## **Clinical Interventions in Aging**

#### 8 Open Access Full Text Article

#### ORIGINAL RESEARCH

Causes and predictors of hospital readmissions in patients older than 65 years hospitalized for heart failure with preserved left ventricular ejection fraction in western Romania

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Background: Heart failure with preserved ejection fraction (HFpEF) is more frequent in the elderly and is associated with important economic implications because of repetitive and prolonged hospitalizations, due to both cardiovascular and noncardiovascular causes. Purpose: To identify the causes, as well as the clinical and biological markers, that could be used as predictors of hospital readmissions in HFpEF patients aged  $\geq 65$  years.

Patients and methods: Consecutive eligible patients hospitalized for a first heart failure (HF) episode were prospectively included and divided into one of two age groups (elderly:  $\geq$ 65 years; and nonelderly: <65 years). The clinical features, therapeutic approaches, and clinical outcomes during the 1-year follow-up period were analyzed.

**Results:** A total of 178 patients were included, with a mean age of  $64.6\pm8.6$  years; 80 (45%) were women. A total of 98 patients (55%) were aged  $\geq$ 65 years, and 80 (45%) were aged <65 years. In the group aged  $\geq 65$  years, 58 patients (59%) were women, while in the group aged <65 years, 22 patients (28%) were women (P=0.0001). During the 1-year follow-up, no patients died or were lost to follow-up. Moreover, 116 (65%) of the HFpEF patients experienced hospital readmissions. The elderly patients had a significantly higher readmission rate (73% vs 55%, respectively; P<0.02); readmissions due to aggravated HF were significantly more frequent in this age group (41% vs 18%, respectively; P<0.002). Multivariate logistic regression analysis indicated that the independent predictors of readmission due to HF aggravation included plasma levels of brain natriuretic peptide >450 pg/mL (P<0.01) and N-terminal-pro-brain natriuretic peptide >477 pg/mL (P<0.02) in the elderly group, while in the nonelderly group, the independent predictors of this outcome were a New York Heart Association functional class of IV at initial hospitalization (P < 0.04), as well as plasma levels of brain natriuretic peptide >390 pg/mL (P=0.03) and tumor necrosis factor (TNF)- $\alpha$ >7.1 pg/mL (P<0.001). Readmissions due to noncardiovascular causes were independently predicted by plasma levels of TNF- $\alpha$  >10 pg/mL in the elderly (P=0.003) and of interleukin (IL)-6 >1.9 pg/mL in the nonelderly (P < 0.04).

**Conclusion:** We conclude that in HFpEF patients aged  $\geq 65$  years, the main cause of rehospitalization during the 1-year follow-up was HF aggravation. The risk of this outcome was independently predicted by increased levels of cardiac peptides, while the risk of noncardiovascular readmissions was predicted by increased levels of inflammatory biomarkers. Increased TNF-a levels predicted both cardiovascular and noncardiovascular readmissions, while increased levels of high-sensitivity C-reactive protein did not predict any of these outcomes in our study.

Keywords: elderly, heart failure with preserved left ventricular ejection fraction, hospital readmissions

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# Introduction

Heart failure (HF) is a pathological entity with an increasing incidence in the general population, due to increasing life expectancy. It represents the final stage of cardiovascular diseases and has major social and economic impacts.<sup>1</sup> The number of hospitalizations for HF is increasing, especially in the elderly.<sup>2</sup> The emergence of new therapeutic strategies in HF has led to significant improvements in cardiovascular morbidity and mortality in HF patients with reduced left ventricular ejection fraction (HFREF).<sup>1</sup>

Although HF with preserved left ventricular ejection fraction (HFpEF) has an incidence that is comparable to that of HFREF, there were no significant improvements in the prognosis of the latter. This differential response to therapy suggests that HFpEF and HFREF are two distinct entities with fundamentally different pathophysiologies.<sup>3</sup> It is known that HFpEF patients are older and present with a greater number of comorbidities. Paulus and Tschope propose a new theoretical framework for understanding HFpEF, which considers that not afterload excess, but inflammation associated with comorbidities (obesity, hypertension, diabetes mellitus [DM], chronic obstructive pulmonary disease [COPD], anemia, and chronic kidney disease [CKD]), plays a central role in the development of ventricular hypertrophy and diastolic dysfunction.<sup>4</sup> A better understanding of the interrelationships between the causes and comorbidities of HFpEF could allow for the development of better therapies, both for the structural aspects of heart disease and for its comorbidities, in order to decrease the burden of frequent hospital readmissions in HFpEF patients.2,5

The aim of the present study was to identify the causes and predictors of hospital readmissions in HFpEF patients aged  $\geq 65$  years.

# Patients and methods

# Patient selection

The study population consisted of adult patients (aged  $\geq$ 18 years) admitted to the Cardiology Clinic of Timisoara City Hospital, from January 1, 2013 to December 31, 2013, with a first episode of HFpEF. All eligible patients were prospectively included in the study. The inclusion criteria were as follows: left ventricular ejection fraction (LVEF)  $\geq$ 45% (two-dimensional echocardiography: Simpson's method); E/E'  $\geq$ 15 (Doppler imaging of the tissues); and brain natriuretic peptide (BNP) levels >150 pg/mL.<sup>6,7</sup>

The exclusion criteria were as follows: acute coronary syndrome within the last 30 days; acute myocarditis; acute

pericarditis; acute pulmonary thromboembolism; pacemaker implant; and the need for cardiovascular surgery.

The patients were divided into one of the two groups according to age at admission (elderly: aged  $\geq 65$  years; nonelderly: aged < 65 years).

## Data extraction

Baseline data were extracted from hospital records and included age, sex, New York Heart Association (NYHA) functional class on admission, the primary cause of HF, laboratory data, 12-leads resting electrocardiogram, echocardiographic data, and medical history. Medical history included data regarding smoking, loneliness, obesity, coronary artery disease (CAD), old myocardial infarction (MI), hypertension, valvular disease, peripheral artery disease, DM, COPD, CKD, a history of stroke, and malignancy. The serum biomarkers determined at inclusion were BNP and N-terminal (NT)-proBNP, which served as markers of left ventricular dysfunction. Tumor necrosis factor (TNF)- $\alpha$ , interleukin (IL)-6, and high-sensitivity C-reactive protein (hs-CRP) were markers of systemic inflammation. Other laboratory data collected included: blood cell count; serum hemoglobin; serum glucose; serum creatinine; estimated glomerular filtration rate at admission calculated using the simplified Modification of Diet in Renal Disease formula;7 serum electrolytes; and lipidogram. Medical treatment records were completed at discharge and at 1-year follow-up. Prescription of the main therapeutic classes in HF was recorded.

## Definition of covariates

A diagnosis of HFpEF was made based on symptoms, physical signs, chest radiography, echocardiography, and natriuretic peptide values on admission, according to the European Society of Cardiology guidelines for the diagnosis of HF.<sup>8</sup>

Ischemic etiology of HF was considered in patients with a history of CAD, documented MI, or angina.<sup>9</sup>

A patient was considered to have hypertension in the presence of high blood pressure (BP) during hospitalization ( $\geq$ 140/90 mmHg), a previous diagnosis of hypertension, or normal BP with ongoing antihypertensive therapy.<sup>10</sup> Valvulopathies were identified by history, physical examination, and echocardiographic data.<sup>11</sup> Peripheral artery disease diagnosis was based on the patient's history, physical examination, ankle-brachial index, and duplex ultrasound.<sup>12</sup> CKD was diagnosed in the presence of a Modification of Diet in Renal Disease estimated glomerular filtration rate <60 mL/min/1.73 m<sup>2.8</sup> Metabolic syndrome (MS) was defined in

accordance to the International Diabetes Federation's (IDF) criteria, ie, central obesity (waist circumference >94 cm for men; >80 cm for women) or a body mass index >30 kg/m<sup>2</sup>, and any two of the following: raised triglycerides, >150 mg/dL (1.7 mmol/L), or specific treatment for this lipid abnormality; reduced high-density lipoprotein cholesterol, <40 mg/dL (1.03 mmol/L) in males, <50 mg/dL (1.29 mmol/L) in females, or specific treatment for this lipid abnormality; raised BP: systolic BP >130 mmHg and/or diastolic BP > 85 mmHg, or the treatment of previously diagnosed hypertension; raised fasting plasma glucose, >100 mg/dL (5.6 mmol/L), or previously diagnosed type 2 diabetes.<sup>13</sup> DM was diagnosed according to World Health Organization (WHO)/IDF, and included the presence of any one of the following: glycated hemoglobin (HbA<sub>1c</sub>)  $\geq$  6.5%; fasting plasma glucose level  $\geq$  7.0 mmol/L (126 mg/dL); or a plasma glucose level  $\geq 11 \text{ mmol/L} (200 \text{ mg/dL}) 2 \text{ hours after 75 g}$ of oral glucose load.<sup>14</sup> Obesity was defined as a body mass index  $\geq$  30 kg/m<sup>2</sup>.<sup>14</sup> We used the WHO's criterion for anemia in adults (an hemoglobin value of <12.5 g/dL).<sup>15</sup>

COPD diagnosis was based on Global Strategy for Diagnosis, Management, and Prevention of Chronic Obstructive Lung Disease (GOLD) criteria<sup>16</sup> and they included patients with a history of dyspnea that was persistent and worsening over time, associated with chronic cough or sputum production, and a history of exposure to risk factors for the disease, especially cigarette smoking. The diagnosis of COPD was confirmed by spirometry, showing a persistent airflow limitation.

An echocardiographic evaluation was performed within the first 24 hours of hospitalization using a VIVID S5 echocardiograph. Mono- and two-dimensional imaging, pulsed and continuous Doppler, as well as tissue Doppler imaging were performed in all patients. LVEF was calculated using Simpson's method. After assessing the antegrade mitral flow and tissue Doppler parameters, we determined the E/E' ratio.<sup>17</sup>

BNP was measured using a fluorescence immunoassay kit (Triage<sup>®</sup>; Biosite Incorporated, San Diego, CA, USA). The measurable range of BNP assays was 5.0–5,000 pg/mL. The day before discharge, patients completed the Minnesota Living with Heart Failure Questionnaire (MLHFQ).<sup>18</sup> An MLHFQ score <40 was interpreted as a slight impairment, a score of 40–70 was considered as moderate, while a score >70 was interpreted as a severely impaired quality of life. In order to obtain further information on the patient's mental status, we asked patients to complete the Fahrenberg scale, a questionnaire that assesses the risk of depression.<sup>19</sup>

It contains 14 items, with two response options, "zero" meaning absent and "one" meaning present. There is an increased risk for depression when the global score is >7. On the same day that the questionnaires were completed, an exercise test was performed, using the 6-minute walk test (6MWT).<sup>20</sup> The test was performed in the morning after fasting, with drug therapy administered as planned before this test, and without previous training.

#### Follow-up and outcomes

Hospital readmissions and deaths were assessed as adverse outcomes. On discharge, the patients and their families were asked to notify the attending physician as soon as possible in case of health problems requiring emergency medical services or hospitalization. Patients were contacted by telephone 6 months after enrollment to provide information regarding the progress of HF. In the case of hospital admissions, additional information was requested regarding the diagnosis on admission and changes in the treatment plan.

One year after enrollment, the patients were reevaluated by clinical examination and laboratory tests, which included the determination of BNP, NT-proBNP, IL-6, hs-CRP, and TNF- $\alpha$  levels. Patients completed the MLHFQ and Fahrenberg scales. Exercise capacity was reassessed by 6MWT. At the same time, the patients were requested to provide their medical information; moreover, documentation on their health evolution, from the time of enrollment in the study until the end of the follow-up period, was completed.

All causes of readmission were registered during the follow-up period of 1 year. The causes of readmission were assessed by the documentation of hospital records. Cardiovascular readmissions were divided into readmissions due to worsening HF and other cardiovascular causes. Worsening HF readmissions were considered as those who had an admission diagnosis of acute pulmonary edema, cardiogenic shock, decompensated cardiac failure, or those who required intravenous administration of furosemide  $\geq 2$ vials at admission. The symptoms of worsening HF had to include at least one of the following: progressive exercise dyspnea and/or fatigue; orthopnea; or paroxysmal nocturnal dyspnea. In addition, at least two of the following signs also had to be present: leg edema; rapid increase in weight by fluid retention; jugular veins distension; hepatomegaly; pulmonary rales; pulmonary stasis on chest radiography; a third heart sound; or an increase in BNP or NT-proBNP level. Readmissions due to other cardiovascular causes included hospitalizations due to atrial fibrillation, hypertensive crisis, acute coronary syndrome, pulmonary embolism, stroke, or acute peripheral ischemia. Other causes of readmissions were classified as noncardiovascular readmissions. No patients died during the study.

## Statistical analysis

Data were collected and analyzed using the MedCalc 12.3.0.0 statistical software for Windows. Data are given as the mean  $\pm 1$  standard deviation when normally distributed, as the median and interquartile range when the distribution was not normal or skewed, and as frequencies and percentages for categorical variables. The associations between baseline variables were evaluated by means of one-way analysis of variance, the Mann-Whitney test, and the chi-square or Fisher's exact test, as appropriate. The association of variables with follow-up outcomes was analyzed by calculating the odds ratio (OR) together with the confidence intervals in univariate logistic regression analysis. Multivariate analysis included the significant independent variables at univariate analysis. For the independent predictors identified by multivariate stepwise logistical regression, a receiver operating characteristic (ROC) analysis was performed. The covariates that were considered to have a potential prognostic impact included age; sex; loneliness; NYHA functional class; ischemic etiology of HF; a history of hypertension, CAD, old MI, atrial fibrillation, heart rate at admission, peripheral artery disease, DM, obesity, MS, CKD, COPD, cancer, stroke, degenerative osteoarthritis, or anemia; quality of life with HF assessed by the MLHFQ; a risk of depression evaluated by Fahrenberg scale; the values of natriuretic peptides BNP and NT-proBNP; as well as the levels of inflammatory markers hs-CRP, IL-6, and TNF-α. A *P*-value <0.05 was considered as the threshold for statistical significance. All P-values are the results of two-tailed tests.

## Ethics

The study was advised by the ethics commission at our hospital. Before enrollment, all patients provided their signed informed consent for participation in the study, according to the Human Rights Declaration of Helsinki. Before obtaining consent, the patient was given sufficient time and opportunity to inquire about the details of the study and he or she decided whether or not to participate in the study.

## Results

The study population included 178 patients, 45% of which were women. The mean age was  $64\pm 8$  years. Of the 178 patients, 98 (55%) were aged  $\geq 65$  years; of those in this age group, 58 (59%) were women; conversely, 80 (45%)

were aged <65 years, and 22 (28%) of these patients were women. All patients were hospitalized with a first diagnosis of HF. The average length of stay was  $7\pm3$  days. The demographic, clinical, and biological data of the patients are listed in Table 1. The comorbidities included: hypertension in 136 (76%), CAD in 108 (61%), DM in 57 (32%), MS in 102 (57%), CKD in 87 (49%), COPD in 44 (25%), obesity in 85 (48%), peripheral artery disease in 26 (15%), stroke in eleven (6%), anemia in 30 (17%), degenerative osteoarthritis in 56 (31%), and cancer history in four (2%) patients. The treatment of patients consisted of beta blockers in 152 (85%), angiotensin-converting enzyme (ACE) inhibitors in 101 (55%), angiotensin receptor blockers (ARBs) in 51 (29%), ivabradine in 70 (39%), diuretics in 129 (72%), and statins in 114 (64%) patients. The elderly patients who were admitted with HFpEF were, when compared to those aged <65 years, significantly more likely to be women (P=0.0001), and they were also more likely to have a NYHA functional class of IV  $(P \le 0.001)$ . These patients had a higher prevalence of hypertension (P<0.0001), obesity (P=0.0002), MS (P<0.0001), and CKD (P < 0.0001). The elderly patients had lower heart rate values at admission (P=0.001), and they were more likely to present with atrial fibrillation (P=0.0001). They most often received treatment with diuretics (P < 0.0001). The values of the inflammatory markers were significantly higher in the elderly (P < 0.0001 for TNF- $\alpha$  and hs-CRP; P=0.0001 for IL-6), while the values of the natriuretic peptides were not significantly different when compared to that in the nonelderly HFpEF patients. The elderly patients had, at baseline, a significantly poorer quality of life (P=0.005), a higher risk for depression (P=0.002), and a poorer 6MWT score (P=0.029) (Table 1).

None of the patients enrolled in the study died during the initial hospitalization or during the follow-up period. No patients were lost to follow-up. At the 1-year evaluation, the values of the cardiac peptides BNP and NT-proBNP did not differ significantly between the two age groups of HF patients. The values of the inflammatory biomarkers were significantly higher in the elderly group (P < 0.0001 for TNF- $\alpha$  and hs-CRP; P=0.0001 for IL-6). Quality of life, as assessed by the MLHFQ, was similar, although the elderly patients had a significantly shorter distance walked on the 6MWT (P < 0.03) and a significantly higher risk for depression (P < 0.003), as shown in Table 2.

During the 1-year follow-up, 116 (65%) patients were hospitalized. Of the readmissions, 54 (30%) were caused by HF aggravation, 35 (20%) had other cardiovascular causes, and 27 (15%) had noncardiovascular causes. In the elderly

 Table I Differences between HFpEF patients according to age, at baseline

Characteristics	Age $\geq$ 65 years (n=98)	Age <65 years (n=80)	<b>P-value</b> 0.0001*
Women	58 (59)	22 (28)	
Lonely	45 (46)	30 (38)	0.3566
Primary etiology of HF			
Ischemic	20 (22)	27 (34)	0.1059
Non-ischemic	76 (78)	53 (66)	0.1059
NYHA class			
III	20 (21)	47 (46)	0.0007*
IV	78 (79)	33 (54)	0.0007*
Hypertension	86 (88)	50 (63)	<0.0001*
CAD	54 (55)	54 (80)	0.0005*
Old MI	23 (24)	16 (20)	0.6473
Atrial fibrillation	50 (52)	20 (26)	0.0001*
Heart rate at admission (bpm)	75±16	83±17	0.0015*
DM	33 (34)	24 (30)	0.2498
Metabolic syndrome	70 (72)	32 (40)	<0.0001*
Obesity	60 (61)	25 (32)	0.0002*
CKD	62 (63)	25 (31)	<0.0001*
COPD	27 (28)	17 (21)	0.3678
Peripheral artery disease	17 (16)	9 (12)	0.5860
Anemia	19 (20)	( 4)	0.3934
Degenerative osteoarthritis	34 (35)	22 (28)	0.4035
History of stroke	7 (7)	4 (5)	0.8105
History of cancer	3 (3)	$\Gamma(\mathbf{I})$	0.689
ACE-I	48 (49)	49 (62)	0.1136
ARBs	34 (35)	17 (21)	0.0591
Beta blockers	86 (88)	66 (83)	0.4631
Ivabradine	37 (38)	33 (42)	0.6977
Furosemide	84 (86)	45 (57)	<0.0001*
Spironolactone	84 (86)	45 (57)	<0.0001*
Statins	52 (53)	62 (78)	0.0010*
BNP (pg/mL)	428 (312–522)	390 (301–547)	0.6672
NT-proBNP (pg/mL)	730 (376–973)	485 (322–885)	0.2324
TNF- $\alpha$ (pg/mL)	10.3 (9.0–13.0)	7.2 (5.8–12.0)	<0.0001*
IL-6 (pg/mL)	4.5 (1.8–5.4)	2.2 (1.5–3.0)	0.0001*
hs-CRP (mg/L)	5.8 (3.2–18.5)	2.5 (1.2–2.9)	<0.0001*
MLHFQ score	48 (44–53)	42 (33–64)	0.0052*
6MWT (m)	255 (165–282)	259 (220–319)	0.0293*
Fahrenberg scale score	8.0 (7.0–10.0)	7.0 (6.0–9.0)	0.0024*

**Notes:** Categorical variables are presented as number (%). Continuous data are presented as mean  $\pm 1$  standard deviation when normally distributed and as median (interquartile range) when skewed. \*P-value <0.05.

Abbreviations: HFpEF, heart failure with preserved ejection fraction; HF, heart failure; NYHA, New York Heart Association; CAD, coronary artery disease; bpm, beats per minute; MI, myocardial infarction; DM, diabetes mellitus; CKD, chronic kidney disease; COPD, chronic obstructive pulmonary disease; ACE-I, angiotensin-converting enzyme inhibitors; ARBs, angiotensin receptor blockers; BNP, brain natriuretic peptide; NT-proBNP, N-terminal-pro-brain natriuretic peptide; TNF, tumor necrosis factor; IL, interleukin; hs-CRP, high-sensitivity C-reactive protein; MLHFQ, Minnesota living with heart failure questionnaire; 6MWT, 6-minute walk test.

group, 72 patients (73%) were hospitalized: 40 (41%) due to HF aggravation; 12 (12%) due to other cardiovascular causes; and 20 (20%) due to noncardiovascular causes. In the nonelderly group, 44 (55%) of the patients were hospitalized during the 1-year follow-up: 14 (18%) due to HF aggravation; 23 (28%) due to other cardiovascular causes; and seven (9%) due to noncardiovascular causes (Table 2).

The total readmission rate was significantly higher in the elderly group (P < 0.02). Readmissions due to aggravated HF were significantly more frequent among the elderly patients

(41% vs 18%, respectively; P < 0.002), while readmissions due to other cardiovascular causes were more frequent in the nonelderly patients (28% vs 12%, respectively; P < 0.02). Readmissions due to noncardiovascular causes were slightly higher in the elderly group (20% vs 9%, respectively; P=0.06), as shown in Table 2.

Using the multivariate stepwise logistic regression analysis (Table 3), we found that the variables independently associated with readmissions during the 1-year follow-up in the elderly HFpEF patients were: a BNP level >450 pg/mL (sensitivity

Characteristics	Age $\geq$ 65 years (n=98)	Age <65 years (n=80)	<b>P-value</b> 0.0190*	
Total readmissions	72 (73)	44 (55)		
Heart failure aggravation	40 (41)	14 (18)	0.0016*	
Other cardiovascular reasons	12 (12)	23 (28)		
Noncardiovascular readmissions	20 (20)	7 (9)		
BNP (pg/mL)	345 (214–598)	245 (267–435)	0.425	
NT-proBNP (pg/mL)	753 (379–929)	485 (322–973)	0.406	
TNF- $\alpha$ (pg/mL)	9.1 (7.6–13.0) 6.2 (4.8–11.0)		<0.0001*	
IL-6 (pg/mL)	4.0 (1.9–5.4)	2.2 (1.5–3.0)	0.0001*	
hs-CRP (mg/L)	6.6 (3.7–22.2)	2.5 (1.0–2.9)		
MLHFQ score	46 (39–71)	42 (33–64.5)	0.0697	
6MWT (m)	255 (185–282)	259 (220–319)	0.0293*	
Fahrenberg scale score	8.0 (7.0–10.0)	7.0 (6.0–9.0)	0.0024*	

Notes: Categorical variables are presented as number (%). Continuous data are presented as mean  $\pm 1$  standard deviation when normally distributed and as median (interquartile range) when skewed. \*P-value <0.05.

Abbreviations: HFpEF, heart failure with preserved ejection fraction; BNP, brain natriuretic peptide; NT-proBNP, N-terminal-pro-brain natriuretic peptide; TNF, tumor necrosis factor; IL, interleukin; hs-CRP, high-sensitivity C-reactive protein; MLHFQ, Minnesota living with heart failure questionnaire; 6MWT, 6-minute walk test.

Readmissions	Odds ratio	Standard error	P-value	95% CI
Readmissions in HFpEF aged $\geq$ 65 year	'S			
BNP >450 pg/mL (yes vs no)	0.891	0.047	<0.0001	0.767–0.963
Fahrenberg score $>$ 7.4 (yes vs no)	0.713	0.074	0.002	0.565–0.835
6MWT ≤248 m (yes vs no)	0.712	0.087	0.009	0.564–0.834
TNF- $\alpha \ge$ 10.1 pg/mL (yes vs no)	0.648	0.085	0.027	0.497–0.780
Readmissions in HFpEF aged <65 year	S			
NYHA class IV (yes vs no)	0.038	1.486	0.027	0.002-0.698
CAD (yes vs no)	2.931	0.517	0.037	1.063-8.079
DM (yes vs no)	5.044	0.536	0.002	1.764–14.423
CKD (yes vs no)	0.703	0.086	0.034	0.502-0.806
Fahrenberg score $>$ 7.4 (yes vs no)	0.584	0.229	0.005	0.339–0.832
IL-6 $\geq$ 2.5 pg/mL (yes vs no)	2.069	0.250	0.003	1.266–3.38
TNF- $lpha$ $>$ 7.1 pg/mL (yes vs no)	1.584	0.138	<0.001	1.207-2.078
Readmissions due to cardiovascular ca	uses in the elderly HFpE	F patients		
NT-proBNP >458 pg/mL (yes vs no)	1.002	0.001	0.001	1.001-1.004
Fahrenberg score $>$ 7.4 (yes vs no)	1.444	1.346	0.006	1.109–1.880
Readmissions due to HF aggravation in	n the elderly HFpEF pati	ents		
BNP >450 pg/mL (yes vs no)	1.004	0.001	0.007	1.001-1.007
NT-proBNP >477 pg/mL (yes vs no)	1.002	0.008	0.013	1.004-1.003
Readmissions due to HF aggravation in	the nonelderly HFpEF	patients		
BNP >390 pg/mL (yes vs no)	1.002	0.001	0.030	1.003-1.005
TNF- $lpha$ $>$ 7.1 pg/mL (yes vs no)	1.371	0.082	<0.001	1.167–1.610
Readmissions due to other cardiovascu	lar causes in nonelderly	HFpEF patients		
MLHFQ score $>$ 46 (yes vs no)	2.705	0.448	0.041	1.038–7.050
IL-6 >2.1 pg/mL (yes vs no)	0.537	0.313	0.047	0.290-0.993
Readmissions due to noncardiovascula	r causes in the elderly			
TNF- $lpha$ $>$ 10 pg/mL (yes vs no)	1.300	0.888	0.003	1.092-1.547
Readmissions due to noncardiovascula	r causes in the nonelder	ly		
IL-6 >1.9 pg/mL (yes vs no)	0.274	0.627	0.039	0.080-0.940

**Table 3** Predictors for readmissions in HFpEF patients

Abbreviations: HFpEF, heart failure with preserved ejection fraction; BNP, brain natriuretic peptide; 6MWT, 6-minute walk test; TNF, tumor necrosis factor; NYHA, New York Heart Association; CAD, coronary artery disease; DM, diabetes mellitus; CKD, chronic kidney disease; IL, interleukin; NT-proBNP, N-terminal-pro-brain natriuretic peptide; HF, heart failure; MLHFQ, Minnesota living with heart failure questionnaire; CI, confidence interval.

of 73%; specificity of 66%); a TNF- $\alpha$  level  $\geq 10.1$  pg/mL (sensitivity of 64%; specificity of 52%); a risk for depression score >7.4 (sensitivity of 62%; specificity of 59%); and a distance on the 6MWT of  $\leq$ 248 m (sensitivity of 68%; specificity of 64%). The ROC curves of these parameters are presented in Figure 1.

In the nonelderly HFpEF patients, readmissions during the 1-year follow-up period were independently predicted by the following variables: a NYHA functional class of IV at baseline hospitalization; the presence of CAD, DM, or CKD; a risk for depression score  $\geq$ 7.4 (sensitivity of 76%; specificity of 50%); an IL-6 level  $\geq$ 2.5 pg/mL (sensitivity of 67%; specificity of 30%); and a TNF- $\alpha$  level >7.1 pg/mL (sensitivity of 58%; specificity of 61%), (area under the curve =0.670). The ROC curves of these parameters are illustrated in Figure 2.

Readmissions due to cardiovascular causes in the elderly were independently predicted by NT-proBNP levels >458 pg/mL (sensitivity of 74%; specificity of 71%) and by a risk for depression score  $\geq$ 7.4 (sensitivity of 47%; specificity of 58%) (Figure 3), while in the nonelderly no independent predictors were retained in the model.

The independent variables predicting readmissions due to HF aggravation in the elderly were a BNP level >450 pg/mL (sensitivity of 73%; specificity of 66%) and an NT-proBNP level >477 pg/mL (sensitivity of 68%; specificity of 60%). The ROC curves of these variables are shown in Figure 4. In the

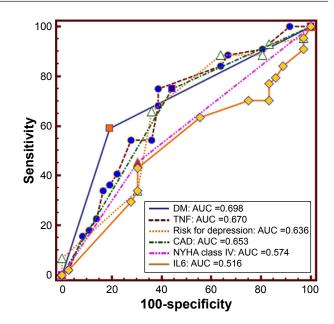
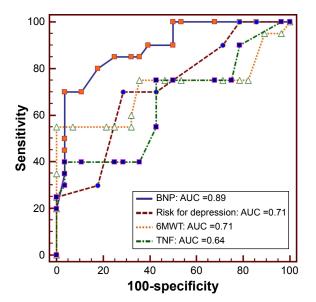


Figure 2 Receiver operating characteristic (ROC) curves for hospital readmission predictors in HFpEF patients aged <65 years. Abbreviations: HFpEF, heart failure with preserved ejection fraction; DM, diabetes

Abbreviations: HrpEF, heart failure with preserved ejection fraction; DM, diabetes mellitus; TNF, tumor necrosis factor; CAD, coronary artery disease; NYHA, New York Heart Association; IL, interleukin; AUC, area under the curve.

nonelderly HFpEF patients, HF aggravation readmissions were independently predicted by BNP levels >390 pg/mL (sensitivity of 61%; specificity of 56%) and by a TNF- $\alpha$  level >7.1 pg/mL (sensitivity of 85%; specificity of 59%), as shown in Figure 5.



**Figure 1** Receiver operating characteristic (ROC) curves for hospital readmission predictors in HFpEF aged  $\geq$ 65 years.

**Abbreviations:** HFpEF, heart failure with preserved ejection fraction; BNP, brain natriuretic peptide; 6MWVT, 6-minute walk test; TNF, tumor necrosis factor; AUC, area under the curve.

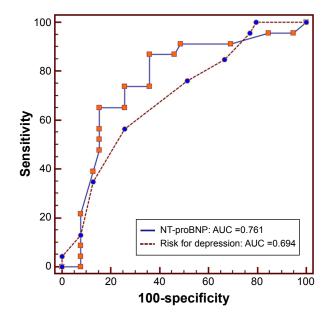


Figure 3 Receiver operating characteristic (ROC) curves for readmissions due to cardiovascular causes in HFpEF patients aged ≥65 years. Abbreviations: HFpEF, heart failure with preserved election fraction: NT-proBNP.

Abbreviations: HFpEF, heart failure with preserved ejection fraction; NT-proBNP, N-terminal-pro-brain natriuretic peptide; AUC, area under the curve.

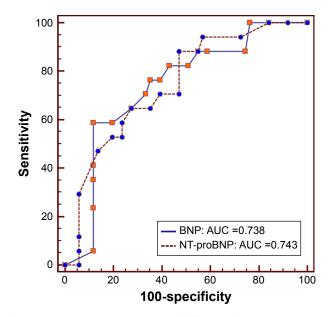


Figure 4 Receiver operating characteristic (ROC) curves for readmissions due to heart failure aggravation in HFpEF patients aged  $\geq$ 65 years. Abbreviations: HFpEF, heart failure with preserved ejection fraction; BNP, brain natriuretic peptide; NT-proBNP, N-terminal-pro-brain natriuretic peptide; AUC, area under the curve.

No variables were found to predict other cardiovascular readmissions in the elderly. In the nonelderly, the model retained the following variables as independent predictors: an MLHFQ score >46 (sensitivity of 74%; specificity of 70%); and IL-6 level  $\geq 2$ , 1 pg/mL (sensitivity of 63%; specificity of 45%), as shown in Figure 6.

Noncardiovascular readmissions in the elderly HFpEF patients were independently predicted by the inflammatory

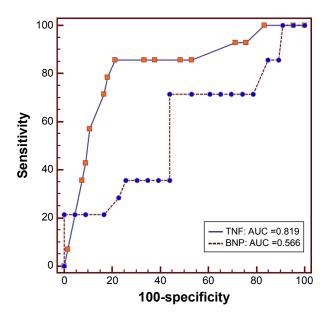


Figure 5 Receiver operating characteristic (ROC) curves for readmissions due to heart failure aggravation in HFpEF patients aged <65 years. Abbreviations: HFpEF, heart failure with preserved ejection fraction; TNF, tumor

Abbreviations: HFpEF, heart failure with preserved ejection fraction; 1 NF, tum necrosis factor; BNP, brain natriuretic peptide; AUC, area under the curve.

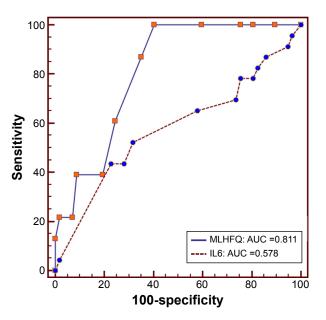


Figure 6 Receiver operating characteristic (ROC) curves for readmissions due to other cardiovascular causes in HFpEF patients aged <65 years. Abbreviations: HFpEF, heart failure with preserved ejection fraction; MLHFQ, Minnesota living with heart failure questionnaire; IL, interleukin; AUC, area under the curve.

marker levels (TNF- $\alpha$  >10 pg/mL) (sensitivity of 67%; specificity of 58%). The area under the curve of the ROC curve was 0.691 (Figure 7A). In the nonelderly group, the noncardiovascular readmissions were independently predicted by an IL-6 level >1.9 pg/mL (sensitivity of 65%; specificity of 70%); the ROC curve is presented in Figure 7B.

## **Discussion**

Although HF is primarily a disorder of the elderly, there is a lack of evidence-based data from randomized clinical trials of HF. In most clinical trials, the elderly are under-represented, their proportion being between 30% and 50%.<sup>21,22</sup> In addition, the studies that include the elderly are carried out almost exclusively in patients with HF and among those presenting with reduced LVEF. It is possible that the results of these clinical trials do not reflect the real prognosis of elderly HFpEF patients.

Our study was exclusively hospital based, with prospective recruitment of all patients hospitalized for a first episode of HFpEF. Echocardiography was performed in all patients during the first 24 hours after hospital admission.

The included HFpEF patients had a mean age of 64±8 years. The mean age was less than that in other studies that have included HFpEF patients (67 years in DIG-PEF; 76 years in SENIORS).<sup>22,23</sup> A higher mean age was reported in some HF registries; one of the most recent investigations has reported a mean age of 78 years.<sup>24</sup> We chose to use a cutoff age of

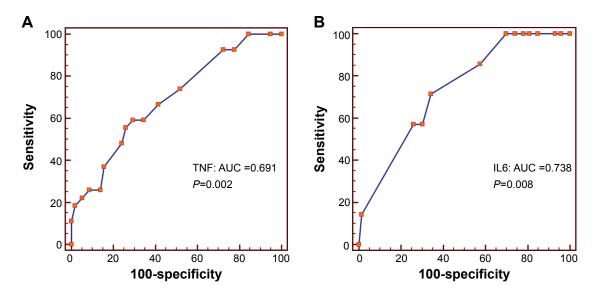


Figure 7 Receiver operating characteristic (ROC) curves for readmissions due to noncardiovascular causes in HFpEF patients aged  $\geq$ 65 years (**A**) and <65 years (**B**). Abbreviations: HFpEF, heart failure with preserved ejection fraction; TNF, tumor necrosis factor; IL, interleukin; AUC, area under the curve.

65 years as our definition of the elderly, given that in developed countries, this age coincides with retirement; this age is also considered by the WHO as the onset of old age.<sup>25</sup>

Similar to other HFpEF trials,<sup>26,27</sup> the elderly patients included in our study were characterized – when compared to HFpEF patients aged <65 years – by the following: a higher prevalence of females (P=0.0001); the presence of comorbidities (obesity, P<0.001; hypertension, P<0.0001; CKD, P<0.0001); and a NYHA functional class of IV (P<0.001). The elderly patients in our study had a poorer physical condition (P=0.029), and the questionnaires that were administered indicated that these patients experienced a poorer quality of life (P=0.005) and were at a higher risk for depression (P=0.002).

The therapeutic attitudes among the elderly patients were different when compared to those of the nonelderly HFpEF patients, but only regarding the higher prescription rate of diuretics (86% vs 57%, respectively; P < 0.0001). This fact can be explained by the higher prevalence of a NYHA class of IV in this group. The prescription rate of beta blockers in our study was 88% in the elderly and 83% in the nonelderly, which is higher than that reported in the CHARM-Preserved trial (55%), I-PRESERVE study (59%), or the EuroHeart Failure Survey (39%).<sup>28,29</sup> Beta blockers are known to reduce heart rate, thus leading to improved filling of the left ventricle, which may counterbalance the increased resistance to diastolic filling due to increased stiffness.<sup>30</sup> Beta blocker therapy was associated with reduced HF mortality in HFREF, but not in HFpEF patients.<sup>31</sup> Ivabradine was used in 38% of the elderly and in 42% of the nonelderly patients (P=0.697) in order to reduce the heart rate. Reil et al

demonstrated, in a mouse model of HF with preserved ejection fraction, that heart rate reduction by ivabradine improved diastolic function.<sup>32</sup> A clinical randomized, double-blind, placebo-controlled study was initiated in 2013 to evaluate the effects of ivabradine on cardiac function, exercise capacity, and neuroendocrine activation in chronic HFpEF.<sup>33</sup> The prescription rate of ACE inhibitors was 49% in the elderly and 66% in the nonelderly, while ARBs were used in 35% of the elderly and in 21% of the nonelderly; these rates are comparable to the rates in other clinical trials and registries of HF.<sup>28,29</sup> Both ACE inhibitors and ARBs have been shown to improve cardiac relaxation and distensibility, and to induce regression of left ventricular hypertrophy;<sup>34</sup> however, large trials failed to show a clear benefit of these therapeutic agents in HFpEF.<sup>35</sup>

Concerning the biomarkers, the elderly HFpEF patients in our study had higher values of BNPs, both at baseline and at the 1-year follow-up. The natriuretic peptides, which reflect an afterload excess, were independently associated with rehospitalizations due to cardiovascular causes, especially due to HF aggravation (P=0.001 for a BNP level >450 pg/mL, and P=0.008 for an NT-proBNP level >477 pg/mL). Overall, patients with HFpEF have lower levels of BNP and NT-proBNP than do HF patients with reduced LVEF, but for a given BNP level, the associated risk of all-cause mortality and HF hospitalization was shown to be at least as high as in patients with low LVEF. In a study published by van Veldhuisen et al the HFpEF patients in the middle BNP value group (251-750 pg/mL; median: 450 pg/mL) had a hazard ratio of 1.50 for death and HF hospitalization.<sup>36</sup> The findings that BNP is the primary driver of outcomes, and that adding LVEF has limited value in prognostication, have been reported previously in patients with acute HF<sup>37</sup> and in a recent community study,<sup>38</sup> but these facts may also be true in chronic HFpEF. Natriuretic peptides could be used to predict outcomes in HFpEF patients by using adequate risk models. In our study, although we found no significant differences between the elderly and the nonelderly HFpEF patients regarding the natriuretic peptides (neither at baseline, nor at the 1-year follow-up), we observed that the predictive values for adverse outcomes were different for the two age groups. Readmissions due to HF aggravation were predicted by BNP levels >450 pg/mL in the elderly (OR =1.004) and by BNP levels >390 pg/mL in the nonelderly (OR =1.002).

Inflammatory biomarkers, including IL-6, TNF- $\alpha$ , and hs-CRP, are associated with comorbidities, as well as with cardiac structural and functional changes in HF. They are elevated in HF patients and are associated with outcomes regardless of etiology.<sup>39</sup> The elderly HFpEF patients included in our study presented with significantly higher levels of hs-CRP (P=0.0001) and TNF- $\alpha$  (P=0.005) when compared to the nonelderly group. This fact could be explained by the higher prevalence of comorbidities in the elderly group. During the multivariate logistic regression analysis, we found that increased values of the inflammatory marker TNF- $\alpha$ were found to be significantly associated with total readmissions (P < 0.001) and readmissions due to HF aggravation in the nonelderly (P < 0.001), as well as with noncardiovascular readmissions in the elderly (P=0.003). Our results are concordant with those of Putko et al.40 The authors found that the plasma levels of TNF- $\alpha$  and its two receptors (TNFR1 and TNFR2) were significantly elevated in HFpEF patients and significantly associated with the presence of comorbidities. TNFR2 levels were also significantly associated with an increasing grade of diastolic dysfunction and with the severity of symptoms in HFpEF. These results suggest that TNF- $\alpha$ -mediated inflammation is comorbidity-driven and is a part of the pathogenesis of HFpEF. Since previous studies have failed to show that TNF- $\alpha$  antagonism could improve outcomes in HFpEF, new hypotheses have been developed regarding the inhibition of TNFR1 and the stimulation of TNFR2 as possible therapeutic options.<sup>41</sup> Increased levels of the inflammatory marker IL-6 predicted, in the nonelderly HF group, the risk for total readmissions (OR =2.06; P=0.003), readmissions due to other cardiovascular causes (OR =0.53; P=0.045), and readmissions due to noncardiovascular causes (OR =0.27; P=0.039). In our study, hs-CRP was not retained as a predictive parameter for rehospitalization.

Regarding readmissions, the total rehospitalization rates during the 1-year follow-up period did not differ significantly between the two groups (73% vs 52%, respectively; P=0.101). The hospitalization rate due to aggravated HF was significantly higher in the elderly group (41% vs 6.8%, respectively; P=0.002). In this group, total readmissions had the following independent predictors: BNP levels >450 pg/mL (OR =0.89; P<0.0001); a Fahrenberg risk score for depression of >7.4 (OR =0.71; P=0.002); distances walked on the 6MWT  $\leq 248$  m (OR =0.712; *P*=0.009); and TNF- $\alpha$  levels  $\geq$ 10.1 pg/mL (OR =0.64; P=0.027). Independent predictors for readmissions due to cardiovascular causes in the elderly were NTproBNP levels >458 pg/mL (OR =1.002; P=0.001) and a Fahrenberg risk score for depression >7.4 (OR =1.44; P=0.006). HF aggravation in the elderly was predicted by BNP levels >450 pg/mL (OR =1.004; P=0.007) and NT-proBNP levels >477 pg/mL (OR =1.002; P=0.013), while other cardiovascular readmissions were predicted by an MLHFQ score >46 (OR =2.70; P=0.041) and IL-6 levels >2.1 pg/mL (OR =0.53; P=0.047). The risk for noncardiovascular readmissions among the elderly was predicted by TNF- $\alpha$ levels >10.1 pg/mL (OR =1.30; P=0.003).

#### Study limitations

This study has several limitations, the most important of which is the small number of patients included at the single center. The advantage of this exclusively hospital-based design is that it allowed for the prospective recruitment of all eligible patients who had a first hospitalization for HF with preserved LVEF, as well as for their close follow-up for 1 year.

## Further developments

Further studies with a focus on elderly people with HF are needed in order to outline the factors associated with readmission. A multidisciplinary approach with this high-risk HF group is necessary in order to improve life quality and reduce the burden of hospital readmissions. A strategy to improve the ambulatory care of HF patients could reduce both morbidity and mortality in this high-risk age group.

## Conclusion

We conclude that in HFpEF patients aged  $\geq 65$  years, the main cause of rehospitalization during the 1-year follow-up was HF aggravation. The risk for HF aggravation was predicted by increased levels of BNPs at baseline HF hospitalization, while noncardiovascular readmissions were

significantly associated with increased levels of the inflammatory biomarker TNF- $\alpha$ . Increased TNF- $\alpha$  levels predicted both cardiovascular and noncardiovascular readmissions, while increased levels of hs-CRP did not predict any of these outcomes in our study.

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## **Author contributions**

AMM, DAB, and MCT participated in the conception and design of the study, statistical analysis and interpretation of the data, drafting of the article, and reviewing of the manuscript for intellectual content. TD and OA participated in interpretation of data, and in the design and drafting of the manuscript. IM performed the acquisition and interpretation of the data. All authors contributed toward data analysis, drafting and critically revising the paper and agree to be accountable for all aspects of the work.

## Disclosure

The authors report no conflicts of interest in this work.

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