Cost effectiveness of transoral robotic surgery for the treatment of oropharyngeal squamous cell carcinoma: a systematic review

Nicole L Aaronson
Paul D Neubauer
Benjamin L Judson
Yale Otolaryngology, Department of Surgery, Yale University School of Medicine, New Haven, CT, USA

Abstract: Since the first transoral use of the da Vinci robotic surgical system in 2005 and the subsequent FDA approval for the system’s use in 2009, there has been a large uptick in the number of transoral robotic surgery (TORS) procedures performed in the United States. The most common use of TORS has been in the treatment of oropharyngeal squamous cell carcinoma (OPSCC). The rise in TORS cases for OPSCC is driven in part by the epidemic of human papilloma virus (HPV)-associated OPSCC. The advantage of TORS is that it allows transoral resection of tumors previously requiring open approaches associated with longer hospitalization and recovery, and higher functional morbidity. In addition to oncologic and functional outcomes, the cost of treatments using the robot is also a consideration in determining what role TORS should have in the treatment of OPSCC. A systematic review of the literature was performed by searching for articles addressing the cost-effectiveness of TORS. We have analyzed the articles obtained and report that analysis here. The results include case series and other analyses. They suggest that TORS for OPSCC is cost-effective compared to other available modalities due to decreased hospital stay, increased chance of finding an unknown primary and thereby avoiding some adjuvant treatment, and decreased rates of gastrostomy tube and tracheotomy. The methods used for calculating costs varied widely, and studies universally lack long-term follow-up. Further studies are needed, which define and measure costs and compare TORS with open surgery and non-surgical treatments.

Keywords: oropharyngeal cancer, transoral robotic surgery, da Vinci robot, cost

Introduction
The da Vinci robotic system was first used for transoral surgery (TOS) in 2005 and has since been rapidly adopted for the excision of tumors in the upper aerodigestive tract (UADT), particularly in the oropharynx. In 2009, the FDA approved transoral robotic surgery (TORS) for benign lesions and T1–T2 lesions of the UADT. Compared to open surgical approaches for oropharyngeal squamous cell carcinoma (OPSCC), TORS allows per-oral surgical resection of the primary tumor, avoiding slow recovery and high morbidity associated with traditional surgery. In some situations, TORS also allows decreased use of chemoradiotherapy or radiotherapy, which are frequently employed as a definitive for OPSCC.

To satisfy patient demands for the newest technology, hospitals may face pressure to expand the use of their robotic system to include excision of tumors of the UADT, allowing them to maximize the use of a da Vinci system already used for urologic and gynecologic procedures. As a result of striving for better outcomes and expanded uses...
for emerging technologies, the use of TORS in head and neck cancer is growing and will likely continue to grow. In addition, the incidence of oropharyngeal cancer has increased due to human papilloma virus (HPV)-associated oropharyngeal cancer, further driving the need for improvements in treatment modalities.4

When evaluating a new treatment, parameters that should be considered include oncologic and functional outcomes, quality of life, and cost. The TORS series published report at least equivalent oncologic outcomes and better functional and quality-of-life outcomes compared to conventional surgical and non-surgical treatment approaches,5,6 although these results remain somewhat controversial and randomized studies evaluating these outcomes are underway. Given the concern over rising health care costs, which equaled 17.9% of the gross domestic product in the United States in 2012, the cost-effectiveness of new treatments must be carefully considered.7 Since the introduction of TORS, few studies have looked at its cost. This paper evaluates the current literature on the cost-effectiveness of TORS for oropharyngeal cancer.

Methods
A systematic search was conducted in PubMed for research papers on the cost-effectiveness of TORS in OPSCC (Table 1). Relevant synonyms for the search terms “cost,” “transoral robotic surgery,” and “oropharyngeal cancer” were included. Original study data were included as well as systematic reviews. Opinion papers, animal studies, case reports, and studies not in English were excluded. Studies regarding urologic and gynecologic procedures were also excluded. Additionally, references of included articles were reviewed in order to identify any references not identified in the initial literature search.

Results
In total, ten relevant articles were retrieved. One article was excluded because it was not in English. Five others were excluded as they were not cost analyses of TORS. After these exclusions, four articles remained (Table 2). Cross-reference checking resulted in no additional references.

Data analysis
Moore et al performed a case series on cost analysis comparing TOS, including transoral laser microsurgery and TORS with concomitant neck dissection (ND), TOS with adjuvant radiation therapy (TOS + RT), TOS with adjuvant chemoradiation therapy (TOS + CRT), and primary chemoradiation therapy (CRT). Cost was defined as the money actually paid by the third-party payer or patient in 90 days after diagnosis of oropharyngeal cancer. It was found that while patients treated with surgery alone tended to have smaller tumors, their treatment was the least expensive ($37,435 for private payers/$15,664 for government payers). Primary CRT was the most expensive modality ($198,285 for private payers/$57,429 for government payers). CRT was even more expensive than TOS + CRT.8

Richmon analyzed 9,601 patients, 116 of whom had TORS. Cost was calculated by multiplying total inpatient charges by all-payer inpatient cost-to-charge ratio from the Center for Medicare and Medicaid Services (CMS).2 Hammoudi et al included 26 patients in each group in France. This study defined cost as the average operating room (OR) cost multiplied by the OR time (cost of surgery) added to the average daily cost of an otolaryngology patient’s hospitalization multiplied by the number of days in the hospital (cost of hospital stay).9

Both Richmon and Hammoudi’s studies concluded that TORS was associated with fewer tracheotomies, less tube feeding, and decreased cost. Richmon determined that TORS was associated with a significantly decreased length of stay (LOS) (1.5 days less than open surgery) and decreased cost ($4,285 less than open surgery). None of the TORS patients underwent gastrostomy tube placement or tracheotomy, whereas 19% of open surgery patients had gastrostomy tubes, and 36% had tracheotomies.2 In Hammoudi’s analysis TORS had a higher OR cost ($7,781 v $4,375, P<0.001) but the total cost was significantly lower ($20,885 v $27,926, P=0.03) when compared to conventional surgery. The cost savings was due to shorter LOS in the TORS group versus conventional surgery (11 days v 19 days, P=0.001).9

Byrd et al conducted a retrospective chart review of the cost effectiveness of TORS for the unknown primary. This paper did not address oropharyngeal tumors specifically but is relevant in the setting of increasing HPV-related cancers.
Table 2 Summary of studies on transoral robotic surgery (TORS) cost effectiveness

<table>
<thead>
<tr>
<th>Author</th>
<th>Year</th>
<th>n</th>
<th>Study design</th>
<th>Patients</th>
<th>Treatment</th>
<th>Primary outcome measure</th>
<th>Results</th>
<th>Treatment allocation</th>
<th>Standardized treatment</th>
<th>Standardized outcome</th>
<th>Complete data</th>
</tr>
</thead>
<tbody>
<tr>
<td>Moore et al</td>
<td>2012</td>
<td>76</td>
<td>Case series</td>
<td>Patients with OPSCC</td>
<td>TOS vs TOS + RT vs TOS + CRT vs CRT</td>
<td>Actual reimbursement for inpatient and outpatient bills for first 90 days into treatment</td>
<td>TOS was least expensive. CRT was most expensive</td>
<td>Non-random</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Richmon et al</td>
<td>2014</td>
<td>9,601</td>
<td>Retrospective cross-sectional study</td>
<td>Patients with OPSCC undergoing surgery from 2008–2009</td>
<td>TORS vs non-TORS surgery</td>
<td>Post-operative complications, LOS, and cost (charges multiplied by inpatient cost-to-charge ratio)</td>
<td>TORS less expensive than open surgery</td>
<td>Non-random</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Byrd et al</td>
<td>2014</td>
<td>22</td>
<td>Case series</td>
<td>Patients with occult primary squamous cell carcinoma</td>
<td>EUA/tonsillectomy vs Sequential EUA/TORS vs Simultaneous EUA/TORS</td>
<td>Charges for anesthesia, laboratory and pathology, pharmacy, radiology, OR use, and physician fees recovered from hospital's billing system, added inpatient hospitalization cost for average LOS</td>
<td>Sequential EUA with TORS had higher ICER than simultaneous EUA, tonsillectomy, and TORS</td>
<td>Non-random</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Hammoudi et al</td>
<td>2014</td>
<td>52</td>
<td>Retrospective case study</td>
<td>Patients with primary head and neck cancer</td>
<td>TORS vs conventional surgery</td>
<td>Cost of surgery, cost of hospitalization, treatment cost, 3 years survival, LOS, need for tracheotomy</td>
<td>TORS less expensive than conventional surgery</td>
<td>Non-random</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
</tr>
</tbody>
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Abbreviations: OPSCC, oropharyngeal squamous cell carcinoma; TOS, transoral surgery; TORS, transoral robotic surgery; RT, radiation therapy; CRT, chemoradiation therapy; LOS, length of stay; EUA, exam under anesthesia; ICER, incremental cost-effectiveness ratio.
presenting as occult oropharyngeal primary tumors. Patients with unknown primaries were divided into three treatment groups: 1) exam under anesthesia (EUA) with tonsillectomy, 2) staged TORS base-of-tongue (BOT) resection after previous EUA/tonsillectomy and 3) simultaneous EUA, tonsillectomy, and TORS BOT resection. Nine of eleven primary tumors were identified in the sequential tonsillectomy/TORS group. Using the previously reported 30% identification rate of unknown primary tumors with EUA/tonsillectomy, the authors calculated that 87% of unknown primary tumors would be identified by adding a staged TORS BOT resection. For the simultaneous tonsillectomy/TORS group, 100% of primary tumors were identified (6/6).\(^\text{10}\)

Costs-to-charge ratios were used to calculate anesthesia, pathology, radiology, and laboratory charges. Average OR cost and average cost of hospitalization were calculated. The calculation of costs did not include fixed hospital costs, including the purchasing and amortization of the robot. The incremental cost-effectiveness ratio (ICER), which represents the cost to find each additional unknown primary, was calculated to be $8,619 for sequential tonsillectomy and TORS, and $5,774 for simultaneous EUA, tonsillectomy, and TORS. By identifying the primary tumor site, post-operative radiation may be avoided or at least limited in its field, further reducing the overall cost of treatment.\(^\text{10}\)

**Discussion**

**Methods of calculation**

There is wide variation in how cost is defined in various studies, making comparisons between them difficult. Moore’s case series provides the most accurate measure of cost by calculating the hospital’s actual revenue for each treatment group, including percutaneous gastrostomy tube, specialty consultations, and subsequent admissions for medical issues over a three-month period, rather than estimating the cost.\(^\text{8}\) Billing practices vary widely between hospitals and insurance plans; hence, charges created by the hospital may not accurately reflect actual expenditures. Nevertheless, this case series does not consider patients’ missed wages due to recovery time. If TORS reduces a patient’s time in the hospital and hence out of work, it may further decrease the cost to the patient by minimizing his or her lost wages.

**Length of stay**

LOS for TORS is consistently shorter compared to that for open surgery, and this is a major factor contributing to its increased cost effectiveness. Moore found a shorter number of inpatient days with TOS (2.4 days for TOS v 6.8 days for CRT); however, TORS was not evaluated separately from other transoral approaches.\(^\text{8}\) Richmon found that the average LOS was 1.5 days less than that in the case of conventional surgery.\(^\text{2}\) In France, the average TORS LOS for oropharyngeal cancer was 11 days versus 19 days for conventional surgery.\(^\text{9}\)

A retrospective analysis of 91 patients showed the mean LOS following TORS for all indications to be 1.5 days.\(^\text{14}\)

**Capital equipment**

The cost of capital equipment bears inclusion when calculating the cost effectiveness of TORS. The da Vinci robot was estimated to cost $1.5 million in 2010, with the need for an annual service contract for greater than $100,000. Given the relatively low numbers of TORS, the robot is likely to be used only in centers with a high volume of gynecologic, urologic, cardiothoracic, and general procedures for which its use will be extended.\(^\text{11, 12}\) The cost per case for the disposable TORS equipment required is approximately $500, which is comparable to the cost of harmonic, laser, or other endoscopic technologies.\(^\text{2}\)

The cost effectiveness of TORS is only relevant if it has short-term oncologic and functional equivalence compared to other modalities. Long-term results for TORS are not yet available. Reports thus far, however, show that oncologic outcomes are at least equivalent.\(^\text{5, 15}\) Additionally, patients are less likely to require a gastrostomy tube and tracheotomy, thereby reducing costs.\(^\text{2, 9, 14}\) Furthermore, Richmon et al has shown that TORS followed by a rapid discharge protocol has not shown any increase in complications.\(^\text{14}\)

**Conclusion**

TORS is a valuable treatment in the head and neck cancer surgeon’s armamentarium for OPSCC. As the epidemic of HPV-associated OPSCC continues and the number of patients with this disease increase, it is in the best interest of society at large to figure out which treatments provide the best quality of life for patients, with the least cost. Based on the studies available, TORS for oropharyngeal cancer appears to be cost-effective compared to other available modalities. However, the current literature lacks large studies and long-term follow-up. Factors that make TORS more cost-effective are decreased hospital stay, increased chance of finding an unknown primary and thus potentially avoiding adjuvant treatment, and decreased rates of gastrostomy tube and tracheotomy. Elements making it less cost-effective are the capital investment and amortization of the robot as well as the cost of robot-related disposable equipment. The methods for calculating cost have varied widely. Future
cost-analyses would benefit from a consistent method of cost calculation. Ideally, the calculated cost should include the actual reimbursement received by the hospital for all associated consultant and ancillary charges, including for readmissions within 90 days of treatment. In addition, the patient’s decreased productivity during recovery should be taken into account, given the time to return to work may differ depending on treatment modality. Subsequent studies that include these factors will be able to more definitively show where TORS stands in terms of cost effectiveness in the treatment of OPSCC.

Disclosure
The authors report no conflicts of interest in this work.

References