Animal models of ischemic stroke and their application in clinical research

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Abstract: This review outlines the most frequently used rodent stroke models and discusses their strengths and shortcomings. Mimicking all aspects of human stroke in one animal model is not feasible because ischemic stroke in humans is a heterogeneous disorder with a complex pathophysiology. The transient or permanent middle cerebral artery occlusion (MCAo) model is one of the models that most closely simulate human ischemic stroke. Furthermore, this model is characterized by reliable and well-reproducible infarcts. Therefore, the MCAo model has been involved in the majority of studies that address pathophysiological processes or neuroprotective agents. Another model uses thromboembolic clots and thus is more convenient for investigating thrombolytic agents and pathophysiological processes after thrombolysis. However, for many reasons, preclinical stroke research has a low translational success rate. One factor might be the choice of stroke model. Whereas the therapeutic responsiveness of permanent focal stroke in humans declines significantly within 3 hours after stroke onset, the therapeutic window in animal models with prompt reperfusion is up to 12 hours, resulting in a much longer action time of the investigated agent. Another major problem of animal stroke models is that studies are mostly conducted in young animals without any comorbidity. These models differ from human stroke, which particularly affects elderly people who have various cerebrovascular risk factors. Choosing the most appropriate stroke model and optimizing the study design of preclinical trials might increase the translational potential of animal stroke models.

Keywords: permanent and transient middle cerebral artery occlusion, photothrombosis, endothelin-1, microsphere/macrosphere, thromboembolic clot model, rat, mouse

Introduction

Stroke is the fifth cause of death in the USA and the leading medical cause of acquired adult disability worldwide. Approximately 80% of strokes are ischemic in nature and result from thromboembolic occlusion of a major cerebral artery or its branches. Vascular occlusion results in deprivation of oxygen and energy, followed by the formation of reactive oxygen species, release of glutamate, accumulation of intracellular calcium, and induction of inflammatory processes. This sequence of events, termed the ischemic cascade, leads to irreversible tissue injury (infarction). The ischemic penumbra – that is, the area of ischemic brain tissue surrounding the infarcted core – is potentially salvageable if an appropriate treatment is administered within a specified therapeutic window. Two major approaches have been developed to treat ischemic stroke: neuroprotection and reperfusion. The latter therapeutic strategy uses thrombolytic drugs or mechanical devices to recanalize occluded vessels. The only approved medical treatment for acute ischemic stroke is intravenous thrombolysis with recombinant tissue plasminogen activator (rtPA). However, the therapeutic window of rtPA treatment is up to 4.5 hours after stroke, and consequently, rtPA is applicable as treatment in only...
up to 5% of all patients. Thus, there is an urgent need for other, more widely applicable, treatment options.

Over the last four decades, a variety of animal stroke models have been developed, with the aim of identifying the mechanisms that underlie cerebral ischemia and developing new agents for stroke therapy. Animal stroke models are an indispensable tool for several reasons: (1) Human ischemic stroke is extremely diverse in its manifestations, causes, and anatomic localization, whereas an experimental ischemic stroke is highly reproducible, well controllable, and standardized, allowing more precise analysis of stroke pathophysiology and drug effects. (2) Molecular, genetic, biochemical, and physiological investigations often require invasive direct access to brain tissue. (3) Pathophysiological events occurring during the first minute of an ischemic stroke are most often not detectable by imaging techniques used in human stroke and thus can be studied only in an animal model. (4) Perfusion and vasculature (eg, collaterals) are essential in the pathophysiology of stroke and cannot be modeled in in vitro models. However, with the exception of rtPA, which was first investigated in a rabbit stroke model, no preclinical-tested neuroprotective agent, either as a single therapy or as combination therapy, has been translated into effective stroke therapies. The poor translational power of preclinical stroke models has led to the establishment of the Stroke Therapy Academic Industry Roundtable (STAIR), which issues recommendations to improve the quality of preclinical stroke research. However, even strict adherence to these recommendations has not resulted in major progress in clinical translation. The success of preclinical stroke research in developing new therapeutics might rely – at least in part – on the selection of the appropriate animal stroke model to use. This review deals with the most common rodent stroke models and also discusses the advantages and limitations of each model, as well as factors influencing the outcome of the model (Table 1).

### Selection of animals for ischemic stroke

The majority of stroke experiments are carried out in small animals (eg, mice, rats, rabbits). The use of small animals presents clear advantages – lower cost and greater acceptability from an ethical perspective – compared to larger animals. The rat is one of the most commonly used animals in stroke studies for many reasons: the cerebral vasculature and physiology of the rat is similar to that of humans; its moderate body size allows easy monitoring of physiologic parameters; its small brain size is well suited to fixation procedures (eg, in vivo freeze trapping for biochemical analysis); there is a relative homogeneity within strains; and most of all, it is easy to conduct reproducible studies. As the mouse is the most appropriate animal in which genetic modifications can be created, it is widely used in transgenic technology studies that assess the molecular pathophysiology of stroke. According to the STAIR recommendations, a positive result achieved from a drug study in a species should always be verified in another species and should be replicable in a further stroke model (ie, permanent and then transient occlusion model).
Animal models of ischemic stroke

Intraluminal suture MCAo model

The middle cerebral artery (MCA) and its branches are the cerebral vessels that are most often affected in human ischemic stroke, accounting for approximately 70% of infarcts.16 Thus, techniques that occlude this artery are closest to human ischemic stroke.17–19 Among the occlusive MCA stroke models, intra-arterial suture occlusion of the MCA (MCAo) is the most common method in rodents, which has been used in >40% of approximately 2,600 experiments on neuroprotection after ischemic stroke.20

Technically, the MCAo model is less invasive and does not require craniectomy and thus avoids damage to cranial structures. This technique involves temporarily occluding the common carotid artery (CCA), introducing a suture directly into the internal carotid artery (ICA), and advancing the suture until it interrupts the blood supply to the MCA (Figure 1). In a modified manner, the suture is inserted into the transected external carotid artery (ECA), using the ECA trunk as a path to advance a suture through the ICA. Laser Doppler flowmetry can be a useful tool for ensuring complete MCAo.21 This method enables permanent MCAo or transient ischemia with reperfusion. The ECA approach is a better choice for transient MCAo because it maintains the anatomic integrity that is required for reperfusion.22

The most common durations of MCAo using a suture are 60 minutes, 90 minutes, and 120 minutes, or permanent MCAo in rats; the success rate of inducing an infarction is 88%–100%22 and that of subarachnoid hemorrhage (SAH) is 12%.21 In mouse models, the success rates of SAH and after transient MCAo of 60 minutes are similar to those of rats.22,23 Depending on the duration of MCAo, this method leads to territorial infarction in rats, involving the striatum and the overlying frontoparietal and temporal cortices, as well as some portion of the occipital cortex, but also induces infarction in the thalamus and hypothalamus.24 Infarction after MCAo follows an almost stereotypical progression from early ischemia in the striatum to delayed infarction in the cortex overlying the striatum. The MCA branches supplying the striatum are end arteries, which – in contrast to the cortical branches – do not form collaterals with the adjacent vascular territories.25 Interestingly, cerebral blood flow (CBF) in cortical branches returns to baseline values within 120 minutes of reperfusion, whereas CBF values in the striatum remain depressed.26 These observations might support findings that striatal infarction in rats is resistant to most neuroprotective agents.27 The underlying mechanism of reduced CBF in the striatum compared to the cortex is not yet understood in detail; an obstructive effect of adhesive leukocytes in the cerebral microvasculature has been suggested to contribute to this finding.28

In mice, infarction involves a substantial proportion of the hemisphere (including most of the cortex, striatum, thalamus, hippocampus, and subventricular zone) and is similar to that of rats undergoing MCAo.29,30 The extent and distribution of ischemic injury is highly sensitive to increasing occlusion duration in mice; the difference between 15 minutes and 30 minutes of MCAo results in a fivefold increase in infarct volume (9±2 mm$^3$ vs 56±6 mm$^3$) and also includes

Figure 1 Scheme of an intraluminal suture MCAo model and different methods for determining infarct volume.
Notes: (A) Diagram of MCAo. (B) Representative of 2,3,5-triphenyl tetrazolium chloride staining of three consecutive coronal brain sections after transient MCAo. (C) Serial coronal T2-weighted gradient echo magnetic resonance images after transient MCAo. (D) Representative hematoxylin and eosin (top) and Nissl staining (bottom) of coronal brain sections after transient MCAo.
Abbreviations: MCAo, middle cerebral artery occlusion; MCA, middle cerebral artery; ACA, anterior carotid artery; PCOM, posterior communicating artery; PPA, pterygopalatine artery; ECA, external carotid artery; CCA, common carotid artery.
regions outside the MCAo territory.30 No ischemic lesions are observed in mice subjected to MCAo below or equal to 10 minutes, whereas an MCAo of 15 minutes leads to a detectable infarction.31

Reproducibility of infarction is affected by different factors, such as suture diameter (3-0 or 4-0 sutures for rats; 6-0 sutures for mice). It has been shown that the size of the suture correlates well with the volume of infarct.32 Insertion length of the suture also influences infarct size and may vary from 18 mm to 22 mm in rats, depending on the age of the animal, rat strain, and suture tip.33 When the suture is positioned 15–16 mm from the CCA bifurcation, only the hypothalamic and anterior choroidal arteries are occluded, leading to small subcortical infarcts that might be a potential model of lacunar stroke.34 Sutures coated with silicone35 or poly-L-lysine36 adhere better to the adjacent vascular endothelium than uncoated sutures and thus cause larger infarcts and reduce inter-animal variability compared to uncoated sutures.36 Furthermore, there is a strong correlation between silicone-coating length and infarct size – that is, a silicone-coating length of 2.0–3.3 mm is required to completely occlude the MCA in rats; a coating length >3.3 mm results in additional occlusion of the anterior choroidal artery, posterior cerebral artery, and hypothalamic artery.37

A large body of literature suggests that infarct size is affected by different rat and mouse strains,13,38–43 whereas the extension of the penumbra (as measured by magnetic resonance perfusion/diffusion-weighted imaging mismatch) is independent of rodent strains.44 MCAo in spontaneously hypertensive rats (SHRs) and stroke-prone SHRs results in relatively large infarcts,38,45 with low variation in size. In contrast, Sprague–Dawley rats exhibit small infarct volumes40 with considerable variability,38 which is even dependent on the choice of vendor.46 It is of note that the strain most commonly used in preclinical stroke studies is Sprague–Dawley;47 approximately 60% of all neuroprotection data come from this strain.20 A recently published meta-analysis found that the Wistar strain yielded the lowest variability of infarct size.13 Mouse strains also exhibit profound differences in infarct volume after MCAo. C57Bl/6 mice are reported to develop larger infarct volumes than Sv129 mice when subjected to permanent MCAo.29,44 Strain differences in arterial collaterals and excitotoxic cell death might partially contribute to these interstrain differences.49 In the brain, pial collateral number and diameter vary by 35-fold and fourfold, respectively, among 21 inbred mouse strains; additionally, collaterals are highly heritable.50 This genetic-dependent variation in collaterals has been identified as a major factor underlying differences in ischemic tissue injury.51 However, certain mouse strains share similar collateral vessel anatomy but exhibit significantly different infarct volumes after permanent (distal) MCAo. This finding might be associated with two novel gene loci, Civq4 and Civq7, which have been suggested to be involved in collateral-independent infarct evolution.32 Furthermore, the age and weight of animals also play a crucial role as arterial dimensions/diameters vary with age. The optimal suture diameter for rats weighing 275–320 g is approximately 0.38 mm for silicone rubber-coated monofilaments.33 In mice, a 15 g increase in body weight can result in a doubling of the required thread diameter, from 100 m to 200 m.54

The MCAo model has several advantages: First, this model mimics human ischemic stroke, which often originates from an MCAo, and exhibits a penumbra that is similar to that of human stroke. Furthermore, the MCAo model is characterized by large infarct volumes and high reproducibility. The reperfusion and thus the duration of ischemia is precisely controllable. Additionally, the procedure is relatively easy to perform and not time-consuming. The MCAo model is considered to be suitable for reproducing ischemic stroke and subsequent neuronal cell death, cerebral inflammation, and blood–brain barrier (BBB) damage, as well as producing good results in behavior tests.20 However, this technique may lead to inadequate MCAo, depending on the type of suture, or may be followed by vessel rupture and subsequent SAH. These shortcomings can be solved by using a silicone-coated suture and laser Doppler-guided placement of the suture; the latter might reduce the incidence of subarachnoid bleeding.21 In MCAo of 60-minute duration, hypothalamic damage is always seen,55 whereas it rarely occurs in human stroke. Hypothalamic ischemia results in a hyperthermic response in rats that persists for at least 1 day after MCAo55 and thus may affect further analysis. Hypothalamic damage is also observed in mice after MCAo, but the surface/volume ratio of the mouse leads to temperature loss in the postoperative period.56 There are also concerns with regard to the different pathophysiologies of the permanent and transient MCAo model.57 In the transient MCAo model, primary core damage may recover, and a secondary delayed injury evolves after a free interval of up to 12 hours. This is a long therapeutic window that is not seen in human stroke. In contrast, the permanent MCAo is characterized by primary core damage that expands in peripheral brain regions and achieves its maximum at approximately 3 hours after MCAo.57 Thus, due to the two different pathophysiologies, unequal results may be
expected from the permanent and transient MCAo model, which has been suggested to contribute to the failure of neuroprotective agents in clinical trials.

**Craniectionomy model**

This method includes direct surgical MCAo requiring a craniectionomy and section of the dura mater to expose the MCA. There are two main distal occlusion models of the MCA. The first technique involves separating the parotid gland and temporalis muscle, transecting the zygomatic arch, and removing the skull overlying the MCA.\(^9\) The MCA is occluded by electrocoagulation and additional transection, resulting in permanent occlusion,\(^9\) or alternatively by a microaneurysm clip, hooks (used to lift the MCA from the brain surface until blood flow is interrupted), ligatures, or photochemical MCAo in mice.\(^58\)-\(^61\) The second technique — the so-called three-vessel occlusion (3VO) model — involves the additional occlusion of both CCAs, which reduces the collateral blood flow and thus consolidates the ischemic damage.\(^62\) The infarct size varies depending on whether the MCA and CCAs are permanently or transiently occluded.\(^63\) Cerebral ischemia induced by this method compromises most of the frontal, parietal, temporal, and rostral occipital cortices, the underlying white matter, and a marginal part of the striatum.\(^59\) This technique avoids the thalamic, hypothalamic, hippocampal, and midbrain damage seen in the suture MCAo model. Compared to the suture MCAo model, this procedure induces smaller infarcts.

The main advantages of this model are good reproducibility in infarct size and neurologic deficits, low mortality, and visual confirmation of successful MCAo. Additionally, this technique allows subsequent reperfusion of ischemic tissue. The main disadvantage is the craniectionomy technique, which may lead to injury of the underlying cortex or rupture of a vessel by drilling or electrocoagulation. Furthermore, this procedure affects intracranial pressure and BBB function, and requires significant surgical skills to be performed. In order to overcome this limitation, Sugimori et al suggested inducing distal MCAo using a photochemical approach through the intact skull of the mouse.\(^61\)

**Photothrombosis model**

The photothrombotic stroke model is based on intravascular photo-oxidation, which leads to well-defined ischemic lesions in the cortex\(^64\) and modifications in the striatum.\(^65\) A photoactive dye (eg, Rose bengal, erythrosin B) is injected intraperitoneally (mice)\(^66\) or intravenously (rats),\(^64\) and the intact skull is irradiated within minutes by a light beam at a specific wavelength.\(^64\) This procedure generates oxygen radicals that lead to endothelial damage, platelet activation, and aggregation in both pial and intraparenchymal vessels within the irradiated area. This model is further characterized by rapid progression of ischemic cell death.\(^67\) The area of irradiation can be determined using stereotactical coordinates, which enable the cerebral ischemia to be induced in a desired region. Further advantages of the photothrombotic model are the minimal surgical intervention required, the high reproducibility of the lesion, and the low mortality. Disadvantages of this model are due to its end-arterial occlusive nature. The rapidly evolving ischemic damage and the endothelial injury at the same time are associated with early cytotoxic (intracellular) and simultaneous vasogenic (extracellular) edema formation;\(^68\) in addition, only a little or no ischemic penumbra and local collateral flow/reperfusion occur in this model. These pathomechanisms are different from those seen in human stroke, in which the cytotoxic edema is a hallmark of acute cerebral ischemia.\(^69\) However, modifications of photothrombosis-induced stroke have been developed, using a circular laser beam and modified irradiation parameters.\(^70\) The ring interior zone is characterized by a hypoperfusion and thus might mimic ischemic penumbra or “tissue at risk”,\(^71\) although there are some concerns regarding this concept.\(^27\) Recently, photothrombotic stroke models were modified to induce an infarct in the striatum\(^65\) or in the internal capsule of rats\(^72\) using a stereotactically implanted optic fiber. The aforementioned photothrombotic model is an example of white matter infarction and resulted in damage to the internal capsule that produced prolonged motor deficits.\(^72\) Recently, induction and imaging of photothrombotic stroke in conscious and freely moving rats has been demonstrated;\(^73\) this technique allowed real-time CBF monitoring without the influence of anesthetics. Photothrombotic ischemia has also been established in freely moving mice in order to investigate the link between the development of motor cortex ischemia and motor deficits.\(^74\)

Although the photothrombotic ischemic stroke model has some limitations, this procedure allows ongoing processes in the perilesional area and the contralateral cortex to be elucidated and helps to gain a better understanding of neuronal repair after stroke. Harrison et al have used the photothrombotic stroke model to study the functional organization of the sensorimotor cortex at multiple time points before and after stroke.\(^75\) They were able to demonstrate the feasibility of longitudinal sensorimotor mapping and characterized the spontaneous cortical reorganization that occurs in the absence of any intervention in mice. Such a detailed analysis
of pathogenetic processes would be more difficult with stroke models of large infarction with variable extension.

**Endothelin-1 model**

Another model of focal stroke is based on the application of endothelin-1 (ET-1). ET-1 is a potent and long-acting vasoconstrictive peptide. It can be applied directly onto the exposed MCA, as an intracerebral (stereotactic) injection, or onto the cortical surface, which leads to a dose-dependent ischemic lesion with marginal ischemic edema. The first two modes of delivery produce an ischemic lesion comparable to that induced by permanent MCAo, whereas a cortical injection provides a semicircular infarct that involves all cortical layers. The vasoconstrictive property of ET-1 was also used to establish a model of white matter ischemia in the internal capsule and anterior cerebral artery occlusion. The latter resulted in ischemic infarction of the medial prefrontal cortex and the anteromedial basal forebrain, and thus led to executive impairments. After ET-1 administration, a rapid CBF reduction (70%–90%) is seen, followed by a reperfusion that occurs over several hours. It should be noted that ET-1 is approximately four times more potent in conscious rats than in anesthetized rats. Advantages of the ET-1 model are the less invasive technique, the low mortality, as well as the possibility of inducing direct focal ischemia in deep and superficial brain regions. However, this model is limited by the fact that ET-1 receptors and ET-1-converting enzyme are also expressed by neurons and astrocytes. ET-1 has been shown to induce astrocytosis and facilitates axonal sprouting, which may interfere with the interpretation of neural repair experiments.

**Embolic stroke model**

Embolic stroke models can be divided into two categories: microsphere-/macrosphere-induced stroke models and thromboembolic clot models. The microsphere model involves spheres with a diameter of 20–50 µm of different materials such as dextran, supraparamagnetic iron oxide, TiO$_2$, and ceramic. The procedure involves inserting microspheres into the MCA or ICA via the ECA using a microcatheter, which are flushed passively into the cerebral circulation by the blood flow. The microsphere-induced stroke model results in multifocal and heterogeneous infarcts. The lesion size increases slowly up to 24 hours after injection. The size and dose of the injected microspheres can be used to regulate the extent and severity of the resulting lesions. The brain has good tolerance levels for microembolization in animals: showers of microemboli can be created in the rat cerebral vasculature, with development of only a few small areas of injury. The macrosphere model uses spheres with a diameter of 100–400 µm, which are instilled into the ICA. The intraarterial embolization of macrospheres provides reproducible occlusion of the MCA main stem, resulting in focal ischemic lesions that are comparable to the suture MCAo model. In contrast to the MCAo model, this technique preserves the blood supply to the hypothalamus and thus avoids hypothalamic infarctions and subsequent hyperthermia.

The thromboembolic clot model is based on the application of spontaneously formed clots or thrombin-induced clots from autologous blood. Another method of inducing clots is to inject thrombin directly into the intracranial segment of the ICA or into the MCA. This model mimics more closely the mechanism of vascular occlusion that is seen in a large proportion of human strokes and therefore allows the study of thrombolytic agents alone or combined with neuroprotective drugs, as well as thrombolytic processes such as the development of lesion size. The volume and localization of infarcts in the thromboembolic clot model depend on the size and elasticity of the clot. However, infarct volume in this model is smaller and more variable compared to an ischemic lesion due to suture MCAo. Within 1 hour after clot injection, reopened microvessels in the cortex may be found. By 3 hours, cortical vessels are observed; striatal vessels clear by 24 hours, probably due to endogenous thrombolysis, or thrombus extravasation. Thus, the composition and stability of the thromboembolic clots are important in avoiding spontaneous clot dissolution. Disintegration of clots and recanalization of occluded vessels depend on the concentration of thrombin and erythrocytes in the clots. Interestingly, the fibrinolytic systems in rats are tenfold less sensitive to rtPA than the human system, and thus seem to require a tenfold higher dose (10 mg/kg) of rtPA to achieve successful thrombolysis. However, recently published data provide evidence that a dose of 0.9 mg/kg rtPA is also appropriate for preclinical stroke studies in rodents. Furthermore, a dose of 10 mg/kg shows a loss of effectiveness beyond 4 hours after stroke, and increases infarct volume as well as hemorrhagic transformation. Intravascular installation of clots often leads to multifocal infarcts, with significant variability in lesion volume and localization; furthermore, a high degree of autolysis results in early and uncontrollable reperfusion. Therefore, this model is not suitable for validating neuroprotective effects. Additionally, the rate of cerebral hemorrhage and mortality is high. Previous analyses of clots induced by the thrombin injection model in mice have revealed that they are mainly composed of polymerized fibrin containing a low number...
of cells and platelets, and thus differ from human clots after thromboembolic stroke: approximately 75% of these clots demonstrate platelet/fibrin accumulation, neutrophil/monocyte deposition, and a significant accumulation of erythrocytes. It remains to be seen whether further improvements of the clot model might provide the opportunity to study more precisely the effect of reperfusion strategies in animal models.

**Conclusion**

In the last three decades, significant progress has been made in understanding the pathophysiology that underlies ischemic stroke. Several studies have shown that the ischemic cascade has many intervening and interlinking steps that have provided a number of potential drug targets, as discussed in Moskowitz et al. A large number of agents have revealed neuroprotective efficacy in preclinical studies but have failed to translate to efficacious therapies, irrespective of whether neuroprotective drugs were administered as a single agent or in combination. This is probably due to shortcomings in the design and conduct of both clinical and preclinical studies. This raises the question as to what extent the choice of animal stroke model might contribute to this failure. In the permanent MCAo model, the infarcted core expands into more peripheral regions supplied by the MCA and reaches its maximal size within 3 hours. This time is the therapeutic window, during which infarct expansion can be alleviated. In the transient MCAo model, recirculation results in an immediate reoxygegenation of the ischemic tissue and prompts recovery of energy metabolism in the infarcted core. However, after an interval of 6–12 hours, secondary delayed cell death develops in the region of primary energy failure, which can be alleviated by numerous interventions during the interval between primary and secondary tissue damage. In contrast, the therapeutic responsiveness of permanent focal stroke in humans declines significantly within 3 hours after stroke onset. Thus, a stroke model with prompt reperfusion (ie, a long therapeutic window) might be critical when attempting to translate these results achieved through this method to clinical studies. Although thrombolytic trials have always emphasized the importance of timing, there has rarely been the same emphasis in clinical trials of neuroprotection. This discrepancy is well illustrated by analysis of the animal and human study data for tirilazad, in which the median time to successful treatment in animal experiments was 10 minutes, whereas in unsuccessful human trials, it was approximately 5 hours. Another major problem of animal stroke models is that studies are mostly conducted in young animals without any comorbidity. These models differ from human stroke, which particularly affects elderly people who have a multiplicity of cerebrovascular risk factors. However, optimizing the study design of preclinical trials might increase the translational potential of animal stroke models.

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**Disclosure**

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