Calcium-activated gene transfection from DNA/poly(amic acid-co-imide) complexes

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Abstract: In this study, we synthesized a water-soluble poly(amic acid-co-imide) (PA-I) from ethylenediaminetetraacetic dianhydride (EDTA) and 2,2′-ethylenedioxybis(ethylamine) that possesses comparable transfection efficiency to that of polyethylenimine (PEI), when prepared in combination with divalent calcium cations. The polycondensation of monomers afforded poly(amic acid) (PA) precursors, and subsequent thermal imidization resulted in the formation of PA-I. At a polymer/DNA ratio (indicated by the molar ratio of nitrogen in the polymer to phosphate in DNA) of 40, complete retardation of the DNA band was observed by gel electrophoresis, indicating the strong association of DNA with PA-I. A zeta potential of −22 mV was recorded for the PA-I polymer solution, and no apparent cytotoxicity was observed at concentrations up to 500 µg mL⁻¹. In the presence of divalent Ca²⁺, the transfection efficiency of PA-I was higher than that of PA, due to the formation of a copolymer/Ca²⁺/DNA polyplex and the reduction in negative charge due to thermal cyclization. Interestingly, a synergistic effect of Ca²⁺ and the synthesized copolymer on DNA transfection was observed. The use of Ca²⁺ or copolymer alone resulted in unsatisfactory delivery, whereas the formation of three-component polyplexes synergistically increased DNA transfection. Our findings demonstrated that a PA-I/Ca²⁺/DNA polyplex could serve as a promising candidate for gene delivery.

Keywords: gene carrier, poly(amic acid), polyimide

Introduction
Nonviral gene carriers, including polymeric vectors, are considered to be safer alternatives to viral-based gene delivery vehicles. Negatively charged DNA and cationic polymers, such as polyethylenimine (PEI) and poly(lysine), are capable of condensing into a polyplex and efficiently interacting with the negatively charged cell membrane. Upon internalization, the polyplex dissociates, and the DNA is released prior to lysosomal degradation. Unfortunately, their high positive charge density disrupts cell and mitochondrial membranes, leading to cell necrosis and apoptosis; the inherent cytotoxicity of these cationic polymers, both in vitro and in vivo, continues to be an unresolved problem.¹² Equally of concern is the binding of negatively charged serum proteins to positively charged complexes, initiating aggregation and reducing transfection.³⁴ In order to realize the actual clinical potential of these carriers, attempts have been made to modulate the surface charge density by such means as bioconjugation, oxidation, and the addition of anionic materials. In one study, the succinyllation of PEI introduced negatively charged carbohydrate groups, which lowered the polymer cytotoxicity tenfold while increasing the knockdown percentage of a target luciferase gene.³ In another study, peroxidase oxidation of amine groups lowered the charge density and provided a simple strategy for modification of PEI.⁶ The incorporation of poly(propylacrylic acid) in commercially available cationic liposomes was shown to increase the delivery of plasmid DNA in vitro and in vivo.⁷
Schlegal et al identified seven anionic nontoxic polymers, most bearing negatively charged carboxylate groups, including poly(glutamic acid), poly(acrylic acid), and hyaluronic acid, that lowered cellular toxicity and increased gene-silencing efficiencies. Furthermore, endosomal escape is a key step in transfection, and when polymer and DNA are too tightly bound, the genetic material cannot be released into the cytosol – one study showed that the addition of anionic liposomes to lipid-based formulations led to membrane destabilization and liberation of cargo DNA. Taking together these considerations, it is practical to develop new delivery systems as an alternative to cationic carriers.

The complete substitution of cationic materials with anionic materials is another approach to eliminating strong positive charge. Divalent cations can be used as mediators to reduce negative charge of DNA, and therefore eliminating the need for high cations charge of polymer. Calcium cations, in particular, have been shown to mediate endosomal escape and cytosolic stability, and to enhance DNA uptake by interacting with nuclear pore complexes. Elsewhere, the use of divalent calcium cations enhanced the transfection efficiency of plasmid DNA-lipid complexes to a variety of cell lines by three- to 20-fold. Use of polymers containing carboxylate functional groups is another strategy set forth for driving complex formations through interactions with divalent cations.

Poly(amic acid) (PA) is a negatively charged copolymer prepared from the polycondensation of diamines and dianhydrides. Imidization of PA, either thermally or chemically, affords poly(imides). Taking advantage of the synthesis procedure, it becomes possible to control the charge density of the final product by varying the degree of imidization, through reaction temperature and time. Therefore, the modulation of poly(amic acid-co-imide) (PA-I) charge is possible without the addition of a second polymer. PA-I is also thermally and mechanically stable, while exhibiting good biocompatibility. Strong intermolecular interactions between adjacent donor nitrogen and acceptor carbonyl groups lead to the stacking of PA-Is. Furthermore, the presence of carbonyl groups allows the PA-Is to interact with nearby –NH₂ groups, commonly observed in biomaterials, through hydrogen bonding. However, the biological applications of PA-I have been limited by its insolubility in water. Water solubility is a prerequisite for preparing gene delivery systems, and the modification of water-insoluble polymers, such as chitosan, has initiated extensive related research. Recently, the chemical and physical evaluation of a water-soluble PA-I was reported, thereby casting light on the potential of PA-I for gene delivery.

Herein, we report the synthesis and characterization of a water-soluble PA-I derived from ethylenediaminetetraacetic dianhydride (EDTA) and 2,2’-(ethylenedioxy) bis(ethylenimine) (EOEM), through polycondensation and subsequent imidization of its PA precursor. A gel retardation assay was used to verify polyplex formation between the copolymers and DNA. Transfection efficiencies of the synthesized PA-I and PA precursors were evaluated alone and in the presence of divalent calcium cations. These results may serve to elucidate the potential of water-soluble PA-Is as gene delivery vectors.

**Methods**

**Materials**

Reagents, such as EDTA dianhydride, EOEM, and dimethyl sulfoxide (DMSO) were purchased from Sigma-Aldrich Corp (St Louis, MO, USA). DMSO was dried over calcium hydride and distilled under vacuum. Plasmid-encoding enhanced green fluorescence protein (GFP) (pEGFP-N1) was donated by Dr Hu at the Department of Chemical Engineering, National Tsing Hua University, Taiwan.

**Amplification of plasmid DNA**

The pEGFP-N1 plasmid was introduced into *Escherichia coli* strain DH5α (Invitrogen; Life Technologies, Carlsbad, CA, USA). Briefly, transformed DH5α was grown in 500 mL Luria-Bertani broth containing 250 µg of antibiotics per mL and then incubated at 37°C, with shaking at 200 rpm, for 16 hours. Plasmid DNA was purified from DH5α, using AxyPrep™ Plasmid Maxiprep Kits. The purity of plasmid DNA was certified by an absorbance ratio of 1.8 at OD260/OD280. The plasmid was stored at –20°C before use.

**Synthesis of PA and PA-I copolymer**

Briefly, EOEM was dissolved in dry DMSO with constant stirring. An equimolar amount of EDTA dianhydride was added, and the mixture was stirred under N₂ atmosphere for 24 hours. PA was precipitated in acetone, washed, and filtered three times to remove unreacted monomers. The ring closure reaction was carried out at 110°C for 3 hours to yield the final product, PA-I.

**Characterization of copolymers**

The molecular structures of the monomers and products were verified by proton nuclear magnetic resonance (¹H NMR) spectra, acquired on a Bruker AVANCE III HD 600 MHz spectrometer (Bruker Corporation, Billerica, MA, USA) with DMSO-d₆ as solvent. Fourier-transform infrared spectroscopy (FT-IR) spectra were recorded on a Thermo.
293T cells were seeded in 24-well plates, at a density of 1×10^4 cells/well, 24 hours prior to testing. The media was withdrawn, and the attached cells were washed with phosphate-buffered saline (PBS). Polyplexes were prepared by mixing appropriate amounts of PA or PA-I with DNA and then adding CaCl_2. Two different polymer concentrations, 30 µg/50 µL and 40 µg/50 µL, were prepared with filtered nonserum media and were designated as PA30, PA40, PA130, and PA-I40. Plasmid solution, at 1 µg/150 µL, was added dropwise to the copolymer solution and incubated for 2.5 hours. In the Ca^{2+}-containing samples, an equal volume of CaCl_2 solution was added and reacted for 1 hour. Then, the complex solution (100 µL) was added to the wells and incubated with 293T cells. After 4 hours transfection, the media was removed from the wells, and serum media (500 µL) was added after washing with PBS. As a positive control, 25 kD PEI (at optimized copolymer-to-DNA ratio of 10) was used, according to the standard protocol. Attached cells were assayed for fluorescence expression using a fluorescence microscope (Leica Microsystems, Wetzlar, Germany).

Calcium-induced decomplexation by gel retardation assay

Polyplexes formed (as described above), at copolymer-to-DNA ratios of 30 and 40, were incubated along with 15 mM of calcium chloride at 37°C for up to 4 hours. As a control, naked plasmid DNA was incubated in 15 mM of calcium chloride for the same time frame. Next, the samples were loaded into the wells of a 0.6% agarose gel, and electrophoresis was carried out using 80 V current, in TAE running buffer containing 1 mM EDTA. The DNA bands were visualized with ethidium bromide.
groups, which resonate at 3.3 ppm. The resonance at 3.9 ppm represents the ethylene protons in proximity to EDTA, while the ethylene protons of EOEM are present at 3.27, 3.50, and 3.42 ppm. The FT-IR spectra for PA and PA-I are shown in Figure 3. The amide bands at 1,554 and 1,645 cm\(^{-1}\) can be attributed to the amide bonds in PA but were absent in the PA-I spectra, supporting our notion that the preparation conditions led to almost complete cyclization. The C=O groups of the imide rings in PA-I gave rise to bands at 1,669 and 1,776 cm\(^{-1}\), indicating a cyclic five-membered ring and confirming ring closure. The disappearance of the –COOH peak at 1,720 cm\(^{-1}\) further verified the cyclization and formation of the polyimide ring. These results are in agreement with earlier studies.\(^{26-28}\)

Zeta potential and DNA binding

The zeta potential is an important consideration in gene delivery because carrier charge is closely related to transfection efficiency and toxicity. The zeta potentials for 0.1% PA and PA-I copolymer solutions were –52.1 and –22.8 mV, respectively. Carboxylate groups on the PA conferred negative charge to the polymer, and the formation of the PA-I ring increased the zeta potential. Unconverted carboxylic acids may have been the contributing factor to the negative zeta potential of PA-I, which is consistent with the FT-IR results. Excessive conversion of PA to PA-I would render the product insoluble due to the high degree of crosslinking between PA-I chains.\(^{29}\) We found that processing conditions of 100°C for 3 hours resulted in acceptable water-soluble copolymers. The conversion degree of PA-I was calculated from relative functional groups of PA and PA-I:\(^{30}\)

\[
\text{Conversion degree} = \frac{(A_{1,380 \ \text{cm}^{-1}}/A_{1,500 \ \text{cm}^{-1}})_{\text{PA-I}}}{(A_{1,380 \ \text{cm}^{-1}}/A_{1,500 \ \text{cm}^{-1}})_{\text{PA}}} \tag{2}
\]

where \(A_{1,380 \ \text{cm}^{-1}}\) represents the absorption reference imide peak at 1,380 cm\(^{-1}\) due to C-N stretching vibration, and \(A_{1,500 \ \text{cm}^{-1}}\) represents the absorption peak at 1,500 cm\(^{-1}\) due to C-C stretching vibration on the monomer backbone. The relative band area was calculated using curve fitting, in Origin software. The conversion degree of PA-I was 36.9% and was used for the following study. Complex formation between the copolymers and DNA was examined by agarose gel electrophoresis, as shown in Figure 4. Copolymer to DNA ratios are written as N/P, which indicate the molar ratio of nitrogen (N) in the polymers to phosphate (P) in DNA. As the copolymer/DNA (N/P) ratio increased, the binding strength between the copolymer and DNA increased, thereby limiting the mobility of DNA during electrophoresis. Poor band retardation was observed in the PA samples due to repulsion between the highly negatively charged PA and the like-charged DNA. However, near retardation of DNA was observed at a mixing ratio above 30 as compared with the mobility of free DNA for both PA and PA-I. One possible explanation is that, in addition to electrostatic interactions, hydrophobic interactions and hydrogen bonding drive the formation of stable complexes; therefore, DNA retention is observed despite the negatively charged characters of PA and PA-I.

Table 1 Molecular weight of PA and PA-I, characterized by GPC examination

<table>
<thead>
<tr>
<th>Polymer</th>
<th>Molecular weight (Mn)</th>
<th>PDI</th>
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<tbody>
<tr>
<td>PA</td>
<td>6,700</td>
<td>1.62</td>
</tr>
<tr>
<td>PA-I</td>
<td>5,400</td>
<td>1.83</td>
</tr>
</tbody>
</table>

Abbreviations: GPC, gel permeation chromatography; PA, poly(amic acid); PA-I, poly(amic acid-co-imide); PDI, polydispersity index.
The hydrophobic modification of chitosan increased the retardation of DNA, due to hydrophobic interactions and particle formation.\textsuperscript{31} Similar success in DNA binding was observed with negatively charged poloxamine nanospheres and was attributed to its amphiphilic nature of DNA.\textsuperscript{32} In another study, the incorporation of hydrophobic cholesterol into a water-soluble lipoplex enhanced luciferase transfection efficiency by increasing polymer-DNA interaction.\textsuperscript{33} More directly, hydrophobic groups were conjugated to PEI, and the transfection efficiency positively correlated with the degree
of modification, up to a certain level. N/P ratios of 30 and 40 displayed complete retardation for both PA and PA-I, and these ratios were selected for subsequent studies.

Cytotoxicity of copolymers

Cytotoxicity is a major concern in gene delivery and arises from parameters such as molecular weight and cationic charge density. Prior to transfection, it is crucial to verify whether the copolymer alone is toxic to cells. Cytotoxicity studies of the PA and PA-I copolymers, with PEI as a control, were performed on human embryonic kidney (293T) cells, and the effects of various concentrations of copolymers (16–1,000 µg·mL$^{-1}$) were studied (Figure 5). As anticipated, the PEI copolymer exhibited significant toxicity, with 52.3%±6.6% cell viability observed at a concentration of 16 µg·mL$^{-1}$. In contrast, greater than 90% cell viability (93.5%±2.5% and 92.5%±3.6% for PA and PA-I, respectively) was observed for the synthesized copolymers at concentrations up to 500 µg·mL$^{-1}$. This is in agreement with the accepted knowledge that cytotoxicity is most strongly associated with extensive cationic charge density. The zeta potential of PEI was approximately +35 mV. Positively charged carriers are known to aggregate on cell surfaces and disturb cellular functions, thereby leading to significant cell death. These results demonstrate that both materials are nontoxic to cells and reveal no significant difference in the cytotoxicities of PA and PA-I.

Transfection efficiency

The transfection efficiency of PA and PA-I copolymer-DNA complexes was studied alone and in the presence of divalent cations, with PEI as the positive control, as shown...
in Figures 6. DNA coupled with Ca\textsuperscript{2+} exhibited increased transfection compared with naked DNA, in accord with literature reports.\textsuperscript{13,37,38} Ca\textsuperscript{2+} was selected because compared with other divalent cations, including Mg\textsuperscript{2+}, a more dramatic increase in transfection efficiency was observed, mainly due to a smaller hydrodynamic radius.\textsuperscript{39–40} Although PA and PA-I copolymers coupled with DNA alone showed inferior transfection efficiency, the addition of 15 mM Ca\textsuperscript{2+} resulted in a

![Figure 6](image_url)

**Figure 6** Typical fluorescence images of 293T cells transfected by PA/DNA, PA-I/DNA, and PEI-25K/DNA, in the presence of 0, 15, and 30 mM Ca\textsuperscript{2+}. 293T cells were incubated with different formulations for 4 hours, and the GFP expression was evaluated after 48 hours of transfection.

**Abbreviations:** GFP, green fluorescent protein; PA, poly(amic acid); PA-I, poly(amic acid-co-imide); PEI, polyethyleneimine.
synergistic effect. In all cases, PA-I exhibited significantly higher transfection efficiency than did PA. The extensive negative charge density present in PA may have prevented complex formation between the like-charge DNA and PA copolymer. In Figure 7, PA-I40 with 15 mM Ca\(^{2+}\) resulted in the highest fluorescence intensity. Since EDTA is a divalent ion-chelating agent, the EDTA derivatives in PA and PA-I may interact strongly with Ca\(^{2+}\), therefore strengthening the copolymer/Ca\(^{2+}\)/DNA polyplex. However, the addition of 30 mM Ca\(^{2+}\) led to a decrease in transfection efficiency. This may be attributed to the fact that although calcium was shown to condense copolymer/DNA complexes by interacting with both amines and phosphate, it also acted simultaneously as a competitive inhibitor of amine/phosphate interactions at high concentration.\(^{41}\) Srinivasan et al showed that excessive Ca\(^{2+}\) concentration (beyond 25 mM) led to particle aggregation and decreased transfection efficiency; therefore, the concentration of cations needs to be adequately controlled.\(^{39}\) On the other hand, PA-I significantly outperformed PA in terms of transfection efficiency, in the presence of 15 mM Ca\(^{2+}\). This result, taken with the zeta potential values, conforms to expectations of the effect of moderated negative charge. A higher N/P ratio also corresponded to higher transfection efficiency, due to the formation of more compact polyplexes. This is in agreement with the retardation trend observed in the gel retardation experiment.

**DNA-release ability**

In order to realize the superior transfection efficiency of PA-I and PA copolymers coupled with DNA in the presence of divalent calcium cations, the release of plasmid DNA from polyplexes was evaluated by agarose gel electrophoresis. Figure 8 shows the migration of DNA, in both PA-I and PA groups, in the presence of divalent calcium cations.

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**Figure 7** Transfection efficiency of PA/DNA, PA-I/DNA, and PEI-25K/DNA, in 293T cells in the presence of 15 and 30 mM Ca\(^{2+}\).

**Notes:** Data are expressed as mean ± SD (n=6). **P<0.05 and ***P<0.01, by the Student’s t-test.

**Abbreviations:** PA, poly(amic acid); PA-I, poly(amic acid-co-imide); PEI, polyethyleneimine; RFU, relative fluorescence unit; SD, standard deviation.

**Figure 8** The capability of our copolymer/Ca\(^{2+}\)/DNA to release plasmid DNA was evaluated by agarose gel electrophoresis (100 V, 10 minutes).

**Abbreviations:** PA, poly(amic acid); PA-I, poly(amic acid-co-imide).
Table 2 The particle size and zeta potential of DNA/PA and DNA/PA-I, in the presence and absence of calcium ion

<table>
<thead>
<tr>
<th>Polymer complex</th>
<th>DNA/PA-I (1:30)</th>
<th>DNA/PA-I (1:40)</th>
<th>DNA/PA (1:30)</th>
<th>DNA/PA (1:40)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Particle size (nm)</td>
<td>153.5</td>
<td>142.3</td>
<td>168.5</td>
<td>158.5</td>
</tr>
<tr>
<td>Zeta potential (mV)</td>
<td>-18.5</td>
<td>-19.6</td>
<td>-40.2</td>
<td>-42.5</td>
</tr>
<tr>
<td><strong>Polymer complex +15 mM Ca</strong>&lt;sup&gt;2+&lt;/sup&gt;</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Particle size (nm)</td>
<td>565.2</td>
<td>525.2</td>
<td>425.2</td>
<td>439.8</td>
</tr>
<tr>
<td>Zeta potential (mV)</td>
<td>-25.2</td>
<td>-24.5</td>
<td>-49.5</td>
<td>-50.7</td>
</tr>
</tbody>
</table>

**Abbreviations:** PA, poly(amic acid); PA-I, poly(amic acid-co-imide).

These results suggested that DNA can be released from both PA and PA-I polyplexes in Ca<sup>2+</sup> solution and that DNA was more efficiently released from PA-I polyplexes. These results were consistent with fluorescence expression assays. These experiments also showed that the cationic part of polyimide promoted the dissociation of polymer/DNA complex (PA-I/DNA) to provide high gene transfection efficiency after incubation with cells. Moreover the particle size and zeta potential of polyplexes were also examined in the presence and absence of calcium ions, as shown in Table 2. The size of polyplexes was approximately 150 nm for DNA/PA and DNA/PA-I. The size changed dramatically when calcium ions was added to the solution. The increase in particle size indicated disruption of polyplexes by calcium ions. In addition, the zeta potential of the polyplexes did not change significantly in the presence of calcium ions.

**Interaction of Ca**<sup>2+</sup> **with PA**

The above evidence showed that decomplexation of DNA polyplexes was induced in the presence of calcium ions and therefore resulted in efficient DNA delivery. The interaction of Ca<sup>2+</sup> and the copolymer was examined by FT-IR. EDTA is a versatile agent that can form four or six bonds with a metal ion. In the calcium complex, [Ca(EDTA)]<sup>2-</sup>, EDTA is a tetradentate ligand, and chelation involves two nitrogen atoms and two oxygen atoms. In our study, PA was polymerized from EDTA and EOEM, with EDTA derivatives still present in the polymer atoms. Therefore, PA and PA-I could be chelated with calcium ion and release DNA from the complex. The EDTA-chelate-calcium and PA-chelated-calcium structures were shown in Figure 9. In Figure 10, the absorption spectra of Ca<sup>2+</sup>-free and Ca<sup>2+</sup>-binding forms of PA was characterized by FT-IR. The amide bonds of PA exhibited bands at 1,569 and 1,652 cm<sup>-1</sup>. When Ca<sup>2+</sup> was added to PA, a new band was found at 1,588 cm<sup>-1</sup>, which was assigned to the Ca<sup>2+</sup>-binding COO– group. This result proved that calcium ion was coordinated with the COO– group of PA. This coordination between polymer and metal ion is likely the reason why DNA can be released from polymer complexes in the presence of calcium ion.

![Figure 9](image-url) The molecule structure of calcium chelated with EDTA and PA.

**Abbreviations:** EDTA, ethylenediaminetetraacetic dianhydride; PA, poly(amic acid).
Conclusion
In summary, we designed a water-soluble PA-I that is nontoxic and exhibits potential to be utilized as a nonviral gene carrier. Through thermal cyclization, the negatively charged PA was converted to the more moderate PA-I, which exhibited low cytotoxicity and high transfection efficiency. The addition of Ca$^{2+}$ significantly increased transfection, due to the possible formation of a ternary polyplex containing PA-I, Ca$^{2+}$, and DNA. The devised system exhibited dual control based on the imidization conditions and Ca$^{2+}$ concentration. In the past, the use of poly(Imides) as delivery vehicles for biomolecules has been limited by their poor water solubility. The development of water-soluble PA-I therefore broadens their applications and initiates further explorations of PA-Is as biomaterials.

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Disclosure
The authors report no conflicts of interest in this work.

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