Violent victimization of adult patients with severe mental illness: a systematic review

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Abstract: The aims of this paper are to review data on the prevalence and correlates of violent victimization of persons with severe mental illness, to critically evaluate the literature, and to explore possible approaches for future research. PubMed/MEDLINE and PsycINFO databases were searched using several terms related to severe mental illness in successive combinations with terms describing victimization. The searches identified 34 studies. Nine epidemiological studies indicate that patients with severe mental illness are more likely to be violently victimized than other community members. Young age, comorbid substance use, and homelessness are risk factors for victimization. Victimized patients are more likely to engage in violent behavior than other members of the community. Violent victimization of persons with severe mental illness has long-term adverse consequences for the course of their illness, and further impairs the quality of lives of patients and their families. Victimization of persons with severe mental illness is a serious medical and social problem. Prevention and management of victimization should become a part of routine clinical care for patients with severe mental illness.

Keywords: victimization, violence, severe mental illness, schizophrenia, bipolar disorder

Introduction

Victimization of the mentally ill has a very long history. The Roman encyclopedist Celsus (~25 BC to ~50 AD) recommended “torture, starvation, fetters, or flogging” as treatments for mental illness.1 Bizarre behaviors of the mentally ill were sometimes thought to indicate possession by Satan, resulting in occasional victimization in the witch trials of the 16th and 17th centuries.2

Victimization of the mentally ill has taken on different forms, but it has never stopped. Deinstitutionalization, started in the mid-1950s and largely completed in the mid-1990s,3 resulted in an influx of persons with severe mental illness into communities, where they are at considerable risk for homelessness4,5 as well as criminal and other victimization.6 Verbal abuse and other harassment of the mentally ill by local teenagers and neighbors is also common.6,7

Violent victimization of persons with severe mental illness presents obvious dangers of physical trauma; it has long-term adverse consequences for the course of mental illness, and it further impairs the quality of patients’ lives. As this review will show, its prevalence is high. Thus, victimization is a major public health problem.

However, it has attracted much less attention than violent behavior by the patients in spite of the fact that violent victimization of patients occurs more frequently than violent offending by the patient.8,9 In spite of the lower frequency of offending in comparison with victimization, victimized patients may be violent, and relationships between victimization and offending exist.
Victimization in childhood is a frequent precursor of mental illness,\textsuperscript{10,11} violent behavior,\textsuperscript{12} and victimization in adulthood.\textsuperscript{13,14}

However, childhood victimization is beyond the scope of this review, which focuses on victimization of adults with severe mental illness.

The impetus for writing this review was the recent explosion of work in the area of victimization and severe mental illness. Previous reviews focusing on this problem located only nine\textsuperscript{6} or ten\textsuperscript{9} relevant publications. More recent reviews deal exclusively with domestic violence and do not focus on the severe mental disorder of the victims.\textsuperscript{15,16} The goal of this review is to update and expand data on prevalence, correlates, and impact of adult victimization among individuals with severe mental illness.

Methods

The PsycINFO and PubMed/MEDLINE databases were searched using the terms “psychosis”, “psychoses”, “psychotic”, “mental disorders”, “psychiatry”, “psychopathology”, “severe mental illness”, “major depression”, “schizophrenia”, and “bipolar disorder” in successive combinations with “victimization”, “victimisation”, “victimized”, “victimised”, and “victim*”. The search covered the time up to June 22, 2014; no backwards time constraint was used (the start time was whatever the search engines provided). No constraints on the language of publication were imposed. Availability of explicit data on the rates of prevalence of victimization over a definite period was a criterion of eligibility for inclusion of the reports in our review. The subjects had to be at least 18 years old, and had to be diagnosed with a severe mental illness such as schizophrenia, bipolar disorders, major depression, or other psychotic disorders. Furthermore, the included studies had to have been published in peer-reviewed journals. Excluded were books, book chapters, commentaries, reviews, and dissertations. The procedure for the inclusion of publications is shown in Figure 1. The final studies yielded by the search are summarized in Tables 1 and 2.

Results

Prevalence of victimization

The search detected 34 articles reporting empirical observations on the prevalence of violent victimization of adult individuals with diagnosed severe mental illness. Tables 1 and 2 display the demographic and clinical data as well as descriptors of violent victimization and, when available, data on violent offending by the study participants.

The reported prevalence of violent victimization ranges between 4.3\textsuperscript{17} and 92\textsuperscript{18}, but these data are not comparable since the first report covers the past month and the second one the lifetime. By limiting the data to studies that used the time frame of 1 year for the assessment of victimization, we could detect the range 7.1\textsuperscript{19}–56\textsuperscript{20}. Again, these studies are difficult to compare. The respective Ns are 50 and 2,610. The larger study is based on police records, whereas the smaller one interviewed each patient. Similar discrepancies in the number of participants, their diagnoses, time frames and methods of data collection, and definitions of victimization are clearly demonstrated in Table 1. The estimates of the prevalence of victimization in patients with severe mental illness vary widely with the methods used by the authors.

Rather than attempting to determine the “true” prevalence of victimization in patients with severe mental illness, it is useful to ask whether this prevalence is different from that in the general (or another control) population. Nine studies provided comparisons of the prevalence of victimization in persons with severe mental illness with that observed in nonaffected control populations.\textsuperscript{5,19,21–27} These studies are summarized in Table 2, which provides numerical data.

An epidemiological study of victimization and perpetration was conducted in prison population in the United States.\textsuperscript{25} A sample of 7,785 subjects aged 18 or older (7,221 men and 564 women) completed a survey. Mental disorder was based on self-reported mental health treatment ever for particular mental disorders. Prior treatment for schizophrenia, bipolar disorder, depression, posttraumatic stress disorder (PTSD), or anxiety disorder was reported in 24.8% of the total sample. Rates of physical victimization for males with any mental disorder were 1.6 times (inmate-on-inmate) and 1.2 times (staff-on-inmate) higher than that of males with no mental disorder. Female inmates with mental disorder were 1.7 times more likely to report being physically victimized by another inmate than were their counterparts with no mental disorder. Thus, both males and females with histories of mental disorder were disproportionately represented among victims of physical violence inside the prison. Data on a subset of 476 inmates with a history of schizophrenia or bipolar disorder are presented in Table 2. A New Zealand study compared victimization rates of 158 outpatients with severe mental illness with 158 outpatients recruited from the waiting rooms of medical, surgical, and other clinics.\textsuperscript{21} Compared with the controls, patients were significantly more likely to report a history of sexual or physical abuse during adulthood. Female patients were significantly more likely to be sexually and physically abused than male patients, and...
those sexually abused were significantly more likely to report a history of sexual abuse during childhood. A relatively small study conducted in Taiwan reported 1-year prevalence of violent victimization of patients with severe mental illness somewhat higher than in the general population.\textsuperscript{24}

A Dutch epidemiological survey included a random sample of 956 severely mentally ill outpatients and compared their victimization rate with that obtained in a general population sample (N=38,227).\textsuperscript{26} The prevalence of violent victimization by physical assault was significantly higher in the outpatients (Table 2). The prevalence of sexual harassment or assault was 5.4%, and the relative risk in comparison with the control population was 3.94 (3.05–5.05).

The next epidemiological study compared crime victimization rates among 2,610 adults with serious mental illness with those observed in a sample of general population (N=370,786).\textsuperscript{19} Among the 2,610 adults, 7.1% were identified as crime victims by police officers, a significantly higher rate in comparison with the control population. To compare Pandiani et al.’s\textsuperscript{19} data with other publications, we calculated the odds ratio (OR) using the numbers provided in the first line of Table 1 (OR=2.51).

A US study reported by Silver\textsuperscript{22} used data from one of the sites of the MacArthur Foundation’s Violence Risk Assessment Study.\textsuperscript{28} A sample of discharged psychiatric patients (N=270) was compared with a sample of nonpatients (general

\textsuperscript{19} collagenase type I (Sigma Chemical Co, St Louis, Missouri).}

\textsuperscript{2} Collagenase type I (Sigma Chemical Co, St Louis, Missouri).
Table 1 Violent victimization in persons with severe mental illness

<table>
<thead>
<tr>
<th>Study</th>
<th>N</th>
<th>Males%</th>
<th>Principal axis 1 dg</th>
<th>Comorbid SUD</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bengtsson-Tops and Ehliasson</td>
<td>174</td>
<td>43.1%</td>
<td>Psychosis</td>
<td>Not provided</td>
</tr>
<tr>
<td>Brekke et al</td>
<td>172</td>
<td>73.8%</td>
<td>Schizophrenia or schizoaffective disorder</td>
<td>87.79%†</td>
</tr>
<tr>
<td>Brunette and Drake</td>
<td>172</td>
<td>78.5%</td>
<td>Schizophrenia 68.60%; Schizoaffective 31.40%</td>
<td>100%</td>
</tr>
<tr>
<td>Chapple et al</td>
<td>962</td>
<td>59.8%</td>
<td>Schizophrenia 58.9%; bipolar 19.1%; psychotic depression 10.9%</td>
<td>Not provided</td>
</tr>
<tr>
<td>Crisanti et al</td>
<td>2,209</td>
<td>51.2%</td>
<td>Mood disorder 62%; schizophrenia and related disorders 23%</td>
<td>Substance abuse 55.5%</td>
</tr>
<tr>
<td>Cusack et al</td>
<td>505</td>
<td>32.0%</td>
<td>Major depression 32%; psychotic disorders 27%; bipolar disorder 15%</td>
<td>15%</td>
</tr>
<tr>
<td>Dolan et al</td>
<td>94</td>
<td>Not provided</td>
<td>Schizophrenia spectrum</td>
<td>Average DAST score 8.71 (SD=7.3)</td>
</tr>
<tr>
<td>Fitzgerald et al</td>
<td>384</td>
<td>61.8%</td>
<td>Schizophrenia spectrum</td>
<td>Alcohol 19.82%; drugs 17.53%</td>
</tr>
<tr>
<td>Fortunato et al</td>
<td>926</td>
<td>58.5%</td>
<td>Schizophrenia spectrum</td>
<td>Not provided</td>
</tr>
<tr>
<td>Friedman et al</td>
<td>53</td>
<td>0%</td>
<td>Major depression 53%; bipolar 36%; schizophrenia 11%</td>
<td>17%</td>
</tr>
<tr>
<td>Goodman et al</td>
<td>99</td>
<td>0.0%</td>
<td>Schizophrenia or schizoaffective 59%; bipolar 16%; major depression 14%</td>
<td>44%</td>
</tr>
<tr>
<td>Goodman et al</td>
<td>50</td>
<td>42.0%</td>
<td>Schizophrenia 64%; bipolar 32%</td>
<td>Not provided</td>
</tr>
<tr>
<td>Goodman et al</td>
<td>728</td>
<td>63.3%</td>
<td>Schizophrenia spectrum 72.94%; bipolar 19.51%; major depression 10.71%</td>
<td>Alcohol use disorder 37.08%; drug use disorder 38.04%</td>
</tr>
<tr>
<td>Hiday et al</td>
<td>331</td>
<td>53.8%</td>
<td>Schizophrenia or schizoaffective 55.9%; affective disorder with psychotic features 31.1%; other 13.1%</td>
<td>Diagnosis of SUD 21.1%; alcohol or drug use 53.8%</td>
</tr>
<tr>
<td>Honkonen et al</td>
<td>670</td>
<td>53.7%</td>
<td>Schizophrenia</td>
<td>Alcohol abuse 10.51%; illegal drug use 2.85%</td>
</tr>
<tr>
<td>Lam and Rosenheck</td>
<td>1,839</td>
<td>65.2%</td>
<td>Major depression 50%; schizophrenia 30%; bipolar 18%</td>
<td>Alcohol abuse 47%; drug abuse 38%</td>
</tr>
<tr>
<td>McFarlane et al</td>
<td>130</td>
<td>53.1%</td>
<td>Psychosis 40%; depression 25%; bipolar 17%; adjustment disorder 15%</td>
<td>Not provided</td>
</tr>
<tr>
<td>Mericle and Havassy</td>
<td>295</td>
<td>60.0%</td>
<td>Major depression, bipolar, anxiety, schizophrenia</td>
<td>SUD 76.3%</td>
</tr>
<tr>
<td>Mueser et al</td>
<td>782</td>
<td>58.9%</td>
<td>Schizophrenia 47.08%; schizoaffective disorder 21.14%; bipolar disorder 18.29%; major depression 10.12%</td>
<td>Alcohol abuse 22.16%; drug abuse 26.16%</td>
</tr>
<tr>
<td>Homeless</td>
<td>Victimization time frame examined</td>
<td>Assessment method of victimization</td>
<td>Prevalence of violent victimization</td>
<td>Prevalence of violent against others</td>
</tr>
<tr>
<td>--------------------------</td>
<td>----------------------------------</td>
<td>---------------------------------------------------------------------------------------------------</td>
<td>-------------------------------------------------------------------------</td>
<td>--------------------------------------</td>
</tr>
<tr>
<td>4.02%</td>
<td>Past year</td>
<td>Structured interview&lt;sup&gt;2&lt;/sup&gt;</td>
<td>Physical victimization 19.5%; sexual victimization 14.9%</td>
<td>Not provided</td>
</tr>
<tr>
<td>2.32% at baseline</td>
<td>Prospective monitoring for 3 years</td>
<td>Interview: victimized by robbery, rape, or assault QOLI</td>
<td>32.0%</td>
<td>2.32%</td>
</tr>
<tr>
<td>Not provided</td>
<td>Past year</td>
<td>Interview: “physically assaulted, beaten, molested, or otherwise a victim of violence at any time in the last 12 months?”</td>
<td>19.7%&lt;sup&gt;3&lt;/sup&gt;</td>
<td>Physical aggression (MOAS) 6.40%; any conviction 68.60%</td>
</tr>
<tr>
<td>Not provided</td>
<td>Past year</td>
<td>Interview: “victim of physical violence”</td>
<td>17.89%</td>
<td>Not provided</td>
</tr>
<tr>
<td>38.2% homeless in past 6 months</td>
<td>Past 6 months</td>
<td>Assault, rape, mugging, or robbery</td>
<td>12.3%</td>
<td>Arrested and/or incarcerated past 6 months 15.3%</td>
</tr>
<tr>
<td>Not provided</td>
<td>Lifetime</td>
<td>13-item self-report trauma screening instrument (unpublished)</td>
<td>Physical assault 92.0%; forced sexual assault 45.0%</td>
<td>Not provided</td>
</tr>
<tr>
<td>Not provided</td>
<td>Lifetime</td>
<td>MCVI interview and a police database</td>
<td>21.3%</td>
<td>Lifetime history of violence 64.9%; violent offense 28.7%</td>
</tr>
<tr>
<td>Not provided</td>
<td>Past month</td>
<td>Interview: victim of any violent crimes such as robbery, mugging, assault, or rape</td>
<td>4.3%</td>
<td>7.18% arrested in past 6 months</td>
</tr>
<tr>
<td>6.47%</td>
<td>Past year</td>
<td>“Victim of physical violence”</td>
<td>31.0%</td>
<td>16.74% “accused of crime”</td>
</tr>
<tr>
<td>Not provided</td>
<td>Lifetime and prospectively 2 years</td>
<td>Physical or sexual assault or threat of assault by intimate partner</td>
<td>Lifetime 68%; 2 years 32%</td>
<td>Lifetime 23%; 2 years 17%</td>
</tr>
<tr>
<td>Variable at the time of interview, 7.0% homeless</td>
<td>Past month</td>
<td>Structured interview: victim of kicking, biting, beating, choking, threats</td>
<td>Physical abuse 20.0%; sexual abuse 15.0%</td>
<td>Not provided</td>
</tr>
<tr>
<td>14.0%</td>
<td>Past year</td>
<td>Physical abuse; sexual coercion</td>
<td>Physical abuse 56.0%; sexual abuse 42.0%</td>
<td>Not provided</td>
</tr>
<tr>
<td>Homeless past 6 months 16.48%</td>
<td>Past year</td>
<td>Interview: victim of physical assault: grabbing, pushing, shoving to using a knife or gun, sexual assault: forced or threatened intercourse</td>
<td>Physical assault 32.5%; sexual assault 13.6%; either assault 37.6%</td>
<td>Not provided</td>
</tr>
<tr>
<td>16.8%</td>
<td>Past 4 months</td>
<td>Interview</td>
<td>8.2% violent; 22.4% nonviolent victimization</td>
<td>Arrest associated with victimization (no prevalence given)</td>
</tr>
<tr>
<td>27.37%</td>
<td>3 years follow-up</td>
<td>Interview: “victim of violent crime?”</td>
<td>5.6%</td>
<td>2.70% violent crime</td>
</tr>
<tr>
<td>Homeless on average 39.5±21.1 days of the past 60 days</td>
<td>Past 2 months at baseline and prospective monitoring for 1 year</td>
<td>Interview: victim of robbery, theft, threat with weapon, beating, sexual assault</td>
<td>44.1% victimized during 2 months preceding baseline</td>
<td>Criminal conviction associated with victimization (no prevalence given)</td>
</tr>
<tr>
<td>Not provided</td>
<td>Adulthood</td>
<td>Structured questionnaire</td>
<td>Physical assault 68.5%; sexual assault 25.4%</td>
<td>Not provided</td>
</tr>
<tr>
<td>38.0%</td>
<td>Past 30 days</td>
<td>MCVI</td>
<td>35.6%</td>
<td>20% MCVI</td>
</tr>
<tr>
<td>Not provided</td>
<td>Lifetime and past year</td>
<td>CTS2&lt;sup&gt;4&lt;/sup&gt;</td>
<td>Lifetime: physical assault 84.4%; sexual assault 51.5%; Past year: physical assault 30.6%; sexual assault 12.8%</td>
<td>Not provided</td>
</tr>
</tbody>
</table>
population (N=477). Violent victimization of the patients was assessed by an interview covering their experiences during the first 10 weeks after discharge from the hospital. An analogous interview was conducted with nonpatient controls. The prevalence of violent victimization in discharged patients was significantly greater than in controls (Table 2).

A large epidemiological study was conducted by Short et al in the Australian state of Victoria. The study compared the rates of reported crime victimization in 4,168 schizophrenia-spectrum patients with 4,641 randomly selected community members. The schizophrenia-spectrum sample comprised all persons in a statewide psychiatric case register who were first diagnosed with a schizophrenia-spectrum disorder in the years 1975, 1980, 1985, 1990, 1995, 2000, and 2005. To extract full criminal and victimization histories, each case in the schizophrenia-spectrum and community samples was linked with a criminal records database. Cases first diagnosed with a schizophrenia-spectrum disorder in each of the cohort years (1975, 1980, 1985, 1990, 1995, 2000, and 2005) were matched by age and year of birth with cases in the community sample, whenever a match existed.

Compared to community controls, patients with schizophrenia-spectrum disorders were significantly more likely to have a record of violent victimization (10.1% vs 6.6%, OR=1.42, 95% confidence interval [CI]=1.19–1.70), but less likely to have an official record of victimization overall (28.7% vs 39.1%, OR=0.5, 95% CI=0.45–0.56).

While victimization rates have remained relatively constant over time in the community sample, there has been a steady increase in lifetime victimization records among those in the schizophrenia-spectrum sample, from 15.3% in those first diagnosed in 1975 up to 37.4% in those first diagnosed in 2005. These rates appear to have reached a plateau at around 37% since 1995. Thus, over the approximate period of deinstitutionalization, the rate of recorded victimization has more than doubled in schizophrenia-spectrum patients. It seems that increased violent victimization may have been an unintended consequence of deinstitutionalization of the mentally ill.

The finding that the patients were less likely to have an official record of overall victimization was unexpected. A possible explanation is that people with severe mental illness are less likely to report less serious victimization to police than other members of the community. This uncertainty illustrates the limitations of research relying exclusively on official records without a direct contact with the study participants.

Teplin et al determined the prevalence of crime victimization among persons with severe mental illness and compared it with general population data from the National Institute of Mental Health.
Crime Victimization Survey (NCVS), controlling for income and demographic differences between the samples. The NCVS, an annual study of approximately 43,000 households comprising nearly 80,000 persons, is conducted by the US Bureau of the Census on behalf of the Department of Justice. Interviewers administered the NCVS to 936 randomly selected patients with severe mental illness sampled from 16 randomly selected mental health agencies in Chicago, IL, USA. The comparison group comprised 32,449 participants in the NCVS. A total of 25.3% of persons with severe mental illness compared with 2.8% of population controls had been victims of a violent crime in the past year, a rate significantly higher.

A US study found that the combination of (self-reported) history of severe mental illness and substance-use disorder was significantly related to sustained and inflicted physical assaults during prison incarceration. More than half of the victims of assault in prison were charged with or convicted of assaulting others. This sample is noted for a very high comorbidity rate between severe mental illness and substance-use disorders.

In summary, nine studies comprising a total of 11,083 persons with severe mental illness and 569,505 members of control populations concluded that the risk of violent victimization was greater in the patients with severe mental illness. The risk increase in each of the studies was statistically significant. However, the size of the risk varies across studies. The risk for patients may vary depending on the community in which they are living. Methodological differences between the studies may have contributed to the variation of risk estimates.

### Victimization correlates and contributing factors

#### Sex and age effects

Relations between sex and risk for any type of violent victimization have been studied; some of the research focused on sexual violence. Patients with severe mental illness are vulnerable to sexual violence, including rape and sexual assault. Rape is defined as the unlawful penetration of a person against the will of the victim, with use or threatened use of force, or attempting such an act. Sexual assault is defined across a wide range of victimizations, separate from rape or attempted rape. These crimes include attacks or attempted attacks generally involving unwanted sexual contact between a victim and the offender. Sexual assault may or may not involve force and includes grabbing or fondling. Sexual assault also includes verbal threats.

The multicenter US study described in the preceding section on homelessness enrolled 640 females and 1,199 males with severe mental illness. Statistically significant sex differences were found in several types of reported
Table 2 Comparisons of violent victimization in persons with and without severe mental illness

<table>
<thead>
<tr>
<th>Study</th>
<th>N</th>
<th>Males%</th>
<th>Principal axis 1 dg</th>
<th>Comorbid SUD</th>
<th>Homeless</th>
</tr>
</thead>
<tbody>
<tr>
<td>Blitz et al&lt;sup&gt;23&lt;/sup&gt;</td>
<td>476</td>
<td>100</td>
<td>Schizophrenia or bipolar</td>
<td>Substance abuse 49.1% before admission to prison&lt;sup&gt;a&lt;/sup&gt;</td>
<td>All participants were prison inmates</td>
</tr>
<tr>
<td>Coverdale and Turbott&lt;sup&gt;21&lt;/sup&gt;</td>
<td>158</td>
<td>58.2</td>
<td>Schizophrenia or schizoaffective 62.6%; bipolar disorder 15.6%; major depression 7.5%</td>
<td>Not provided</td>
<td>Not provided</td>
</tr>
<tr>
<td>Hsu et al&lt;sup&gt;24&lt;/sup&gt;</td>
<td>155</td>
<td>32.9</td>
<td>Schizophrenia 59.4%; affective disorder 40.6%</td>
<td>Substance abuse 5.8%</td>
<td>Not provided</td>
</tr>
<tr>
<td>Kamperman et al&lt;sup&gt;26&lt;/sup&gt;</td>
<td>956</td>
<td>63.6</td>
<td>Psychotic, bipolar, or major depressive disorder</td>
<td>Not provided</td>
<td>Not provided</td>
</tr>
<tr>
<td>Pandiani et al&lt;sup&gt;19&lt;/sup&gt;</td>
<td>2,630</td>
<td>43.9</td>
<td>SMI</td>
<td>Not provided</td>
<td>Not provided</td>
</tr>
<tr>
<td>Short et al&lt;sup&gt;3&lt;/sup&gt;</td>
<td>4,168</td>
<td>63.7</td>
<td>Schizophrenia spectrum</td>
<td>21.9%</td>
<td>Not provided</td>
</tr>
<tr>
<td>Silver&lt;sup&gt;22&lt;/sup&gt;</td>
<td>277</td>
<td>55.2</td>
<td>At least one of the following dg: schizophrenia, depression, mania, psychosis, delusions, personality disorder</td>
<td>Not provided</td>
<td>Not provided</td>
</tr>
<tr>
<td>Teplin et al&lt;sup&gt;21&lt;/sup&gt;</td>
<td>936</td>
<td>51.6</td>
<td>SMI&lt;sup&gt;a&lt;/sup&gt;</td>
<td>Not provided</td>
<td>Not provided</td>
</tr>
<tr>
<td>Wood and Buttaro&lt;sup&gt;27&lt;/sup&gt;</td>
<td>1,327</td>
<td>93.1</td>
<td>Depressive disorder, bipolar, mania, schizophrenia, and other psychosis</td>
<td>78.4% in the year before admission to prison</td>
<td>All participants were prison inmates</td>
</tr>
</tbody>
</table>

Notes: <sup>a</sup>Ratio of prevalence for persons with SMI to prevalence reported in comparison group (National Comorbidity Victimization Survey). <sup>b</sup>SMI diagnosis based on questions about medication and hospitalization. <sup>c</sup>Partial data in table; see text for complete data.

Abbreviations: dg, diagnosis; RR, relative risk; SUD, substance-use disorders; SMI, severe mental illness; OR, odds ratio; SD, standard deviation.

Victimization. Women were more likely to report being beaten (20.6% vs 12.9% for men, \( \chi^2 = 139.28, df = 1, P < 0.001 \)) or threatened with a weapon. Sexual assault was more frequently reported in women (15.3% more than that in men, 1.3%). Younger age was associated with sexual, but not other types of victimization. More information on the studies is given in Tables 1 and 2.

Another US study examined sex differences in 37 women and 135 men with schizophrenia and substance-use disorders. Women were more likely to be victimized than men (\( \chi^2 = 4.37, df = 1, P < 0.04 \)). These results were supported by a study of 209 males and 99 females in San Francisco, which found female sex associated with victimization (OR=2.02, 95% CI=1.2–3.5). A study that was discussed in detail, in the “Prevalence of victimization” section, enrolled 149 males and 121 females discharged from a psychiatric hospital. Logistic regression showed that being male and being younger than 25 years was associated with violent victimization; the respective ORs are 1.71 and 1.67. Both ORs were statistically significant at the 0.05 level, but CIs were not provided.

Similar results emerged from a UK study (discussed in the preceding section) that included 294 females and 397 males with severe mental illness. Males were somewhat
more likely to be victimized than females (OR=1.56, 95% CI=1.02–2.40, P<0.05). Participants aged 18–39 years were more likely to be victimized than those in the 40–64 age range (OR=2.32, 95% CI=1.45–3.71, P<0.001).

A study that was described in detail in the section “Prevalence of victimization” reported separately on the risks of rape/attempted rape and sexual assault in persons with severe mental illness. A total of 2.43% of persons with severe mental illness compared with 0.11% of population controls had been victims of rape or attempted rape in the past year, with an adjusted prevalence ratio of 22.5 (P<0.001). The respective numbers for sexual assault were 1.00% and 0.07% with a prevalence ratio of 15.0 (P<0.001). Separate data for men and women with mental illness on rape/sexual assault were provided. The annual prevalence for men was 0.8% and for women 4.3% (P<0.02). For women, the prevalence ratio relative to the control population was 18.5 (11.2–30.4) (P<0.001).

Separate data for mentally ill men and women on sexual harassment and assault were also provided in another study described in the section “Prevalence of victimization.” The annual prevalence for men was 3.0% and for women 9.8%. The relative risk for men was 0.30 (0.17–0.53), indicating a significantly higher risk for women (P<0.05). Both sexes had

<table>
<thead>
<tr>
<th>Victimization time frame examined</th>
<th>Assessment method of victimization</th>
<th>Prevalence of violent victimization</th>
<th>Prevalence of violence against others</th>
<th>Comparison group</th>
</tr>
</thead>
<tbody>
<tr>
<td>Past 6 months</td>
<td>Structured, computer-assisted interview</td>
<td>24.0% physical assault</td>
<td>Not provided</td>
<td>17.2% physical assault in 5,507 inmates without mental disorder (P&lt;0.05)</td>
</tr>
<tr>
<td>Lifetime</td>
<td>Semistructured interview: bruised, hit, kicked, punched, weapon used. Unwanted sexual contact</td>
<td>51.3% physical or sexual victimization</td>
<td>Not provided</td>
<td>158 outpatients without mental illness. Physical and sexual victimization significantly less prevalent than in inpatients (P&lt;0.04)</td>
</tr>
<tr>
<td>Past year</td>
<td>Interview</td>
<td>7.1% Assault, robbery, mugging intimidation, sexual assault</td>
<td>Not provided</td>
<td>Comparison population group N=103,805, 3.9% violent crime, prevalence ratio 1.82</td>
</tr>
<tr>
<td>Past year</td>
<td>Structured computer-assisted interview</td>
<td>6.4% physical assault</td>
<td>Not provided</td>
<td>Comparison population group N=38,227. RR=4.85 (3.6–6.39), P&lt;0.05</td>
</tr>
<tr>
<td>Past year</td>
<td>Community mental health and police databases</td>
<td>7.1%</td>
<td>6.6% “offenders” as defined by police officer</td>
<td>Comparison population group N=370,786, RR=2.4 (2.3–2.5)</td>
</tr>
<tr>
<td>Full criminal and victimization histories (lifetime)</td>
<td>Psychiatric register and criminal records database</td>
<td>10.1% (20.4% in persons with SUD comorbidity)</td>
<td>19.36% charged with criminal offense</td>
<td>Comparison group N=4,641, adjusted OR=1.42 (1.19–1.70)</td>
</tr>
<tr>
<td>Prospective monitoring for 1 year after discharge. But data reported apparently only for the first 10 weeks after discharge</td>
<td>Interview: victim of hitting, beating, sex against will, use or threat of knife or gun</td>
<td>15.2%</td>
<td>10.4%</td>
<td>477 nonpatient controls. Victimization prevalence 6.9% significantly smaller than 15.2% (P&lt;0.001)</td>
</tr>
<tr>
<td>Past year</td>
<td>Structured interview: victim of rape/sexual assault, robbery, physical assault</td>
<td>25.32%</td>
<td>Not provided</td>
<td>Population controls N=32,449, prevalence ratio =11.8 (9.9–14.0)</td>
</tr>
<tr>
<td>During current incarceration, mean 4.22, SD 5.24 years</td>
<td>Structured interview: injured in a fight and sought medical care for the injury</td>
<td>11.81%</td>
<td>14.97%</td>
<td>Total sample N=12,504. SMI plus SUD significantly related to victimization (P&lt;0.001)</td>
</tr>
</tbody>
</table>
a significantly higher risk for sexual harassment and assault relative to the general population ($P<0.05$).

A multicenter US study interviewed 321 women and 461 men with severe mental illness.\(^\text{35}\) The reported prevalence of rape/sexual assault in the past year was 20.3\% in women and 7.6\% in men, whereas the prevalence of physical (nonsexual) assault was 25.6\% in women and 34.1\% in men. The sex differences for sexual assault and physical assault were statistically significant, with $\chi^2$ values, respectively, 26.66 ($P<0.001$) and 6.39 ($P<0.01$). Assault (any type) was significantly associated with younger age in both sexes. No association between sex and victimization was found in a UK study of 632 patients with severe mental illness.\(^\text{36}\)

In summary, it is clear that women with severe mental illness are more likely to be subjected to sexual violence than males with severe mental illness than females or males without severe mental illness. The risk of nonsexual violent victimization was found to be higher in females in some studies but in males in other studies. It is possible that further research will reveal that risk for specific subtypes of nonsexual victimization may differ between sexes. Victimization rates appeared to decrease with age. Interactions between age and sex have not been explored, and merit future research.

**Comorbid substance use and personality disorders**

Alcohol is “abundantly present in the day-to-day violent confrontation”.\(^\text{37}\) This applies to drinkers with and without mental illness and to assailants as well as to victims. In a classic study of murder in the general population, approximately one in four homicides was precipitated by the victims, and drinking alcohol by the victim was identified as a precipitating factor.\(^\text{38}\) In the general population, substance abuse increases approximately nine-fold the risk of homicidal death.\(^\text{39}\)

An overview of studies of comorbid substance use is given in Tables 1 and 2. The sample of 4,168 schizophrenia-spectrum patients discussed earlier\(^\text{5}\) consisted of patients with ($N=913$) and without ($N=3,255$) a diagnosed comorbid substance-use disorder. The comorbidity was associated in substantial elevations of prevalence and risk of violent victimization.

A study of 1,839 largely homeless patients using mental health services showed a statistically significant relationship between the number of days they were intoxicated and being robbed ($r=0.09$, $P<0.05$), threatened with a weapon ($r=0.11$, $P<0.001$), or beaten ($r=0.15$, $P<0.001$).\(^\text{31}\) In an Australian study of 962 individuals with psychosis, the odds of being a victim were increased in those who had a lifetime history of substance abuse ($OR=1.49$, 95\% CI=1.03–2.17).\(^\text{40}\)

A Finnish study included 670 patients diagnosed with schizophrenia, 37 of whom were victims of a violent crime over a period of 3 years.\(^\text{41}\) There was a total of 70 alcohol abusers. The OR (abusers compared to nonabusers) was 5.44 (95\% CI=2.62–11.25). The risk of victimization in patients with mental illness increased with the number of illicit drugs used,\(^\text{34}\) the level of substance use,\(^\text{42}\) alcohol use,\(^\text{24}\) and psychostimulants.\(^\text{43}\)

There are probably multiple mechanisms of substance use affecting risk of victimization. An intoxicated, stuporous person is obviously an easy target of criminals. On the other hand, alcohol and stimulants may lead to loss of behavioral controls and provocative conduct, resulting in victim-precipitated violence. Illegal activities involved in the procurement of illicit drugs may lead to violence and victimization, as described in detail elsewhere.\(^\text{44}\) In any event, the data reviewed here should further energize efforts to detect and treat substance-use disorder comorbid with severe mental illness.

Comorbid antisocial personality disorder is well known to increase the risk of violence in severe mental illness.\(^\text{45}\) In a UK prospective study of 632 patients with severe mental illness, the presence of comorbid cluster B personality disorder (dissocial, impulsive, histrionic, and borderline) was strongly associated with violent victimization ($OR=5.90$, 95\% CI=2.09–16.66, $P<0.01$).\(^\text{46}\) In a sample of 205 adult UK patients, retrospectively assessed history of conduct disorder prior to age 18 was associated with increased risk for violent victimization ($OR=2.19$, 95\% CI=1.18–4.05).\(^\text{46}\)

In summary, there is a preponderance of evidence suggesting that the abuse of alcohol or illicit drugs increases the risk of violent victimization of persons with severe mental illness. The abusing patients are approximately 1.3–5.4 times more likely to be violently victimized than their nonabusing counterparts.

**Homelessness**

Relationships between homelessness and victimization of the mentally ill have been studied for several decades (see Tables 1 and 2 for an overview of the studies to be discussed). The study of 1,839 patients briefly mentioned in the preceding section\(^\text{31}\) was a multicenter US project that interviewed participants at study entry and at 3 and 12 months after entry. Self-reports of victimization obtained at study entry revealed that 44\% of the participants were victimized during the past 2 months. The study found statistically significant
relationships between being robbed and the number of days
the participants were homeless ($r=0.15, P<0.001$). Thus,
participants who had been recently victimized at the time of
the baseline interview reported spending more time on the
streets and in shelters at the time of the follow-up interviews
at 3 and 12 months. This was interpreted to mean that recent
victimization had a significant impact on client outcomes in
terms of increased homelessness.$^{31}$

In a UK study, 691 subjects with established psychotic
disorders were interviewed to establish the 1-year prevalence
of violent victimization.$^{34}$ Sixteen percent of patients reported
being violently victimized. Victims of violence were signifi-
cantly more likely to report homelessness; adjusted $\text{OR}=2.67
(95\% \text{ CI}=1.23–5.77)$. A similar finding was reported in an
Australian sample of 962 individuals with psychosis.$^{40}$ Home-
lessness increased the risk of violent victimization; $\text{OR}=2.51
(95\% \text{ CI}=1.57–4.02)$. A study examined history of victimiza-
tion in 308 severely mentally ill adults in San Francisco, CA,
USA.$^{33}$ Being homeless ($\text{OR}=2.1, 95\% \text{ CI}=1.2–3.8, P=0.013$)
was associated with reporting violent victimization.

A cross-sectional study aimed to determine the prevalence
and correlates of violent victimization among homeless
people in Sydney, NSW, Australia.$^{41}$ Clients of a shelter for
homeless, substance-using adults were interviewed about
their drug use, mental health, and violent victimization in
the previous 12 months. Participants reported complex drug
use histories and high levels of victimization, depression,
PTSD, and schizophrenia or other psychotic disorders. Being
female, schizophrenia/psychotic disorder, PTSD, depression
and regular use of psychostimulants were associated with
increased risk of victimization. The authors concluded that
a longitudinal study to determine whether victimization pro-
longs homelessness is warranted. Clinical staff working with
homeless populations need to be aware of the likelihood of
past and future victimization and its effects on mental health.
Homeless persons may benefit from learning to identify risk
situations for victimization and how to de-escalate potentially
violent situations.$^{43}$

Statistics aside, common sense would seem to suggest that
being out at night on the streets raises the risk of being vic-
timized. It is possible that there is a bidirectional causation,
with homelessness increasing the risk of victimization, and
vice versa. Longitudinal prospective studies will be needed
to resolve these questions.

In summary, the available evidence indicates that home-
lessness and violent victimization are associated with each
other in persons with severe mental illness. When the com-
putation of the OR is set so that homelessness is entered as
a risk factor for victimization, then homelessness increases
the risk approximately two- to threefold. However, the
cross-sectional studies that generated these $\text{ORs}^{33,34,40}$ cannot
resolve questions pertaining to causality, mainly because
they ignore the time sequence of events. It is possible that,
instead of homelessness increasing the risk of victimization,
victimization can be one of the factors that causally contribute
to homelessness, as suggested by the results reviewed in the
first paragraph of this section.$^{31}$

## Victimization and offending in patients
with severe mental illness

This topic has been studied from two perspectives: com-
parisons of rates (or risks) of victimization and offending,
and relationships between these two variables. An early and
influential paper representing the first perspective was briefly
mentioned in the section “Comorbid substance use and per-
sonality disorders”.$^{42}$ The authors concluded that individuals
in their sample of 172 individuals with severe mental illness
in Los Angeles were at least 14 times more likely to be vic-
tims of a violent crime than to be arrested for one.$^{42}$

The first perspective has been reviewed in a study which
found that among outpatients, 2%–13% had perpetrated
violence in the past 6 months to 3 years, compared with
20%–34% who had been violently victimized. Studies com-
bining outpatients and inpatients reported that 12%–22%
had perpetrated violence in the past 6–18 months, compared
with 35% who had been a victim in the past year.$^{4}$ Thus, the
existing literature indicates that the prevalence of victimiza-
tion in patients with severe mental illness is greater than the
prevalence of violent behavior or violent offending.

The second perspective is concerned with relation-
ships between victimization and offending. Several studies
addressed this problem. A US study of 1,839 patients with
severe mental illness examined the relationship between vic-
timization and offending.$^{31}$ History of a criminal conviction
was associated with having been robbed ($r=0.09, P<0.05$),
threatened with a weapon ($r=0.12, P<0.001$), and beaten
($r=0.10, P<0.01$).

Relationships between victimization and offending were
examined in a sample of 331 involuntarily admitted patients
with severe mental illness.$^{47}$ The sample is described in a
related publication$^{48}$ by the same group summarized in
Table 1. The authors examined the effects of victimization
on violence. Their analysis used a measure of violence
perpetrated by the patients as the dependent variable; the
independent variables were demographic, clinical, and social.
Young age, substance-use problems, and being a victim of a
crime predicted patients’ violence significantly and independently of each other. For victimization, the OR=1.76 (95% CI=1.11–2.79, P<0.05).

Logistic regression was used to estimate the bivariate association between being violent toward others and violent victimization. The OR=7.12 (P<0.001). \(^2\) Patients with severe mental illness who had been charged with a criminal offense (n=807) were more likely (OR=4.80, 95% CI=3.71–6.20, P=0.001) than nonoffenders with severe mental illness (n=2,413) to have a record of violent victimization, and more likely (OR=3.07, 95% CI=2.55–3.69, P=0.001) to have a record of nonviolent victimization, after controlling for the effects of age, sex, and substance-use disorders. \(^5\)

Emerging evidence reveals the importance of temporal relationship between victimization and subsequent violent behavior. A study examined prospectively whether recent victimization increases the risk of future violence. \(^49\) It was found that violent victimization in the past 6 months significantly predicted violent behavior in the subsequent 2 years in a sample of 167 patients. Logistic regression yielded the OR=1.92 (P=0.039).

In summary, a statistically significant relationship between victimization and violent behavior by patients with severe mental illness has been clearly established in cross-sectional studies and in one recent prospective longitudinal study.

**Impact, prevention, and management of victimization**

Vicimization of persons with severe mental illness is not without adverse consequences. Recent victimization was demonstrated to elevate the risk of homelessness and reduce the quality of life in a study that was described in detail in the section “Homelessness”. \(^31\)

A study aimed to identify proximal and distal factors associated with real-life community functioning among 225 men with severe mental illness in Canada, Finland, Germany, and Sweden. \(^50\) Participants were assessed at discharge from hospital and at 6-monthly intervals during a 2-year period. Five predictors were significantly associated with patients’ real-life functioning: two adult lifetime variables – a diagnosis of drug abuse/dependence and level of education, and three current variables – victimization, depression, and medication noncompliance. The standardized regression coefficient between the community functioning score and the number of victimizations in the year preceding the assessment was –0.28 (95% CI=–0.43 to –0.12), P=0.000, indicating that the impairment of community functioning increased highly significantly with victimization. \(^50\)

The contributions of violent victimization and non interpersonal traumatic experiences to symptomatology were examined in a US study of 70 schizophrenia or schizoaffective disorder patients. \(^51\) A total of 22 patients met the criteria for a past diagnosis of PTSD during their lifetime. Patients with comorbid PTSD presented with significantly more anxiety and dysphoria symptoms and schizophrenia illness chronicity than their non-PTSD counterparts. Past traumatic and victimization experiences were significantly associated with patients’ symptom severity and illness course. \(^51\)

Systematic approaches to reduce or prevent victimization in persons with severe mental illness have not yet been formulated. It turned out that protection from criminal victimization appears to be a positive, unintended consequence of outpatient commitment. \(^52\) In a US study of 188 involuntarily hospitalized patients, 99 were randomly assigned to be released and 85 to continue under outpatient commitment after hospital discharge. An additional group of patients with a recent history of serious violent behavior (N=39) was nonrandomly assigned to at least a brief period of outpatient commitment following hospital discharge. All three groups were followed for 1 year. Subjects who were ordered to outpatient commitment were less likely to be criminally victimized than those who were released without outpatient commitment. Multivariate analysis indicated that outpatient commitment reduced the risk of criminal victimization and that outpatient commitment had its effect through improved medication adherence, reduced substance use or abuse, and fewer violent incidents. \(^52\)

Finally, caring for patients with severe mental illness results in considerable strain. A study of 289 family caregivers revealed that violent victimization of the patients increases the caregivers’ financial burden. \(^53\)

In summary, victimization has a negative impact on many aspects of the lives of patients and their families. Outpatient commitment may be one of the possible ways to reduce the risk of victimization.

**Discussion**

Patients with severe mental illness are more likely to be violently victimized than other community members. The risk for patients may vary depending on the community in which they are living. Past traumatic and victimization experiences are significantly associated with patients’ symptom severity and illness course. Women with severe mental illness are more likely to be subjected to sexual violence than males with severe mental illness, and females or males without
severe mental illness. It is not clear whether nonsexual violent victimization is more frequent in males or females with severe mental illness. Victimization rates appear to decrease with age.

Relationship between victimization and violent behavior by patients with severe mental illness has been clearly confirmed. It is not clear whether past victimization predicts future violence, or past violence predicts future victimization, or both.

Although patients with serious mental illness are more likely to be victims than perpetrators of violence, it should be noted they commit more violent perpetration than the general public. The elevated risk for violence is partly due to comorbid substance use and partly to mental illness itself.53,54

There are multiple reasons why persons with severe mental illness are more likely to be victimized than their peers in general population. The illness impairs their ability to manage conflict situations as well as expressing negative feelings and putting demands on others.55 These problems contribute to higher risk for victimization as well as perpetration, and the mentally ill may be involved in confrontations in which they ultimately become victimized. It is not always clear who started the fight. Psychotic symptoms increase the likelihood of being victimized. Specifically, threat-control/override delusions were reported to significantly increase the risk.56 These delusions include beliefs that there are people seeking to harm the patient (threat) or that outside forces are in control of his/her mind (control/override).

The mentally ill live predominantly in socially disorganized areas characterized by high levels of crime and victimization.57 They are frequently homeless. In general, life on the streets elevates the risk of victimization.58 Finally, comorbid substance-use disorders increase the risk of victimization by several mechanisms described earlier.

Routine clinical care after discharge should include assertive management of medication adherence, as well as vigorous effort to detect and treat comorbid-substance-use disorders. In order to deal with ongoing victimization, it has to be detected by the clinician. That means that questions regarding this issue should be included into routine psychiatric examinations of persons with severe mental illness. Concurrently, the possibility of current or past PTSD should be explored. When victimization is present, the clinician should design and implement interventions to help the patients protect themselves. If possible and appropriate, the patient’s family should be involved in these efforts. Reducing homelessness among persons with severe mental illness will go a long way toward reducing victimization.

Cognitive-behavioral programs that reduce rearrest and rehospitalization rates in patients with severe mental illness and histories of aggression and crime51,52 should also be helpful in reducing victimization.

The literature covered by this review has multiple limitations. The assessment methods of victimization vary quite widely across studies. The assessments usually rely on self-reports. There is some evidence that individuals with severe mental illness can, in most cases, provide consistent information about traumatic events;59 nevertheless, reliability of such self-reports may be questioned. The definition of severe mental illness, the principal inclusion criterion for subjects in individual studies, is not always clearly stated, and there is great variation across studies in this respect. Similar problems are apparent with definitions of substance-use disorder and homelessness. These methodological variations may explain the variable results. There is very limited information about the role of treatment in preventing victimization. Another limitation is the cross-sectional design of most studies, which limits inferences about causal mechanisms. Future studies should standardize diagnostic and other assessment procedures, and use longitudinal designs.

Conclusion

Patients with severe mental illness are more likely to be violently victimized than other community members. The risk for patients may vary depending on the community in which they are living. Past traumatic and victimization experiences are significantly associated with patients’ symptom severity and illness course. Women with severe mental illness are more likely to be subjected to sexual violence than males with severe mental illness, and females or males without severe mental illness. It is not clear whether nonsexual violent victimization is more frequent in males or females with severe mental illness. Victimization rates appear to decrease with age. Relationship between victimization and violent behavior by patients with severe mental illness has been clearly confirmed. It is not clear whether past victimization predicts future violence, or past violence predicts future victimization, or both. Reduction of homelessness, treating comorbid substance-use disorders, assertive management of medication adherence, and cognitive-behavioral programs can contribute to reducing of victimization of patients with severe mental illness.

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