Signaling hypoxia by hypoxia-inducible factor protein hydroxylases: a historical overview and future perspectives

Tammie Bishop
Peter J Ratcliffe
Nuffield Department of Medicine,
University of Oxford, Oxford, UK

Abstract: By the early 1900s, the close matching of oxygen supply with demand was recognized to be a fundamental requirement for physiological function, and multiple adaptive responses to environment hypoxia had been described. Nevertheless, the widespread operation of mechanisms that directly sense and respond to levels of oxygen in animal cells was not appreciated for most of the twentieth century with investigators generally stressing the regulatory importance of metabolic products. Work over the last 25 years has overturned that paradigm. It has revealed the existence of a set of “oxygen-sensing” 2-oxoglutarate dependent dioxygenases that catalyze the hydroxylation of specific amino acid residues and thereby control the stability and activity of hypoxia-inducible factor. The hypoxia-inducible factor hydroxylase pathway regulates a massive transcriptional cascade that is operative in essentially all animal cells. It transduces a wide range of responses to hypoxia, extending well beyond the classical boundaries of hypoxia physiology. Here we review the discovery and elucidation of these pathways, and consider the opportunities and challenges that have been brought into focus by the findings, including new implications for the integrated physiology of hypoxia and therapeutic approaches to ischemic/hypoxic disease.

Keywords: hypoxia, oxygen-sensing, HIF, 2-oxoglutarate oxygenase, hydroxylase, cell signaling

Introduction
Provision of adequate levels of oxygen across different respiring tissues is a physiological challenge faced by all large multi-cellular organisms. Low or inadequate oxygen provision (hypoxia) is an important feature of most human disease. Much interest has therefore focused on the causes and consequences of “hypoxia” and on “oxygen-sensing” mechanisms that transduce adaptive responses in hypoxic cells.

Under physiological conditions, cells exist in highly diverse oxygen environments, and the term hypoxia, as referring to levels of oxygen that are low or sub-optimal in respect of cellular functions, has no exact physical correlate in terms of the partial pressure of oxygen (pO₂) or O₂ concentration. For instance, physiological oxygen tensions in humans range from 80–100 mmHg in the lung alveoli and arterial blood, down to 1–2 mmHg in tissues such as the renal papilla. Such regions are sometimes described as being physiologically hypoxic. Thus, “hypoxia”, may refer either to levels of oxygen that are simply low in a physical sense (physiological hypoxia) or to levels that are low in relation to those required for optimal physiological function (pathophysiological hypoxia). Similarly the term “oxygen-sensor” requires clarification. The function of many biological systems depends on oxygen, so that limitation of oxygen...
supply will have biological consequences. All such systems will clearly be “oxygen-sensitive”. To distinguish bona fide regulatory pathways, we define an “oxygen-sensing” system as one whose prime function is in biological control.

In this review we will outline major advances that have been made in the understanding of animal oxygen-sensing pathways, and consider new questions and opportunities raised by these insights.

**Discovery of direct oxygen-sensing pathways in animal cells**

The co-ordination of multiple physiological and developmental processes is required to ensure the delivery of appropriate quantities of oxygen to billions of respiring cells by the specialized oxygen delivery systems that characterize higher animals. In theory, control of such processes could be achieved by systems responding to oxygen levels per se, or to any one of many metabolic consequences of altered oxygen availability. Thus Krogh, in his landmark paper on the capillary density of muscle, noted that “the number of capillaries per square millimetre of striated muscle appears to be a function of metabolism”. A large body of subsequent work documented that capillary density was under dynamic control by interventions that altered the consumption or delivery of oxygen. However, almost invariably the investigators emphasized the importance of products of metabolism. Remarkably little attention was paid to the possibility that at least some of the responses might be directly regulated by levels of oxygen.

Nevertheless, direct regulation by oxygen was suggested by studies of regulation of red blood cell production by erythropoietin (Epo). In particular it had been observed that cobalt intoxication stimulates Epo production and erythropoiesis without obvious effects on metabolism, and that metabolic inhibitors could not mimic this effect. These findings strongly suggested that the regulatory process was distinct from the effects of hypoxia on metabolism and led to the idea of a specialized oxygen-sensing system, which was generally considered to be restricted to specialized cells mediating this strikingly dynamic response. Studies of the regulation of Epo by oxygen were initially held back by uncertainties about the molecular identity of the hormone and by the absence of a cellular system on which to base molecular analysis. In particular, the identity of the specific cells in kidney that produce Epo (now known to be a subset of the interstitial fibroblasts) was unclear and Epo-producing cultures of such cells could not be established.

In the late 1980s both of these obstacles were removed with the identification of the Epo gene, and the recognition that certain human hepatoma cells produce Epo in culture in an oxygen-regulated manner. Analysis of Epo gene regulation in these cells and in transgenic animals expressing a human Epo gene, led to the identification of Epo 3’ enhancer as the first hypoxia response element (HRE). Further studies of this enhancer radically opened the field in two distinct ways. Analysis of proteins binding to the HRE in hepatoma cells led to the identification of hypoxia-inducible factor-1 (HIF-1) by Semenza and Wang, whilst in our laboratory, transfection studies of the Epo 3’ enhancer in a wide range of non-Epo-producing cell types redirected the field by demonstrating (much contrary to the prevailing view) that this system of oxygen-sensing is widely operative in mammalian cells and extends well beyond the regulation of Epo. Subsequent work demonstrated that HIF-1 itself is indeed widely expressed, and defined genes encoding selected isoforms of glycolytic enzymes as the first non-Epo HIF target genes. Further work revealed the existence of a HIF-like DNA-binding complex in Drosophila melanogaster, which has neither Epo nor a blood vascular system, whilst studies in mammalian cells led to a rapidly expanding list of HIF target genes with diverse functions in biology, including glucose transporters, angiogenic growth factors, and iron transport proteins.

Using oligonucleotide affinity chromatography based on the Epo HRE, the Semenza laboratory purified the HIF DNA-binding complex, revealing an α/β heterodimer of basic-helix-loop-helix-PAS (PER [period circadian protein]-AHR [aryl-hydrocarbon receptor]/ARNT [aryl-hydrocarbon receptor nuclear translocator]-SIM [single-minded protein]) proteins. Whilst HIF-1β had previously been identified as the ARNT, which dimerizes with AHR to activate the xenobiotic response, HIF-1α was a newly identified protein conveying hypoxia-inducibility on the DNA-binding complex. Subsequently, bioinformatic analyses revealed paralogs of both HIF-1α and HIF-1β amongst an extensive family of basic-helix-loop-helix PAS proteins that had been identified by genomic analysis. Thus, mammalian genomes encode three HIF-α isoforms, each manifesting oxygen-sensitive activity: HIF-1α, HIF-2α (also termed EPAS-1), and HIF-3α (which generates multiple alternately spliced forms including molecules termed IPAS and NEPAS).

**Elucidation of the oxygen-sensing mechanism**

Initial insights into the upstream pathways connecting HIF-α to the oxygen-sensing process were obtained by the definition...
of discrete domains that could confer oxygen sensitivity on heterologous transcription factors.\textsuperscript{29–31} Somewhat surprisingly, these analyses revealed the existence of multiple oxygen-sensitive domains. All of these retained the classical property of activation by cobaltous ions but appeared to operate in different ways: either by promoting changes in protein stability or changes in activity. It was generally believed that protein phosphorylation would be involved in signal transduction and hence impinge on these domains. Surprisingly, however, although it was clear that HIF-1α is heavily phosphorylated, mutation of every phospho-acceptor amino acid in one such isolated oxygen-regulated domain failed to ablate the oxygen-sensitivity, clearly indicating that some other mechanism of sensing/transduction was operating.\textsuperscript{29}

Insights into this process ultimately came from the recognition that this domain (now known as the C-terminal oxygen degradation domain) was a binding site for the von Hippel-Lindau tumor suppressor protein (pVHL). Marked up-regulation of hypoxia-inducible genes had been noted in VHL-defective cells, but the mechanism was initially unclear.\textsuperscript{32,33} A key insight was therefore provided by the demonstration that pVHL interacted with and was necessary for the proteolytic regulation of both HIF-1α and HIF-2α,\textsuperscript{34} findings that were in keeping with the predicted function of pVHL as an ubiquitin E3 ligase. Subsequent work confirmed that pVHL is indeed an E3 ubiquitin ligase that directly targets two regions of a central oxygen-dependent degradation domain in HIF-α.\textsuperscript{35–38} These regions (the N-terminal oxygen degradation domain, and the C-terminal oxygen degradation domain) can act independently to mediate pVHL-dependent proteolytic destruction of HIF-α,\textsuperscript{39} but appear, in their physiological context, to act in a highly conserved co-operative manner to promote efficient oxygen-dependent destruction of HIF-α.\textsuperscript{39,40}

Further analysis of the interaction between pVHL and HIF-α polypeptides revealed that the binding to pVHL required modification of the HIF polypeptide by an oxygen-dependent reaction. Biochemical analysis of this reaction and mutational/mass spectrometric studies of modified HIF-α peptides revealed the modification to be trans-4-hydroxylation of specific prolyl residues within each of the two pVHL-binding domains (Pro-402 and Pro-564 in human HIF-1α).\textsuperscript{34,41–43} The target prolyl hydroxylation sites did not resemble substrate sequences for the pro-collagen prolyl hydroxylases (then the only known human prolyl hydroxylases) and genetic studies excluded these enzymes as the source of the activity. However, the pro-collagen prolyl hydroxylases are members of the 2-oxoglutarate-dependent oxygenase (2-OG oxygenase) superfamily, and the properties of these enzymes fitted extremely well with the classical characteristics of Epo regulation and with the biochemical properties of the HIF-α modifying reaction. In particular, 2-OG oxygenases are dependent on molecular oxygen as co-substrate, and are markedly susceptible to inhibition by cobaltous ions. This suggested that one or more enzymes in this family might be responsible for generation of the oxygen-sensitive signal. Based on this hypothesis, a combination of structurally informed prediction and candidate testing defined three enzymes termed prolyl hydroxylase domain (PHD) 1, 2, and 3 (otherwise known as Egln 2, 1, and 3, respectively) that catalyze HIF prolyl hydroxylation.\textsuperscript{44,45} PHD activity was shown to be markedly sensitive to inhibition by hypoxia.\textsuperscript{44}

Shortly after the elucidation of the HIF-PHD-pVHL pathway, a third site of hydroxylation (in this case asparaginyl hydroxylation) was defined by mass spectrometric analyses of the C-terminus of HIF-1α and HIF-2α and shown to regulate activity of the C-terminal activation domain,\textsuperscript{46} at least in part by preventing recruitment of p300/CREB (CAMP-response-element-binding protein) binding protein (CBP) co-activators. Bioinformatic prediction identified a molecule termed Fih (factor inhibiting HIF), which had been previously identified as inhibiting HIF by an unknown mechanism,\textsuperscript{47} as a second type of 2-OG oxygenase that catalyzes HIF asparaginyl hydroxylation.\textsuperscript{48,49} Thus, in mammalian cells a total of four 2-OG oxygenases generate oxygen-sensitive signals that regulate HIF by a dual process of prolyl and asparaginyl hydroxylation, promoting both destruction and inactivation of HIF-α in the presence of oxygen (Figure 1).

These enzymes belong to a family of 60–70 human 2-OG oxygenases.\textsuperscript{50} They are characterized by a double-stranded β helix “jelly-roll” catalytic domain that co-ordinates the catalytic iron center through a 2-histidine-1-carboxylate “facial triad”.\textsuperscript{51} The binding of iron is labile, hence the enzymes are also Fe (II) dependent and inhibited by iron chelators. During catalysis, hydroxylation of HIF-α is coupled to the oxidative decarboxylation of 2-OG to succinate, in a reaction that splits molecular oxygen. Uncoupled cycles leave the enzyme in an oxidized, inactive, form and ascorbate (which is required non-stoichiometrically for full catalytic activity) acts at least in part to regenerate active enzyme. It is proposed that the inhibitory action of cobaltous ions and other transition metal ions arises from replacement of catalytic Fe (II) and/or effects on the availability of ascorbate.\textsuperscript{52} The extent to which the HIF hydroxylases are specialized among the 2-OG oxygenases for their “oxygen-sensing” function is not clear. Though high values for the Michaelis constant for oxygen (KmO\textsubscript{2}: >200 mmHg) have been described for PHD enzymes,\textsuperscript{53–55} the
relevance is unclear since physiological pO$_2$ levels are much lower and would support oxygen-limited kinetics even with a much lower KmO$_2$. Nonetheless, unusual kinetic properties have been described for recombinant PHD2. First the PHDs, in particular PHD2, have an unusually high affinity for Fe (II), a property that is conserved in *Trichoplax* PHD, and which has also been reported for a different oxygen-sensing prolyl hydroxylase in *Dictyostelium*. Second, in the absence of HIF-α substrate, the PHD2.Fe.2-OG complex is unusually stable even in the presence of oxygen. Third, the reaction of the PHD2.Fe.2-OG.HIF-1α complex with oxygen is very slow in comparison with reaction rates of other 2-OG oxygenases. Such properties might be important in enabling the enzyme to avoid uncoupled turnover and operate accurately on very low levels of endogenous HIF-α substrates.

**Figure 1** Dual regulation of hypoxia-inducible factor (HIF)-α by oxygen-dependent prolyl and asparaginyl hydroxylation.

**Notes:** In the presence of oxygen, prolyl hydroxylase domain (PHD) enzymes and factor inhibiting HIF (FIH) hydroxylate two proline (P) and one asparaginyl (N) residues, respectively. Hydroxylated proline residues (P-OH) target HIF-α for proteasomal degradation by enabling recognition by the von Hippel-Lindau tumor suppressor protein (pVHL) product, an ubiquitin E3 ligase. Proline hydroxylation is inhibited in the presence of modest hypoxia, allowing HIF-α to escape proteolytic destruction and form a transcription factor in complex with HIF-β. More severe hypoxia results in the inhibition of hydroxylation of the asparaginyl residue (N-OH), allowing for the recruitment of the transcriptional co-activators p300/CReB (cAMP-response-element-binding protein) binding protein to enhance HIF-mediated gene transcription. Reactive oxygen species (ROS) can act at multiple points to modulate the HIF hydroxylase pathway: for example, by inhibiting FIH (and to a lesser extent, PHD enzymes) as well as by enhancing HIF-α transcription and translation.

**Abbreviations:** PAS, PER, AHR/ARNT-Sim; PER, period circadian protein; AHR, aryl-hydrocarbon receptor; ARNT, aryl-hydrocarbon-receptor nuclear translocator; Sim, single-minded protein; bHLH, basic-helix-loop-helix; 2-OG, 2-oxoglutarate.

**Evolution of the HIF hydroxylase system**

Although oxygen-sensing systems are present in a wide range of prokaryotic and eukaryotic species, the HIF hydroxylase system appears to be restricted to animals, even though individual components of the system are present in many non-animal species. Thus, basic-helix-loop-helix proteins are found in all eukaryotes, whereas PAS domains are found in both prokaryotes and eukaryotes and commonly perform sensing functions, including oxygen-sensing. However basic-helix-loop-helix PAS proteins (the family of transcription factors to which HIF belongs) are restricted to animals. Very surprisingly, despite the role of PAS domains in other species, no sensing function has been defined for the PAS domains in HIF-α so far, although structural studies of one isofom, HIF-2α, have revealed a deep cavity in the PAS domain that has been postulated to interact with an, as yet undefined, endogenous ligand. Instead, as outlined above, oxygen-sensitive post-translational hydroxylation acts on sequences lying distal to the basic-helix-loop-helix PAS domains of HIF-α. Both types of HIF hydroxylase belong to groups of 2-OG dependent dioxygenases that manifest conservation across prokaryotes and eukaryotes and include members that perform oxygen-sensing functions in non-animal species. Thus, although the major components of the HIF hydroxylase pathway are represented widely across both animal and non-animal species, the present day HIF hydroxylase system appears to have emerged with the evolution of animals (Figure 2). At least one component of the basic HIF-PHD system has been identified in all animal species. The basic HIF hydroxylase system is represented by the HIF-1α/PHD2 couple; HIF-1α and PHD2 are the most widely expressed isoforms in higher animals and PHD2 makes the dominant
Hypoxia 2014:2

Figure 2 Evolution of the hypoxia-inducible factor (HIF) hydroxylase system.

Notes: The major components of the HIF hydroxylase system are widely represented across both animal and non-animal species. PAS domains and PHD-like enzymes are found in both prokaryotes and eukaryotes whilst bHLH domains are found in all eukaryotes. The HIF hydroxylase system appears to have emerged with the evolution of early animals, coinciding with the convergence of bHLH and PAS domains to form bHLH/PAS-containing proteins including HIF-α. Despite that coincidence, it is sequences lying distal to the bHLH/PAS domains (ODDD) that are sensitive to oxygen-dependent post-translational hydroxylation (large blue arrow). In Trichoplax adhaerens, considered to be the "basal" animal, the HIF hydroxylase system consists of a single PHD enzyme and HIF-α, whose genes lie on the same chromosome. Whole genome duplications and deletions during vertebrate evolution result in multiple copies of both HIF-α and PHD in mammals.

Abbreviations: PAS, PER-AHR/ARNT-SIM; PER, period circadian protein; AHR, aryl-hydrocarbon receptor; ARNT, aryl-hydrocarbon-receptor nuclear translocator; SIM, single-minded protein; PHD, prolyl hydroxylase domain; bHLH, basic-helix-loop-helix; ODDD, oxygen-dependent degradation domain; MYND, myeloid, Nervy and DEAF-1.

Contribution to the regulation of HIF-1α. The primitive PHD2 isoform is clearly marked by the presence of an N-terminal MYND finger motif that is conserved in all animal species. The multiple PHD and HIF isoforms seen in higher animals have arisen though gene duplication events at the base of vertebrate evolution. Mammalian genomes encode three PHD and three HIF isoforms, the product of two gene duplication events and one gene loss. The evolution of FIH is less clear; although all sequenced vertebrate genomes encode an FIH-like protein, the enzyme appears to have been lost from a number of invertebrate species including D. melanogaster and Caenorhabditis elegans.

Although all the PHDs are active on both HIF-1α and HIF-2α, PHD1 and PHD3 are relatively more active on HIF-2α, suggesting that these less abundant, tissue-restricted, isoforms have evolved discrete functions. The regulation of HIF-3α is less well understood, but it contains at least one site of prolyl hydroxylation which promotes association with the pVHL E3 ligase. The HIF-3α gene forms multiple alternatively spliced and promoted variants, which are either transcriptionally inactive or weakly active, due to lack of transactivation domains. Some of these transcripts are themselves transcriptionally induced by HIF, and at least one (IPAS) can form non-productive heterodimers with HIF-1α to inhibit HIF transcription, potentially operating as a negative feedback control. HIF-1α and HIF-2α both mediate positive transcriptional responses to hypoxia, but despite recognizing identical DNA sequences, they manifest selectivity both in the distribution of binding sites across the genome and in the transcription activity of the DNA-bound isoform. Thus, pan-genomic analyses reveal in excess of 500 high stringency binding sites for each of HIF-1α and HIF-2α, about half of which are common to both isoforms.

Insights into discrete physiological functions of HIF-1 and HIF-2 are intriguing in that although distinct functions have been described at every level of analysis (molecular, cellular, and organismal) a clear “headline” separation of over-arching physiological function has yet to emerge. Vertebrate evolution is characterized both by increasingly sophisticated oxygen delivery systems, and by the appearance of these additional HIF-PHD isoforms. In that context it is interesting that one of the clearest HIF-2α specific functions is in the regulation
of Epo. Nevertheless, gene inactivation studies (eg, of cardiovascular development) clearly indicate that the primitive HIF-1α/PHD2 couple remains fundamentally involved in specialized vertebral oxygen delivery. Furthermore, although groups of transcriptional targets are discrete to one or other isoform (eg, glycolytic genes are discrete HIF-1α targets), other genes that apparently function in similar pathways (eg, glucose transporters) are apparently regulated by both isoforms. Nevertheless, gene inactivation studies (eg, of cardiovascular development) clearly indicate that the primitive HIF-1α/PHD2 couple remains fundamentally involved in specialized vertebral oxygen delivery.

Furthermore, although groups of transcriptional targets are discrete to one or other isoform (eg, glycolytic genes are discrete HIF-1α targets), other genes that apparently function in similar pathways (eg, glucose transporters) are apparently regulated by both isoforms. Whereas sometimes both isoforms contribute to activation of a response, in other settings the two isoforms act in opposition. For instance, macrophage differentiation to the M1 phenotype is promoted by HIF-1α whereas differentiation to the M2 phenotype is promoted by HIF-2α, with HIF-1α (inducible nitric oxide synthase) and HIF-2α (arginase) target genes having opposing functions in nitric oxide production and breakdown. Differences between HIF-1α and HIF-2α extend to their signal cross-talk and non-transcriptional functions. For instance, HIF-1α but not HIF-2α has been reported to antagonize Myc transcription by physical association with the HIF-1α PAS domain. Differential action of HIF-1α and HIF-2α is also observed in the regulation of protein translation. For instance, HIF-2α, but not HIF-1α, activates an alternative translational initiation process in hypoxic cells. This involves the recruitment of eIF4E2 to a set of messenger ribonucleic acids (mRNAs) via an interaction between a specific RNA sequence element, the RNA-binding protein RBM4, and HIF-2α.

Overall, although HIF-2α generally displays slower and more prolonged induction in response to hypoxia than HIF-1α, mediates adaptive mechanisms such as hypoxia-specific translation, and has transcriptional targets that are more commonly associated with adaptive/reparative rather than cytostatic responses to hypoxia, their roles appear not to be clearly distinct. Rather, a complex mixture of overlapping and antagonistic functions has been described.

**The interface of molecular oxygen-sensing systems with integrated physiology**

The massive diversity of HIF transcriptional targets revealed by cellular studies (Figure 3) immediately suggested a fundamental role in the integrated physiology of oxygen homeostasis. Phenotypes associated with targeted inactivation of the pathway in mice, and with human genetic

---

**Figure 3** The HIF transcriptional cascade.

**Notes:** Direct transcriptional targets of hypoxia-inducible factor (HIF) include both protein coding genes and regulatory molecules with secondary effects on gene expression. Activation of this cascade entrains multiple cellular and systemic responses to hypoxia.

**Abbreviation:** RNA, ribonucleic acid.
polymorphism, have amply confirmed this.87,88 These studies not only demonstrated a major role in the classical cardiopulmonary, vascular, and metabolic responses to hypoxia, but also revealed many effects (such as on immune cell differentiation) that have not been extensively studied at the organismal level as part of the integrated physiology of hypoxia (Figure 4).

The findings suggest that hypoxia has a much wider role in the integrated physiology of the intact organism than was previously foreseen and raise a series of important new questions. How does the HIF hydroxylase system signal hypoxia over the wide range of oxygen tensions encountered by cells in the intact organism? Does the involvement of HIF signaling in these “new” physiological processes imply control by environmental hypoxia? How does fetal hypoxia impinge on development? Does activation of “oxygen-sensing” pathways in disease contribute to pathology, or do these pathways ameliorate disease by promoting adaptive responses? Can damaging and protective responses be distinguished and differentially activated therapeutically? These questions are largely unanswered, but we will summarize some of the major lines of research and consider the implications of findings to date.

The HIF pathway in developmental oxygen homeostasis

In most organisms rates of oxygen consumption are such that transfer of oxygen by diffusion is limiting, placing constraints on the mass and organization of tissues. Thus it might be predicted that inactivation of the HIF/PHD system would disrupt the development of even primitive animals and/or alter fundamental parameters such as cell size. Oddly, studies of invertebrate development show little evidence for this. The nematode worm C. elegans relies on simple diffusion of oxygen, whilst D. melanogaster employs a system of air passages known as the tracheal system to augment oxygen delivery. However, in neither organism is HIF induced to any major extent during normal development.89,90 Moreover, development of worms and flies (including fly tracheal development) occurs relatively normally in the presence of oxygen in the absence of a functional HIF gene.89–91 Nevertheless, the HIF/PHD system is clearly functional, as HIF-deficient developing flies and worms are extremely vulnerable to hypoxia.89,90 Moreover, PHD inactivation results in abnormal development (e.g., excessive tracheal formation) that is corrected by additional inactivation of HIF.90 Thus, the HIF/PHD system is not essential for all aspects of developmental oxygen homeostasis. Rather it appears to operate on top of a developmental plan which is already compatible with oxygen homeostasis. This is illustrated by studies of the regulators of tracheal development in the fly (branchless and breathless, homologs respectively of the human fibroblast growth factor and its receptor). In contrast with the HIF/PHD system, disruption of the branchless/breathless pathway has more profound effects on fly tracheal development, in keeping with this pathway being controlled both by “hard-wired” developmental processes as well as being a target of the adaptive HIF/PHD system.92

This principle of HIF signaling operating on top of “hard-wired” developmental processes that are compatible with oxygen homeostasis is reflected in the early development of higher animals. Despite a physiologically hypoxic uterine environment, processes such as uterine implantation still take place in HIF-deficient embryos. Furthermore, aspects of early embryonic development that are fundamental to oxygen homeostasis appear to occur independently of HIF signaling. For instance, neither HIF-1α nor HIF-2α is absolutely required for early vasculogenesis,77,93 which

![Figure 4 Integrated physiological functions associated with HIF hydroxylase pathways. Notes: In addition to effects on physiological systems classically associated with the maintenance of oxygen homeostasis, studies of the hypoxia-inducible factor (HIF) hydroxylase system have revealed actions on many other processes which are not classically associated with physiological adaptation to hypoxia and potentially widen the role of hypoxia in integrated physiology.](https://www.dovepress.com)
is normal even in HIF-1α deficient mouse embryos up to day 8. 77 In marked contrast to flies and worms, however, later development of higher animals (as exemplified by studies of the mouse) absolutely requires a functional HIF/PHD system, and appears to be strongly affected by signals from developmental hypoxia. Thus, hypoxic cells expressing HIF are present during late placental development 74 and inactivation of HIF-1α and HIF-1β are both associated with major defects in placental development. 77,95 The role of HIF activation in specific developmental processes has been studied by targeted inactivation in relevant organs to avoid secondary hypoxia generated by placental disruption. For instance, profound hypoxia is observed in the developing heart prior to establishment of a coronary circulation. Both HIF-1α and HIF-2α are activated, 96 patterns being spatially distinct with HIF-1α predominantly myocardial and HIF-2α predominantly endothelial. HIF-1α has been proposed to activate specific cardiac transcription factors and inactivation of HIF-1α in developing ventricular myocytes is associated with defective cardiac chamber development and hyperplasia of the ventricular myocardium. 78 Similar studies of tissue-specific inactivation of HIF-1α in other organs have revealed a wide range of phenotypes supporting a central role in diverse developmental processes. These findings suggest the existence of time windows during which activation of HIF by physiological fetal hypoxia contributes to developmental programs. If true, studies of HIF in developing embryos might predict specific time windows during which systemic maternal hypoxia and/or placental ischemia might interact with these signals to generate particular developmental defects. Nevertheless the relationship between systemic hypoxia and regional hypoxia is difficult to predict in the intact organism. 77 Surprisingly, despite abundant evidence that maternal hypoxia does indeed lead to developmental defects and adverse fetal outcome, 98–100 there have been relatively few studies that attempt to define the precise nature of interaction on the HIF pathway and how this relates to specific developmental phenotypes.

**Subversion of HIF pathways: more than oxygen homeostasis?**

Inactivation of HIF-α isoforms (particularly HIF-1α) in specific tissues is also associated with a wide range of phenotypes specific to the adult mouse. These studies have implicated HIF in a range of functions that extend beyond the classical physiology of hypoxia (Figure 4). Interestingly, many of these functions, including immune/inflammatory cell biology, 101–103 hematopoietic stem cell behavior, 104 bone morphogenesis 105 and epithelial barrier function, 106 map to regions that are physiologically hypoxic. 106–108 From studies of HIF inactivation alone it is difficult to distinguish whether HIF is playing a regulatory role or simply required as a permissive factor. However, mechanistic analyses have implicated HIF directly in the control of key molecules. For instance HIF-1α has been implicated in the control of the T-cell transcription factors, ROR-gt 109 and FoxP3 110 suggesting that micro-environmental hypoxia and HIF activation might indeed have a regulatory role in T-cell differentiation.

Although HIF has often been described as the master regulator of oxygen homeostasis, these and other studies indicate a substantially broader role in biology. Pan-genomic analysis of HIF transcriptional targets has also revealed many whose known functions are difficult to reconcile with a direct role in oxygen homeostasis. 111 This is most clearly seen for metabolic target genes where the functions of the gene products are particularly well defined in relation to oxygen consumption. Thus, although some metabolic functions of HIF such as down-regulation of mitochondrial metabolism 112,113 are easy to explain in terms of oxygen homeostasis, others are not. For instance HIF has been implicated in both glycogen synthesis 114 and glycogen breakdown 115 and in energy consuming steps in fatty acid synthesis, 116,117 whereas the strongly isoform-specific regulation of glycolytic enzymes is more in keeping with a role in provision of biosynthetic intermediates than anaerobic provision of ATP. 118,119

Taken together, these findings suggest that the physiology of oxygen homeostasis and that of the HIF pathway do not overlap precisely. First, the HIF hydroxylase system appears to be superimposed on a developmental plan that is already hard-wired to be broadly compatible with oxygen homeostasis. Second, the system appears to have been subsumed into functions that extend well beyond a direct role in oxygen homeostasis.

**The “range finding” problem: integration of oxygen-dependent and non-oxygen-dependent signals**

The lack of a fixed relationship between operating tissue pO2 and HIF signaling necessitates “range finding” mechanisms which modulate the relationship between the oxygen-dependent kinetics of the HIF hydroxylation reaction and the overall biological output of HIF activation (Figure 5; for review see Ratcliffe 120). How this is achieved remains unclear and is one of the major unsolved problems in the field. Nevertheless, multiple signaling inputs operate at different...
levels in these pathways and potentially could contribute to the effects observed.

**HIF hydroxylase activity**

The co-substrate and co-factor requirements of the HIF hydroxylases (2-OG, iron, and ascorbate, as well as molecular oxygen) potentially provide inputs from metabolic and redox stresses that could modulate the signaling of hypoxia. Of particular interest is the interface with redox signaling. Several mechanisms potentially contribute to inactivation of the HIF hydroxylases by oxidant stress, including reduction in cytosolic Fe (II) levels, oxidation of the catalytic iron in PHD2, auto-hydroxylation of amino acid residues, and oxidation of protein thiols. In keeping with this, many investigators have described modulation of HIF hydroxylase pathways by redox stresses. In cultured cells, oxidant stresses reduce hydroxylation and activate HIF\(^1\)\(^2\),\(^1\)\(^2\) whereas the reducing agents, in particular ascorbate, enhance hydroxylation and inactivate HIF.\(^1\)\(^3\)

In this context, it has been proposed that increased reactive oxygen species (ROS) produced by hypoxic mitochondria, rather than reduced levels of molecular oxygen, are the main means of signaling hypoxia.\(^1\)\(^2\),\(^1\)\(^2\) However, this remains controversial. Whilst mitochondrial inhibitors block the production of ROS by hypoxic cells and reduce HIF activation, they also relieve hypoxia by reducing consumption of molecular oxygen, complicating mechanistic interpretation.\(^1\)\(^2\),\(^1\)\(^2\) It is also unclear how well studies in cell culture translate into effects in the intact organism. For instance, although supplementation with ascorbate strongly promotes HIF hydroxylation in cell lines, supplementation of ascorbate in scorbatic rats did not have major effects on the oxygen sensitivity of Epo production.\(^1\)\(^2\)

In our view, the balance of evidence favors reduction in molecular oxygen itself as the principal cause of reduced HIF hydroxylation in hypoxic cells. Nevertheless, redox signals have the potential to inactivate the HIF hydroxylases and may modulate the response to hypoxia. Some insights into this have been gained through comparative studies of the PHDs and FIH.\(^1\)\(^2\),\(^1\)\(^3\) Whereas the PHDs are more sensitive to hypoxia than FIH,\(^1\)\(^2\) the reverse was observed for oxidant stress, with FIH being much more sensitive to inactivation by peroxide than the PHDs.\(^1\)\(^3\) These findings suggest that the two stimuli are distinct, but might interact. Thus partial inactivation of the enzyme by redox stress might alter the apparent kinetics in cells such that hydroxylation would be reduced at any given oxygen tension thus reducing the “gain” of the oxygen sensor.

![Figure 5](https://www.dovepress.com/)

**Figure 5** The principle of “range-finding” processes in the HIF hydroxylase system.

**Notes:** The relationship between the oxygen-dependent kinetics of hypoxia-inducible factor (HIF) hydroxylation and overall biological output of HIF activation can be modulated by multiple mechanisms operating at different points in the HIF hydroxylase pathway. These “range-finding” mechanisms provide multiple means by which the biological output of the basic oxygen-sensitive signal provided by the HIF hydroxylases can be modulated to meet signaling requirements in the intact organism.

**Abbreviations:** VHL, von Hippel-Lindau; RNAs, ribonucleic acids; IncRNAs, long non-coding RNAs; miRNAs, microRNAs.
in cells experiencing oxidant stress (Figure 1). In contrast, transcriptional induction of PHD2 and PHD3 in hypoxic cells increases the abundance of these enzymes, increasing the rate of hydroxylation at any given oxygen tension and hence increasing the “gain” in hypoxic cells.\(^{48,131}\)

**Steady-state levels of HIF-α**

Levels of HIF-α sub-units are set by the balance between synthesis and degradation and changes in either process will contribute to the overall activity of the pathway. In the presence of oxygen the half-life of HIF-1α is extremely short, in the region of 1–2 minutes,\(^{132}\) whereas in hypoxic or VHL-defective cells where the PHD/pVHL degradation pathway is inactivated, HIF-1α half-life is greatly extended, in the region of 1–2 hours.\(^{35}\) In addition to this oxygen-regulated pathway, a wide range of PHD/pVHL-independent pathways have been described that modulate the degradation of HIF-α irrespective of oxygen. These include deubiquitylating enzymes that antagonize pVHL-mediated ubiquitylation, alternative ubiquitin ligases that target HIF-α domains independently of oxygen, and alternative modifications that alter HIF-α stability, such as sumoylation (for review see Kaelin and Ratcliffe, and Semenza).\(^{109,110}\) All of these processes have the potential to alter the relationship between oxygen and HIF-α levels, particularly in moderately hypoxic cells where oxygen-dependent degradation is already reduced.

Controls of HIF-α synthesis involve both transcriptional and post-transcriptional mechanisms. Take, for instance, the transcriptional activation of the HIF-1α gene by a nuclear factor kappa B (NF-kB) site in its promoter that mediates induction by cytokines, ROS, and other pro-inflammatory stimuli.\(^{135}\) HIF-2α mRNA levels are also regulated by oxidant stresses. For example, in VHL-defective renal cancer cells, the NADPH oxidase Nox4 has been reported to be essential for maintenance of HIF-2α mRNA levels. Translational controls are also of great importance in setting steady-state levels of HIF-α.\(^{51}\) In particular, in proliferating cells, HIF-α translation is augmented by mTOR dependent and mTOR independent pathways that are entrained by activation of the PI3K/PTEN/AKT and RAS/RAF/MAPK signaling cascades, leading to the activation of HIF even in the presence of oxygen (reviewed in Pouyssegur et al.).\(^{136}\) In hypoxic cells, HIF-α sub-units escape translation suppression and are synthesized at rates that are either proportional to or exceed levels of the relevant mRNA. The mechanisms underlying this are unclear. Although internal ribosomal entry has been proposed\(^{137}\) this has not been confirmed by others.\(^{138}\) Interestingly, despite high rates of translation, HIF polypeptides have long GC rich 5′ untranslated regions (5′UTRs) suggesting the existence of transcript-specific controls of translation. Given the potential insights into range finding and therapeutic inhibition (see below) this appears to be an under-researched area.

**Transcriptional co-operativity**

The binding of HIF to HREs is highly selective across the genome. The human genome possesses approximately 1.1x10⁶ HRE consensus sites of which somewhere in the region of 50,000 lie in unmethylated regions of DNA within open chromatin in a typical cell.\(^{73}\) Depending on the level of stringency applied to the Chromatin immunoprecipitation-sequencing (ChIP-seq) signals that have been used to define HIF-binding, only in the region of 500–2,500 of these sites (ie, <5%) actually bind HIF at a given time in hypoxic cells of a particular type.\(^{73,111}\) Across different cells the presence or absence of HIF-binding at a given locus correlates with the existence of a basal level of gene expression at that locus,\(^{73}\) site selectivity most probably reflecting co-operative interactions with other transcription factors that bind at the target locus. These effects underlie qualitative cell-type differences in HIF target gene selectivity. However, it also is likely that the distribution of HIF-binding across target sites is competitive (ie, there are more potential HIF-binding sites than HIF molecules). An important and so far unanswered question is whether there are quantitative differences between the level of HIF-α induction and the occupancy of specific sites, which might generate differences in levels of HIF induction required to promote specific physiological responses, or different sensitivity to oxygen, in different cell types.

Taken together, these findings provide multiple means by which the biological output of the basic oxygen-sensitive signal provided by the HIF hydroxylases could, in theory, be modulated to meet signaling requirements in the intact organism. Key questions remain, however, as to which of these processes actually function physiologically in this way, and whether such an understanding would illuminate therapeutic approaches to the manipulation of specific components as opposed to general activity of the pathway.

**Therapeutic potential of manipulating the HIF hydroxylase system**

In keeping with the central involvement of hypoxia in most human diseases, elucidation of the HIF hydroxylase oxygen-sensing system has opened a major new therapeutic arena. Detailed accounts of this rapidly expanding field have been given in other recent reviews.\(^{139–141}\) Here we will
highlight some of the opportunities and challenges. The rationale for therapeutic manipulation of HIF encompasses two basic perspectives: either that augmenting HIF signaling will improve the outcome of ischemic/hypoxic diseases or that inhibiting HIF activity will improve the outcome of diseases such as cancer that utilize the system to support their development. However, it is likely that this perspective is overly simple. First, in different contexts, activation of HIF can have both pro- and anti-tumorigenic effects, suggesting that the outcome of general blockade of HIF in cancer will depend on the balance of these effects (for reviews see Ratcliffe, Semenza, and Shen and Kaelin). At least in some circumstances, inactivating specific components of the pathway (for instance, specific inactivation of HIF-2α in clear cell renal cancer) might be the most effective strategy. Second, the complexity of the role of HIF in patho-physiological processes such as immune cell differentiation combined with the potentially opposing effects of HIF-1 and HIF-2 (for instance on macrophage differentiation) make the effects of general activation difficult to predict in other contexts. Many routes to activation or inactivation of HIF pathways have been proposed (reviewed in Semenza, and Fraisl et al). However, the most clinically advanced approaches aim to reduce HIF activity by inhibiting protein synthesis, or activate HIF by inhibition of the PHDs.

Targeting HIF protein synthesis

The extreme dependence of HIF activation on protein synthesis suggests that agents that modulate protein synthesis would be of value in restricting HIF activation. Indeed, it is possible that existing drugs that target protein synthesis such as mTOR inhibitors and agents that act on upstream oncogenic kinases such as PI3K/Akt and MAP kinase pathways act at least in part through inhibition of HIF. Interestingly, HIF-1α and HIF-2α are differentially dependent on mTOR complexes. Thus, HIF-1α is dependent on both mTORC1 and mTORC2, whilst HIF-2α is dependent on mTORC2. Differential targeting of HIF-2α versus HIF-1α might therefore be possible through kinase inhibitors with differential effects on these pathways and might be a valuable approach in HIF-2 dependent VHL-defective renal cancer.

Another interesting lead has been provided by studies of digoxin, which appears to act specifically on translation of HIF-1α. Though the doses used in the experimental studies are high in relation to those that inhibit the classic target (Na/K ATPase) and could be dose-limiting, a better understanding of the effects of digoxin of HIF protein synthesis might enable the development of new molecules with greater specificity.

Targeting the HIF prolyl hydroxylases

Inhibition of the HIF hydroxylases by 2-OG analogs and related compounds efficiently induces HIF in normoxic cells and has opened an extensive and very exciting novel therapeutic area (for reviews see Fraisl et al, Selvaraju et al, and Rabinowitz). Given the history of the field and the extreme sensitivity of Epo to regulation by hypoxia, it is fitting that the first target has been the therapy of anemia.

Recombinant Epo is an effective and relatively safe treatment for the anemia of renal disease and other conditions characterized by relative Epo deficiency. Nevertheless, some individuals do not respond and concerns have been raised about enhanced rates of cardiovascular events in patients receiving high Epo doses. Although mechanism(s) are not fully understood, it is likely that very high plasma Epo levels associated with high dose recombinant Epo have adverse effects on vascular function. HIF prolyl hydroxylase inhibitors augment endogenous Epo levels and have other effects such as promotion of iron absorption that enable efficient stimulation of erythropoiesis at much lower Epo levels. Very interestingly, some kidney disease patients appear to be particularly sensitive to prolyl hydroxylase inhibitors and respond more strongly even than control subjects with normal kidneys. Therefore, this approach has the potential to avoid Epo-related toxicity and such agents are now in late-stage clinical trials. Many experimental studies suggest that PHD inhibitors have the potential to improve the outcome of ischemic diseases, raising the possibility that rather than simply avoiding cardiovascular toxicity, these agents may in fact improve vascular outcomes in patients being treated for anemia.

A related question is whether PHD inhibitors might be used in the primary treatment of vascular diseases. Although a large number of experimental studies using either genetic or pharmacological intervention of the PHDs have demonstrated benefits in different experimental settings, long-term, complete inactivation of PHD2 and PHD3, or overexpression of a stabilized HIF-1α protein, has been associated with cardiomyopathy, possibly due to down regulation of mitochondrial function upon activation of HIF. In the clinical setting, the generation of excessive erythropoiesis could also be problematic. These considerations mandate careful analysis of the temporal and dosing windows that might be deployed to obtain the optimal therapeutic outcome.
(ie, anti-ischemia versus erythropoietic effects and vice versa). Similar considerations apply to the development of clinical protocols for the use of PHD inhibitors in inflammatory and immune-mediated pathologies.

Importantly, quite subtle differences in drug exposure and drug action could have a major impact on medical outcome. For instance, in anemia it appears likely that the concentration of the drug in the liver and kidneys\(^\text{163}\) (the Epo producing organs) plays a major role in obtaining a differential effect on anemia versus other effects of HIF activation. Molecules that are less restricted in their organ distribution might offer enhanced anti-ischemic effects in relation to erythropoiesis. It is also likely that agents with modest differences in selectivity amongst the PHDs (or against other 2-OG oxygenases that input to the pathway) would offer therapeutic differentiation. For instance, since HIF-1\(\alpha\) and HIF-2\(\alpha\) have opposing actions on some outputs\(^\text{81,164}\) and PHDs 1 and 3 have relatively more action on HIF-2\(\alpha\) than HIF-1\(\alpha\),\(^\text{67}\) small differences in selectivity amongst the PHDs could be clinically significant. In our view, these considerations strongly support the clinical testing of chemically diverse PHD inhibitors.

Finally, given potential difficulties arising from general activation of HIF, it will be important to test non-systemic therapies in the clinic. Experimental studies provide strong support for non-systemic agents in dermatological conditions such as ischemic ulceration,\(^\text{165,166}\) and gastro-intestinal diseases such as colitis,\(^\text{167–169}\)

## Wider role of protein hydroxylation in biology

Though protein hydroxylation had an established role in the structural modification of collagen, its role in signaling, as revealed by discovery of the HIF hydroxylases, was unprecedented. Thus, the identification of the HIF hydroxylases, in particular the recognition that FIH (known to be a member of an extensive group of jumonji-domain containing, Jumonji C [JmjC] proteins)\(^\text{37}\) was a 2-OG oxygenase that catalyzes protein hydroxylation immediately raised the possibility that hydroxylation of intracellular proteins was more widespread than had been previously considered.\(^\text{48}\)

Subsequently, many JmjC proteins were shown to catalyze demethylation of histone proteins, in a reaction which demethylation of the methyl group leads to demethylation following the release of formaldehyde.\(^\text{170,171}\) Dynamic regulation of histone methylation has attracted widespread interest and is modulated by hypoxia,\(^\text{172,173}\) raising questions as to whether the changes induced by hypoxia are indeed mediated by the JmjC 2-OG oxygenases, which enzyme(s) are involved, and what are the physiological consequences. For instance, an interesting possibility is that oxygen-dependent changes in histone methylations modulate the transcriptional output of the HIF pathway. Other studies have indicated that 2-oxygenase catalyzed protein hydroxylation is widespread. First, non-HIF substrates of the HIF hydroxylases have been identified and, second, new types of protein hydroxylase have been defined that are closely related to both the PHD and FIH enzymes.

One important caveat to this work is that secure detection of biologically relevant protein hydroxylation is difficult. Immunological detection of specific hydroxylated epitopes (for instance in HIF-1\(\alpha\)) by hydroxy-residue specific antibodies is possible,\(^\text{39,129}\) but the production of high-fidelity generic hydroxy-residue specific reagents for prospective discovery of novel sites of protein hydroxylation is challenging. Mass spectrometry methods are prone to artifactual oxidations, including on proline, so that rigorous confirmation of enzymatic dependence of proposed sites of hydroxylation is essential. Thus, although a great deal of indirect cell biology evidence has been provided for the existence of non-HIF substrates of the HIF hydroxylases and is in some cases supported by mass spectrometry (MS) evidence of hydroxylation (reviewed in Myllyharju),\(^\text{174}\) the extent of non-HIF hydroxylation catalyzed by the HIF hydroxylases, particularly the PHDs, remains unclear. However for FIH, studies of the hydroxylation of ankyrin repeat domain (ARD)-containing proteins do provide clear evidence of widespread protein hydroxylation.\(^\text{175–177}\) The human genome encodes in the region of 300 ARD-containing proteins that are closely related to both the PHD and FIH enzymes.

The possibility is that oxygen-dependent changes in histone methylations modulate the transcriptional output of the HIF pathway. Other studies have indicated that 2-oxygenase catalyzed protein hydroxylation is widespread. First, non-HIF substrates of the HIF hydroxylases have been identified and, second, new types of protein hydroxylase have been defined that are closely related to both the PHD and FIH enzymes.

Aside from protein hydroxylation by the HIF hydroxylases, recent work has revealed the existence of new groups of protein hydroxylase that are closely related to the HIF hydroxylases but target sites on the ribosome.\(^\text{62,63,182,181}\) These ribosomal hydroxylases include a novel prolyl hydroxylase (OGFOD1) that catalyzes hydroxylation of a highly conserved residue on RPS23 that lies at the coding center\(^\text{63,182}\) and the FIH-like enzymes Mina53 and No66 that catalyze histidinyl hydroxylations on RPL27a and RPL8 respectively.\(^\text{49}\) Whether these hydroxylations mediate signaling functions akin to the HIF hydroxylases remains to be resolved. However, they are remarkably conserved. For instance,
Mina53 and No66 are orthologs of the bacterial hydroxylase ycfD, which catalyzes arginyl hydroxylation at an analogous position in the prokaryotic ribosome.184

Thus, it is now clear that hydroxylation of intracellular proteins extends beyond HIF signaling and is widespread in biology. This raises new questions as to its physiological roles, in particular in signaling oxygen levels, or metabolic/redox stresses. The precision with which signaling is required to sustain physiological oxygen homeostasis raises another fundamental, but largely unanswered, question. That is whether the oxygen-dependent kinetics of HIF hydroxylation in cells is modulated either by substrate competition or by the association of HIF hydroxylases with proteins that bind at the catalytic site but are poor substrates. Future research into the biochemistry, biology, and therapeutic manipulation of these hypoxia signaling pathways can be expected to be rewarding.

Acknowledgment

Work in the authors’ laboratory was supported by the Wellcome Trust, and the Ludwig Institute for Cancer Research.

Disclosure

PJR is a scientific co-founder and holds equity in ReOx Ltd, a university spin-out company that seeks to develop therapeutic inhibitors of the HIF hydroxylases. TB has no conflicts of interest to disclose.

References

3. Clark E, Clark EL. Microscopic observations on the growth of blood capillaries in the living mammal. American Journal of Anatomy. 1939;64(2):251–301.

31. Huang LE, Gu J, Schau M, Bunn HF. Regulation of hypoxia-inducible factor 1α is mediated by an oxygen-dependent domain via the ubiquitin-proteasome pathway. Proc Natl Acad Sci U S A. 1998;95(14): 7987–7992.


56. Berra E, Benizzi E, Ginouvės A, Volmat V, Roux D, Pouysuggage J. HIF hydroxylase 1 is the oxygen sensor setting low steady-state levels of HIF-1α in normoxia. EMBO J. 2003;22(16): 4082–4090.


137. Lang KJ, Kappel A, Goodall GJ. Hypoxia-inducible factor-1α mRNA contains an internal ribosome entry site that allows efficient translation during normoxia and hypoxia. Mol Biol Cell. 2002;13(5):1792–1801.


188. Thompson SM, Pedley A, Piccoli DP. The role of HIF in hypoxia and in the therapeutic targeting of hypoxia-responsive pathways. The manuscript management system is completely online and includes a very quick and fair peer-review system, which is all easy to use. Visit http://www.dovepress.com/testimonials.php to read real quotes from published authors.