Improving diabetes medication adherence: successful, scalable interventions

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Abstract: Effective medications are a cornerstone of prevention and disease treatment, yet only about half of patients take their medications as prescribed, resulting in a common and costly public health challenge for the US health care system. Since poor medication adherence is a complex problem with many contributing causes, there is no one universal solution. This paper describes interventions that were not only effective in improving medication adherence among patients with diabetes, but were also potentially scalable (ie, easy to implement to a large population). We identify key characteristics that make these interventions effective and scalable. This information is intended to inform health care systems seeking proven, low resource, cost-effective solutions to improve medication adherence.

Keywords: medication adherence, diabetes mellitus, chronic disease, dissemination research, implementation research, review

The burden of medication non-adherence

Medication non-adherence is common and is one of the leading public health challenges facing the US.1 It has been estimated that half of chronic disease medications are not taken as prescribed.2–4 Even after a prescription has been filled, many patients do not take their medication as prescribed. Within 1 year, over 50% of patients prematurely discontinue their medications.5,6 This widespread non-adherence has serious consequences to individual patients and the health care systems that serve them.

Non-adherence has been linked with poorer treatment outcomes8 and progression of disease symptoms and complications.9 As a result of deteriorating health and adverse events, non-adherence is also associated with increased health services utilization and hospital admission.10–12 In addition, the typical non-adherent patient requires three additional medical visits per year, yielding an average increase in treatment costs of $2,000 annually.13 It has been estimated that medication non-adherence costs US health care systems between $100–290 billion annually.14,15

The complex medical regimens often required in diabetes make this an ideal condition for examining medication non-adherence. For diabetes specifically, a study conducted among veterans determined that improving adherence could result in annual estimated cost savings ranging from $661 million to $1.16 billion.16 This has repercussions for health care planning and policy design, which may ultimately affect the general population.17 We selected diabetes as a model for two reasons. First, diabetes is a complex disease that requires self-management and self-care with focus on medication adherence to achieve good glycemic control. Secondly, examining interventions in one specific disease context (for example, as opposed to looking more broadly at interventions addressing one of many cardiovascular disease risk factors) enabled comparisons of unique interventions with a common goal – improving medication adherence among patients with diabetes.
Interventions to improve medication non-adherence

While medication non-adherence is a serious and costly challenge, many health service interventions have provided solutions to improve medication adherence in specific contexts and population groups. Because of its frequent reliance on intricate medication therapy, many of these programs have focused on patients with diabetes. However, these proposed solutions often provide inadequate detail to be reproduced, are resource intensive, require substantial policy changes, or are too complex to be scalable (ie, amenable to being “scaled up”), that is, taking an intervention that is known to be effective and applying it more broadly in other health care settings and/or with additional patient populations and cannot be easily adapted for real-world, community settings. An intervention might have been successful in a controlled, study environment but necessary detail to guide intervention adoption and dissemination is often not reported. Moving forward, researchers, funders, and policy makers must evaluate adherence interventions for their potential for diffusion into practice and return on investment. Rather than “reinventing the wheel”, health care institutions or systems can adapt and implement existing proven strategies to promote medication adherence among patients with diabetes.

Prior systematic reviews of medication adherence interventions, while comprehensive, offer little practical advice for clinicians, health care executives, and policymakers in terms of which interventions might be suitable for scale-up and what specific actions they can take to combat non-adherence in their specific settings. Our objective is to provide a general review of diabetes adherence interventions and highlight exemplar research studies that improved medication adherence and patient outcomes using strategies that could be effective if employed in real world health care settings.

Intervention identification

We reviewed existing literature for successful interventions, that: 1) addressed diabetes medication adherence; and 2) achieved an improvement in medication adherence. Our search was limited to studies indexed in PubMed that were written in English in the previous decade. The specific search strategy used was: “medication adherence”[Mesh] AND “diabetes mellitus”[Mesh] AND “randomized controlled trial”[Publication Type] AND (“2004/01/01”[PDat]: “2014/01/01”[PDat] AND English[lang]).

Using this strategy, we identified 53 potential articles. Articles were subsequently omitted if they met any of the following exclusion criteria: did not address diabetes, did not report results of a randomized trial (eg, protocol only or only information from a baseline assessment), did not significantly improve medication adherence, or medication adherence was not an outcome measure. Scalability was not assessed in this initial screening. After applying exclusion criteria, seven eligible studies remained. Figure 1 describes the article selection process in detail. We describe each intervention’s study design, effectiveness, and scalability – or potential for “scaling up” – and broad implementation. Of note, we initially intended that an assessment of cost be an inclusion criterion, but none of the seven identified articles contained a robust cost analysis.

Included interventions

Of the seven potentially scalable interventions that we identified, four studies involved pharmacy-driven interventions (Table 1). Three studies described educational interventions (Table 2). These included two studies that compared educational strategies: teach back versus pictorial images, and telephone-based versus print. One study evaluated a face-to-face educational intervention. These studies were set in both US-based and international contexts (Table 3).

Pharmacy-driven interventions

The pharmacy-driven interventions varied with regard to their setting: retail pharmacies, and public health or health care systems.

Retail pharmacies

Odegard and Christensen conducted a randomized multi-pharmacy controlled trial to evaluate the impact of a 12-month missed refill follow-up call on adherence. Eligible patients were taking oral diabetes medication and were 6 days or more late obtaining a prescription refill. After enrollment and randomization, patients who were late for refills in the intervention arm were initially counseled on the importance of adherence, and follow-up calls were conducted between 1 to 4 weeks following the intervention. Participating pharmacists were reimbursed $10 per initial and follow-up call or in-store contact with the participant. The intervention successfully improved medication adherence, which was evaluated using pharmacy refill data to calculate a medication possession ratio. For the intervention group, at 6 months medication possession ratio had improved from 0.90 to 0.92 (P=0.16) and at 12 months from 0.85 to 0.90 (P<0.01).
Medication possession ratio was nearly unchanged for control participants at 6 months and was slightly worse at 12 months. Improvements in clinical outcomes were not measured.

Vervloet and colleagues conducted a 6-month, three-arm randomized controlled trial. In the two intervention groups, patients received their oral anti-hyperglycemics in a real time medication monitoring (RTMM) medication dispenser and had their medication use registered in real time. RTMM is similar to the medication event monitoring system and involves an electronic medicine box that registers precisely the date and time when a patient opens it to take their medication (ie, in real time). This real time monitoring provides an advantage over other techniques, such as patients self-report, in that it provides more accurate and prompt information about when a patient takes their medication. Patients in the first group received a short message service (SMS) reminder only if they had not opened their medication dispenser within the agreed time period. In an effort to avoid becoming a nuisance, reminders were sent only once. SMS is a text-messaging component that can be delivered via mobile devices including telephones to exchange short text communications. The combination of these two approaches (RTMM and SMS) enabled...
Table 1 Description of pharmacy-driven intervention studies

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<th>Setting</th>
<th>Design</th>
<th>Interventionist</th>
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<tr>
<td>Obreli-Neto et al 2011</td>
<td>194 elderly, diabetic, and hypertensive patients completed the study.</td>
<td>Patients were recruited from the Public Primary Health Care Unit in a municipality in the Brazilian State of Sao Paulo, Brazil.</td>
<td>This was a two-arm randomized, controlled, prospective clinical trial. The control group received usual care. The intervention group received pharmaceutical care intervention including: assessment of non-adherence; discussion about the role of medication in health status; suggestions to physicians concerning new drug regimens; orientation with respect to correct drug use and the confection of special package with a visual reminder that a medication was taken. All were individualized.</td>
<td>Four pharmacists conducted the pharmaceutical care program once every 6 months.</td>
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<td>Odegard and Christensen 2012</td>
<td>265 patients with diabetes, taking oral diabetes medications and late for refills by ≥6 days completed the study.</td>
<td>Patients were recruited from four Safeway pharmacies (a grocery-based chain) in the greater Seattle, WA, area.</td>
<td>MAP was a randomized, multi-pharmacy, controlled trial to assess the impact on adherence of a missed refill follow-up telephone call intervention. Patients were randomized at the pharmacy level to usual care or MAP intervention.</td>
<td>Study-trained pharmacists delivered the intervention. Calls were scheduled between 1 week and 1 month following the intervention.</td>
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<td>Shah et al 2013</td>
<td>127 patients with diabetes and an hemoglobin A1c ≥8% who had a provider and medications filled completed the study.</td>
<td>Patients were recruited from a county health system.</td>
<td>This was a prospective, randomized, controlled study that compared pharmacist discharge counseling (intervention) with usual patient care (control). Both groups received a 30-day supply of all discharge medications. Patients in the intervention received 1 30–45 minutes counseling session prior to discharge, which emphasized self-care behaviors.</td>
<td>There was one pharmacist dedicated to discharge counseling all patients in this study.</td>
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<td>Vervloet et al 2012</td>
<td>104 type 2 diabetes patients with suboptimal adherence to oral anti-diabetics (pharmacy refill rate of their oral anti-diabetic medication of less than 80%).</td>
<td>Patients were recruited from 40 pharmacies belonging to Mediq, a large Dutch pharmacy chain.</td>
<td>This was a randomized control trial. Patients were randomized to usual care or one or two intervention groups. In both intervention groups, patients received their oral anti-diabetics in a RTMM medication dispenser and had their medication use registered in real time. Patients in the first group received an SMS reminder if they had not opened their medication dispenser within the agreed time period. Patients in the second group received the RTMM medication dispenser but did not receive SMS reminders.</td>
<td>During the intake in the pharmacy, patients were informed about the study by the pharmacy staff and received the electronic dispenser.</td>
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Abbreviations: MAP, medication adherence program; MPR, medication possession ratio; PDC, proportion of days covered; RTMM, real time medication monitoring; SMS, short message service.

researchers to avoid sending reminders to patients who had already taken their medication. Patients in the second group received the RTMM medication dispenser but did not receive SMS reminders. Medication adherence was determined via the RTMM dispensers. Three adherence measures were assessed: days without dosing, missed doses, and doses taken within predefined standardized time windows. Patients receiving SMS reminders took more doses within predefined time windows than patients receiving no reminders: 50% versus 39% within a 1-hour window (P<0.01) up to 81% versus 70% within a 4-hour window (P<0.01).21 In both the SMS and control groups some patients prematurely discontinued the intervention prior to completion of the 6-month study. This occurred for 11 participations (of 56) in the SMS intervention group and 14 (of 48) in the control group. Among those patients that received the SMS messages, the number of reminders received did not differ significantly between patients who reported a positive experience with reminders and those that did not. In both the SMS and control groups some patients
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<td>Outcome measures included pharmacy adherence (Morisky-Green) and clinical measurements (blood pressure, fasting glucose, hemoglobin A1c, triglycerides, and total cholesterol).</td>
<td>The project lasted 36 months, from October 2006 to October 2009.</td>
<td>This program was complex and resource-intensive, but affected adherence and improvement on several clinical outcomes.</td>
<td>According to computerized dispensed medication history, 52.6% of intervention group patients were adherent at baseline and 83.5% were adherent after 36 months. No significant changes were verified in the control group.</td>
<td>The intervention group had significant improvements in pharmacy adherence, computerized dispensed medication history, blood pressure control, fasting glucose, hemoglobin A1c, triglycerides, and total cholesterol.</td>
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<td>Outcome measures included changes in medication adherence based on MPR at baseline, 6, and 12 months.</td>
<td>Recruitment occurred from April 2008 to October 2009. Participation lasted 12 months.</td>
<td>This program was implemented in retail pharmacies using existing pharmacists who were reimbursed for their effort.</td>
<td>For the intervention group, at 6 months MPR had improved from 0.90 to 0.92 (P=0.16) and at 12 months from 0.85 to 0.90 (P&lt;0.01). MPR was nearly unchanged for control participants at 6 months and was slightly worse at 12 months.</td>
<td>Baseline adherence was similar for control and intervention groups. At 12 months, MPR was significantly improved for the MAP group compared to usual care. The intervention showed greater effect for patients with baseline MPR less than 80%.</td>
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<td>Outcomes included the overall diabetes medication adherence rate, using the PDC method, and spanning more than 150 days after discharge. Adherence was also assessed at various time points (30, 60, 90, and 120 days) following completion of the 30-day discharge medications. The primary outcome measure was adherence to oral anti-diabetics registered with RTMM measured as: 1) days without dosing; 2) missed doses; 3) doses taken within predefined standardized time windows.</td>
<td>There was a 3-month enrollment period; the study was conducted in 2010 and 2011.</td>
<td>This study is unique because it used a one-time inpatient/transitional education program to improve outpatient medication adherence.</td>
<td>Patients in the intervention, compared to controls, had a greater medication adherence rate 150 days after discharge (55.2% versus 34.8%; P&lt;0.002).</td>
<td>Patients in the intervention, compared with the control group, had greater diabetes medication adherence rate 150 days after discharge, rate of follow-up visits and reduction in hemoglobin A1c.</td>
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<td>The study occurred over a 6-month period.</td>
<td>RTMM combines electronic monitoring with SMS reminders. RTMM registers data in real time, making it possible to identify a missed dose as it happens.</td>
<td>Patients receiving SMS reminders took more doses within predefined time windows than patients receiving no reminders: 50% versus 39% within a 1-hour window (P&lt;0.01) up to 81% versus 70% within a 4-hour window (P&lt;0.01).</td>
<td>Patients receiving SMS reminders took significantly more doses than patients receiving no reminders. Reminded patients tended to miss doses less frequently than patients not reminded. Days without dosing were not significantly different between the groups.</td>
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Prematurely discontinued the intervention prior to completion of the 6-month study. The SMS reminder intervention successfully prompted patients to take significantly more doses than patients not receiving reminders, and reminded patients who tended to miss doses less frequently than those only using the dispenser.

These two pharmacist-driven and pharmacy-based interventions had noteworthy strengths that may increase their potential for scale-up. First, Odegard and Christensen designed an intervention that could be conducted with existing staff resources and requires little additional time (eg, one follow-up phone call by a pharmacist). Secondly, Vervloet et al used a primarily electronic system of monitoring and reminders that was innovative because it required very little human input from an interventionist and targeted patients that need adherence counseling (ie, only patients whose RTMM registered that they had not taken their medicine were sent a reminder). While there is cost to the dispenser system, the automated aspect conserves and prioritizes resource use.
Table 2 Description of educational intervention studies

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<th>Author/year</th>
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<th>Setting</th>
<th>Design</th>
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<td>Negarandeh et al</td>
<td>127 patients with type 2 diabetes and low health literacy completed the study.</td>
<td>Patients were recruited from a secondary care level diabetes clinic in Saqez, Kurdistan.</td>
<td>This was a three-arm randomized controlled trial: 1) pictorial image; 2) teach back; and 3) control group. The intervention groups received education within 3 weekly 20 minutes sessions. Content for the intervention groups was the same; educational strategy differed.</td>
<td>A community health nurse conducted both intervention groups and administrated baseline and follow-up questionnaires.</td>
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<td>Tan et al 2011</td>
<td>151 patients with poorly controlled diabetes completed the study.</td>
<td>Patients were recruited from an urban government state hospital and a rural government primary care clinic in Malaysia.</td>
<td>This was a single-blind, randomized study comparing the effect of a brief structured face-to-face education intervention with usual care. The structured education program consisted of 3 monthly sessions – two face-to-face and one via telephone – addressing self-care practices of diet, physical activity, medication adherence, and self-monitoring of blood glucose.</td>
<td>The study investigator(s) served as the interventionist.</td>
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<td>Walker et al 2011</td>
<td>526 patients with elevated hemoglobin A1c who were prescribed oral glucose-lowering agent(s) were recruited.</td>
<td>Patients were members of a health care worker union fund based in New York City. Patients and/or their spouses were full-time health workers.</td>
<td>The I DO study is a randomized controlled behavioral intervention comparing the effectiveness of a telephone intervention with a print intervention (active control).</td>
<td>The interventionist was a health educator.</td>
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**Abbreviation:** CI, confidence interval.

Public health or health care systems

In a public health/health system setting, Obreli-Neto et al evaluated the effect of a pharmaceutical care program of medication adherence among elderly diabetic and hypertensive patients. This study was a randomized, controlled, prospective clinical trial occurring over a 3-year period. The intervention involved: assessment of non-adherence, discussion about the role of medication in health status, suggestions to physicians concerning new drug regimens, orientation with respect to correct drug use and the confection of a special package with a visual reminder that medication was taken. The intervention was delivered via follow-up attendances and educational group activities. Among the intervention group at 36-months, the study resulted in improvements in self-reported medication adherence (measured using the validated Morisky-Green test translated into Portuguese) and computerized dispensed medication history, number of patients reaching adequate values for their blood pressure, and hemoglobin A1c. Specifically, according to computerized dispensed medication history, 52.6% of intervention group patients were adherent at baseline and 83.5% were adherent after 36 months. There were no comparable improvements in the control group.

Shah et al conducted a prospective, randomized, controlled study that compared pharmacist discharge counseling (intervention) with usual patient care (control). Both groups received a 30-day supply of all discharge medications. Patients in the intervention received one 30–45 minutes
counseling session prior to discharge, which emphasized self-care behaviors. Medication adherence was measured using an outpatient pharmacy database and calculated using the validated proportion of days covered method, which reflects the percentage of time that diabetes medications were covered. This study showed both an improvement in medication adherence as well as in clinical outcomes (hemoglobin A1c) and fewer follow-up visits. Patients in the intervention, compared to controls, had a greater medication adherence rate 150 days after discharge (55.2% versus 34.8%; \( P=0.02 \)).

These pharmacy-driven public health or health care system interventions have important features. Obreli-Neto et al enrolled a more generalized population; patients were elderly and may have had multiple comorbid conditions. This patient population may make it more relevant to other health care settings and complex patient populations, making it more scalable. Shah et al not only demonstrated an improvement in adherence as measured by outpatient pharmacy records and clinical values, they also showed a potential reduction in health care use (eg, fewer visits), which could be of great advantage to a health care system.\(^{21}\)

**Educational interventions**

Negarandeh and colleagues employed two educational strategies, teach back and pictorial images, to increase knowledge about diabetes and adherence with medication and dietary recommendations among low literacy patients with type 2 diabetes.\(^{19}\) The study design was rigorous and involved a
three-arm randomized trial – an arm for each educational strategy, plus a control group. As part of the intervention, a community health nurse conducted three weekly 20-minute educational sessions. The content of the educational intervention was the same across both intervention arms. The delivery method (ie, teach back or pictorial images) was what was being assessed. “Teach back” essentially involved the interventionist emphasizing a key point throughout the visit and encouraging patients to ask questions. The interventionist then used “teach back” to confirm the patient’s understanding. For example, “When you get home and your [partner] asks what the [doctor] said today, what will you tell them?” Patients in the pictorial image group were given the educational content via simple illustrated content. At the conclusion of the 3-week study the mean scores for knowledge, adherence to medication (measured using the 8-item Morisky Medication Adherence Scale),26 and diet were significantly improved in the intervention relative to the control groups; these differences persisted 6 weeks after the intervention. Given the relatively short study timeline, clinical outcomes (eg, hemoglobin A1c or blood pressure) were not measured.

Walker and colleagues conducted a one-year randomized controlled trial to evaluate the comparative effectiveness of a behavioral intervention conducted via telephone (intervention) versus print (active control).24 Patients in the telephone-based intervention group received approximately ten calls at 4–6 weeks intervals. Medication adherence was measured in two ways. Pharmacy claims data was used to calculate medication possession ratio. Self-reported adherence (ie, the four-item Morisky Self-Reported Medication Taking Scale)27 was also collected. Using claims data, a change in self-reported medication adherence was significantly associated with the telephonic intervention but only among patients not taking insulin. No diabetes self-care activities were significantly correlated with change in hemoglobin A1c. Greater intensity of the intervention (≥6 calls) was associated with greater improvement in hemoglobin A1c.

In Malaysia, Tan and colleagues conducted a 3-month, single-blind, randomized trial to compare the effect of a brief structured education program among patients with poorly controlled diabetes.22 There were two monthly face-to-face and one telephone-based interaction in which an interventionist addressed self-care issues including dietary habits, physical activity, medication adherence, and self-monitoring of blood glucose. Self-reported medication adherence was measured using the revised diabetes self-care activities questionnaire,28 which has been validated among a Malaysian population. The intervention group improved on each of these self-reported
self-care behaviors, plus diabetes knowledge and hemoglobin A1c. Within groups, diabetes knowledge, hemoglobin A1c level, blood glucose self-monitoring, and medication adherence improved from baseline to 3-months. The difference in adherence between the intervention and usual care groups was significant (91.4% versus 84.4%, P<0.01).22

While educational interventions show promise to improve adherence and clinical values, the effort involved in successfully implementing them may be variable making their suitability for scale-up somewhat ambiguous. An advantage of educational interventions is that they can be accomplished by different health professionals such as community health workers, health educators, nurses, and others, which are accessible and affordable in most health care settings. This relatively low resource requirement and ease of use make educational interventions appealing. However, the intensity of intervention required may dampen the utility of these interventions. As Negarandeh et al demonstrated, an additional 20-minute encounter with a community health worker requires relatively little additional burden and could be easily scaled-up for broad use;18 however, whether an intervention of this magnitude can improve outcomes is unclear. While more intensive interventions may improve adherence, health care systems may need to consider the resource requirements (eg, Is ten calls reasonable? Is a hybrid of face-to-face and telephone-based interactions feasible?) specific to their needs and resource availability.

Where do we go from here?
These seven interventions used distinctive approaches and were delivered in unique contexts to improve medication. Integrated systems may have an advantage in the accessibility of ancillary health professionals and medication-related information for implementing these interventions. However, outside of these systems many interventions have been tested without consideration of reach, resources, or cost.29 We assert that we need future research endeavors to be designed mindfully, with specific attention given to applicability, scalability, and sustainability. While we described studies that required resources commonly available in many health care settings, there is a need for additional effective interventions, particularly those that include an assessment of cost. While some of these studies rely on relatively inexpensive and potentially automated technological strategies (eg, text messaging), many also require highly trained personnel (eg, pharmacists) that have the potential to make interventions expensive to implement and scale-up. While these studies could possibly be further disseminated and implemented, it is not always clear that scaling up these interventions would be feasible from a cost-benefit perspective. Despite the importance of cost, relatively few studies provide a robust cost effectiveness analysis. Increased attention to the cost of study implementation, with focus on the linkage with improved patient outcomes, is needed.

Of the seven studies that we featured, interventionists included community health workers, health educators, and pharmacists. Over half of the studies used pharmacists as interventionists. Pharmacists are knowledgeable about pharmacotherapy and may be well trained to provide guidance on adherence. However, they may be an expensive resource. While these studies were effective at improving medication adherence and clinical outcomes, some resource-limited settings might not have additional staff to spare and/or may need to supplement with other skilled laborers such as certified health educators, social workers, licensed nurse practitioners, or other professionals who might be able to provide similar patient services at a reduced cost. Similarly, future innovations might be modified for delivery to groups of patients rather than individuals. In the context of diabetes, group visits have been associated with a greater decreased systolic blood pressure and fewer hospitalizations compared to patients receiving usual care.30 Providing group visits to facilitate medication adherence might be a scalable and sustainable solution. Another option may be e-learning through innovative applications available through smart-technologies that can be integrated into a patient’s day to help increase adherence. This concept is still very novel but with advances in technology and decreasing costs in production this may be an option in the future to help individuals with their health. Group visits can potentially reduce the demand and cost on health care providers and the system. Another way to conserve resources is to match patients with the right level and type of resources. All patients will not need a high intensity pharmacist-driven intervention; a phone call might work just as well for highly motivated patients. Similarly, even among patients that need intense intervention to motivate adherence, they may not require that level of intensity throughout the duration of a program. Several of these interventions relied on technologies, such as “smart” medication dispensers and text messaging. While mobile phone use is ubiquitous among many populations, use of these technologies may not be accessible or appealing to all patients. For example SMS-based reminder systems may work well for some patients, but may not be widely accepted by older patients who may be less familiar with the technology. Matching resources with patient needs is important and may ultimately reduce resource use.
A key element of scalability and sustainability is resource use and cost or return on investment. During this time of health care reform, providing high quality care, which produces measurable improvements in patients’ outcomes at justifiable cost, is paramount. While many studies have shown an improvement in medication adherence, few provide evidence of an improvement in clinical outcome, and fewer still assess the return on investment or thoughtful economic analysis. In light of the enormous lost opportunity to improve health associated with half of patients not reliably adherent to evidence-based medications, information on the cost-effectiveness of adherence interventions is critical in order to determine which interventions should be translated into clinical practice. We have provided examples of programs that have the potential to be broadly applied to address this critical gap in the delivery of care.

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