# Advances in the use of topical imiquimod to treat dermatologic disorders

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**Abstract:** Imiquimod (IQ) is an immune-response modifying agent, first approved by FDA for the topical treatment of external genital and perianal warts in 1997. It induces, through stimulation of Toll-like receptors (TLRs) localized on the surface of antigen-presenting cells, synthesis and release of several endogenous pro-inflammatory cytokines such as interferon- $\alpha$  (IFN- $\alpha$ ), tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) and interleukins (IL) 6 and 12, which in turn stimulate both the innate and acquired immune pathways, resulting in upregulation of natural antiviral and antitumor activity. IQ 5% cream has been used for the treatment of a wide variety of dermatologic conditions in which the immune system is thought to play a role in regression of the disease. In some disorders, such as genital and perianal warts, actinic keratoses, basal cell carcinomas, Bowen's disease and molluscum contagiosum, relative safety and efficacy are supported by randomized controlled trials of IQ. However, it is common for patients to experience local skin reactions, which can range from mild to severe in intensity, but usually resolve 1–2 weeks after interrupting treatment. Additional randomized trials are encouraged to assess safety and efficacy of IQ in the treatment of an even wider range of cutaneous disorders.

Keywords: imiquimod, immune response modifiers

# Introduction

Imiquimod (IQ) is a member of a class of immune-response modifying agents, first approved by FDA for the topical treatment of external genital and perianal warts in 1997.

The effect of IQ cream on immunity has suggested its possible use in the treatment of a wide variety of dermatologic conditions in which the immune system is thought to play a role in regression of the disease (Tyring et al 2002; Vender and Goldberg 2005).

In this review recent literature regarding mechanism of action, clinical applications and safety of IQ will be discussed.

# Mechanism of action

IQ (1-[2-methylpropyl]-1H-imidazo[4,5-c]quinolin-4-amine) is a synthetic imidazo-quinoline amine that enhances, through cytokine induction, both the innate and acquired immune pathways, resulting in immunomodulating, antiviral and antitumor effects.

The mechanism of action of IQ is mainly related to the binding and stimulation of Toll-like receptors (TLRs), that are located on the surface of antigen-presenting cells, and serve to recognize and defend against invading pathogens (Chang et al 2005; Ceilley and Del Rosso 2006; Patel et al 2006). IQ is a potent TLR-7 agonist which induces synthesis and release of several endogenous pro-inflammatory cytokines from Langerhans cells, monocytes/macrophages and dendritic cells. These include interferon- $\alpha$  (IFN- $\alpha$ ), tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) and interleukins (IL) 1, 6, 8, 10 and 12, which in turn activate and perpetuate cell-mediated immune responses primarily mediated by lymphocytes CD4+ and CD8+ (Sauder 2003; Schiller et al 2006). In particular, IQ stimulates natural killer cells of the innate immune system and activates T helper cell type 1 and cytotoxic T lymphocytes responsible for killing virus-infected and

Correspondence: Giuseppe Micali Clinica Dermatologica, Università di Catania, P.zza S. Agata La Vetere, 6, 95124 Catania, Italy Tel +39 095327320-327740 Fax +39 0957151067 Email cldermct@nti.it tumor cells, as well as establishing immunological memory (Garland 2003).

Immune-system induction is believed to be responsible for both antiviral and antitumor activity of IQ. However, recent studies have demonstrated that IQ may directly stimulate production of pro-apoptotic signalling. As a result, virus-infected keratinocytes and/or neoplastic cells are driven into apoptosis and eliminated. This process is mediated via death receptors, including Fas Receptor (FasR), a member of the tumor necrosis factor receptor family (Berman et al 2003). When FasR binds its ligand, namely Fas ligand (FasL), a cascade of events follows, including caspase activation, ultimately eventuating in cellular death. In a vehicle-controlled study, IQ induced expression of FasR in basal cell carcinoma (BCC) cells, leading to cell apoptosis. Normally, BCC cells fail to express FasR, thus preventing cell apoptosis via FasR-Fas ligand interaction (Berman et al 2003; Ceilley and Del Rosso 2006).

# Clinical trials

IQ has been reported to be safe and effective in the treatment of a number of skin disorders (Table 1); however, the clinical literature is replete with case reports, limited case series and open label trials. This review will focus on those conditions in which IQ efficacy is supported by at least one randomized controlled trial (RCT).

# External genital and perianal warts

IQ 5% cream is FDA approved for the treatment of external genital and perianal warts. Its efficacy in these disorders has been demonstrated in several RCTs (Beutner et al 1998; Edwards et al 1998; Perry and Lamb 1999; Garland et al 2001; Sauder et al 2003; Scheinfeld and Lehman 2006).

In the largest RCT (Edwards et al 1998), 311 patients 18 years of age and older with external genital/perianal warts were randomized to 3 arms (109:102:100) IQ 5% cream, IQ 1% cream or vehicle 3 times per week for a maximum of 16 weeks. Complete clearance (absence of lesions) was achieved in 50% of IQ 5% patients, 21% of IQ 1% patients and 11% of placebo patients. There was a significantly better complete clearance response in female patients compared to males (72% vs 33% respectively) among the IQ 5% group. The mean time for complete clearance ranged from 8 weeks for women to 12 weeks for men. Recurrence rate of at least 1 wart for patients treated with IQ 5% was 13% (12 weeks after treatment was stopped).

In an international open-label multicentre phase IIIB trial (Garland et al 2001) consisting of 943 patients from 114 clinic sites in 20 countries, IQ 5% cream was applied 3 times per

week for up to 16 weeks with an overall complete clearance rate of nearly 48%. Recurrence rate at 6-months follow up was 23%. This study also confirmed a better clinical outcome in females (65%) compared to males (44%). The authors have hypothesized that the different outcome might be related to more incomplete keratinization of vulvar epithelium compared to that of male genitalia (Tyring et al 2002). The semi-occlusive effect of the foreskin has also played a role in the higher clearance rates observed among uncircumcised compared to circumcised individuals (Tyring et al 2002).

In another RCT (Beutner et al 1998) IQ 5% cream was applied once daily for up to 16 weeks. Complete wart clearance occurred in 52% of patients, with 19% wart recurrence at a 12-week follow-up. These results are similar to those obtained with 3 applications/week (Edwards et al 1998; Garland et al 2001). Therefore, 3 times per week treatment schedule is recommended because of a relatively lower rate of side effects (Perry and Lamb 1999; Gupta et al 2004; Chang et al 2005; Scheinfeld and Lehman 2006).

Topical IQ appears to be less effective in immunosuppressed patients. In a RCT, 97 male HIV-positive patients with genital warts and receiving no antiretroviral therapy, were treated with either IQ or vehicle: the complete response rates were 11% and 6% respectively (Gilson et al 1999).

Although further trials are required to compare the efficacy of IQ to other therapies, available data suggest that its efficacy is similar to that of other treatment modalities, including intralesional interferon and podophyllotoxin, but with lower recurrence rates (Perry and Lamb 1999; Garland 2003).

# Actinic keratoses

IQ 5% cream is also FDA-approved for the treatment of actinic keratoses (AKs) of face and scalp in immunocompetent individuals and its efficacy has been demonstrated by several RCTs followed by three meta-analysis studies (Gupta et al 2005; Falagas et al 2006; Hadley et al 2006).

The first meta-analysis study (Hadley et al 2006) included 5 RCTs with a total of 1293 patients. Complete clearance occurred in 50% of patients treated with IQ 5% cream compared to 5% in the placebo group. The number needed to treat (representing the number of patients needed to be treated with IQ compared to vehicle to obtain one additional beneficial event) was 2.2, showing that IQ 5% cream is an effective short term therapy.

Another meta-analysis study (Falagas et al 2006) identified 4 RCTs including 1266 patients. In 2 studies IQ or vehicle cream was applied 3 times per week for 16 weeks, in one study applications were 3 times per week for 12 weeks,

### **Table I** Topical imiquimod in the treatment of dermatologic disorders

### Randomized clinical trials

Genital and perianal warts

Actinic keratosis

Basal cell carcinoma

Bowen's disease

Molluscum contagiosum

Leishmaniasis (plus antimonial agents) (Miranda-Verastegui et al 2005)

### Non-randomized clinical trials

Lentigo maligna (Naylor et al 2003)

Vulvar intraepithelial neoplasia (Le et al 2006)

Keloids (plus surgery) (Patel and Skinner 2006)

### Case series

Morphea (Dytoc et al 2005)

Actinic cheilitis (Smith et al 2002)

Common warts (Micali, Dall'Oglio et al 2003)

Mycosis fungoides (Deeths et al 2005)

### Case reports

Extramammary Paget disease (Cohen et al 2006)

Erythroplasia of Queyrat (Micali, Nasca et al 2003)

Bowenoid papulosis (Goorney and Polorni 2004)

Stucco keratosis (Stockfleth et al 2000)

Porokeratosis of Mibelli (Jain 2006)

Invasive SSC (Martin-Garcia 2005)

Verrucous carcinoma (Schalock et al 2006)

Metastatic melanoma (Wolf et al 2003)

Cutaneous T-Cell lymphoma (Didona et al 2004)

Granuloma annulare (Badavanis et al 2005)

Infantile hemangioma (Hazen et al 2005)

Genital herpes (Brummitt 2006)

Elastosis perforans serpiginosa (Kelly and Purcell 2006)

Pyogenic granuloma (Goldenberg et al 2006)

Langerhans cell hystiocytosis (Taverna et al 2006)

Lymphomatoid papulosis (Hughes 2006)

Angiolymphoid hyperplasia (Redondo et al 2004)

Orf (Erbagci, Erbagci et al 2005)

Hyalohyphomycosis (Erbagci, Tuncel et al 2005)

Foreign body reaction (Baumann and Halem 2003)

Trichilemmal carcinoma (Jo, Ko et al 2005)

Eccrine poroma (Jo, Chin et al 2005)

Lip papillomatosis (Rinne et al 2000)

Keratoacanthoma (Di Lernia et al 2004)

and in the fourth study, application rate was 2 times per week for 16 weeks. Meta-analysis for these studies showed complete clearance of AKs ranging from 45 to 84% in patients treated with IQ compared to 0%-7% in the vehicle group (p < 0.0001).

Most authors have observed that patients may experience an increase in the number of AKs within the treatment area at treatment initiation. This phenomenon is thought to be the result of the appearance of sub-clinical lesions rather than the formation of new ones (Falagas et al 2006). Thus IQ's ability to uncover and treat sub-clinical lesions may be considered an additional benefit of treatment with this drug (Falagas et al 2006). Moreover, clearance of AKs is more frequent in patients who developed intense erythema or other local reactions at the application site, suggesting that inflammation is part of the mechanism of action for topical IQ (Falagas et al 2006).

A third meta-analysis study (Gupta et al 2005) of 10 clinical trials (4 RCTs with topical IQ and 6 RCTs with topical fluorouracil) indicated that IQ may have relatively higher efficacy than topical fluorouracil for AKs located on the face and scalp. The mean efficacy rate for each drug (with 95% confidence interval) was 5-fluorouracil,  $52 \pm 18\%$  (n = 6 studies, 145 subjects) and IQ,  $70 \pm 12\%$  (n = 4 studies, 393 subjects).

Long-term effectiveness of topical IQ in the treatment of AKs remains to be determined; only one RCT consisted of "long-term" follow-up (25 patients with 1-year follow-up had 10% recurrence rate) (Stockfleth et al 2002). Moreover, optimal frequency of application and duration of treatment need to be elucidated by further studies.

# Basal cell carcinoma

Topical IQ 5% cream is FDA-approved for the treatment of small superficial BCCs.

A Cochrane Skin Group analysis of 7 RCTs demonstrated IQ to be effective and well-tolerated in the treatment of both superficial and nodular BCC (Figures 1 and 2), although response rates varied according to number of applications and BCC clinical type (superficial *vs* nodular) (Bath-Hextal et al 2006).

A multicenter 6-week dose-response trial (Marks et al 2001) on 99 patients with primary superficial BCCs comparing different application regimens of IQ 5% cream showed histological clearance rates of 100%, 88%, 73% and 70% for twice daily, once daily, 6 times weekly and 3 times weekly regimens, respectively. Another RCT of 128 patients (Geisse et al 2002) compared IQ in different dose regimens versus vehicle for superficial BCCs ranging in size between 0.5 and 2 cm² for 12 weeks. Clearance rates were respectively 100%,



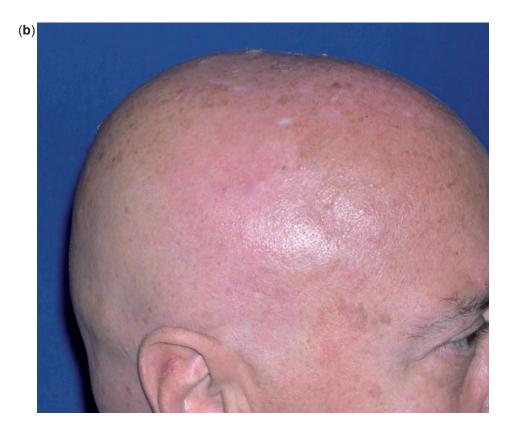


Figure I Superficial BCC of the scalp before (a) and after (b) 8 weeks of treatment with IQ 5% cream 5 applications/week: complete clearance.





Figure 2 Nodular BCC of the nose before (a) and after (b) 6 weeks of treatment with IQ 5% cream 5 applications/week: complete clearance.

87%, 81% and 52% for twice daily, once daily, 5 days per week and 3 days per week applications; clearance rate for vehicle was 19%.

A European multicenter study (Schulze et al 2005) of 166 subjects evaluated the clinical efficacy of IQ vs vehicle applied 7 times per weeks for 6 weeks: composite clearance (based on both clinical and histological clearance) was demonstrated in 77% and 6% of cases respectively (p < 0.001), while histological clearance occurred in 80% and 6% of cases, respectively (p < 0.001). However, in comparing 5 vs 7 applications per week for 6 weeks (Geisse et al 2004), there was no statistically significant or clinically meaningful difference in complete clearance rate between the 2 regimens (75% vs 73% composite clearance, based on both clinical and histological clearance). Therefore, the 5-times-per-week regimen is preferred, as it is able to provide a balance of efficacy and safety, with fewer treatment-emergent side effects.

Nodular BCCs are more difficult to treat with topical IQ, most likely because of the skin barrier effect and the deeper localization of tumor cells (Chang et al 2005). In a RCT of 92 patients (Shumack et al 2002), the rates of subjects having no histological evidence of BCC in post-treatment excision specimens were 75%, 76%, 70% and 60% after 12 weeks for twice daily, once daily, five days per week or three days per week respectively; treatment failure for vehicle was 87%. These results were confirmed by a 6-week, randomized, openlabel, dose-response study evaluating 4 dosing regimens on 99 patients, in which topical IQ application once daily for 7 days per week resulted in the highest clearance rate (71%) (Shumack et al 2002).

Further, two studies comparing the use of topical IQ 5% cream with and without occlusion in the treatment of superficial and nodular BCCs (Sterry et al 2002) showed no significant difference in early treatment failure for the two application modalities.

Finally, some case reports (no RCTs) have claimed positive responses to topical IQ 5% in large superficial BCCs (Shumack et al 2004; Micali et al 2005) and multiple BCCs as observed in Gorlin syndrome (Kagy and Amonette 2000; Micali, Lacarrubba, et al 2003).

Indications for the use of topical IQ in the treatment of BCCs are summarized in Table 2. Further long-term studies on the efficacy of topical IQ compared to surgery for BCCs are needed.

# Bowen's disease

A recent RCT has demonstrated the efficacy of topical IQ 5% cream in the treatment of Bowen's disease (Patel et al 2006). Thirty-one patients with biopsy-proven Bowen's disease were

### Table 2 Topical imiguimod in the treatment of BCCs: indications

- Extensive, and/or multifocal, and/or multiple tumours
- Cosmetically sensitive anatomic sites (face)
- History of hypertrophic scarring and/or keloids
- Surgical risk factors (eg, age, associated disorders)
- Psychological condition of the patient
- Patient refusal to undergo surgical procedures

randomly assigned to receive in a double-blind fashion either IQ 5% cream (Pt=15) or vehicle (Pt=16) daily for 16 weeks. At the end of the study, 9 of the 12 patients completing the study in the IQ group (75%) showed clinical and histological resolution, with no relapse during a 9-month follow-up period, while no improvement was recorded in the placebo group (p < 0.001). The authors concluded that the lack of response in the 3 patients treated with IQ was associated with the presence of thick, hyperkeratotic lesions. The results from this single RCT are in agreement with several case reports and non-randomized clinical trials (Mackenzie-Wood et al 2001; Smith et al 2001), but further studies are required.

# Molluscum contagiosum

The efficacy of topical IQ 5% cream in childhood molluscum contagiosum has been evaluated in a double-blind RCT (Theos et al 2004). Twenty-three children (age 1–9 years) were randomized to receive either IQ cream 5% (Pt = 12) or vehicle (Pt = 11) 3 times a week for 12 weeks. At the end of the study partial clinical clearance ( $\geq$  30% clearance of lesions) was noted in 67% of IQ patients vs 18% of controls; also, complete clinical clearance was noted in 33.3% vs 9.1% of patients, respectively. The mean percentage change in lesion count at week 12 was –46% in the IQ group and +27% in the vehicle group.

Further, two case series have reported some positive outcomes with topical IQ in treating molluscum contagiosum in both children and adults (Bayerl et al 2003; Hengge and Cusini 2003).

# **Safety**

In all the RCTs examined in this review topical IQ 5% cream has demonstrated an acceptable safety profile.

During treatment with topical IQ it is common for patients to experience local skin reactions that range from mild to severe, and may extend beyond the application site onto the surrounding skin. Such reactions include burning, pruritus, pain, tenderness, erythema, oedema, vesicles, erosions, ulcerations, excoriations, exudation and crusting (Figures 3–4). In cases of acute reactions temporary





Figure 3 Local skin reactions during treatment with IQ 5% cream: marked erythema (a), erosion, exudation, and crusting (b).





Figure 4 Local skin reactions during treatment with IQ 5% cream: crusting (a), marked erythema, erosion, and exudation (b).

discontinuation (generally 1–2 weeks) and application of cold compresses is suggested. Superficial scarring, pigmentary changes, and, rarely, induction of other dermatoses (psoriasis, pemphigus foliaceus, aphthosis, vitiligo, angioedema, eruptive epidermoid cysts) have also been reported (Barton 2004; Gilliet et al 2004; Brown et al 2005; Marty et al 2005; Mashiah and Brenner 2005; Zalaudek et al 2005).

Systemic absorption appears to be minimal and influenza-like or gastrointestinal symptoms (fatigue, fever and chills, arthralgias, myalgias, nausea, diarrhea) or induction of non-dermatologic disorders (chronic neuropathic pain, autoimmune spondyloarthropathy) are rare (Benson 2004; Chang et al 2005; Yi et al 2005).

Exposure to sunlight (including sunlamps) should be avoided or minimized during use of topical IQ because of concern for heightened sunburn susceptibility, therefore the use of sunscreen might be encouraged.

Safety of topical IQ during pregnancy is not established and thus its use is contraindicated. Animal studies did not show any evidence of teratogenicity or fetotoxicity. However, contraception is recommended for women of childbearing age using topical IQ (Scheinfeld and Lehman 2006).

# **Conclusions**

IQ is a topically active immunomodulatory agent that is currently available as a 5% cream in single-use sachets for the treatment of some viral and non-viral conditions. It is generally applied sparingly at bedtime from 3 to 5 times per week and washed off in the morning (Chang et al 2005; Scheinfeld and Lehman 2006). For AKs and superficial BCCs, it may be possible to reduce treatment cost by repeated use of a sachet, which is not advised when treating genital warts because of the potential risk of transferring infectious material into the sachet (Patel et al 2006).

The use of IQ in dermatologic disorders presents some advantages: the cream is self-applied by the patient, compared to other therapeutic strategies, avoiding more costly and painful procedures (Perry and Lamb 1999; Bath-Hextal et al 2006); in addition, it provides a non-scarring cosmetic outcome, when compared to surgical procedures. Also, side effects are usually localized to the treatment site and resolve after treatment cessation.

Because of the potential properties of topical IQ, additional RCTs are encouraged to assess its efficacy in the treatment of other cutaneous disorders. Moreover, as the relatively reduced response rate in patients treated with topical IQ is apparently associated with fully keratinized skin, the use of adjuvant therapies to decrease the stratum corneum/epidermis

barrier and improve drug penetration and efficacy warrant further investigation (Patel et al 2006).

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