Prevalence of bone metastases and bone-targeting agent use among solid tumor patients in the United States

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Purpose: Patients with bone metastases are at an increased risk of experiencing morbidity due to bone complications, and bone-targeting agents (BTA) are indicated for the prevention of these complications. Population-based estimates of the prevalence of bone metastases associated with solid tumors, and current treatment patterns for these patients, are limited. This study was undertaken to estimate the prevalence of bone metastases from solid tumors and to describe recent trends in the use of BTA in the US.

Methods: We estimated the prevalence of bone metastases in the US in 2012 using data from Medicare fee-for-service and PharMetrics Plus, a large commercial claims database. We evaluated the proportion of patients with bone metastases who were treated with BTA in 2012, timing of initiation of BTA relative to bone metastasis diagnosis, and persistence on BTA, overall and by primary tumor type and treatment.

Results: There were ∼330,000 (168,063 Medicare fee-for-service; 162,239 other) patients aged ≥18 years living with solid tumors and bone metastases in 2012. BTA were used by 43% (Commercial) to 47% (Medicare) of patients in 2012, with the greatest use among breast cancer patients. Over half (Medicare: 57%; Commercial: 53%) of BTA-treated patients initiated BTA after experiencing a bone complication.

Conclusion: Of the estimated 330,000 solid tumor patients living with bone metastases in the US in 2012, many may have received less than optimal care to prevent bone complications during the calendar year.

Keywords: bone metastasis, prevalence, solid tumor

Introduction

Bone is a frequent site of metastatic disease in advanced cancer patients, and although bone metastases can occur in conjunction with any solid tumor, they are most common in breast, prostate, and lung cancer patients.1–3 The increased bone resorption caused by bone metastases also increases the risk of a variety of skeletal-related events (SREs), including spinal cord compression, bone surgery, pathologic fractures, and severe bone pain requiring palliative radiotherapy.1–4 In clinical trials, advanced cancer patients experience an SRE every 3–6 months, with events increasing in frequency as the cancer progresses and treatment options diminish.4 Additionally, once a patient experiences a first SRE, the likelihood of experiencing a second SRE is greatly increased.5

Clinical management of cancer patients with bone metastases focuses primarily on palliation of pain, prevention of bone complications, and the maintenance of quality of life. Bone-targeting agents (BTA) indicated for the prevention of SREs in patients with bone metastasis in the US include zoledronic acid and pamidronate disodium, both
of which are intravenous bisphosphonates, and denosumab, a RANK ligand inhibitor.

Population-based estimates of the prevalence of solid tumor patients with bone metastases in the US are limited; most published estimates are drawn either from clinical trials or from highly specific patient populations (eg, women with taxane-refractory advanced breast cancer), which limit their generalizability. The literature from the last decade includes two estimates of the prevalence of metastatic bone disease for the US, with the most recent of these studies providing the point prevalence for December 31, 2008. Given the rapidly evolving cancer treatment landscape over the past several years and increased survivorship among cancer patients in general, it is possible that the current prevalence of bone metastasis may differ from the earlier estimate. Therefore, the objectives of our study were twofold: 1) to provide updated estimates of the national prevalence of bone metastases from solid tumors using administrative claims from a Medicare population and a large, commercially insured population; and 2) to describe the recent treatment landscape for bone metastases in the US by examining the use of BTA.

Materials and methods

Administrative claims and plan enrollment data from the Medicare and IMS LifeLink Pharmetrics Plus™ (Commercial) databases were analyzed for this study. The Medicare database is maintained by the Centers for Medicare and Medicaid Services and houses health plan enrollment records, including information on plan type and enrollee demographic data, in addition to administrative claims data for inpatient and outpatient institutional services (Part A) and Medicare-covered physician/supplier (Part B) services, including physician-administered outpatient medications. The IMS LifeLink Pharmetrics Plus™ database captures health plan enrollment and administrative claims data for ~100 million covered individuals (employees and dependents) in the US.

Because of differences in enrollee characteristics and benefit structures, data from these two sources were analyzed separately. The Medicare cohort included adults aged ≥18 years with fee-for-service (FFS) coverage throughout 2012. Although this cohort represents a subpopulation of all Medicare beneficiaries, for simplicity, we use the term “Medicare” to refer to this population. Data from both the Medicare 100% institutional file (which captures all inpatient and outpatient facility services for 100% of Medicare beneficiaries) and the 5% carrier file (which captures all office-based services [Part B] for a random sample of 5% of Medicare beneficiaries) were used to estimate the prevalence of bone metastases. For all other analyses, the study population was restricted to patients in the Medicare 5% sample to ensure complete capture of physician encounters and treatment history. The Commercial cohort comprised adults aged ≥18 years in commercial and managed care plans during 2012, including a minority of individuals covered by employer-sponsored Medicare Advantage plans and excluding the individuals with Medicare FFS coverage. Therefore, the two study populations were mutually exclusive. Patients who died during the study period were identified using status codes in the Medicare enrollment files and a published death proxy algorithm in the Commercial population. This paper focuses primarily on results of the full population and also reports selected results from sensitivity analyses that excluded patients who died during 2012.

Bone metastases were identified by the presence of either at least one claim with the International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) diagnosis code for bone metastases (198.5) or at least one claim indicating the administration of a BTA (ie, denosumab, zoledronic acid, or pamidronate disodium) with at least one qualifying cancer diagnosis code on the same claim. Because we expect that bone metastases are not fully captured using ICD-9 codes alone, presence of BTA was used to supplement the case definition. All study patients who met the bone metastasis criteria were also required to have a primary solid tumor as indicated by at least one inpatient or outpatient claim with an ICD-9-CM diagnosis code for prostate cancer, breast cancer, lung cancer, or other solid tumors in the first or any secondary positions on the claim. For analysis, the patients were assigned to a mutually exclusive tumor type category based on the cancer coded most frequently in all historical claims. The following hierarchy was used if different tumor types had the same frequency for a given patient: prostate, breast, lung, and other solid tumors.

From each data source, we determined the number of patients with solid tumor-related bone metastases in 2012 (per definition earlier), and subsequently projected this estimate to the national US level (Figure 1). Unique Medicare patients with bone metastases were identified in the 5% carrier file and the 100% institutional claims file. To estimate the national bone metastasis prevalence for the Medicare cohort, the carrier file was linked to the institutional claims file. Patients identified in both files or in the 100% institutional claims only were counted once; however, the count of patients...
identified US only in the 5% carrier file was multiplied by 20. For the Commercial national projection, the prevalence of bone metastases were calculated using all patients in the commercial data set as the denominator. We applied this proportion to the entire US population aged 18+ using 2012 census data as the denominator, excluding Medicare FFS beneficiaries using Centers for Medicare and Medicaid Services-reported estimates. Thus, the Medicare projected prevalence represents all patients with bone metastases aged ≥18 years with Medicare FFS, while the Commercial projected prevalence represents all other patients (regardless of insurance status) in the US with bone metastases aged ≥18 years (non-Medicare FFS).

In each study population, we examined baseline demographic and clinical characteristics, including overall disease burden (Charlson comorbidity index\(^1\) modified to exclude cancer) and the presence of diagnosed renal impairment (ICD-9-CM diagnosis of chronic kidney disease/end-stage renal disease, nephritis, renal failure, or impaired renal function). We estimated the proportion of patients with bone metastases (defined by ICD-9-CM diagnosis codes only) with the evidence of BTA use after the metastasis was diagnosed. In addition, for patients who were newly diagnosed with bone metastasis (ie, first ICD-9-CM diagnosis on or after January 1, 2011 and no bone metastasis codes in prior 6 months) and who received a BTA, we evaluated the time between bone metastasis diagnosis and BTA initiation overall and stratified by tumor type, type of BTA, and the presence/absence of diagnosed renal impairment in the 12 months prior to the bone metastasis diagnosis.

Among patients who were treated with BTA and experienced a bone complication (defined by the presence of at least one ICD-9-CM diagnosis code or Current Procedure Terminology-4-CM procedure code for fracture, bone surgery, or spinal compression), we examined the timing of the BTA initiation relative to the first bone complication. In a sensitivity analysis, we expanded the bone complication definition to also include radiation, although codes in administrative claims do not distinguish radiation to the bone from other types of radiation therapy, and this approach may result in an overestimate. Patients classified as having bone metastasis solely by the presence of a BTA claim were excluded from these analyses of BTA treatment patterns.

Finally, we evaluated persistence with BTA among patients who initiated therapy on or after January 1, 2011. These patients were included in a Kaplan–Meier analysis to estimate persistence during the 12 months after BTA initiation; patients were censored at death, plan disenrollment, or the end of study period (December 31, 2012). In this analysis, nonpersistence was indicated by any claim for administration of a different BTA or a gap of at least 60 days between the date of the next expected dose and the date the next dose was actually administered. Results were derived for all BTA combined and for each agent individually. All data analyzed for this study were de-identified and fully compliant with the Health Insurance Portability and Accountability Act (HIPAA) of 1996.

**Results**

The study cohorts included 10,972 Medicare patients (N=7,033 [64%] excluding the patients who died before year-end) and

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**Figure 1** Projection methodology.

Abbreviations: FFS, fee-for-service; CMS, Centers for Medicare and Medicaid Services.
20,165 Commercial patients (N=14,208 [70%] excluding the patients who died before year-end) with bone metastases secondary to solid tumors (Figure 2). Based on these samples, we estimated that 168,063 Medicare FFS patients and 162,239 non-Medicare FFS patients were living with bone metastases secondary to a solid tumor in the US in 2012 (Table 1). In the Medicare cohort, breast and prostate tumors were most common (51,987 and 51,543 patients, respectively), followed by lung tumors (42,751 patients). In the Commercial population, breast cancer was the most common tumor type (N=56,215), followed by lung (N=43,937) and prostate (N=31,844). Excluding the patients who died in 2012 had a greater impact on the prevalence in the lung cancer cohort in which 40% (Commercial) to 17% (Medicare) of patients with prostate cancer and 14% (Medicare) to 15% (Commercial) of patients with breast cancer.

In 2012, ~47% (95% CI: 46%–48%) of Medicare patients and 43% (95% CI: 43%–44%) of Commercial patients received a BTA (Table 1). In both the cohorts, the treatment prevalence was higher when patients who died in 2012 were excluded (58% [95% CI: 57%–59%] and 48% [95% CI: 47%–49%], respectively). Patients with breast cancer had the highest percentage of BTA treatment, and patients with lung cancer or other solid tumors had the lowest treatment prevalence.

Denosumab and zoledronic acid were the most commonly used BTA in both the cohorts, with a minority of patients (6%–7%) using more than one type of BTA during the 1-year study period (Table 2). Compared with patients who had no BTA use in 2012, more BTA-treated patients were female, had received their bone metastasis diagnosis prior to 2012, had a lower comorbidity burden, and had prior BTA use.

Among the 2,286 Medicare and 5,774 Commercial patients evaluated, the mean time from bone metastasis diagnosis to BTA initiation was ~66–67 days with shorter time for females compared with males, and for patients with lung and other solid tumor types compared with patients with breast and prostate cancer (Table 3). Approximately 25% of all BTA users in the Medicare and Commercial cohorts initiated the therapy greater than 3 months after their bone metastasis diagnosis. Time to initiation was longest for denosumab (Table 3).

Figure 2  Study populations.
Note: *First ICD-9-CM bone metastasis diagnosis on or after January 1, 2011, and no bone metastasis diagnoses in prior 12 months.
### Table 1 2012 Projected national prevalence of bone metastases and actual BTA use in solid tumor patients

<table>
<thead>
<tr>
<th>Tumor type</th>
<th>Medicare</th>
<th>Commercial</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Projected number of patients with bone metastases</td>
<td>Percentage of patients with bone metastasis and BTA use (95% CI)</td>
</tr>
<tr>
<td></td>
<td>All patients</td>
<td>Excluding the patients who died in 2012</td>
</tr>
<tr>
<td>All solid tumors</td>
<td>168,063</td>
<td>47.0% (46.0%, 47.9%), 57.9% (56.7%, 59.1%)</td>
</tr>
<tr>
<td>N1 = 10,972 (M), 20,165 (C)</td>
<td>N2 = 7,033 (M), 14,208 (C)</td>
<td>Prostate</td>
</tr>
<tr>
<td>N1 = 3,365 (M), 3,958 (C)</td>
<td>N2 = 2,466 (M), 3,193 (C)</td>
<td>Breast</td>
</tr>
<tr>
<td>N1 = 3,394 (M), 6,987 (C)</td>
<td>N2 = 2,647 (M), 5,654 (C)</td>
<td>Lung</td>
</tr>
<tr>
<td>N1 = 2,791 (M), 5,461 (C)</td>
<td>N2 = 1,132 (M), 2,882 (C)</td>
<td>Other solid tumor</td>
</tr>
<tr>
<td>N1 = 1,422 (M), 3,759 (C)</td>
<td>N2 = 788 (M), 2,479 (C)</td>
<td></td>
</tr>
</tbody>
</table>

**Notes:** N1, all patients in study population; N2, excluding the patients who died in 2012.

**Abbreviations:** BTA, bone-targeting agent; CI, confidence interval; M, Medicare; C, Commercial.

### Table 2 Characteristics of study patients with and without BTA use in 2012

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Medicare</th>
<th>Commercial</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>BTA use (N=5,154)</td>
<td>No BTA use (N=5,818)</td>
</tr>
<tr>
<td>Agent used</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Zoledronic acid</td>
<td>49%</td>
<td>56%</td>
</tr>
<tr>
<td>Pamidronate</td>
<td>5%</td>
<td>4%</td>
</tr>
<tr>
<td>Denosumab</td>
<td>52%</td>
<td>47%</td>
</tr>
<tr>
<td>Multiple agents</td>
<td>6%</td>
<td>7%</td>
</tr>
<tr>
<td>Mean age (SD) in study year</td>
<td>72.9 (10.3)</td>
<td>73.0 (10.5)</td>
</tr>
<tr>
<td>Percentage of female</td>
<td>53%</td>
<td>43%</td>
</tr>
<tr>
<td>Tumor type</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Breast</td>
<td>44%</td>
<td>19%</td>
</tr>
<tr>
<td>Prostate</td>
<td>34%</td>
<td>28%</td>
</tr>
<tr>
<td>Lung</td>
<td>16%</td>
<td>34%</td>
</tr>
<tr>
<td>Other</td>
<td>6%</td>
<td>19%</td>
</tr>
<tr>
<td>Year of bone metastasis diagnosis</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2012</td>
<td>43%</td>
<td>66%</td>
</tr>
<tr>
<td>2011</td>
<td>22%</td>
<td>16%</td>
</tr>
<tr>
<td>2010 or earlier</td>
<td>35%</td>
<td>18%</td>
</tr>
<tr>
<td>Mean (SD) Charlson comorbidity index score (in study year)</td>
<td>1.8 (2.0)</td>
<td>2.4 (2.3)</td>
</tr>
<tr>
<td>Mean (SD) Charlson comorbidity index score in 2011–2012</td>
<td>2.3 (2.3)</td>
<td>2.9 (2.5)</td>
</tr>
<tr>
<td>Prior BTA use</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2011</td>
<td>76%</td>
<td>18%</td>
</tr>
<tr>
<td>2010</td>
<td>80%</td>
<td>27%</td>
</tr>
<tr>
<td>2009</td>
<td>77%</td>
<td>29%</td>
</tr>
</tbody>
</table>

**Abbreviations:** BTA, bone-targeting agent; SD, standard deviation.
Among BTA users, ~18% of Medicare patients and 21% of Commercial patients experienced a bone complication on or after the date their bone metastasis was diagnosed. Over half (Medicare: 57%; Commercial: 53%) of these patients initiated BTA use after the bone complication occurred. The addition of radiation to the bone complication definition resulted in an estimated prevalence of 51% among BTA users in the Medicare cohort and 62% among BTA users in the Commercial population. Approximately 57%–58% of these patients initiated treatment after the bone complication occurred.

Persistence with therapy was assessed for 2,585 Medicare and 7,312 Commercial BTA users who initiated therapy on or after January 1, 2011. Persistence was similar for Medicare and Commercial patients; 63%–64% of patients persisted on BTA therapy at 6 months post-initiation and ~50% of patients persisted at 12 months post-initiation (Figure 3). After excluding the patients who died in 2012, the 6- and 12-month probabilities of persistence were slightly higher (66%–69% and 53%–58%, respectively). Further analysis indicated that persistence varied by agent. Patients treated with denosumab had substantially higher persistence levels at 12 months (Medicare: 58%; Commercial: 57%) compared with both zoledronic acid (Medicare: 37%; Commercial: 36%) and pamidronate (Medicare: 37%; Commercial: 38%), although results for pamidronate should be interpreted with caution, given the small sample sizes.

**Discussion**

We estimate that ~330,000 adult patients with bone metastases secondary to solid tumors were alive in the US in 2012. Prior to this study, Li et al published the most recent population-based report that estimated 280,000 patients with metastatic bone disease were alive in the US on December 31, 2008.\(^\text{21}\) While these two estimates are of similar magnitude, the differences between them may reflect the differences in study design and projection methodology, as well as true differences in disease prevalence over time related to changes in the US population and solid tumor incidence, as well as changes in the cancer treatment landscape and survivorship. For example, we would expect the 1-year period prevalence obtained in our study to be larger than the 1-day point prevalence reported by Li et al, since the patients in our study had a longer time interval during which to be counted toward the prevalence estimate. In both the studies, bone metastases were identified by the presence of either an ICD-9-CM diagnosis code of 198.5 or a BTA claim. However, we only required one diagnosis code, while the Li et al paper used a more conservative approach that required that the diagnosis appear on at least one inpatient or outpatient claim. The availability of one additional BTA (denosumab) during our study period may have also contributed to the larger number of patients with bone metastasis estimated for 2012, as patients previously ineligible for treatment may have become eligible.

Fewer than half (43%–47%) of the patients with bone metastasis received treatment with a BTA in 2012; some patients (20%) without BTA in 2012 had the evidence of BTA use in 2011. It is possible that patients who are perceived to be end of life either are not prescribed BTA or stop treatment. This hypothesis is supported by our finding that BTA use was greater when patients who died in 2012 were excluded from the analysis and also by the greater BTA use observed among breast and prostate cancer patients who...
presumably would have longer expected survival times compared with patients who had lung cancer or other types of solid tumors. However, even after excluding the patients who died in 2012, 42% of Medicare patients and 52% of Commercial patients were still untreated in 2012. While not all patients with bone metastases are eligible for BTA treatment, our results indicate that a substantial proportion of patients living with bone metastases may not have received optimal treatment to prevent bone complications. Among patients who did receive BTA, approximately one-quarter initiated BTA therapy at least 3 months after the recorded diagnosis date of bone metastasis.

Over half (53%–57%) of BTA users who experienced a bone complication initiated BTA therapy after the complication occurred. This finding may be of clinical significance because prescribing BTA only in response to a bone complication

**Figure 3** (Continued)
limits the potential therapeutic benefits documented in the clinical trials of these therapies, namely, lowering the risk of SRE and delayed time to a first SRE.\textsuperscript{33-41} Evidence associating SREs with significant decreases in quality of life and significant increases in morbidity and mortality suggests that some solid tumor patients may derive a variety of benefits from BTA treatment.\textsuperscript{42-47} The decision to treat, however, should consider the patient’s unique clinical situation relative to each agent’s mechanism of action and labeled side effect profile. In addition to the patient’s general health status, specific considerations may include dental health (a risk factor for osteonecrosis of the jaw), renal function, and the presence of hypocalcemia prior to treatment initiation.\textsuperscript{6-8} Other important considerations from the physicians’ perspective include treatment effectiveness (eg, time to first SRE and time to worsening pain), side effect risk, and mode of administration. Patient copays are equally...
important to both patients and physicians, and understanding of health care insurance coverage considerations and availability of patient assistance programs may allow physicians to present a comprehensive set of BTA treatment choices and facilitate a joint treatment decision between physicians and patients.48,49

We note that even after the decision to treat was made, for many patients, the therapeutic benefit may not be fully realized; fewer than two-thirds of patients remained on treatment for at least 6 months following initiation, and only half (51% Medicare, 49% Commercial) of the patients continued treatment for at least 12 months. Other researchers have shown that BTA treatment at less than the recommended dose can decrease treatment effectiveness, which suggests that nonpersistence and intermittent or delayed dosing observed in our study populations may leave the patients at a greater risk of experiencing a bone complication than they would have been with more consistent BTA use.50

Consistent with the previous research, persistence was better among patients who used denosumab, perhaps because there is no need for routine monitoring of renal function as there is with the bisphosphonates or perhaps due to the shorter half-life compared with the bisphosphonates.34,51-54 In addition, some physicians and patients may have considered the mode of administration (ie, subcutaneous injection) to be more convenient compared with infusion.55 It was beyond the scope of our study to examine these considerations or, more broadly, to examine determinants of the decision to treat, late initiation, intermittent dosing, and nonpersistence, but future studies may further our understanding of those issues and highlight specific opportunities to improve bone health in solid tumor patients with metastatic disease.

Our results should be interpreted within the context of known data and methodological limitations. Most importantly, we note that patient identification was challenging since bone metastases are not always coded in administrative claims data. We attempted to minimize this data limitation by allowing patients to meet the bone metastasis definition through the presence of at least one BTA claim in the absence of the ICD-9-CM diagnosis code for bone metastases. Despite this effort to capture missing patients with bone metastasis, we acknowledge that the process is imperfect and our results may still underestimate, or overestimate given potential false positives, the true prevalence of bone metastasis in solid tumor patients. Additionally, a permanent J-code was not available for denosumab until January 1, 2012 and, therefore, it is possible that we may have underestimated denosumab use in the study cohorts.

In addition, common coding practices may impact when the bone metastasis diagnosis is recorded on an administrative claim. Results from a recent chart review that compared coding in a different large administrative claims database with coding in medical charts suggest that there may be delays between the time that a bone metastasis is actually diagnosed (ie, coded in medical charts) and the date the relevant code first appears in administrative claims for diagnosed patients.30 If this finding holds true for the Medicare and Commercial claims data sources used for the current study, then the actual delay between diagnosis and BTA treatment initiation may be longer than what we report here. In our study cohorts, we observed that the first bone metastasis diagnosis often occurred concurrently with the first BTA administration claim. This pattern suggests that the bone metastasis likely existed prior to that date, but that the formal diagnosis was only recorded in the course of prescribing the BTA.

Conclusion

In summary, treatment of patients with advanced cancer is complex and can require a multidisciplinary approach. Our findings suggest that there were ∼330,000 patients living with bone metastases in the US in 2012, many of whom may have received less than optimal care to prevent bone complications during the calendar year. More research is needed to examine the reasons for these treatment patterns and the resulting impact on clinical and quality of life outcomes.

Disclosure

RK Hernandez, J Arellano, and A Liede are employees and stockholders of Amgen Inc.; SW Wade is a partner in Wade Outcomes Research and Consulting, which has received consulting fees from Amgen Inc.; K Francis and RP Million are employed by Trinity Partners, which has received consulting fees from Amgen Inc.; A Adhia, H Alvartsyan, and E O’Connor were employed by Trinity Partners at the time the study was conducted, and E O’Connor is currently employed by Amgen Inc.. This study was funded by Amgen Inc.. The authors report no other conflicts of interest in this work.

References


