

# Preventing transfusion-associated graft-versus-host disease: state of the art

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**Abstract:** The transfer of pathogens and the induction of immune responses are deleterious consequences that can result from the transfusion of blood products. Transfusion-associated graft-versus-host disease (TA-GVHD), the most severe immune consequence, occurs when recipient immune responses are incapable of effectively eliminating donor leukocytes, permitting unabated responses of the donor T lymphocytes. Currently, prevention of TA-GVHD is routinely accomplished by exposing blood products to  $\gamma$ -irradiation in order to prevent donor T cell proliferation. Alternative protocols are being developed to meet the challenges associated with the use of  $\gamma$ -irradiation. Use of pathogen reduction protocols, which interfere with nucleic acid replication by modifying nucleic acids, are increasing. Comparison of pathogen reduction protocols with  $\gamma$ -irradiation have found that both protocols are equally effective in preventing T lymphocyte proliferation and GVHD responses when testing in both in vitro and in vivo models. The potential use of pathogen reduction protocols to treat whole blood prior to separation into its components could provide a cost-effective method for preventing TA-GVHD in the future.

**Keywords:** blood transfusion, GVHD, pathogen reduction, irradiation

## Introduction

Restoration of diminished blood cell levels by the transfusion of blood products can provide significant benefit to the recipient. However, transfusions can also introduce infectious pathogens and trigger immune responses. These immune responses can include donor anti-recipient immune responses as well as recipient anti-donor immune responses induced by the donor leukocytes acting as antigen-presenting cells or as a source of antigen. While recipient anti-donor responses are usually able to eliminate donor leukocytes, settings in which the recipient anti-donor responses are impaired permits unabated donor anti-recipient responses, resulting in transfusion-associated graft-versus-host disease (TA-GVHD), a response that is almost uniformly fatal.<sup>1</sup>

TA-GVHD typically presents within 2–30 days following transfusion with an erythematous, maculopapular rash, fever, elevated liver enzymes, often with associated hepatomegaly and jaundice, plus gastrointestinal symptoms, including nausea, vomiting, and diarrhea.<sup>1,2</sup> TA-GVHD is often confused with allergic reactions to drugs or viral infections. In TA-GVHD, the donor anti-recipient responses cause marked bone marrow aplasia. The resulting neutropenia renders the patient highly susceptible to infection. The bone marrow aplasia presents a difficult hurdle to overcome when attempting to treat the patient with ongoing TA-GVHD. As a result, emphasis has been placed on preventing the development of TA-GVHD.<sup>1,2</sup>

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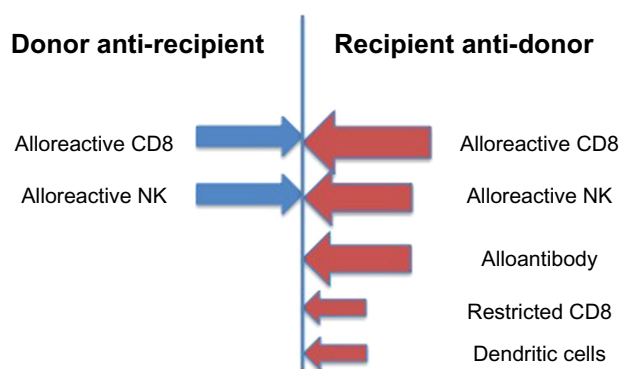
## Risk factors for TA-GVHD

The generation of TA-GVHD requires prolonged donor cell persistence. Studies tracking the fate of transfused donor white blood cells (WBCs) have found that normally >99.9% of the donor WBCs have been eliminated from the blood by 3 days after transfusion.<sup>3</sup> Studies in the mouse model have shown that recipient cluster of differentiation 8 (CD8)+ cells are primarily responsible for the elimination of large numbers of allogeneic donor cells and that alloantibody production is responsible for the elimination of allogeneic cells in the absence of CD8+ cells (Figure 1).<sup>4,5</sup> Both of these responses are facilitated by the presence of activated donor CD4+ cells.<sup>5</sup> Other possible recipient mechanisms responsible for the elimination or inhibition of donor cells could include natural killer (NK) cells and dendritic cells.<sup>6–12</sup> These elimination responses are quite potent, as infusion of an unfractionated pheresis product containing  $2 \times 10^{10}$  CD3+ cells into nonconditioned, haploidentical, refractory leukemia patients enrolled in a clinical trial resulted in almost complete elimination of circulating donor cells in the blood by day 7.<sup>13</sup> TA-GVHD would be predicted to occur when these elimination responses are compromised, thereby permitting prolonged survival of donor cells with the ability to proliferate and to generate donor anti-recipient responses.

A transfusion from a human leukocyte antigen (HLA) homozygous donor into a recipient who shares one haplotype with the donor would be a scenario in which the recipient anti-donor elimination responses are compromised.<sup>14</sup> This is because the recipient immune cells, especially alloreactive

CD8+ and NK cells, would see the donor cells as self, and therefore not be expected to generate alloreactive responses toward the donor cells, while the donor cells would recognize the nonshared HLA haplotype on recipient cells as allogeneic and generate strong alloreactive responses. This particular donor/recipient combination has been observed to occur more frequently in populations that exhibit less heterogeneity, such as the Japanese (one in 874 unrelated transfusions) or when donor and recipient are related.<sup>15,16</sup> In Caucasians, it has been estimated that the homozygous donor/heterozygous recipient combination would occur at a frequency of one in 7,174.<sup>15,16</sup> Because TA-GVHD does not occur with this frequency, it suggests that responses other than alloreactive CD8+ and NK cells are also important. Additional responses could include restricted cytotoxic T lymphocytes (CTL) recognizing minor histocompatibility antigens presented by the donor antigen-presenting cells.<sup>17,18</sup> In certain settings, dendritic cells have been shown to express granzyme B, which results in the inhibition/lysis of T cells that bind to these dendritic cells.<sup>9,19</sup>

A second scenario conducive for the development of TA-GVHD occurs when recipient immune responses capable of eliminating donor cells are inhibited. There are several categories of individuals with suboptimal immune systems. Fetuses receiving intrauterine transfusion, premature infants, or neonates who require red blood cell (RBC) exchange are susceptible to TA-GVHD due to the immaturity of the neonatal immune system. Patients with hematopoietic malignancies and certain solid tumors or those receiving cancer treatments such as intensive chemotherapy, purine antimetabolites, or progenitor cell transplantation exhibit dysfunctional immune systems. Other individuals receiving granulocyte transfusions or those with genetic defects leading to congenital immunodeficiency are also at increased risk for TA-GVHD.<sup>2,20</sup> The more complete the immunosuppression of the recipient's immune system, the more likely that TA-GVHD could result, even in fully allogeneic donor/recipient combinations.



**Figure 1** Transfusion-induced immune responses.

**Notes:** The recipient anti-donor and donor anti-recipient immune responses potentially occurring following the transfusion of blood products are illustrated. The consequence of usually having many more recipient lymphocytes than donor lymphocytes is the elimination of donor lymphocytes. However, unrestrained donor cell proliferation leading to TA-GVHD occurs when recipient lymphocytes are not functional or do not detect the donor lymphocytes as foreign. Preventing the donor lymphocytes from proliferating by exposing the lymphocytes in the blood products to various treatments should prevent or greatly diminish the incidence of TA-GVHD.

**Abbreviations:** CD8, cluster of differentiation 8; NK, natural killer; TA-GVHD, transfusion-associated graft-versus-host disease.

## Methods for preventing TA-GVHD in at-risk patients

The importance of the extensive proliferation of transfused donor T cells for the development of TA-GVHD and the inability to reverse the consequences of the donor anti-recipient T cell responses once initiated, caused investigators to focus on identifying treatments that could prevent donor T cell proliferation without interfering with the function of the transfused RBCs or platelets. Another concern was the introduction of new antigenic epitopes that could cause

immune responses following transfusion of the treated blood products. One approach that was developed was the use of leukoreduction filters. The ability to deplete leukocytes (often more than five logs of WBCs) from the blood product did reduce the incidence of TA-GVHD.<sup>21,22</sup> However, isolated cases of individuals who developed TA-GVHD when a limited number of leukocytes were transfused following leukoreduction have been reported.<sup>23</sup> Thus, while there may be many benefits to the use of leukoreduction, it does not necessarily prevent the development of TA-GVHD.

Another approach that was tested was to expose the blood product to irradiation. An *in vitro* limiting dilution assay (LDA) was used to measure the effect of irradiation on T cell proliferation.<sup>24–26</sup> The results of these *in vitro* studies led to the adoption of a dose of 25–30 Gy  $\gamma$ -irradiation as a standard for the inactivation of T lymphocytes in blood products.<sup>2</sup> This led to routine irradiation of blood products, especially in settings in which patients were considered to be at risk for developing TA-GVHD. In Japan, with the general population at greater risk for TA-GVHD because of less population diversity at the HLA complex, irradiation is uniformly applied to all transfusions. Recent summaries indicate that no further cases of TA-GVHD were detected once universal irradiation was implemented.<sup>27,28</sup>

## Technical advances

While exposure of blood products to  $\gamma$ -irradiation is the standard of care, several drawbacks to this approach have been detected. Irradiated RBCs exhibit a decreased shelf life resulting from increased membrane permeability. This permeability leads to hemolysis and potassium leakage. These defects are improved when leukoreduction is used prior to irradiation.<sup>29–31</sup> While  $\gamma$ -irradiation was found to inhibit TA-GVHD, it was much less effective at preventing other types of immune responses.<sup>32,33</sup> WBCs that had been  $\gamma$ -irradiated were able to act as antigen-

presenting cells and could therefore induce recipient immune responses.<sup>32–34</sup> As a result, use of irradiated blood products did not reduce the incidence of alloimmunization in transfused individuals.<sup>35</sup> In addition, the recent concern about the potential of using cesium found in the cesium source irradiators for bioterrorism has resulted in an increased regulatory burden, such as background checks for operators and restrictions on accessibility when using these irradiators.<sup>36</sup> The use of X-rays instead of  $\gamma$ -irradiation is an alternative approach. A limited number of studies have compared X-rays to  $\gamma$ -irradiation and found that both approaches appear to be equally effective at interfering with lymphocyte proliferation.<sup>37–40</sup>

Pathogen reduction technologies were developed to reduce the incidence of pathogen transmission, both known and unknown, in blood products. The general approach for these protocols was to induce nucleic acid modifications in a manner that prevented replication of the pathogens (see Table 1 for a listing of the different pathogen reduction protocols). The ability of these protocols to interfere with replication by introducing nucleic acid modifications was also found to interfere with the proliferation of lymphocytes. This led to testing of the ability of different protocols to interfere with the function of T lymphocytes in different blood products. Comparison of the effectiveness of the pathogen reduction protocols with  $\gamma$ -irradiation using LDA found that these protocols were as effective as  $\gamma$ -irradiation in inhibiting T cell proliferation.<sup>32–34</sup> Several different GVHD models were used to extend these *in vitro* results to *in vivo* settings. Initially, the parent into F<sub>1</sub> GVHD model, a murine model that replicates the donor/recipient combination that is most closely associated with TA-GVHD in humans, was tested using PEN110 and amotosalen plus ultraviolet (UV)-light-treated donor lymphocytes. The results indicated that treatment of the donor splenocytes with pathogen reduction protocols prevented development of GVHD in this model.<sup>41,42</sup>

**Table 1** Pathogen reduction technologies

Pathogen reduction agent	Company	Products treated	Current use	Reference(s)
INACTINE (PEN110)	VI Technologies	RBC	Halted	51,52
Intercept	Cerus	Platelets	Used in Europe	53,54
(S-59 + UV-light)		Plasma		
S-303	Cerus	RBC	In development	55,56
Mirasol (riboflavin + UV-light)	TerumoBCT	Platelets	Used in Europe	57
		Plasma	Used in Europe	
		Whole blood	In development	
Methylene blue + visible light or	MacoPharma	Plasma	Used in Europe	58,59
UVC light		Platelets	In development	
Solvent detergent	Octapharma	Plasma	Used in Europe	60,61

**Notes:** VI Technologies, Watertown, MA, USA; Cerus, Concord, CA, USA; TerumoBCT, Lakewood, CO, USA; MacoPharma, Tourcoing, France; Octapharm, Lachen, Switzerland. Copyright © 2012 John Wiley and Sons. Reproduced with permission from Fast LD. Developments in the prevention of transfusion-associated graft-versus-host disease. *Br J Haematol*. 2012;158(5):563–568.<sup>2</sup>

**Abbreviations:** RBC, red blood cell; UV, ultraviolet; UVC, ultraviolet C.

Xenogeneic GVHD models in which human peripheral blood mononuclear cells (PBMNC) infused into immunodeficient recipients attack the murine recipient have been used as a surrogate model for TA-GVHD. These models were used to test the *in vivo* responsiveness of treated human T lymphocytes. Xenogeneic GVHD results from human lymphocyte responses to antigens expressed by the recipient mice. Because normal mice mount strong responses to human cells, causing their elimination, xenogeneic GVHD has only been observed in immunodeficient mice, with the more immunodeficient the strain, the more potent the xenogeneic GVHD response. Initially, severe combined immunodeficient (SCID) mice lacking B and T cells were used as recipients to study xenogeneic GVHD responses.<sup>43–45</sup> Because of the variable induction of xenogeneic GVHD in SCID mice despite the use of large numbers of donor PBMNC and administration of cytokines, investigators moved to utilizing Rag2-/- $\gamma$ c-/- double knockout mice lacking B, T, and NK cells that had received 350 cGy total body irradiation prior to intravenous injection; it was observed that the use of these recipients improved the frequency of xenogeneic GVHD while using fewer donor PBMNC.<sup>46</sup> Several studies testing the effect of PEN110 treatment and the effect of riboflavin plus UV light on xenogeneic GVHD responses were conducted using these recipient mice.<sup>47,48</sup> Studies conducted more recently have observed that using NODScidIL2r $\gamma^{null}$  knockout mice receiving 200 cGy total body irradiation required fewer PBMNC to more rapidly and uniformly induce xenogeneic GVHD.<sup>49</sup> Use of the NODScidIL2r $\gamma^{null}$  knockout mice was found to result in more uniform results when testing the xenogeneic GVHD responses of riboflavin + UV-light-treated PBMNC.<sup>33</sup> The cumulative results of the studies with *in vivo* GVHD models supports the concept that pathogen reduction protocols could be used to prevent TA-GVHD as effectively as  $\gamma$ -irradiation.

One concern with the use of pathogen reduction protocols is that the treatment process introduces neoantigens that could trigger immune responses. This was observed for treatment of blood products with Pen110 when the protocol was tested in clinical trials. It was observed that when Pen110-treated blood products were transfused into sickle cell patients, they uniformly developed antibodies to the treated cells by the second transfusion. Antibodies were also generated in surgical patients who had received treated blood products, especially after the patients developed an infection. Transfusion of S-303-treated RBCs was also demonstrated to be capable of inducing antibodies in a subset of patients.<sup>50</sup>

## Future perspectives

The use of pathogen reduction protocols for the treatment of blood products is being used extensively outside of the US. There have been no reports of TA-GVHD occurring following transfusion of these treated products. Cost and efficiency considerations would indicate that the ability to treat whole blood using the pathogen reduction protocols prior to separation into the different components would be advantageous. Treatment of whole blood with pathogen reduction protocols is ongoing, and initial studies have shown that conditions can be identified that are capable of inhibiting T lymphocyte proliferation in whole blood as effectively as  $\gamma$ -irradiation.<sup>33</sup> This could provide a method to efficiently and effectively treat blood to prevent TA-GVHD. While the use of treated whole blood or products derived from treated whole blood is being moved to clinical studies, the results of these studies are needed before this technology can be licensed and used routinely.

## Conclusion

TA-GVHD occurs when there is unrestrained proliferation of transfused donor lymphocytes. This occurs when the recipient's ability to mediate elimination of the donor cells following transfusion is compromised either because of the lack of genetic disparity between the donor and recipient or because the recipient's immune system is suppressed. Bone marrow aplasia induced by donor anti-recipient responses makes it difficult to reverse the course of TA-GVHD once it has begun. Thus, the focus for the prevention of TA-GVHD has been to prevent donor T cell proliferation. Currently, leukoreduction and/or  $\gamma$ -irradiation are used to prevent TA-GVHD. Despite the effectiveness of  $\gamma$ -irradiation in preventing TA-GVHD, there are drawbacks to the use of  $\gamma$ -irradiation, including regulatory concerns about the use of irradiators and an inability to prevent recipient anti-donor immune responses. A potentially more effective alternative to  $\gamma$ -irradiation is the use of blood products treated with pathogen reduction protocols, as a number of studies have demonstrated that pathogen reduction protocols are as effective as  $\gamma$ -irradiation at preventing T cell responses but do not have as many drawbacks and would provide an alternative method for overcoming the increasing number of constraints placed on the use of cesium source irradiators. While the use of pathogen reduction protocols provides an alternative approach for preventing TA-GVHD, it has not been approved for use in all countries. Ongoing studies will provide a basis for moving forward with the implementation of pathogen reduction protocols.



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