Epoetin beta for the treatment of chemotherapy-induced anemia: an update

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Abstract: Epoetin beta belongs to the class of erythropoiesis-stimulating agents (ESAs) that are currently available to treat anemic patients receiving chemotherapy. Chemotherapy-induced anemia affects a high percentage of cancer patients and, due to its negative effects on disease outcome and the patient's quality of life, should be treated when first diagnosed. Initial trials with ESAs have shown efficacy in improving quality of life and reducing the need for blood transfusions in patients with chemotherapy-induced anemia. However, recent meta-analyses have provided conflicting data on the impact of ESAs on survival and tumor progression. Here we provide an overview of these recent data and review the role of epoetin beta in the treatment of chemotherapy-induced anemia over the past 20 years.

Keywords: epoetin beta, erythropoietin, chemotherapy, cancer, anemia, treatment

Introduction

Anemia, defined as a reduction in hemoglobin concentration to non-physiological levels, frequently occurs in cancer patients, in particular as a consequence of chemotherapy (chemotherapy-induced anemia, CIA), and may negatively impact their disease outcome and quality of life.1 In 2004, a large European survey found that, at enrollment, 39.3% of all patients and 50.5% of those undergoing chemotherapy had hemoglobin <12 g/dL.2 Frequency, defined as the number of individuals who were anemic at least once during the 6-month study, was shown to be 67% for all patients (39.3% of whom with hemoglobin <10 g/dL) and 75% for those treated with a chemotherapy regimen, being higher in lung and gynecological cancers (77% and 81.4%, respectively). In particular, it ranged from <1% in patients with metastatic breast cancer treated with the combination of 5-fluorouracil-doxorubicin-cyclophosphamide-methotrexate, to 80% in patients on a high-dose cyclophosphamide-mitoxantrone-etoposide regimen.1 Several studies also described severe/life-threatening CIA in 3%–6% of patients with colorectal cancer following different chemotherapy regimens (Table 1) and in 20%–42% of patients with small cell lung cancer (Table 2). With regard to the prevalence of anemia, the highest was observed among patients with leukemia, lymphoma/myeloma, and gynecological cancers (Figure 1).1

As a consequence of chemotherapy, anemia may develop either by direct impairment of red blood cell (RBC) production or because of renal tubular damage following treatment with cytotoxic drugs.3,4 Other factors causing anemia in cancer patients are linked to tumor growth and development, eg, bleeding, hemolysis, bone marrow infiltration, and disruption of erythropoietin synthesis in the kidney, as a result of increased production of cytokines (eg, interferon-γ, interleukin-1, and tumor necrosis factor-α).5 A reduction in erythropoietin levels negatively affects RBC production...
in the bone marrow, inducing anemia; accordingly, cancer patients with anemia have been shown to present abnormal erythropoietin levels.7

Different strategies were adopted in the past to treat CIA, including allogeneic RBC transfusion, intravenous iron supplementation, and administration of erythropoiesis-stimulating agents (ESAs), the last two often used in combination. Here, we focus on the use of ESAs, particularly epoetin beta, in the management of CIA, and review data on its efficacy and safety. In addition, contrasting findings concerning the impact of epoetin beta on survival and progression are summarized.

Impact of anemia on quality of life and survival in cancer patients

The severity of anemia is variable and can be classified according to toxicity criteria. Two of the most common grading systems are those published by the National Cancer Institute and the World Health Organization (Table 3).1 Both agree on classifying severe and life-threatening anemia as hemoglobin levels in the range of 6.5–7.9 g/dL and <6.5 g/dL, respectively; yet, for mild and moderate levels, differences can be observed. The National Cancer Institute classifies moderate anemia as hemoglobin levels of 8–10 g/dL and mild anemia as values between 10 g/dL and normal limits, while the World Health Organization indicates 8–9.4 g/dL and 9.5–10.9 g/dL as moderate and mild anemia, respectively (Table 3).

Table 2 Small cell lung cancer: chemotherapy-induced anemia

<table>
<thead>
<tr>
<th>Chemotherapy</th>
<th>Percentage with anemia grade 3/4</th>
</tr>
</thead>
<tbody>
<tr>
<td>Topotecan56</td>
<td>42</td>
</tr>
<tr>
<td>Cisplatin + etoposide58</td>
<td>30</td>
</tr>
<tr>
<td>Cisplatin + doxorubicin + vincristine58</td>
<td>20</td>
</tr>
<tr>
<td>Cisplatin + irinotecan59</td>
<td>27</td>
</tr>
</tbody>
</table>

Abbreviations: FU, fluorouracil; FOLFIRI, irinotecan + folinic acid + FU; FOLFOX, oxaliplatin + folinic acid + FU; FOLFOX, oxaliplatin + folinic acid + FU; IFL, bolus fluorouracil/leucovorin; FUFA, fluorouracil + folinic acid.

Anemia affects nearly all major physiological systems, and symptoms may range from cold skin, dizziness, and palpitations to pulmonary edema, heart failure, depression, severe impairment of cognitive function, and fatigue, which can directly impact upon quality of life for patients.1,8 Fatigue is one of the most debilitating symptoms of anemia and an important issue in cancer management, as it is the symptom most commonly reported by cancer patients,9 who feel that fatigue has a worse impact than pain on their quality of life.9,10 A high percentage of oncologists overlook this symptom even if current guidelines recommend routine screening and management of fatigue.9,11 A study conducted in anemic patients, non-anemic cancer patients, and the general population based on a questionnaire from the Functional Assessment of Chronic Illness Therapy

Table 3 Toxicty grading systems for anemia (hemoglobin levels in g/dL)

<table>
<thead>
<tr>
<th>Grade</th>
<th>Severity</th>
<th>NCI grading</th>
<th>WHO grading</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>None</td>
<td>Normal limitsa</td>
<td>≥11</td>
</tr>
<tr>
<td>1</td>
<td>Mild</td>
<td>10– normal limits</td>
<td>9.5–10.9</td>
</tr>
<tr>
<td>2</td>
<td>Moderate</td>
<td>8–10</td>
<td>8–9.4</td>
</tr>
<tr>
<td>3</td>
<td>Severe</td>
<td>6.5–7.9</td>
<td>6.5–7.9</td>
</tr>
<tr>
<td>4</td>
<td>Life-threatening</td>
<td>&lt;6.5</td>
<td>&lt;6.5</td>
</tr>
</tbody>
</table>

Measurement System showed that the worst fatigue scores corresponded to patients in the anemic cancer group. The authors further observed that the degree of anemia predicts the degree of fatigue in cancer patients, thus establishing a connection between low hemoglobin levels and increased fatigue.

In addition to a negative effect on quality of life for cancer patients, anemia has been shown to affect survival and to be an independent prognostic factor for both treatment response and survival in subjects treated with chemotherapy or radiotherapy. Indeed, from a comprehensive literature review, it emerged that anemia increases the mortality risk in cancer patients by 65%. Anemic patients also showed reduced overall survival, disease-free survival, and relapse-free survival, compared with patients who had normal hemoglobin levels.

Subsequent studies have also demonstrated that anemia is one of the prognostic factors associated with short survival. Patients developing anemia (hemoglobin <12 g/dL) during chemotherapy had significantly lower overall survival compared with non-anemic patients, while the prechemotherapy hemoglobin level is an independent predictor of progression-free survival and overall survival in ovarian cancer. A hemoglobin level <10.5 g/dL is also an adverse prognostic factor for disease progression in Hodgkin’s disease.

**Management of CIA**

The negative impact of cancer-related anemia, and CIA in particular, on well-being and survival of cancer patients argues for the treatment of this comorbidity with the best therapies available. Until the late 1980s, the only therapy for anemia was RBC transfusion, which although being efficient in rapidly increasing hemoglobin levels in patients, implies high risks of clinical complications. Currently, RBC production in the bone marrow can be enhanced using ESAs, erythropoietin-mimicking molecules that activate the erythropoietin receptor (EpoR) on erythrocytic progenitor cells. In clinical trials, ESAs have been shown to increase hemoglobin levels and improve anemia and quality of life in cancer patients. Of note, treatment with ESAs was able to reduce the number of RBC transfusions and decrease fatigue in CIA patients. However, recent meta-analyses have highlighted possible safety issues regarding ESA exposure. Indeed, results from three large systematic reviews have shown increased mortality in patients receiving these agents. Subsequent meta-analyses by Ludwig et al and Glaspy et al have not confirmed these data, as both studies failed to demonstrate a significant effect of ESAs on survival or disease progression. In addition, a few randomized clinical trials have analyzed the effect of ESA treatment on CIA patients and found no decrease of survival. However, collectively, these studies agree on the possibility of an increased risk of thromboembolic events upon ESAs. According to Bennett et al the risk of thromboembolic events in patients treated with erythropoietin and darbepoetin was 1.57 times higher than in the control group. Such risk, however, seemed to depend on hemoglobin levels and not on the disease itself. It is therefore necessary not to exceed the hemoglobin levels recommended by guidelines, since the incidence of adverse events associated with ESAs (especially thromboembolic and cardiovascular events) is higher in patients with hemoglobin ≥13 g/dL.

To clarify the role of ESAs on disease progression, Aapro recently reviewed published preclinical and clinical evidence and concluded that the available data do not argue for a role of ESAs in tumor progression, even if further studies are needed to clarify whether these effects can occur in certain subgroups of cancer patients. However, uncertainties regarding the safety profile of ESAs have prompted international clinical oncology groups to update current guidelines for their use in the treatment of anemia.

**Guidelines for use of ESAs**

While the efficacy of ESAs in enhancing hemoglobin levels in CIA patients is unquestionable, results for their safety are contradictory and point to the need for additional trials. The current National Comprehensive Cancer Network guidelines recommend to investigate the causes of anemia in cancer patients with hemoglobin levels ≤11 g/dL or ≥2 g/dL below the baseline. Following evaluation of risks/benefits and patient agreement, ESAs may be used in CIA patients undergoing palliative treatment, with the exception of patients with small cell lung cancer, given that the clinical data do not show an impact of these agents on disease progression.

The guidelines from the American Society of Clinical Oncology/American Society of Hematology state that until further safety data are collected, ESAs should be avoided in patients being treated with curative intent. For treatment of CIA (hemoglobin <10 g/dL), ESAs may be used but potential harm and benefit should be carefully evaluated. For asymptomatic patients with risk factors for development of anemia and requiring transfusions, the clinical options are observation or treatment with ESAs if hemoglobin levels are ≥10 g/dL. Recommended doses are 10,000 IU three times weekly for epoetin alfa and beta, 30,000 IU once weekly for epoetin beta or 40,000 IU once weekly for epoetin alfa, or 150 μg per week or 500 μg every 3 weeks for darbepoetin. Administration of ESAs involves a high demand for iron as a consequence of the considerably increased erythropoiesis, which may result in RBC production exceeding iron
mobilization from stores.\textsuperscript{42,43} Therefore, assessment of iron status is crucial for a proper therapeutic strategy.

According to the European Medicines Agency’s Committee for Medicinal Products for Human Use, the benefits of using ESAs in approved indications (hemoglobin target range of 10–12 g/dL in CIA) continue to outweigh the associated risks, including increased risk of tumor progression and venous thromboembolism and reduced survival.\textsuperscript{44} The guidelines do not provide indications on which ESA to use, since the three molecules on the market (epoetin alfa, epoetin beta, and darbepoetin) are considered equivalent in terms of activity, efficacy, and side effects.\textsuperscript{45}

**Epoetin beta**

Epoetin beta is a human recombinant erythropoietin synthesized in Chinese Hamster Ovary cells, with identical amino acid structure, similar molecular weight, and equivalent characteristics to the human endogenous hormone.\textsuperscript{46–48} This glycoprotein is composed of an amino acid chain of 156 residues identical to that of the endogenous erythropoietin, and of four carbohydrate side chains that represent approximately 40% of the molecular weight.\textsuperscript{49} Since 1990, epoetin beta has been licensed in Europe for the treatment of symptomatic anemia associated with chronic kidney disease and to treat CIA in cancer patients.\textsuperscript{44}

**Mode of action**

Epoetin beta mimics the mechanism of action of endogenous erythropoietin and has the same biological activity.\textsuperscript{50} By binding to the EpoR on erythroid progenitors in the bone marrow, epoetin beta stimulates erythropoiesis, the process by which hematopoietic stem cells differentiate into erythrocytes (Figure 2).\textsuperscript{6} However, EpoR may be expressed also on the surface of non-hematopoietic cells, of normal and malignant origin.\textsuperscript{51,52} This implies that, for example, the erythropoietin secreted by cancer cells binds to the EpoR expressed on endothelial cells, causing their proliferation and consequently increased angiogenesis which, in turn, promotes proliferation of tumor cells.\textsuperscript{53–55} Indeed, preclinical studies have pointed towards the role of erythropoietin in augmenting tumorigenesis, metastatization, and drug resistance at least in certain tumor types (eg, breast cancer), as it can activate important pathways (eg, AKT, ERK, JAK/STAT, antiapoptotic) targeted by current antineoplastic therapies, thus counteracting their effects.\textsuperscript{56–60}

A pharmacokinetic and pharmacodynamic evaluation of epoetin beta in healthy volunteers and uremic patients revealed a plasma elimination half-life of 12–28 hours after subcutaneous administration, compared with 4–12 hours after intravenous administration.\textsuperscript{61} The maximum serum concentration of subcutaneous epoetin beta was reached by 12–18 hours in patients with uremia, with a range of bioavailability between 23% and 52%. Although reduced
bioavailability and clearance were observed in patients compared with healthy volunteers, the half-life after subcutaneous administration was similar.61

The exact elimination route is still unknown (<5% is excreted by renal clearance after intravenous administration [10–1,000 IU/kg] in healthy volunteers).62 However, endogenous erythropoietin as well as recombinant products are supposed to be degraded after EpoR-mediated cellular uptake (mainly in the bone marrow), and this could be the main route of elimination from the circulation.63

Clinical efficacy

In cancer patients with CIA, the efficacy of epoetin beta in increasing hemoglobin levels has been demonstrated in numerous trials. In a multicenter, randomized, double-blind, controlled trial, 349 anemic patients (hemoglobin <10 g/dL) with low-grade non-Hodgkin’s lymphoma, chronic lymphocytic leukemia, or multiple myeloma received either epoetin beta 150 IU/kg or placebo subcutaneously three times weekly for 16 weeks.26 The majority of treated patients (67%) showed an increase in hemoglobin levels by 2 g/dL, while only 27% of patients in the placebo group had a similar outcome (P < 0.0001); notably, quality of life was significantly better in the epoetin beta group (P < 0.05).26

The randomized NOW trial compared the effect of a new dose regimen of epoetin beta (30,000 IU once a week) with that of the established dose of 10,000 IU three times a week, for 16 weeks in anemic patients (n = 241, hemoglobin 9–11 g/dL) with lymphoid malignancies (multiple myeloma, low-grade non-Hodgkin’s lymphoma, chronic lymphocytic leukemia).64 The results showed that epoetin beta given weekly was as effective as the three times per week regimen (response rates of 72% versus 75%, respectively). Of note, 90% of patients treated with 30,000 IU epoetin beta once weekly remained transfusion-free throughout the study.64

Another randomized trial comparing these two epoetin beta dose regimens (10,000 IU three times weekly versus 30,000 IU once weekly) in 100 patients with head and neck cancer and hemoglobin <10 g/dL demonstrated improvement in hemoglobin levels, energy levels, and quality of life to a similar extent on both regimens, along with comparable 2-year overall survival.65 In addition, the efficacy of epoetin beta 30,000 IU once weekly was demonstrated in an observational, prospective study by Pirker et al.66 They evaluated the response to epoetin beta of anemic (hemoglobin <12 g/dL) lung cancer patients (n = 40, 72.5% with non-small cell lung cancer and 27.5% with small cell lung cancer) receiving chemotherapy. By the second chemotherapy cycle, the majority of patients (72.5%) required administration of epoetin beta, which induced a mean increase of 1.3 g/dL by week 4. Of note, 95% of patients did not require RBC transfusions during the study.66 Analogously, the efficacy of the same dose of epoetin beta was assessed by the multicenter, open-label, prospective NAUTICA trial in patients with solid and non-myeloid hematological malignancies undergoing chemotherapy.67 Considering the intention-to-treat population (n = 691), epoetin beta induced a hemoglobin response in 60.4% of all patients and in 61.2% of those with baseline hemoglobin <11 g/dL. Of note, no difference in hemoglobin response was observed between patients with solid tumors and those with non-myeloid malignancies (60.5% versus 60.2%).67

Clinical safety

In recent years, research has focused mainly on the safety of epoetin beta, due to the conflicting data concerning the adverse effects and/or reduced survival in ESA-treated cancer patients undergoing chemotherapy. The multicenter, double-blind, randomized, placebo-controlled ENHANCE trial was conducted in 351 patients with head and neck cancer undergoing radiotherapy and receiving placebo (n = 171) or epoetin beta 300 IU/kg three weekly (n = 180).68 Results from the intention-to-treat population showed that anemia was corrected in individuals receiving epoetin beta versus placebo (82% versus 15%), yet the former group experienced increased locoregional progression (relative risk [RR] 1.69; 95% confidence interval [CI] 1.16–2.47; P = 0.007) and decreased survival (RR 1.39; 95% CI 1.05–1.84; P = 0.02). Also, mortality due to cardiac and general disorders was higher among patients given epoetin beta versus those on placebo (ten versus five patients and nine versus no patients, respectively). The authors hypothesized that erythropoietin might impair disease control in patients undergoing radiotherapy.

Interestingly, in a subsequent study, samples from 154 patients enrolled in the above trial were analyzed by immunohistochemistry for expression of EpoR,69 the results revealed that patients positive for EpoR and treated with epoetin beta had poorer locoregional progression-free survival compared with those given placebo (adjusted RR 2.07; 95% CI 1.27–3.36; P < 0.01), whereas epoetin had no effect on the outcome in EpoR-negative patients (adjusted RR 0.94; 95% CI 0.47–1.90; P = 0.12) and decreased survival (RR 1.39; 95% CI 1.05–1.84; P = 0.02). Further studies provided evidence for the expression of erythropoietin and EpoR by head and neck cancer cells, a role of erythropoietin in mediating cell invasion in vitro,70 and the correlation between EpoR expression and aggressive tumor behavior, as well as a dismal prognosis.71 Of note, the per protocol analysis indicated no significant effect of epoetin beta on disease progression.68
BRAVE, a multicenter, open-label, randomized controlled study, investigated the effect of epoetin beta therapy on survival in patients with metastatic breast cancer and hemoglobin levels <12.9 g/dL who were undergoing chemotherapy. The results showed no effect of epoetin beta on overall survival (hazard ratio [HR] 1.07; 95% CI 0.87–1.33; \( P=0.522 \)) or progression-free survival (HR 1.07; 95% CI 0.89–1.30; \( P=0.448 \)). Compared to control, epoetin beta induced an increase of median hemoglobin levels by 1.6 g/dL at 24 weeks, but also more thromboembolic events (13% versus 6%; \( P=0.012 \)), despite a similar serious thromboembolic event rate was observed between the two groups (4% versus 3%).

Finally, Fujisaka et al conducted the first randomized, placebo-controlled Phase III trial to assess the efficacy and safety of epoetin beta dosed according to the updated guidelines recommending treatment initiation when hemoglobin is <10 g/dL and without reaching levels >12 g/dL. The study involved 186 CIA patients with lung or gynecological cancer and confirmed the efficacy of epoetin beta in reducing RBC transfusions (4.5% versus 19.6%; \( P=0.002 \)). No significant differences in quality of life, adverse events, and 1-year overall survival were observed between the epoetin beta and placebo groups. However, as the study was not powered to assess mortality, further studies are warranted.

**Meta-analyses and systematic reviews**

Various meta-analyses and systematic reviews have evaluated the efficacy and safety of epoetin beta in CIA patients. The study by Boogaerts et al included results from three randomized controlled trials conducted in patients with solid tumors receiving different chemotherapy regimens (with or without platinum) and treated with epoetin beta (n=255) or standard of care (n=199). Upon administration of epoetin beta, a rapid increase in hemoglobin level and reduced transfusion requirement were observed. Transfusion-free survival was improved in the epoetin beta group (Figure 3), with no risk for tumor progression or overall survival. The frequency and types of adverse events as well as the frequency of thromboembolic events were also similar between the groups (5.9% versus 4.5%). Another study based on 12 randomized controlled studies (n=2,297 patients, 65% with solid tumors, and 35% with non-myeloid hematological malignancies) showed no significant effect of epoetin beta on overall survival of all patients (HR 1.13; 95% CI 0.87–1.46; \( P=0.355 \)) and of those with baseline hemoglobin <11 g/dL (HR 1.09; 95% CI 0.80–1.47; \( P=0.579 \)). Although treated and untreated individuals had a similar risk of progression, a trend for a reduction of such risk was seen overall (HR 0.85; 95% CI 0.72–1.01; \( P=0.072 \)) and in patients with hemoglobin <11 g/dL (HR 0.80; 95% CI 0.65–0.99; \( P=0.041 \)). On epoetin beta, the time to thromboembolic events was shorter (HR 1.62; 95% CI 1.13–2.31; \( P=0.008 \)) and their frequency higher (7% and 4%, respectively, \( P=0.008 \)). However, the frequency of thromboembolic event-related deaths was only 1% in both treated and control patients.

Following the updated recommendations for the use of ESAs only in patients with hemoglobin levels <10 g/dL to be kept <12 g/dL, Aapro et al performed a subanalysis and stratified patients by baseline hemoglobin. Results from this analysis showed that epoetin beta had no negative effect on survival or disease progression when therapy was initiated with hemoglobin ≤10 g/dL. On the contrary, when treatment was started with hemoglobin >11 g/dL, a negative effect on survival was observed. For all hemoglobin levels, a higher risk of thromboembolic events was reported in epoetin beta-treated patients.

The Cochrane Collaboration experts recently published a systematic review on the use of erythropoietin and darbepoetin in cancer patients, which comprised the results from 91 randomized controlled trials, representing a total of 20,102 patients. This study confirmed the efficacy of ESAs in treating anemia. Indeed, on ESAs, patients had a decreased risk of RBC transfusions (RR 0.65; 95% CI 0.62–0.68; 70 trials, n=16,093), and more frequently experienced a hematological response (RR 3.93; 95% CI 3.10–3.71; 31
trials, n=6,413) and improved quality of life. However, during the active study period, ESAs increased mortality (HR 1.17; 95% CI 1.06–1.29; 70 trials, n=15,935) and decreased overall survival (HR 1.05; 95% CI 1.00–1.11; 78 trials, n=19,003). Also, the risk for thromboembolic events was higher in the treated group (RR 1.52; 95% CI 1.3–1.74; 57 trials, n=15,498), compared with controls.77 More recently, a meta-analysis of three randomized placebo-controlled trials was carried out, representing 511 Japanese patients with solid tumors or lymphoma given ESAs (epoetin beta or darbepoetin alfa; n=273) or placebo (n=238).78 Notably, ESAs reduced the risk of transfusion (RR 0.47; 95% CI 0.29–0.76), while having no significant impact on overall survival (unadjusted HR 1.00; 95% CI 0.75–1.34), mortality rate (2.6% and 2.9% of deaths reported in ESA-treated and placebo-treated groups, respectively), or frequency of thromboembolic events (0.7% and 1.7%, upon ESAs and placebo, respectively).78 Also, following a prespecified subgroup analysis (ie, ESA-treated patients at 3 months), only a tendency for the lowest risk of mortality was found for patients with hemoglobin of 11–11.5 g/dL, whereas no effect of the highest hemoglobin level achieved on ESAs was observed.78

Conclusion
CIA affects the vast majority of cancer patients. Since low hemoglobin levels in CIA patients have been shown to be associated with low quality of life and short survival, it is important not to underestimate the presence of anemia in patients with cancer. Epoetin beta has proven efficacy in raising hemoglobin levels and reducing the requirement for RBC transfusions in CIA patients. However, uncertainties remain regarding its safety profile. Data from preclinical studies suggest that, at least in certain tumor types, ESAs may favor tumor proliferation, metastatization, and drug resistance by activating pathways targeted by current anticancer therapies. Some clinical trials have also reported a worse outcome in ESA-treated patients undergoing chemotherapy. Indeed, further preclinical studies exploring in depth the mechanisms of action of erythropoietin in cancer cells are warranted, along with long-term, large, randomized, placebo-controlled trials with clearly defined endpoints and data on survival, in order to clarify the involvement of epoetin beta in survival and disease progression. Meanwhile, in light of the increased risk for thromboembolic events during treatment with ESAs, epoetin beta should be used according to the current guidelines for treatment of CIA, as approved by regulatory authorities.

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References


