Anxiety disorders and GABA neurotransmission: a disturbance of modulation

Philippe Nuss1,2
1Department of Psychiatry, Hôpital St Antoine, AP-HP, 2UMR 7203, INSERM ERL 1057 – Bioactive Molecules Laboratory, Pierre and Marie Curie University, Paris, France

Abstract: Lines of evidence coming from many branches of neuroscience indicate that anxiety disorders arise from a dysfunction in the modulation of brain circuits which regulate emotional responses to potentially threatening stimuli. The concept of anxiety disorders as a disturbance of emotional response regulation is a useful one as it allows anxiety to be explained in terms of a more general model of aberrant salience and also because it identifies avenues for developing psychological, behavioral, and pharmacological strategies for the treatment of anxiety disorder. These circuits involve bottom-up activity from the amygdala, indicating the presence of potentially threatening stimuli, and top-down control mechanisms originating in the prefrontal cortex, signaling the emotional salience of stimuli. Understanding the factors that control cortical mechanisms may open the way to identification of more effective cognitive behavioral strategies for managing anxiety disorders. The brain circuits in the amygdala are thought to comprise inhibitory networks of γ-aminobutyric acid-ergic (GABAergic) interneurons and this neurotransmitter thus plays a key role in the modulation of anxiety responses both in the normal and pathological state. The presence of allosteric sites on the GABA_A receptor allows the level of inhibition of neurons in the amygdala to be regulated with exquisite precision, and these sites are the molecular targets of the principal classes of anxiolytic drugs. Changes in the levels of endogenous modulators of these allosteric sites as well as changes in the subunit composition of the GABA_A receptor may represent mechanisms whereby the level of neuronal inhibition is downregulated in pathological anxiety states. Neurosteroids are synthesized in the brain and act as allosteric modulators of the GABA_A receptor. Since their synthesis is itself regulated by stress and by anxiogenic stimuli, targeting the neurosteroid-GABA_A receptor axis represents an attractive target for the modulation of anxiety.

Keywords: allosteric modulation, amygdala, salience, γ-aminobutyric acid, neurosteroids

Objective

Better understanding of the neurobiological networks underlying anxiety and how they are deregulated in anxiety disorders is crucial, not only to understand the observed clinical features but also to propose individualized treatments. Treatment should aim to “retrain” and normalize the various neurobiological circuits that are deregulated in anxiety disorders. This review aims to describe the molecular and neurobiological modulatory processes associated with anxiety disorders, in particular those involving γ-aminobutyric acid-ergic (GABAergic) neurotransmission.

Anxiety disorders: definitions, presentation, and classification

Anxiety is a frequent negative emotional state characterized by feelings of worry and apprehension, and accompanied by specific somatic, cognitive, and behavioral
manifestations. Anxiety can be distinguished from fear in that it is a nonspecific state of heightened awareness and apprehension, whereas fear is directed at a specific identified threat. Anxiety is a part of the normal behavioral repertoire and is of value as a defense mechanism in raising awareness and responsiveness to deal with novel situations. Nonetheless, when it is excessively severe or frequent or appears in inappropriate contexts, it can interfere with normal functioning and can thus be considered as pathological. The boundary between “normal” anxiety and “pathological” anxiety is not easy to define and can vary between individuals as a function of personality traits or, notably, as a function of what has been described as an “anxiety-prone cognitive style.”

For the best part of 50 years, anxiety disorders were diagnose of psychiatric interest, namely the basolateral amygdala (BLA) and the central nucleus of the amygdala (CeA).

For the best part of 50 years, anxiety disorders were primarily treated with benzodiazepines. Although these drugs are generally well tolerated, they carry some risk of dependence during long-term use and have unwanted sedative effects. For these reasons, current practice guidelines recommend the use of antidepressants, in particular selective serotonin reuptake inhibitors (SSRIs) or serotonin–noradrenaline reuptake inhibitors, as the preferred first-line treatment for anxiety disorders. The use of benzodiazepines is now reserved for second-line treatment or for short-term adjunctive use with SSRIs at the beginning of treatment to cover the lag period of 4–6 weeks before SSRIs become fully effective. With regard to nonpharmacological treatment of anxiety disorders, cognitive behavioral therapy is the best established.

**Brain regions involved in the modulation of anxiety**

**Simplified view of the brain circuitry involved in anxiety**

Many brain regions appear to be involved in the recognition and regulation of negative emotional stimuli and in the generation of cognitive, behavioral, or somatic responses to these stimuli. Nonetheless, a set of limbic structures appear to be critical for the regulation of negative emotion. In particular, the amygdala – nuclei situated in the median temporal lobes – appears to play a crucial role. The principal neural circuits thought to be related to anxiety are presented in Figure 1. It is important to bear in mind that this circuitry has been established from research on experimental animals. Although data from functional imaging are consistent with this model, it should be noted that these pathways have not all been demonstrated conclusively in the human brain.

In humans, bilateral lesions of the amygdala have been associated with deficits in recognizing facial expressions of fear and other negative emotions. In contrast, electrical stimulation of this structure leads to feelings of fear and anxiety. Since the development of functional imagery, a plethora of studies have evaluated the activation of different brain regions in response to negative emotional stimuli and in anxiety disorders (reviewed in detail by Etkin and Forster et al). A consistent finding of these studies has been the activation of the amygdala. Moreover, patients with anxiety disorders appear to activate the amygdala in response to a given stimulus more than non-anxious controls. In addition, successful treatment of anxiety disorders with cognitive behavioral therapy leads to extinction of this hyperactivation of the amygdala.

The amygdala is composed of a number of distinct nuclei but, for the purposes of a discussion of the brain circuitry relevant to anxiety, two groups of nuclei are of particular interest, namely the basolateral amygdala complex (BLA) and the central amygdala complex, in particular the central nucleus (CeA). The BLA receives incoming information on potentially negative emotional signals from the
Anxiety and modulation

The thalamus and the sensory association cortex (Figure 1). The BLA activates the CeA directly through an excitatory glutamatergic pathway as well as activating a relay of inhibitory GABAergic interneurons – the intercalated neurons – that lie between the BLA and the CeA and exert an inhibitory influence upon the latter.23,24 The CeA is the principal output pathway from the amygdala. Inhibitory GABAergic neurons project from the CeA to the hypothalamus and brainstem; the activation of these neurons leads to the somatic manifestations of anxiety.25 Projections to other basal forebrain nuclei such as the ventrotegmental area and the locus ceruleus may be involved in the dysphoria associated with anxiety.16 In addition to activation of the CeA, neurons from the BLA also activate cells in the adjacent bed nucleus of the stria terminalis, which project to the same areas as the CeA and apparently play a similar role.16,22

In addition to the role of the amygdala in the regulation of anxiety, forebrain areas such as the medial prefrontal cortex (PFC) and anterior cingulate cortex also appear to play an important role.15 These cortical areas receive and send excitatory glutamatergic projections to and from the BLA, and are activated concomitantly with the amygdala during presentation of emotional stimuli.26

It has been suggested that the medial PFC regulates the experience or expression of anxiety through modulation of neuronal activity in the BLA, with more dorsal cortical areas being responsible for conscious, voluntary control of anxiety and more ventral areas responsible for implicit, subconscious control.15,27–29 This “top-down” control would lead to inhibition of output from the amygdala.

Again, neuroimaging studies have shown the medial PFC to be hypoactive in certain anxiety disorders, notably posttraumatic stress disorder20,30 and generalized anxiety disorder.15 Moreover, in subjects exerting voluntary effort to control their emotional reactivity31,32 to negative emotional stimuli, the lateral and medial PFC was strongly activated. Interestingly, anxious individuals needed to achieve higher levels of PFC activation than did non-anxious participants in order to reduce negative emotions successfully. Also of interest in this context is a neuroimaging study that demonstrated activation of the anterior cingulate cortex associated with an anxiolytic response to a placebo in subjects reacting to negatively charged cues, without any change in the activation of the amygdala.33 The strength of the activation of the anterior cingulate cortex was strongly correlated with the robustness of the placebo effect.33 Understanding how these cortical–subcortical regulatory mechanisms work and how they can be harnessed could be very important in developing more effective interventions to reduce anxiety.

Role of GABA in the amygdala

GABAergic neurotransmission in the amygdala is a promising candidate for modulation of anxiety-related responses. A number of lines of research in experimental animals have provided evidence for an important role of GABAergic neurotransmission in the amygdala in modulating anxiety-related behaviors. For example, infusions of GABA or GABA receptor agonists into the amygdala decrease measures of fear and anxiety in several animal species while infusions of GABA antagonists tend to have anxiogenic effects.34,35 Likewise, selective deactivation of expression of the GABA synthetic enzyme glutamic acid decarboxylase in the amygdala leads to loss of the anxiolytic response to benzodiazepines.36 In humans, administration of benzodiazepines attenuates the activation of the amygdala in the presence of negative emotional stimuli.37,38

As described above, the GABAergic intercalated neurons that lie entirely within the amygdala (Figure 2) regulate
activation of the CeA by the BLA. In addition, these neurons are also a principal target of the excitatory glutamatergic projection from the PFC to the amygdala and make numerous monosynaptic contacts between different groups of intercalated neurons. These neurons possess a slowly deactivating potassium conductance, which confers on them the ability to modify their firing pattern in response to changes in the overall activity within the amygdala. These GABAergic neurons are thus well placed to play a key modulatory role in the gating of information related to anxiety in the amygdala.

**Neurotransmitters involved in the modulation of anxiety**

The expression of anxiety involves a coordinated activity of numerous brain pathways involving different neurotransmitters, all of which interact and are modulated by local and distant synaptic relays. The role of the inhibitory neurotransmitter GABA has long been regarded as central to the regulation of anxiety and this neurotransmitter system is the target of benzodiazepines and related drugs used to treat anxiety disorders. It should nevertheless be recognized that GABA is not the only neurotransmitter important in the modulation of anxiety responses in the amygdala, and many other neurotransmitters have been implicated, including serotonin, opioid peptides, endocannabinoids, neuropeptide Y, oxytocin, and corticotropin-releasing hormone. These important neurotransmitter pathways will not be considered in detail in this review.

**GABA, GABA receptors, and GABA-involved structures**

GABA is the major inhibitory neurotransmitter in the central nervous system (CNS) and it has been estimated that at least one-third of all CNS neurons utilize GABA as their primary neurotransmitter. GABAergic inhibition is essential for maintaining a balance between neuronal excitation and inhibition, for tight temporal and spatial control of transsynaptic signaling, temporal modulation of neuronal excitability, and for maintaining oscillatory “pacemaker” activities in numerous brain regions. Most GABA-containing neurons are interneurons, which control the excitability of local circuits within a given brain region, although some major projection pathways – notably arising in the thalamus and cortex – are GABAergic.

Neuronal inhibition by GABA is mediated by two distinct classes of GABA receptors. Ionotropic GABA receptors are fast-acting ligand-gated chloride channels responsible for rapid inhibition, while metabotropic GABA receptors are coupled indirectly via G-proteins to either calcium or potassium channels to produce slow and prolonged inhibitory responses. Their role in neurological and psychological function remains poorly characterized, although baclofen – a molecule that mimics the action of GABA at these GABA receptors – has potent myorelaxant properties and has been proposed to be useful in the treatment of alcohol dependence.

Activation of GABA receptors causes an immediate and substantial rise in chloride conductance across the cell membrane, which renders the neuron unable to raise an action potential and leads to “phasic” inhibition of the neuron. In addition, low (submicromolar) concentrations of GABA in the extracellular space can persistently activate extrasynaptic GABA receptors to generate a persistent or “tonic” inhibitory state, whereby the neuron will be rendered less responsive to excitatory stimuli.

**GABA receptor structure and function**

The GABA\(_A\) receptor is a hetero-oligomer composed of five protein subunits which traverse the neuronal membrane (Figure 3). They form a cylinder with a long axis of 11 nm and a diameter of 8 nm. Activation of the receptor by GABA causes a conformational change in the protein subunits leading to the transient creation of a pore along the axis of the cylinder through which chloride ions can flow from one side of the membrane to another. The protein subunits making up the GABA\(_A\) receptor can be grouped into different families based on their amino acid sequence, each family being made up of a restricted number of variants, differing...
The majority of GABA$_A$ receptors in the CNS are composed of two $\alpha$ subunits, two $\beta$ subunits, and a $\gamma$ subunit. Other families include the $\delta$, $\epsilon$, and $\pi$ subunits, which substitute for the $\gamma$ subunit on certain cell types, the $\theta$ subunit, which can substitute for the $\beta$ subunit, and the $\rho$ subunit, expressed predominantly in the retina, which forms homo-oligomeric GABA$_A$ receptors.

The $\alpha$ subunits confer sensitivity to GABA on the receptor and also determine its pharmacological specificity towards a number of allosteric modulators, notably the benzodiazepines and those that bind to the same site. GABA binds within the interface between the $\alpha$ and $\beta$ subunits; since there are two pairs of $\alpha$ and $\beta$ subunit on each receptor, two GABA molecules bind to each receptor, and thus allows positive cooperativity in the activation of the chloride conductance, conveying high sensitivity to the response (Figure 4). Benzodiazepines and related drugs bind within the interface between the $\alpha$ and $\gamma$ subunits, and their binding enhances the probability of channel opening in response to GABA. Through such a mechanism, benzodiazepines facilitate GABAergic inhibition. The affinity of benzodiazepines for the GABA$_A$ receptor is determined by the nature of the $\alpha$ and $\gamma$ subunit, and since GABA$_A$ receptors in different regions of the brain have different subunit structures, their sensitivity to allosteric modulators varies.

Indeed, the different $\alpha$ subunits also show anatomically specific patterns of expression in the CNS, with functionally different neuronal circuits expressing GABA$_A$ receptors of specific $\alpha$ subunit compositions. This differentiation is observed between brain regions, between different subcellular compartments (eg, presynaptic versus postsynaptic, somatic versus dendritic), and even between individual synapses of the same neuron. This structural heterogeneity suggests that the different $\alpha$ subunits may confer different behavioral functions and thus may constitute targets for specific therapeutic actions. Much effort has been devoted to tailoring the selectivity of molecules targeting these receptors in order to develop more effective medications or to avoid unwanted side-effects such as sedation or dependence. In this respect, it has been suggested, for example, that the relative specificity for $\alpha_1$-containing GABA$_A$ receptors of the hypnotic drug zolpidem may explain its relative lack of myorelaxant effects. Animal experiments using targeted gene deletion and introduction of point mutations in GABA$_A$ receptor genes have indicated that GABA$_A$ receptors containing the $\alpha_2$ subunit are particularly relevant for the manifestations of anxiety. In addition, $\alpha_2$-containing...
GABA_A receptors are the predominant GABA_A receptor subtype in the CeA.70

**GABA_A receptor modulation by neurosteroids**

There is growing evidence that neuroactive steroids play an important role as endogenous modulators of neuronal function and behavioral processes, and that alterations of endogenous neuroactive steroid concentrations may contribute to the pathophysiology of anxiety disorders.72 Neurosteroids can modify neuronal excitability through interaction with GABA_A receptors, as well as the N-methyl-D-aspartate subtype of excitatory amino acid (glutamate) receptors.73 Certain steroids can act as positive allosteric modulators of the GABA_A receptor, as well as directly activating the receptor.74 By binding in the interface between the α and β subunits close to the chloride ion channel (Figure 4), they activate the receptor, allowing chloride ions to traverse the pore. By binding to a separate site on the α subunit, they facilitate channel opening in response to GABA.74 The structure–activity relationships for the two sites are not the same, so different steroids have different relative direct activating and indirect facilitatory effects.75 Paradoxically, some of these agents may also inhibit GABA-mediated responses at higher doses, although the mechanism responsible for this effect remains unclear.75

These steroid sites can be recognized by steroids secreted by the adrenal gland (eg, pregnenolone), by steroids locally synthesized within the CNS, and by synthetic derivatives.76–79 Alfaxalone, which is used as an anesthetic in veterinary medicine, is an example of the latter class. In addition, certain adrenal steroids may be converted to neuroactive derivatives within the CNS. This is the case for progesterone, itself inactive at the GABA_A receptor, which is converted to the neuroactive 3α,5α-tetrahydroprogesterone.80,81

From the point of view of understanding the role of GABA receptors in anxiety, an important modulatory system consists of locally produced neurosteroids since their synthesis within glial cells is upregulated by stress.82 They thus represent a potential intrinsic modulatory system to fine-tune inhibition within the CNS in response to anxiogenic stimuli.83 Examples of neurosteroids include allopregnanolone and 3α,5α-tetrahydrodeoxycorticosterone, although adrenal steroids such as pregnenolone and dehydroepiandrosterone are also produced within the CNS.77 These are synthesized by cytochrome P450 enzymes in oligodendrocytes, astrocytes, and possibly in neurons.77 Allopregnanolone is a potent positive allosteric modulator of GABA_A receptors, which allows it to facilitate and fine-tune the activity of GABA at these receptors.77 Through its action on GABA_A receptors, allopregnanolone exerts anxiolytic, antidepressant, and anticonvulsant activities when administered to experimental animals.84,85 These pharmacological actions are reminiscent of those elicited by barbiturates and benzodiazepines. Interestingly, the synthesis of neurosteroids in the CNS can be upregulated by SSRIs,86 providing a link between these treatments for anxiety disorders and the GABAergic system.

The production of neurosteroids varies between brain regions and, together with the heterogeneity in subunit composition of the GABA_A receptor, endows the neurosteroid system with substantial regional and even cellular specificity with regard to allosteric regulation of the GABA_A receptor.77 The synthesis of neurosteroids is modulated by stress,87 by changes in the estrous cycle,88 by pregnancy,89 and by alcohol.90,91 Changes in neurosteroid levels and subsequent changes in the sensitivity of GABA_A receptors have been implicated in the development of premenstrual dysphoric disorder.92 There have been many studies investigating concentrations of neurosteroids in patients with anxiety disorders, although their results have not always been consistent. For example, serum concentrations of pregnenolone have been shown to be lowered in patients with generalized anxiety disorder93 and with generalized social phobia,94 whereas progesterone concentrations are increased in patients with panic disorder and are correlated with state anxiety.95
In healthy volunteers receiving cholecystokinin tetrapeptide for induction of an experimental panic attack, an increase in adrenocorticotropic hormone and cortisol levels as well as in 3α,5α-tetrahydrodeoxy cortisol concentrations was observed. In experimental animals, direct administration of low concentrations of several neurosteroids, including pregnenolone, dehydroepiandrosterone, and progesterone, has anxiolytic effects. It has been demonstrated that 3α,5α-tetrahydrodeoxy cortisol attenuates the stress-induced elevation of plasma adrenocorticotropic hormone and cortisol in rats. Moreover, in humans, administration of progesterone also produces sedative–anxiolytic effects. Neurosteroids thus represent an attractive target for the development of new anxiolytic or antidepressant drugs. Interestingly, functional imaging studies in humans have demonstrated that progesterone and its metabolites may influence states of human anxiety by modulating neuronal activity in the amygdala. In addition, neuroactive steroids show less abuse potential than benzodiazepines. Decreased abuse liability together with the lack of interaction with ethanol would present a potential advantage over currently available benzodiazepine anxiolytics, and rapidity of action would present an advantage over SSRIs. The goal would be to find agents that exploit this molecular pathway, that act with robust anxiolytic-like activity but have a large separation between anxiolytic-like effects and sedation/ataxia, and that show good oral bioavailability. Neurosteroids themselves are perhaps not the ideal candidate – firstly since they are extensively metabolized both in the periphery and within the CNS, and secondly due to the risk of unwanted endocrine side-effects in the periphery. Indeed, in a double-blind, crossover study, treatment with pregnenolone for 4 weeks had no significant effects on mood, memory, self-rated sleep quality, or subjective wellbeing in healthy volunteers.

On the other hand, small molecules that selectively promote neurosteroid synthesis within the CNS or mimic the action of neurosteroids at GABA\(_A\) receptors may be more promising. For example, etifoxine – a small molecule structurally unrelated to benzodiazepines that demonstrates anxiolytic effects in experimental animals and man – may be a modulator of neurosteroid action. Randomized clinical trials comparing etifoxine to buspirone, sulpiride, or lorazepam have demonstrated the efficacy of this agent in patients with adjustment disorder. Moreover, compared to lorazepam, etifoxine has relatively low sedative effects and is associated with less memory impairment and interference with neuropsychological function.

### Etifoxine on the GABA\(_A\) receptor and neurosteroids

Two distinct but complementary molecular mechanisms have been described for etifoxine, namely a direct effect on the GABA\(_A\) receptor and a facilitatory effect on the synthesis of 3α,5α-neurosteroids. These actions would be expected to act in synergy to augment inhibitory GABA neurotransmission.

On the GABA\(_A\) receptor itself, etifoxine is a positive allosteric modulator which enhances chloride conductance in response to GABA. Etifoxine appears to bind to a site on the GABA\(_A\) receptor distinct from binding sites for benzodiazepines and neurosteroids. More recent electrophysiological studies using recombinant GABA\(_A\) receptors of known subunit composition have identified the β subunit of the receptor as being critical for the action of etifoxine. Homomeric GABA\(_A\) receptors containing β subunits only, etifoxine reduces the spontaneous background chloride flux through these receptor ion channels. Using heteromeric receptors containing either α and β subunits only or β and γ subunits only, etifoxine consistently enhanced the chloride currents evoked by GABA, suggesting that the β subunit of the receptor is necessary and sufficient for the positive allosteric effect of etifoxine. The activity of etifoxine was greatest in receptors containing the β2 or β3 isoforms compared to the β1 isoform. On the other hand, the nature of the α subunit did not influence the activity of etifoxine. Interestingly, activity was not abolished by an arginine to serine point mutation in position 289 of the β subunit, as is the case for loreclezole – an anticonvulsant drug that also targets the β subunit.

The second molecular target of etifoxine is the synthetic machinery for neurosteroids in mitochondria and, in particular, the 18 kDa mitochondrial translocator protein (TSPO) to which it binds. TSPO is a transporter protein responsible for presenting steroids in the inner leaflet of mitochondria. This process is thought to be the rate-limiting step in the synthesis of neurosteroids. In rats, administration of etifoxine leads to a dose-dependent rise in concentrations of pregnenolone, progesterone, 5α-dihydrotrogestrone, and allopregnanolone in plasma and cerebrospinal fluid. This effect is preserved in adrenalectomized and castrated animals, suggesting that the increase is due to stimulation of neurosteroid synthesis in the CNS. The relevance of this effect to the anxiolytic effect of etifoxine is suggested by the observation that these effects are attenuated by the administration of finasteride, an inhibitor of 5α-reductase – a key enzyme in the synthesis of neurosteroids.
The involvement of neurosteroids in the mechanism of action of etifoxine is further supported by studies in neuropathic pain, a condition in which neurosteroids are particularly effective. It has been observed that etifoxine attenuates neuropathic pain and that this analgesic effect is blocked by the 5α-reductase inhibitor finasteride. It should be noted that etifoxine may have other mechanisms of action independent of GABA, for example, mechanisms related to the neurotrophic factor GDNF. However, these GABA-independent mechanisms are currently poorly characterized.

Conclusion

Lines of evidence coming from different branches of neuroscience indicate that anxiety disorders arise not from a hard-wired defect in the brain but rather from a dysfunction in the modulation of brain circuits that regulate the emotional response to potentially threatening stimuli. An integrated modulatory model of anxiety may be represented schematically by an imbalance between overactive bottom-up activity from the amygdala, indicating the presence of potentially threatening stimuli, and dysfunctional top-down control mechanisms originating in the PFC, related to an inappropriate representation of the emotional salience of stimuli. From a neurophysiological perspective, it is now recognized that the neural circuits involved in anxiety comprise inhibitory networks of principally GABAergic interneurons. The presence of allosteric sites on the GABA receptor allows the level of inhibition of the neuron to be regulated with exquisite precision. These allosteric sites are the molecular targets for the principal classes of anticonvulsant, anxiolytic, and sedative–hypnotic drugs, including benzodiazepines, barbiturates, neurosteroids, certain classes of general anesthetics, and alcohol. In addition, changes in the levels of endogenous modulators of these allosteric sites, in particular the neurosteroids, as well as changes in the subunit composition of the GABA receptor may represent mechanisms whereby the level of neuronal inhibition is downregulated in pathological anxiety states. Targeting the neurosteroid–GABA receptor axis may allow specific modulation of anxiety as a function of the anxious stimuli to which the subject is exposed. In this respect, molecules such as etifoxine, which regulate neurosteroid synthesis as well as interact with the GABA receptor itself, are of particular interest as modulatory agents that could reset the sensitivity of interneuronal regulatory circuits in the amygdala.

Acknowledgments

The author would like to express his gratitude to all the staff at Foxymed (Paris, France) for their help in preparing this review.

Disclosure

Dr Nuss received a research grant and consultancy fees from Biocodex. The author reports no other conflicts of interest in this work.

References


