that relationship has not yet been established among women. In both men and women, every 1 mmol/L increase in HDL is associated with a 47% reduction in ischemic stroke.

Low-density lipoprotein

Evidence regarding the association between low-density lipoprotein (LDL) and ischemic stroke is limited. Overall, high LDL levels appear to correlate with thrombosis, particularly large vessel atherothrombosis. In both men and women, it appears that elevated LDL confers a marginal increase in risk for ischemic stroke.

Triglycerides

The relationship between triglycerides and ischemic stroke remains a subject of debate. Some studies have suggested that high triglycerides are not related to an increased risk for ischemic stroke. More recent research appears to contradict that theory. In 2008, the Copenhagen Heart Study found high triglyceride levels to be associated with increased risk for ischemic stroke in both men and women. However, in both the <55 and >55 age groups, the 10-year risk was greater in men than in women (2.6% and 16.7% vs 1.9% and 12.2%). In 2011, Varbo et al corroborated these findings with their study results that suggested a stepwise relationship between triglyceride level and ischemic stroke risk.

Sex differences relating to smoking

In the US, more than 20% of adult males smoke compared with ~15% in adult females. Interestingly, men tend to have higher quit rates compared with women, which further supports the modifiability of this risk factor. In both sexes, smoking is associated with an increased risk for stroke, and quitting is associated with a reduction of that risk. Furthermore, women who smoke appear to have a greater overall disease risk associated with smoking compared with men, especially in relation to disease processes that may also lead to ischemic stroke. A meta-analysis demonstrated a dose response with regard to risk of stroke, higher risk associated with subarachnoid hemorrhage (relative risk [RR] 2.93) than ischemic stroke (RR 1.92), and overall greater risk in women (RR 1.72) compared with men (RR 1.43).

Sex differences relating to obstructive sleep apnea

Worldwide, the prevalence of obstructive sleep apnea (OSA) in adults is somewhere between 3% and 7% in the general
population.\textsuperscript{43} OSA is more common in men than in women. Newman et al described the incidence of OSA over a 5-year period to be about 11.1% in men and 4.9% in women.\textsuperscript{44} However, the symptoms most commonly described by women with OSA are non-traditional, including insomnia and depression, which may mean that under-diagnosis exists among women.\textsuperscript{45} Obesity, upper airway anatomy, sleep patterns and arousal, sex hormones – specifically changes associated with menopause, and respiratory control, are all associated with OSA and are modified by sex.

In both men and women, OSA is significantly associated with an increased risk for ischemic stroke and mortality.\textsuperscript{46–48} Whether or not a difference exists between males and females in ischemic stroke risk is not well described in the literature and is worth future investigation.

Sex differences relating to substance abuse
Among women, the lifetime estimate is between 5% and 8% for alcohol-related disorders and between 5% and 6% for other drug-related disorders.\textsuperscript{49} The alcohol and illicit drug use is more prevalent among men, but recent trends indicate that the prevalence of heavy alcohol use among women is approaching that of men. Alcohol has an unique effect that is observed across sexes: in light-to-moderate amounts, it appears to have either no effect or a protective effect relating to ischemic stroke risk; however, in excessive amounts, it has inconsistently been associated with increased risk for ischemic and hemorrhagic stroke.\textsuperscript{50–52} Almost every commonly used drug type is associated with ischemic stroke including cocaine, amphetamine, opioids, cannabis, lysergic acid diethylamide, and anabolic steroids.\textsuperscript{53} The illicit drug use is associated with about 14% of all ischemic and hemorrhagic strokes, stroke in young adults (<45 years old) and male sex.\textsuperscript{49,54} In a recent meta-analysis, the J-shaped relationship between ischemic stroke, hemorrhagic stroke, and even stroke mortality was confirmed.\textsuperscript{55}

Specific pathologies predicting ischemic stroke
Sex differences relating to large artery disease
Annually, severe carotid stenosis (>75%) is associated with a 3.3% rate of stroke and a 7.2% rate of transient ischemic attack.\textsuperscript{56} Among adults age 33–45 years, the prevalence of carotid calcium is higher among males, irrespective of race.\textsuperscript{1} This difference is consistent when examined across races in adults age 45–84 years. Male sex is associated with higher likelihood of detecting extracranial and combined intracranial and extracranial artery stenosis among patients admitted with first-ever ischemic stroke.\textsuperscript{37,58}

In women, coronary disease generally present 10–15 years later than in men.\textsuperscript{31} This may be due to sex differences in various risk factors for carotid artery disease. The Rotterdam Elderly Study determined that hypertension, smoking, and low levels of HDL are risk factors for developing carotid disease in the elderly population.\textsuperscript{56} Each of these factors has also been independently linked as risk factors for ischemic stroke, as discussed earlier in this review.\textsuperscript{50–52}

For patients with carotid disease, revascularization through the use of stenting or endarterectomy is a current component of standard-of-care guidelines, when a patient meets the necessary criteria.\textsuperscript{63} A subgroup analysis from the Carotid Revascularization Endarterectomy versus Stenting Trial revealed no difference between men and women in the rates of the primary endpoint defined as “the composite of any stroke, myocardial infarction, or death during the periprocedural period or ipsilateral stroke within 4 years after randomization”.\textsuperscript{64} However, the risk for periprocedural stroke was higher in women in the stenting group; no such difference was detected in men. The American Heart Association/American Stroke Association (AHA/ASA) Guidelines for the Prevention of Stroke in Women advise that there is insufficient evidence to manage men and women differently with regard to choice of revascularization modality for symptomatic carotid stenosis.\textsuperscript{55}

Sex differences in small artery disease
The case-fatality rate of small vessel stroke (subcortical infarct <15 mm in diameter occluding a single perforating artery), sometimes referred to as a silent or lacunar infarct, is low at approximately 2.5% at 1 month.\textsuperscript{56} A study conducted by Vermeer et al in an elderly population (60–90 years old) found a prevalence of radiographic small vessel stroke of 20% and an increase in the odds of small vessel stroke with age.\textsuperscript{57} Furthermore, this study of persons of advanced age found a higher prevalence of small vessel stroke in women. Another study by Bejot et al that examined more than 2,000 ischemic stroke cases of all ages from 1989 to 2006 found a prevalence of 28% for small vessel stroke. The incidence of small vessel stroke increased steadily with age, but was overall higher in men than in women (18.3 vs 10.3 per 100,000 persons per year).\textsuperscript{66} Interestingly, one study has found that in patients in the over 85-year category, small vessel stroke showed a significantly higher proportion of
women. Women with small vessel stroke tend to be older and more frequently have a history of obesity and hypertension as compared with men.

Small vessel disease is regarded as a leading cause of vascular-related cognitive impairment. By current estimates, 30% of patients who experience a small vessel stroke will have some cognitive impairment, ranging from mild cognitive impairment to dementia, in the 4 years post-stroke, regardless of sex. No evidence of a sex modifier for vascular dementia exists, in contrast to female predominance of Alzheimer’s type of dementia.

**Sex differences in predictors of cardioembolic stroke**

**Atrial fibrillation**

Atrial fibrillation (AFib) is a known risk factor for ischemic stroke. The incidence of AFib in men is significantly higher (20.6 per 100,000 persons) compared with women (6.6 per 100,000 persons) in the 15–44 age range. However, in the >85 age group, the incidence of AFib is slightly higher in women (1,203.7 per 100,000 persons) than in men (1,077.4 per 100,000 persons). The prevalence of AFib is consistently higher in men than in women across age groups and races.

In the absence of valvular heart disease, the risk of stroke increases fivefold for individuals with AFib. This increased risk may be due to the prothrombotic effect of endothelial dysfunction and inflammation resulting from AFib. Women appear to be at a greater risk for thromboembolism due to AFib than men when not on anticoagulation therapy (warfarin). In fact, the efficacy of warfarin may be comparable, if not greater, in women as compared with men. However, a consensus has not yet been achieved regarding the risk for major bleeding while using warfarin, with some research suggesting equal rates between men and women, and others suggesting a greater risk in women. A recent meta-analysis supports improved efficacy of preventing stroke or systemic embolism coupled with lower risk of major bleeding in women compared with men who are treated with novel oral anticoagulants.

**Heart failure**

More than 23 million people have heart failure (HF) worldwide. A subanalysis from the Framingham cohort found that the overall incidence of HF to be higher in men than in women (5.64 vs 3.27 per 1,000 person-years) with proportional increases with age for both sexes. In women, HF tends to occur later and is more commonly associated with obesity, chronic kidney disease, AFib, hypertension, and preserved left ventricle (LV) ejection fraction. In men, HF is associated with different comorbidities including anemia, myocardial infarction, chronic obstructive and pulmonary disease, and peripheral arteriopathy. Overall, the risk of ischemic stroke is increased in the presence of reduced LV ejection fraction, irrespective of sex. However, a dose response (higher risk with moderate-to-severely reduced vs mildly reduced LV function) was detected only in men.

**Sex differences in hormonal effects**

**Sex hormones**

Research suggests that women experience a neuroprotective effect pre-menopause, likely relating to circulating ovarian hormones, particularly estrogen. Although the exact age ranges vary between studies, generally, women appear to experience a decreased risk for stroke between 40 and 75 years of age compared with men, but after 75, women experience around 50% greater risk for stroke than men.

Sex hormones play a significant role in reactivity of the cerebral vasculature. In vivo, testosterone and estrogen appear to have opposing influences on reactivity of cerebral arteries, with estrogen having a vasodilation effect that may even counteract the effect of other serum vasoconstrictors. Other research has also identified that female arteries are generally less constricted possibly as a result of effect estrogen has on nitric oxide production in the endothelium. Though not widely investigated in the literature, some researchers have hypothesized that, rather than estrogen lending a protective effect to women, perhaps males’ elevated risk is due to adverse effects of androgens. The biochemical mechanisms relating to these sex hormones and the sex differences associated with them are beyond the scope of this article, but has been extensively detailed in a review by Krause et al.

Pre-menopausal women have the strongest cerebral reactivity when evaluated using transcranial Doppler ultrasonography. When matched with premenopausal women of the same age, postmenopausal women have significantly lower reactivity. However, when treated with hormone replacement therapy, the reactivity in postmenopausal women was similar to that of premenopausal women. Despite this response, several studies have demonstrated a lack of effect of hormone replacement therapy on reducing stroke incidence and mortality. In fact, many studies have shown an increased incidence of ischemic stroke in addition to increased rates of both mortality and morbidity.
However, these findings contradict the protective effects seen in animal models and the biological effects seen in humans. Additionally, other studies have demonstrated a positive protective effect of hormone replacement against other coronary disease.91,92 Exogenous hormones may have different effects on stimulation of clotting factor formation than endogenous hormones. Currently, the body of research regarding hormone replacement therapy in relation to stroke has focused on primary and secondary prevention. Further research is warranted to determine the efficacy vs harm of hormone replacement as a treatment in acute ischemic stroke.

Oral contraceptives use
Premenopausal women, while inherently at decreased risk for ischemic stroke, may be at elevated risk if they use oral contraceptives (OC). The exact amount of increased risk attributed to the use of hormonal OC has been the subject of much debate. In the US, from 2006 to 2010, the Centers for Disease Control and Prevention reported that 82% of sexually ever-active women between 15 and 44 years of age used OC as a contraceptive method.93 It is thought that estrogen/progestogen OC have a procoagulant effect by increasing the activity of various clotting factors within the coagulation cascade, including plasma fibrinogen, while decreasing the amount of anticoagulation factors.94

Several studies have indicated elevated risk for venous thrombosis, myocardial infarction, and stroke in current OC users regardless of OC subtype.95 In a meta-analysis of 16 studies by Gillum et al, current OC users were at 2.75 times increased risk for ischemic stroke.96 Interestingly, the same study revealed a lower RR for ischemic stroke in estrogen doses <50 µg (2.08) compared with estrogen doses >50 µg (4.53). Furthermore, low-dose OC have been demonstrated to pose a 93% increased risk for ischemic stroke, though absolute increased risk is low at 4.1 ischemic strokes per 100,000 women.1 However, those using lower-dose estrogen contraceptives still experienced elevated risk. Other case-control studies have corroborated these findings and have demonstrated an at least two times increased odds for ischemic stroke among OC users when compared with controls.97,98

Pregnancy
Pregnancy is an additional risk factor for ischemic stroke in women. Although the risk for stroke secondary to pregnancy has been explored, a consensus on the etiology of that risk or the level of increased risk has not yet been achieved. A literature review by Wiebers suggested as much as a 13-fold increase in the risk of ischemic stroke for pregnant women.99 It has also been suggested that women’s risk is greatest during the postpartum period, though the exact reason for this is undetermined. Kittner et al reported that the RR for cerebral infarct during pregnancy was 0.7, but increased to 8.7 in the postpartum period.100 Similarly, Jaigobin and Silver reported that a majority of women presented during the last trimester or postpartum.101 With the exception of subarachnoid hemorrhage, the attributable excess risk of all types of stroke during the pregnancy/postpartum period was 8.1 per 100,000.1 It has been suggested that this association may be due, at least in part, to the hormonal changes that occur during pregnancy. Clotting activity increases during pregnancy, possibly due to placental expulsion.102,103 It is hypothesized that the natural elevation of estradiol during pregnancy may cause increases in the activity levels of various plasma coagulation factors including factor VII and plasminogen activator inhibitor.104 It has also been shown that levels of factors V, VIII, IX, X, XII, fibrinogen, and von Willebrand factor (vWF) all increase during pregnancy.102 Elevated levels of these factors have been positively correlated with increased risk for stroke and may provide a linkage between pregnancy and increased stroke risk.105–112

Sex differences in hypercoagulability
Hypercoagulability, particularly with respect to elevated Factor VIII (FVIII) and vWF, has recently been described as a potential risk factor for ischemic stroke. FVIII elevation has been shown to correlate to worse baseline stroke severity and an increased frequency of neuro worsening during the acute hospitalization.106 Furthermore, combined elevation in FVIII and vWF appears to confer increased odds of poor functional outcome, inpatient complications, neuro worsening, and recurrent thrombotic events during acute hospitalization.107 Among older patients, elevated FVIII appears to be associated with an increased risk for thrombosis and stroke in women.113–117

Although FVIII has traditionally been viewed as an acute phase reactant, a recent study found that post-ischemic stroke, FVIII levels appear to remain elevated remote from index stroke in a majority of patients whose levels were elevated during the acute phase of ischemic stroke.118 The study developed prediction models for determining which patients would experience persistently elevated FVIII at the two most commonly used activity thresholds, 150% and 200%. In both models, female sex was included as a predictor of persistent FVIII elevation. The correlation between elevated
FVIII and the risk for ischemic stroke suggests that these persistently elevated levels may be a player in women’s propensity for multiple strokes. Additionally, the hormonal effects mentioned above may be related to the impact of hypercoagulability in women.

Conclusion

Men and women differ in their risk with respect to various predictors of ischemic stroke including age, carotid disease, hormonal changes, cardiac arrhythmias, and hypercoagulability. Further research is warranted in each of these areas to better understand the complexities of the effect of sex on ischemic stroke risk, etiology, and progression.

Disclosure

The authors report no conflicts of interest in this work.

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