Improving tuberculosis diagnostics with biomarkers

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Abstract: Although many laboratory methods have been developed to expedite the diagnosis of active tuberculosis (TB) and Mycobacterium tuberculosis (Mtb) infection, delays in diagnosis remain a major problem in clinical practice. Biomarkers may contribute favorably or unfavorably to TB diagnosis in a clinical suspect TB case with inconclusive diagnostic findings. A good understanding of the effectiveness and practical limitations of these biomarkers is important to improve diagnosis. This review summarizes currently used biomarkers, mainly as validation, and focuses on latent TB infection, active pulmonary TB, and tuberculous pleural effusion.

Keywords: tuberculosis, biomarker, diagnosis, latent tuberculosis infection, pleural effusion

Introduction

Tuberculosis (TB) remains one of the most common infectious diseases and a global health problem.¹ The World Health Organization estimates nine million new cases and 1.5 million deaths from TB in 2013.¹ Although implementing directly observed treatment short-course can rapidly decrease the infectivity of patients with active TB, the disease is still transmissible before treatment. Moreover, delayed diagnosis and treatment worsen the outcomes.² At the same time, TB diagnosis is not always easy, especially in the early stages, because of variable and nonspecific presentations. In patients with smear-negative TB, early detection is even more difficult because their clinical samples are paucibacillary. The problem of smear-negative TB, as well as smear-positive TB, warrants particular attention because patients with smear-negative TB have been reported to be responsible for about 17% of TB transmission.³,⁴

As regards advances in laboratory measures, the rapid isolation of Mycobacterium tuberculosis (Mtb) from clinical specimens is a milestone in shortening the diagnosis waiting time. Previously, mycobacterial culture, the gold standard of TB diagnosis, was available only after 4–8 weeks with solid culture medium.⁵ By applying the fluorometric BACTEC technique (BACTEC Mycobacteria Growth Indicator Tube [MGIT] 960 system, Becton-Dickinson, Franklin Lakes, NJ, USA), the results of mycobacterial culture can be made available earlier but still need 1–3 weeks.⁶ Moreover, this automatic system can detect 960 samples simultaneously and greatly reduce routine laboratory work.

However, isolates of both Mtb and nontuberculous mycobacteria (NTM) can be culture-positive for Mycobacteria in the MGIT system. This emphasizes that a fluorescence signal detected and reported by the MGIT 960 system only implies that some microorganisms are multiplying and consuming oxygen in the culture tube. Thus, when
the clinical sample of a patient is culture-positive by the fluorometric MGIT 960 system, careful clinical judgment and further identification of the Mtb antigen or nucleic acid amplification test (NAAT) should be considered. For patients suspected of a diagnosis other than TB and not in urgent need of treatment, anti-TB treatment should be deferred until TB is confirmed by conventional identification or other molecular assays.

Another milestone is the rapid detection of Mtb from clinical specimens. Deoxyribonucleic acid, ribonucleic acid, and Mtb protein can be used as targets for detection. Because the number of Mtb bacilli in any clinical specimen is usually much lower than the threshold of any detection method, amplification should always be the first step for rapid and direct detection. As such, a rapid detection method using proteins as the target can only be applied to an enriched sample, such as a cultured liquid medium. For example, Capilia TB assay (TAUNS, Numazu, Japan), an immunochromatographic assay detecting MPB64 (a secreted mycobacterial protein only found in Mtb isolates and some strains of *Mycobacterium bovis* BCG [Bacillus Calmette–Guérin]), is an easy and rapid diagnostic tool for culture confirmation of Mtb in liquid medium, with positive and negative predictive values of 98.6% and 97.9%, respectively.7

At present, NAAT is the main means of rapid Mtb detection from clinical specimens. In general, NAATs have high positive and negative predictive values for smear-positive respiratory specimens. Thus, they are frequently used to confirm or invalidate TB diagnosis. For patients with smear-negative respiratory specimens, NAATs are used only if pulmonary TB is highly suspected. For sputum screening, the multiplex nested polymerase chain reaction for detecting the Mtb gene is used by combining with immunochromatography test for convenience, with 98% specificity.8 Furthermore, the Xpert MTB/RIF (Cepheid, Sunnyvale, CA, USA) non-laboratory-based molecular assay has the potential to improve the diagnosis of TB, especially in HIV-infected or smear-negative but culture-positive pulmonary TB.9 In clinical practice, the use of Xpert reportedly reduces the frequency and impact of unnecessary empirical treatment.10 Unfortunately, NAATs for Mtb have not been approved for use in specimens other than respiratory samples. Moreover, the performance of NAAT can be compromised under several clinical conditions including inadequate specimens, laboratory contamination, inappropriate processing, and the presence of an amplification inhibitor.11–14

Aside from diagnostic advances in the rapid isolation and detection of Mtb, other diagnostic aids for the early identification of TB are needed in view of the aforementioned limitation of current diagnostic tools, and TB can be a life-threatening disease. For improving TB diagnosis, the role of biomarkers is to provide early suspicion, especially while waiting for the isolation of Mtb or for negative findings of TB suspects (Figure 1). Biomarkers represent human response to Mtb bacilli and thereby alert clinicians for further workup of TB in clinical practice. However, the diagnostic biomarkers cannot stand alone, and the final decision of TB treatment must be based on the patient’s immune status, the probability of infection, and the urgency to administer the treatment. For a better understanding of the clinical application of the biomarkers, this review focuses on the usefulness and the limitation of biomarkers with regard to latent TB infection (LTBI), active pulmonary TB, and tuberculous pleural effusion (Figure 2).

### Biomarkers for latent TB infection

To prevent further TB transmission, control should focus on LTBI.15 Previous studies demonstrated that about 10% of LTBI patients develop the active disease and the risk is even higher in immunocompromised hosts.16 In humans, the T-cell response is the most important defense mechanism against Mtb, and it develops in the first few weeks after the infection. At present, tuberculin skin test and interferon-γ (IFN-γ) release assays (IGRAs) are the most common tests for diagnosing LTBI.17

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**Figure 1** The role of biomarkers in practice for the clinical suspicion of active pulmonary tuberculosis.

**Abbreviation:** Mtb, *Mycobacterium tuberculosis*.
IGRA is the T-cell response assayed using a purified protein derivative or other Mtb-specific antigens. A positive result indicates Mtb infection rather than an active disease, although the former is always the first step toward the latter. Clinical information, radiographic findings, and results of laboratory studies should all be used to judge whether the presence of T-cell response is due to active disease or merely Mtb infection.

In the last decade, two in vitro T-cell-based IGRA's have been developed and commercialized for detecting the host response to Mtb. After culturing peripheral blood mononuclear cells with Mtb-specific antigens, the IFN-γ produced by activated T cells is measured by enzyme-linked immunosorbent assay in QuantiFERON-TB Gold-in-tube (QFT-GIT) (Cellestis, Carnegie, Australia) and by the enzyme-linked immuno-spotting technique in T-SPOT TB (Oxford Immuno, Oxford, UK). Both assays require only one visit and are free of a "booster effect" during repeat testing. The turnaround time is short, with results available the next day.

IGRA's use the two Mtb-specific antigens, early secretary antigenic target-6 kD and culture filtrate protein 10, which are encoded by the region of difference 1 (RD1) of the Mtb genome. Currently, all available BCG vaccine strains do not have RD1, which they have lost since 1908 during passage. This region is also absent in NTM except in Mycobacterium kansasii, Mycobacterium marinum, and Mycobacterium szulgai. Because the antigens used in IGRA are more specific than purified protein derivative, cross-reactivity (leading to false positive) from NTM and BCG is low. Although IGRA is promising, it cannot replace the tuberculin skin test in current perspectives, especially for non-BCG-vaccinated populations.

Because there is no gold standard for the diagnosis of LTBI, comparing the performance of IGRA's with that of the tuberculin skin test is difficult. Usually, sensitivity is estimated from studies of patients with active TB or via correlation between the proportion of the contact persons with positive immune response and the gradients of exposure. Recent meta-analysis studies demonstrate that T-SPOT TB is slightly more sensitive than QFT-GIT and tuberculin skin test. In terms of specificity, the two IGRA's are significantly better than the tuberculin skin test, especially in BCG-vaccinated subjects.

The most important limitation of IGRA is that it does not differentiate active TB from mere Mtb infection. A positive result of IGRA means that the patient is very likely to have Mtb infection, but this does not mean that the pulmonary lesion is due to active TB. On the other hand, a negative IGRA result cannot totally exclude the possibility of active TB in a clinical suspect. This is because active TB itself can cause immunosuppression, which in turn can give a false-negative result in the immunoassays.

Evidence so far suggests that it is better to apply IGRA only in contact surveillance and for the diagnosis of latent infection. However, its use in other risk populations is still debatable. For example, in health care workers with positive IGRA, the clinical significance is questionable because there is a high proportion of IGRA reversion (from positive to negative results) beyond the 8% of interexperiment variation. Similarly, the reversion rate is around 45.9% within 6 months in the dialysis population. Although the correlation between reversion and occurrence of active TB in the long run is unclear, the persistent LTBI status by IGRA may be a surrogate and is therefore important for further management.

In a recent report that serially followed up 204 dialysis patients for 1 year, the QFT-GIT-positive population is heterogeneous, and subpopulations have different reversion rates. The QFT-GIT response, difference in IFN-γ level between the TB-antigen tube and negative control, is an independent factor for persistent QFT-GIT positivity. Furthermore, higher IFN-γ and interleukin (IL)-10 are also significantly associated with persistent LTBI status, diagnosed by QFT-GIT (Shu et al, unpublished data, 2015). Those with high titer of QFT-GIT, together with high serum IL-10 and IFN-γ, will be the target population for surveillance or preventive therapy.

**Biomarkers for pulmonary TB**

**Blood biomarkers**

A diagnosis of TB should be kept in mind when a clinician manages patients with pneumonia, especially in intermediate or high-prevalence TB areas. In general, the levels of serum

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**Figure 2** Biomarkers in different specimens for different kinds of Mycobacterium tuberculosis infection.

**Abbreviations:** ADA, adenosine deaminase; CRP, C-reactive protein; DcR3, decoy receptor 3; FasL, Fas ligand; IFN-γ, interferon-γ; IGRA, IFN-γ release assay; LAM, lipoarabinomannan; LTBI, latent tuberculosis infection; PCT, procalcitonin; PGE2, prostaglandin E2; PTB, pulmonary tuberculosis; TPE, tuberculous pleural effusion.
inflammatory markers are lower in patients with pulmonary TB than in patients with community-acquired pneumonia. The markers reported include procalcitonin (PCT), IL-10, and C-reactive protein.\textsuperscript{30–32} Although the levels of serum inflammatory markers are significantly different between pulmonary TB and pneumonia, the low levels of inflammatory markers are nonspecific in clinical practice. Thus, an integrated model that includes LTBI testing, inflammatory markers, and clinical or radiographic findings may be better than a single test.

Because patients with LTBI will be a risk population for the development of active TB,\textsuperscript{33,34} biomarker measurements under a positive LTBI status make more sense. In the pathogenesis of TB, macrophages are the first line of defense as the TB bacilli enter the airways.\textsuperscript{35} An important mechanism for persistent infection in human tissue is via necrosis of Mtb-infected macrophages as the dominant form of cell death instead of apoptosis,\textsuperscript{36,37} because apoptosis of infected macrophages will result in the removal of intracellular bacilli and more efficient induction of inflammation than necrosis.\textsuperscript{38}

For apoptosis-associated biomarkers, a recent study shows that decoy receptor (DcR) 3 and prostaglandin E2 (PGE2) levels increase from the LTBI group defined by IGRA to the TB group, but lipoxin decreases from LTBI to the TB group.\textsuperscript{39} In the extended survey, Fas ligand (FasL), an initiator of extrinsic pathway of apoptosis, is decreased from LTBI to TB status, like lipoxin (Shu et al, unpublished data).

DcR3 is a receptor of the tumor necrosis factor (TNF) receptor superfamily, existing as a soluble receptor for FasL, and is considered an immunomodulator.\textsuperscript{40} The two lipid markers, PGE2 and lipoxin, antagonize macrophage apoptosis in Mtb infection.\textsuperscript{37,41} Therefore, apoptosis-associated serum biomarkers change along with the status of Mtb infection and are independent factors discriminating active TB from LTBI. Aggressive mycobacteriologic study to detect active TB is necessary for IGRA-positive subjects who have high DcR3 and PGE2, but low lipoxin and FasL.

Previous investigation of T-cell responses in Mtb infection shows that the presence of single-positive TNF-\(\alpha\) Mtb-specific CD4+ T cells is a strong predictor of diagnosis of active disease versus latent infection.\textsuperscript{42} A validation study shows that the sensitivity and specificity of the flow cytometry-based assay are 67% and 92%, respectively, with positive and negative predictive values of 80% and 92.4%, respectively. As such, the proportion of single-positive TNF-\(\alpha\) Mtb-specific CD4+ T cells may be a new reference for the rapid diagnosis of active TB.\textsuperscript{43} Other studies also show similar findings and suggest combined measurement of T-cell phenotype and function as a highly discriminatory biomarker of TB disease activity. This combined approach requires validation in large-scale prospective studies.\textsuperscript{42}

Otherwise, serum cytokines like IL-2, IL-9, IL-13, IL-17, and TNF-\(\alpha\) have been reported as adjunctive biomarkers for active TB from LTBI and NTM disease.\textsuperscript{44,45} Whole blood cells stimulated by Mtb antigen have higher TNF-\(\alpha\) production and IL-12 in TB patients compared with LTBI controls.\textsuperscript{46} Again, these markers warrant further validation before clinical application.\textsuperscript{32}

In regard to serologic tests for antibodies for Mtb infection, a number of commercial antibody-based TB diagnostic tests have been developed and are on sale, although clinical validation is usually absent and current test performance is inconsistent.\textsuperscript{47}

### Sputum biomarkers

Unlike serum biomarkers, which are easily influenced by other systemic inflammation or infection, chemicals or materials in the sputum are more direct from the disease site in pulmonary TB. An attractive test for urease in respiratory specimens has been investigated. The point of urease-based diagnostics is that humans lack urease enzymes.\textsuperscript{48} Since 2009, a group of investigators have started studying the benefits of rabbit urease breath test for TB diagnosis and treatment monitoring.\textsuperscript{48} The specificity of the urease breath test may be increased by introducing intravenous or inhaled \(^{13}\text{C}\)-urea tracer, thus preventing gastric contaminations by \textit{Helicobacter} species.

In other reports, pulmonary TB may alter volatile organic compounds (VOCs) in breath because Mycobacteria and oxidative stress due to mycobacterial infection both generate distinctive VOCs.\textsuperscript{49} The validation analysis identifies patients with positive sputum culture with 82.6% sensitivity and 100% specificity.\textsuperscript{49} On the other hand, TB stearic acid (TBSA) is reported to be another marker, and the literature shows that TBSA exists in all sputum specimens from TB patients, but is not found in sputum samples from persons without TB.\textsuperscript{50} The possibility of using VOCs and TBSA in sputum examination also required large-scale validation.

### Urine biomarkers

Urine represents a clinical sample that is easy to collect from both adults and children. It has been used extensively to evaluate several antigen and DNA detection assays.\textsuperscript{51} Commercially available assays can detect lipoarabinomannan (LAM) in the urine of TB patients. Although the sensitivity of this test is disappointing in non-HIV-infected patients,
Biomarkers for tuberculous pleural effusion

Tuberculous PE accounts for approximately 5% of all forms of TB and is the second most common extrapulmonary TB. Early diagnosis is often difficult because the mycobacterial culture of PE or pleural tissue requires weeks to obtain. Moreover, only 40%–63% of tuberculous PE cases have positive mycobacterial culture from PE. Thus, treatment can be delayed, resulting in increased mortality.

Biomarkers of immune response in PE have been proposed to assist in the rapid diagnosis of tuberculous PE while waiting for the results of mycobacterial culture. Pleural interferon-γ (IFN-γ) and IFN-induced protein 10 (IP-10), as well as adenosine deaminase (ADA), have been reported to be useful markers for diagnosing tuberculous PE. Moreover, soluble FasL is released from T lymphocytes and exerts cytotoxic effects on Mtb-hidden macrophages, thereby helping in the diagnosis of tuberculous PE.

PCT, both serum and pleural, is lower in patients with tuberculous PE than in those with parapneumonic effusion. Measurement of serum and pleural PCT is useful in differentiating parapneumonic effusion from tuberculous PE. In a recent study, logistic regression analysis revealed that IFN-γ ≥ 75 pg/mL, ADA ≥ 40 IU/mL, DcR3 ≥ 9.3 ng/mL, and soluble TNF receptor 1 (TNF-sR1) ≥ 3.2 ng/mL were independent factors associated with tuberculous PE. The predicted probability based on the four predictors had an area under the receiver operating characteristic curve of 0.920, with 82.9% sensitivity and 86.7% specificity. The four-marker model using 40 IU/mL, DcR3 ≥ 9.3 ng/mL, PCT ≥ 3.2 ng/mL, and ADA ≥ 40 IU/mL as cut-off points had an area of 0.907, with 68.2% sensitivity and 92.4% specificity. Therefore, the four-factor model is suggested.

Conclusion

The rapid isolation and detection of Mtb have improved the diagnosis of TB in recent decades. However, delayed diagnosis remains frequent because the isolation of Mtb still requires 1–3 weeks, and rapid detection is mainly useful in smear-positive cases. Biomarkers play an important role in this situation and improve clinical practice by helping decision-making. T-cell responses to Mtb like IGRAs are good diagnostic tools to define Mtb infection, which is the high-risk group in future TB reactivation. The use of biomarkers in patients with Mtb infection may further identify more specific populations who have current active TB disease or who will develop active TB in the future. For helping TB diagnosis, integrating biomarkers into clinical practice is suggested for a clinical TB suspect with negative preliminary workup and pending mycobacterial culture.

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