

# Defensive function of persecutory delusion and discrepancy between explicit and implicit self-esteem in schizophrenia: study using the Brief Implicit Association Test

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**Background:** If delusions serve as a defense mechanism in schizophrenia patients with paranoia, then they should show normal or high explicit self-esteem and low implicit self-esteem. However, the results of previous studies are inconsistent. One possible explanation for this inconsistency is that there are two types of paranoia, “bad me” (self-blaming) paranoia and “poor me” (non-self-blaming) paranoia. We thus examined implicit and explicit self-esteem and self-blaming tendency in patients with schizophrenia and schizoaffective disorder. We hypothesized that patients with paranoia would show lower implicit self-esteem and only those with non-self-blaming paranoia would experience a discrepancy between explicit and implicit self-esteem.

**Methods:** Participants consisted of patients with schizophrenia and schizoaffective disorder recruited from a day hospital (N=71). Participants were assessed for psychotic symptoms, using the Brief Psychiatric Rating Scale (BPRS), and self-blaming tendency, using the brief COPE. We also assessed explicit self-esteem, using the Rosenberg Self-Esteem Scale (RSES), implicit self-esteem, using Brief Implicit Association Test (BIAT), and discrepancy between explicit and implicit self-esteem.

**Results:** Contrary to our hypothesis, implicit self-esteem in paranoia and nonparanoia showed no statistical difference. As expected, only patients with non-self-blaming paranoia experienced a discrepancy between explicit and implicit self-esteem; other groups showed no such discrepancy.

**Conclusion:** These results suggest that persecutory delusion plays a defensive role in non-self-blaming paranoia.

**Keyword:** coping style, poor me paranoia, remitted paranoid delusion, external attribution

## Introduction

A number of theories have been provided to explain the mechanism of persecutory delusion. Self-esteem has been hypothesized to play an important role, but the relationship between paranoia and self-esteem is controversial.<sup>1</sup> Garety and Freeman argued that persecutory delusion is a direct reflection of negative emotion.<sup>2</sup> However, Bentall et al proposed that paranoid thought is a defense against negative affection.<sup>3</sup> According to this view, persecutory delusion is a product of attributional processes to maintain a positive self-esteem against negative events. Patients with paranoia are thus considered to have implicit low self-esteem; however, persecutory delusion serves to mask their covert low self-esteem by attributing the source of threats to external causes.

If delusion had a defensive function, then patients with persecutory delusion should show normal or higher explicit self-esteem and lower implicit self-esteem.<sup>4</sup> Explicit

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self-esteem is usually assessed using questionnaires. Implicit self-esteem is defined as an automatic and hidden attitude toward the self, and there are many other examples of dissociation between automatic reactions and more deliberative ones. However, research on this topic has not yielded consistent results. Some studies have shown that patients with persecutory delusions have high self-esteem,<sup>5,6</sup> while others found it associated with low self-esteem.<sup>7</sup> Moreover, the few studies on implicit self-esteem have found inconclusive results.<sup>6,8–14</sup>

Differences between previous findings may result from reliability of implicit self-esteem. The implicit association test (IAT) is a method that assesses the strength of associations between concepts and is the most appropriate measure of implicit self-esteem;<sup>15</sup> however, it was not used in some studies.<sup>6,8,11</sup>

The inconsistent results also may be due to different types of paranoia: “bad me” and “poor me”.<sup>16</sup> In “bad me” paranoia, the self is experienced as bad and deserves blaming, leading to low self-esteem. “Bad me” paranoia is manifested by constant struggle to avoid criticism by others through an avoidant attachment style. Conversely, people with “poor me” paranoia perceive themselves as good and reject others’ malevolence as undeserved persecution, resulting in relatively higher self-esteem compared with “bad me” patients.<sup>16</sup> The discrepancy between explicit and implicit self-esteem is hypothesized to exist in only “poor me” patients. However, there has so far been no comparison of explicit and implicit self-esteem in “poor me” and “bad me” paranoia groups.

Another possible explanation for the contradictory results is that the defensive function of delusion may be seen in only remitted paranoia. In remitted paranoia, although antipsychotic medications have reduced the symptoms exhibited, the patient has not yet reached full remission. The patient is still deluded, however less preoccupied with the delusion. Psychological processes are thought to play an important role in the maintenance of delusions and in preventing the falsification of delusional ideas in remitted paranoia.<sup>17</sup>

Our primary hypothesis was that persecutory delusion serves as a defensive function to maintain self-esteem through avoidance of negatively evaluated mental experiences. The present study tested two hypotheses: first, that patients with persecutory delusion will show lower implicit self-esteem than nonparanoid patients, regardless of whether their explicit self-esteem is high or low; and second, that the discrepancy between explicit and implicit self-esteem is predominant in only patients with paranoia who attempt to avoid blaming themselves and that patients with paranoia who tend to engage in self-blame will show no such discrepancy. We did

not expect to find this pattern of results in patients who do not currently display paranoid symptoms.

## Methods

### Participants

Participants were recruited from the day care unit in Gojyouyama hospital, and consisted of patients diagnosed with schizophrenia or schizoaffective disorder according to International Classification of Diseases (ICD)-tenth revision.<sup>18</sup> To limit the sample to patients with remitted paranoia, those who were admitted in the previous 6 months were excluded. Patients with substance abuse, organic brain disorder, or mental retardation were also excluded.

The study was approved by the ethics committee of Gojyouyama hospital. All participants provided written informed consent. The assessment of participants was conducted by three experienced psychiatrists.

### Measures

#### Psychiatric symptoms

##### Brief Psychiatric Rating Scale (BPRS)

The Brief Psychiatric Rating Scale (BPRS)<sup>19</sup> was used to evaluate the severity of psychiatric symptoms. Each of the 18 BPRS items is scored on a seven-point scale (0 to 6), with higher scores indicating more severe symptoms. 3 points indicates mild symptoms. Item 11 (suspiciousness/paranoid ideas) served as an index for delusions of persecution (BPRS Item 11 [3 points indicates mild symptoms]). Positive and negative symptoms are represented by the total scores from five items (ranging from 0 to maximum 30) and three items (ranging from 0 to maximum 18), respectively.<sup>20</sup> The total BPRS score is the sum of scores for all items (ranging from 0 to maximum 108).

##### Paranoia Checklist (PCL)

To assess paranoid thought, the Japanese version of the PCL<sup>21</sup> was used.<sup>22</sup> The checklist consists of 18 self-report items, each rated on a five-point scale (ranging from 1= “not at all applicable” to 5= “extremely applicable”, with a possible score ranging from 18 to 90), that evaluate the frequency, degree of conviction, and level of distress experienced. The checklist has good internal reliability and is considered appropriate to measure subclinical persecutory ideation.<sup>22</sup>

##### Montgomery Åsberg Depression Rating Scale (MÅDRS)

The MÅDRS<sup>23</sup> has been established as a reliable measure of severity of current depression. It is composed of ten items rated on a seven-point scale (0 to 6) and assesses factors

such as sadness, tension, reduced sleep, reduced appetite, and difficulty in concentration. Scores from each item are totaled, with higher scores indicating more severe depression (ranging from 0 to maximum 60).

### Coping style

#### Brief COPE

The brief COPE,<sup>24</sup> a 28-item instrument, was used to assess how often respondents use 14 types of coping strategies (behavioral disengagement, denial, seeking emotional support, positive reframing, humor, acceptance, religion, venting, self-blame, self-distraction, substance use, active coping, seeking instrumental support, and planning), assessed with two items for each strategy. Items are rated on a four-point scale ranging from 0= “not at all” to 3= “a lot”. Participants were instructed to rate their coping skills in response to stressful events in general, rather than focus on how they cope with the symptoms.

### Explicit self-esteem

#### Rosenberg Self-Esteem Scale (RSES)

The RSES<sup>25,26</sup> is a self-report measure of global self-esteem. The scale comprises ten items, of which five are worded positively and five negatively. The items are answered on a four-point scale ranging from 1= “strongly disagree” to 4= “strongly agree”, with higher scores indicating higher self-esteem. Possible scores on RSES range from 10 to 40.

### Implicit self-esteem

#### Brief Implicit Association Test (BIAT)

We used the BIAT<sup>27</sup> to assess implicit self-esteem. The shorter version of the standard IAT was used due to its ease of administration on the target population.

In the BIAT, a target word appears in the center of the computer screen while categories are presented at the top of the screen. The participants are requested to classify sequences of words into superordinate categories. Superordinate categories were either “self or positive” or “other or positive”. The target words in the center of the computer screen were “self” (I, first name, surname), “other” (first name of the other person), “positive” (good, wonderful, strong, superb) and “negative” (bad, poor, weak, pity).

The participants’ task was to press a right-hand response key if the word was included in either of the two categories and a left-hand response key otherwise. Quicker response times correspond to stronger association, with small differences in speed between compatible and incompatible categories indicating low implicit self-esteem. The order of the

two blocks was counterbalanced across subjects. BIAT data with more than 30% errors were omitted from the analyses.<sup>28</sup> The *D*-score was calculated to compute the IAT-effect,<sup>29</sup> with higher scores indicating a stronger association between the self and positive adjectives.

### Statistical analyses

Participants were divided into groups based on paranoia (patient with scores  $\geq 3$  on item 11 on the BPRS) and self-blame (participants with scores  $\geq$  the median score on the Brief COPE self-blame items). The four groups were classified as: self-blame paranoia (SB-P), non-self-blame paranoia (NSB-P), self-blame nonparanoia (SB-NP), and non-self-blame nonparanoia (NSB-NP).

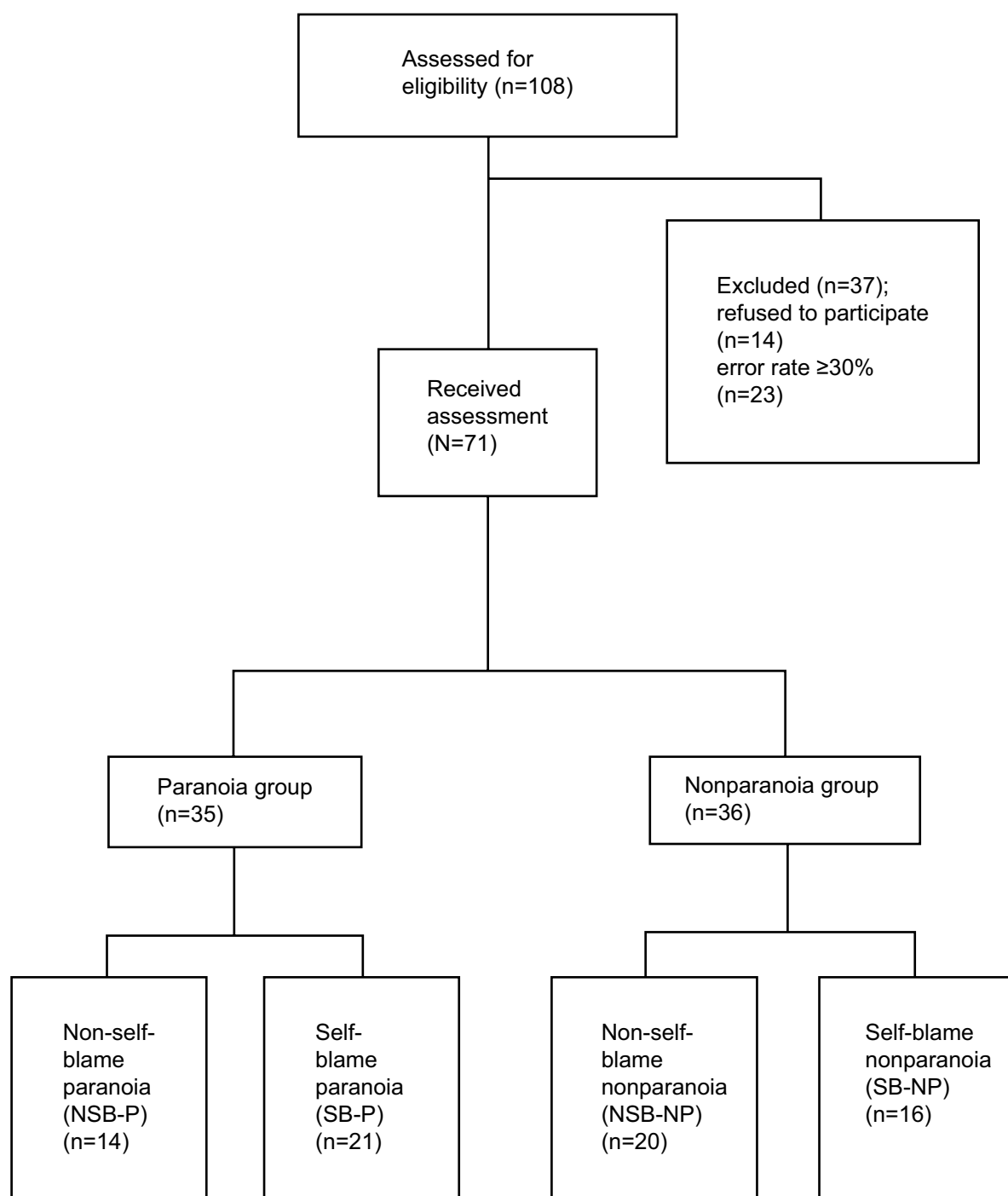
All analyses were conducted with SPSS version 22.0 for Windows. Statistical significance was set at  $P < 0.05$  (two-tailed). Differences between sociodemographic variables, clinical data, and levels of implicit and explicit self-esteem between groups were tested using analysis of variance (ANOVA), analysis of covariance (ANCOVA), and two-tailed *t*-tests. To compare explicit and implicit self-esteem, all data were standardized with *z*-scores.<sup>11</sup> Paired samples *t*-tests were conducted for each group.

## Results

### Demographic and clinical data

In all, 108 referrals were received, from which 94 (87%) patients agreed to participate in the study. From this group, participants with more than 30% errors in their BIAT data were excluded,<sup>28</sup> leaving 71 participants. Seventy participants had a diagnosis of schizophrenia; one had a diagnosis of schizoaffective disorder. Figure 1 shows the selection process and classification stages of the study. Forty of the participants were male, 31 were female. Descriptive statistics of the sample are presented in Table 1. All patients were receiving antipsychotic medication at the time of assessment. The mean age was 45.4 (standard deviation [SD] = 10.7) years, mean duration of illness was 20.6 (SD = 11.5) years, mean chlorpromazine equivalent dose was 657.4 (SD = 447.8) mg, and mean global assessment of functioning was 36.2 (SD = 7.9).

Of the 71 participants, 35 and 36 were assigned to the paranoia group and nonparanoia group, respectively. The median score on the Brief COPE self-blame item was 3 (range 0 to 6). Fourteen participants were classified into the NSB-P group, 21 into the SB-P group, 20 into the NSB-NP group, and 16 into the SB-NP group. Statistical analyses of group for age, sex, duration of illness, antipsychotics dosage, and global assessment of functioning revealed no significant



**Figure 1** The selection process and classification stages of the study.

differences between groups in terms of these factors. Grandiose delusion was found in very few participants.

A one-way ANOVA on the MADRS total score revealed statistically significant differences between groups ( $F [3, 67] = 4.560, P < 0.01$ ). Participants in the NSB-NP group had less severe depression compared with those in the SB-P group. We conducted an analysis to test the homogeneity

of regression and found depression was not an appropriate covariate for statistical assessment.

### Explicit and implicit self-esteem

The results for explicit and implicit self-esteem are depicted in Table 2. The mean RSES score of the paranoia group was 24.2 (SD = 5.3) and that of the nonparanoia group

Table 1 Sample characteristics

	All participants (N=71)	NSB-P (n=14)	SB-P (n=21)	NSB-NP (n=20)	SB-NP (n=16)	Rejected or omitted (n=37)	Test statistics	Tukey post hoc test
Age	45.4 (10.7)	44.8 (11.7)	46.8 (10.0)	44.7 (10.2)	48.3 (11.7)	48.3 (11.7)	$F=0.16$ , $df=3$ , $67$ ; $P=0.92$	
Duration of illness	20.6 (11.5)	21.0 (11.3)	23.6 (10.0)	19.9 (11.8)	18.0 (12.9)	25.3 (12.9)	$F=0.71$ , $df=3$ , $67$ ; $P=0.55$	
Female sex (%)	31 (43.7)	6 (42.9)	12 (57.1)	6 (30.0)	7 (43.8)	18 (48.6)	$\chi^2=0.50$ , $df=2$ ; $P=0.78$	
Total chlorpromazine-equivalent doses	657 (448)	603 (359)	713 (638)	614 (332)	678 (380)	780 (625)	$F=0.20$ , $df=3$ , $67$ ; $P=0.90$	
Total biperiden-equivalent doses	1.9 (1.7)	1.6 (1.8)	2.2 (1.5)	1.4 (1.8)	2.1 (1.8)	1.8 (1.4)	$F=0.93$ , $df=3$ , $67$ ; $P=0.43$	
GAF	36.2 (7.9)	37.6 (8.4)	37.9 (8.6)	32.9 (6.0)	36.5 (8.3)	33.3 (8.0)	$F=1.49$ , $df=3$ , $67$ ; $P=0.23$	
Depression (MÁDRS)	1.9 (2.6)	2.3 (3.1)	3.3 (2.8)	0.7 (1.5)	1.4 (1.9)		$F=4.56$ , $df=3$ , $67$ ; $P=0.006$	NSB-NP < SB-P ( $P=0.004$ )
BPRS total	13.0 (7.2)	16.4 (6.0)	18.8 (4.6)	8.1 (5.9)	8.6 (5.2)		$F=18.90$ , $df=3$ , $67$ ; $P<0.001$	SB-NP, NSB-NP < SB-P, NSB-NP (all $P<0.001$ )
BPRS-positive	6.0 (4.1)	8.6 (3.3)	9.3 (2.7)	3.0 (3.0)	3.2 (2.3)		$F=26.65$ , $df=3$ , $67$ ; $P<0.001$	SB-NP, NSB-NP < SB-P, NSB-NP (all $P<0.001$ )
BPRS-negative	3.3 (2.9)	3.3 (3.2)	3.6 (2.6)	3.8 (3.0)	2.5 (3.2)		$F=0.60$ , $df=3$ , $67$ ; $P=0.62$	
BPRS-suspicious	1.9 (1.5)	3.4 (0.6)	3.2 (0.4)	0.3 (0.7)	1.0 (0.9)		$F=100.44$ , $df=3$ , $67$ ; $P<0.001$	SB-NP, NSB-NP < SB-P, NSB-NP (all $P<0.001$ )
BPRS-grandiose	0.2 (0.7)	0.1 (0.4)	0.5 (0.9)	0.3 (0.6)	0.2 (0.7)		$F=1.19$ , $df=3$ , $67$ ; $P=0.32$	
Paranoia Checklist frequency	33.0 (13.6)	38.7 (11.7)	40.3 (15.7)	23.3 (4.9)	30.8 (12.2)		$F=7.83$ , $df=3$ , $67$ ; $P<0.001$	NSB-NP < NSB-P ( $P=0.004$ ), NSB-NP < SB-P ( $P<0.001$ ) SB-NP < SB-P ( $P=0.04$ )
Explicit self-esteem (RSES)	24.9 (4.7)	27.7 (3.3)	21.9 (5.1)	27.3 (3.7)	23.5 (3.4)		$F=9.19$ , $df=3$ , $67$ ; $P<0.001$	NSB-NP < SB-P ( $P<0.001$ ), NSB-NP < SB-P ( $P=0.03$ ) NSB-NP < SB-P ( $P<0.001$ ), NSB-NP < SB-NP ( $P=0.03$ )
Implicit self-esteem (BIAT D-score)	0.59 (0.34)	0.5 (0.18)	0.54 (0.34)	0.64 (0.39)	0.68 (0.37)		$F=0.96$ , $df=3$ , $67$ ; $P=0.42$	

Note: Values are expressed as mean (SD).

Abbreviations: BIAT, Brief Implicit Association Test; BPRS, Brief Psychiatric Rating Scale; GAF, global assessment of functioning; MÁDRS, Montgomery Åsberg Depression Rating Scale; NSB-P, non-self-blame paranoia; NSB-NP, non-self-blame nonparanoia; RSES, Rosenberg Self-Esteem Scale; SB-NP, self-blame nonparanoia; SB-P, self-blame paranoia; SD, standard deviation.

**Table 2** Explicit and implicit self-esteem

	Paranoia (n=35)	Nonparanoia (n=36)
Explicit self-esteem (RSES)	24.2 (5.3)	25.6 (4.0)
Implicit self-esteem (BIAT D-score)	0.53 (0.29)	0.66 (0.38)

**Abbreviations:** BIAT, Brief Implicit Association Test; RSES, Rosenberg Self-Esteem Scale.

was 25.6 (SD =4.0), and the difference was not significant ( $t [69] = -1.27, P=0.21$ ). The mean BIAT D-score of the paranoia group was 0.53 (SD =0.29) and of the nonparanoia group was 0.66 (SD =0.38). Contrary to our hypothesis, implicit self-esteem of the paranoia group showed no significant difference from the nonparanoia group. ( $t [69] = -1.65, P=0.10$ ).

## Discrepancy between implicit and explicit self-esteem

The  $z$ -scores of each group for implicit and explicit self-esteem are shown in Figures 2 and 3. As expected, paired samples  $t$ -tests showed significant differences between explicit and implicit self-esteem in only the NSB-P group ( $t [20] = 4.42, P=0.01$ ), while the other groups showed no such discrepancy.

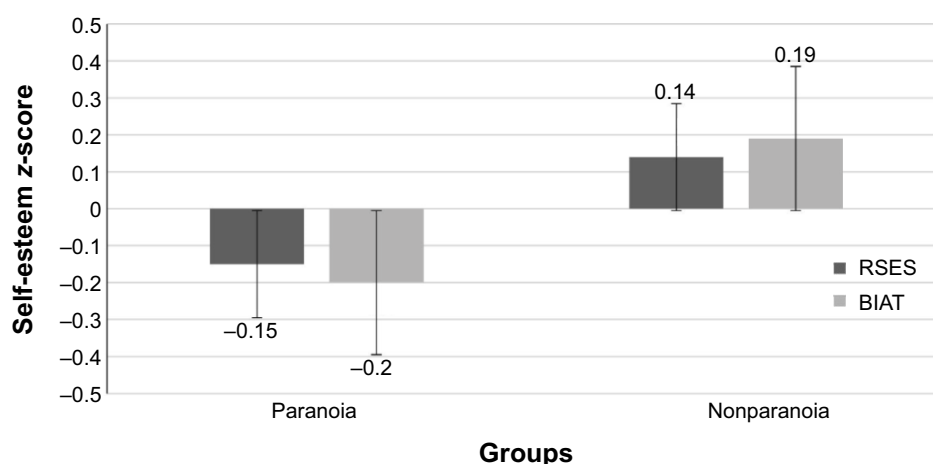
The  $z$ -scores for implicit self-esteem were subtracted from the  $z$ -scores for explicit self-esteem. Positive scores indicated higher explicit than implicit self-esteem. An ANOVA of the groups for the discrepant scores revealed a significant effect of self-esteem. The NSB-P group showed significantly higher discrepancy compared with the SB-P group ( $P=0.03$ ) and SB-NP group ( $P=0.04$ ), but not the NSB-NP group ( $P=0.74$ ).

## Discussion

The present study investigated the hypothesis that persecutory delusions serve as a defense against negative self-esteem. This was the first study to classify patients with paranoid delusions according to their self-blaming tendencies and to compare explicit and implicit self-esteem for each group. Moreover, all participants of our study had remitted paranoia. As expected, the patients with remitted paranoia who avoided self-blame were able to maintain relatively high explicit self-esteem. Psychological processes are thought to play an important role in maintaining such delusions.

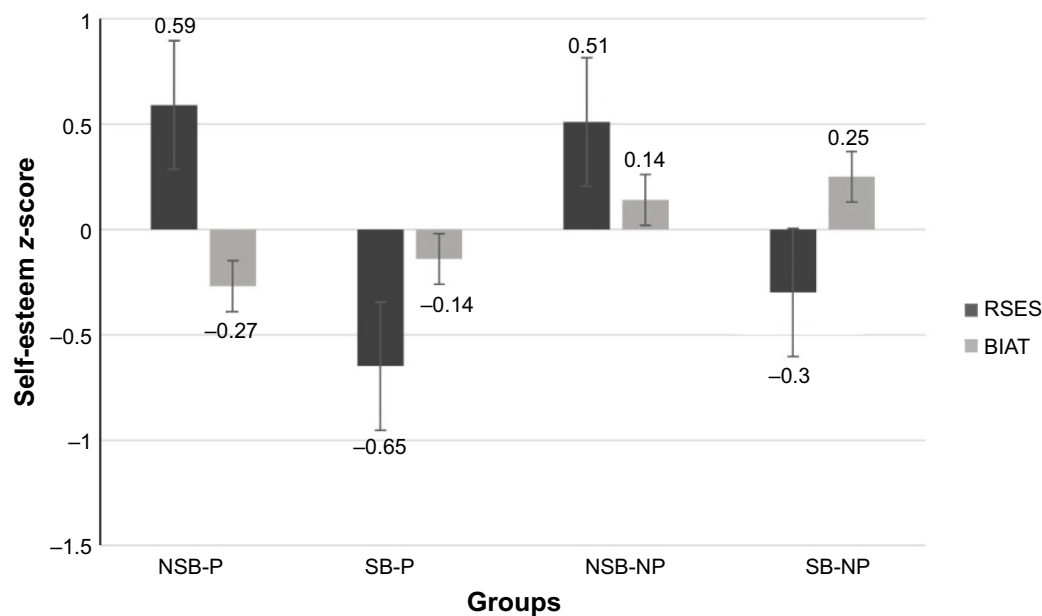
As expected, only participants with non-self-blame paranoia showed a discrepancy between explicit and implicit self-esteem, with higher explicit self-esteem than implicit self-esteem. Our results are consistent with the account that external attributions for negative events are found in only “poor me” patients,<sup>30</sup> and support the hypothesis that remitted paranoid delusions have a defensive function. Our results can also account for the inconsistent results observed in previous studies, which might have been due to consideration of patients with both types of paranoia as a homogenous sample.<sup>6–13</sup>

There were some limitations in the present study, however. First, this was a cross-sectional study, and we therefore were unable to explore the causality between delusion, self-esteem, and coping style in schizophrenia. More importantly, Melo et al pointed out that “poor me” and “bad me” tendencies vary across time<sup>30</sup> and that self-esteem is highly unstable in paranoia. We were thus also unable to explore whether our results were stable or dependent on the current clinical state. Second, a rather small sample was recruited, limiting the generalizability of the findings. Third, despite the advantages

**Figure 2** Self-esteem  $z$ -scores of the paranoia and nonparanoia groups.

**Abbreviations:** BIAT, Brief Implicit Association Test; RSES, Rosenberg Self-Esteem Scale.





**Figure 3** Self-esteem z-scores of the four groups.

**Abbreviations:** BIAT, Brief Implicit Association Test; NSB-P, non-self-blame paranoia; NSB-NP, non-self-blame nonparanoia; RSES, Rosenberg Self-Esteem Scale; SB-NP, self-blame nonparanoia; SB-P, self-blame paranoia.

of the BIAT with regard to its properties, the BIAT should not be regarded as a pure measure of self-esteem because of its bipolar nature, ie, self vs other. The BIAT measures not only how they experience themselves, but also how they experience others. In addition, it is a relative measure, thus, it was not possible to interpret the evaluations of the self independently of the other.<sup>31</sup> Fourth, 23 participants were excluded from analysis, which was a considerable number, considering our original sample size. Fifth, all participants of our study were in clinical remission, and they had very low BPRS scores with a narrow range. This could explain the association between paranoia and discrepancies between implicit and explicit self-esteem; however, this also could be a limitation. Finally, external attributional style is thought to play an important role in maintenance of persecutory delusion; however, we did not assess attributional style. Nevertheless, despite these limitations, our findings support the hypothesis that paranoid delusions during remission have a defensive function. Our study did not support the “delusion as expression model”.<sup>1</sup> Being aware of such delusions could be threatening for the patients, because they fail to raise their self-esteem. Our findings are consistent with the previous study that demonstrated an association between insight and suicidal behavior.<sup>32</sup>

In summary, the present results underline the relevance of low covert self-esteem in paranoia patients; however, these results did not differ significantly from those of the nonparanoia group. Patients with paranoia who tend to avoid self-blame were found to have higher explicit than implicit self-esteem.

## Disclosure

The authors report no conflicts of interest in this work.

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