#### ORIGINAL RESEARCH

# (R)-(+)- $\alpha$ -Lipoic acid protected NG108-15 cells against H<sub>2</sub>O<sub>2</sub>-induced cell death through PI3K-Akt/GSK-3 $\beta$ pathway and suppression of NF- $\kappa\beta$ -cytokines

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Abstract: Alpha-lipoic acid, a potent antioxidant with multifarious pharmacological benefits has been reported to be neuroprotective in several neuronal models and used to treat neurological disorders such as Alzheimer's disease. Nonetheless, conclusive mechanisms of alpha-lipoic acid for its protective effects particularly in NG108-15 cells have never been investigated. In this study, the intricate neuroprotective molecular mechanisms by (R)-(+)-alpha-lipoic acid (R-LA) against H2O2-induced cell death in an in vitro model of neurodegeneration were elucidated. Pretreatment with R-LA (2 hours) significantly increased NG108-15 cell viability as compared to H<sub>2</sub>O<sub>2</sub>-treated cells and mitigated the induction of apoptosis as evidenced by Hoechst 33342/ propidium iodide staining. R-LA (12.5-50 µM) aggrandized the reduced glutathione over glutathione disulfide ratio followed by a reduction in the intracellular reactive oxygen species level and an increase in mitochondrial membrane potential following H<sub>2</sub>O<sub>2</sub> exposure. Moreover, pretreatment with R-LA stimulated the activation of PI3K-Akt through mTORC1 and mTORC2 components (mTOR, rictor and raptor) and production of antiinflammatory cytokine, IL-10 which led to the inactivation of glycogen synthase kinase- $3\beta$  (GSK- $3\beta$ ) and reduction of both Bax/Bcl2 and Bax/Bcl-xL ratios, accompanied by inhibition of the cleaved caspase-3. Additionally, this observation was preceded by the suppression of NF- $\kappa\beta$  p65 translocation and production of proinflammatory cytokines (IL-6 and TNF-α). The current findings accentuate new mechanistic insight of R-LA against apoptogenic and brain inflammatory factors in a neuronal model. These results further advocate the therapeutic potential of R-LA for the treatment of neurodegenerative diseases.

**Keywords:** alpha-lipoic acid (LA), cytokines, GSK-3β, neurodegenerative diseases, NF-κβ, PI3K-Akt

#### Introduction

The primary basis underlying neurodegenerative diseases is characterized mainly by typical aggregate-prone toxic proteins<sup>1,2</sup> and aberrant neuronal apoptosis, which involves oxidative stress, mitochondrial dysfunction, perturbation in calcium homeostasis and trophic-factor withdrawal.<sup>3</sup> Allied in a Pandora's box, the pathogenesis of these diseases is largely akin to one another at the subcellular level. Notwithstanding, the principal etiology of these neurodegenerative diseases remains unclear; ergo, much research is centered on decoding their mystery, which aims to provide therapeutic advancement that could offer a better quality of life.

The PI3K-Akt pathway has risen to prominence since it is involved in neuronal survival and confers protection against drug-induced damage in neurons.<sup>4</sup> PI3K protects

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A reactive oxygen species (ROS) such as hydrogen peroxide  $(H_2O_2)$  is a highly reactive and diffusible free radical that can trigger various signaling pathways and redox-sensitive signal transduction that modulates cellular mechanisms for cell proliferation and survival, death, and immune responses by inducing the production of proinflammatory factors such as cytokines through the activation of NF- $\kappa\beta$ .<sup>10–12</sup> Furthermore, excessive secretion of proinflammatory cytokines has been established to be a crucial initiator of aberrant inflammatory responses in neurodegenerative diseases as they are transcriptionally and reciprocally regulated by NF-KB.13,14 Cytokines are a group of proteins that originate from the immune system and are endogenously produced by brain cells, including microglia and neurons. In healthy adult brains, cytokines are expressed constitutively at low levels; however, their production can be augmented in response to neuronal injury or infection,15-17 making them key inflammatory factors in the brain.<sup>18</sup> Cytokines exert their biological functions through interactions with receptors on plasma membrane expressed by neuronal and glial cells, which then activate diverse intracellular phosphorylative cascades responsible for apoptosis, cell survival, inflammatory responses, and neural pathfinding.19,20

Alpha-lipoic acid (LA), an organosulfur compound derived from octanoic acid, can be found naturally in fruits, vegetables, and synthesized in animals and humans; it acts as the key player in mitochondrial energy production.<sup>21</sup> Numerous empirical evidence has supported the intake of LA as a nutraceutical since it is capable of evoking an array of cellular and molecular mechanisms in the prevention and treatment of chronic diseases. For instance, LA has been advocated as a potential antiinflammatory agent for Alzheimer's disease (AD),<sup>22</sup> neuroprotective in various neuronal models,<sup>23,24</sup> and a modulator of various genes involved in cell survival, inflammation, and oxidative stress.<sup>25,26</sup> Recently, (R)-(+)alpha-lipoic acid (R-LA) was demonstrated to mitigate the accumulation of 4-hydroxy-2-nonenal (4HNE) and protect retinal neurons against oxidative stress by inducing the HO-1 activity-dependent mechanism.27 The antioxidative property of R-LA has been suggested to contribute to its neuroprotective activities; albeit, its conclusive molecular mechanisms are still not completely understood. In this study, R-LA was demonstrated to protect NG108-15 cells against  $H_2O_2$ -induced cell death through the PI3K-Akt/GSK-3 $\beta$  pathway, which then suppressed the production of proinflammatory cytokines following GSK-3 $\beta$ -mediated p65NF- $\kappa\beta$  inactivation.

#### Materials and methods Cell culture and materials

NG108-15 was obtained from the American Type Culture Collection (ATCC) and cultured in Dulbecco's Modified Eagle's Medium (DMEM; Sigma-Aldrich Co., St Louis, MO, USA) composed of 10% (volume per volume) heat-inactivated fetal bovine serum (FBS), 2% penicillin/ streptomycin, 1% amphotericin B (all from PAA Laboratories GmbH, Pasching, Austria), and hypoxanthine-aminopterinthymidine (Sigma-Aldrich Co.). NG108-15 cells were cultured and conditioned at 5% CO<sub>2</sub> moist atmosphere at 37°C. Cells exposed to vehicle alone (10% FBS DMEM, dimethyl sulfoxide  $\leq 0.5\%$  volume per volume) were used as the control group. R-LA was purchased from Sigma-Aldrich Co. Morphological analysis was performed with a fluorescence microscope (Leica Inverted Fluorescence Microscope, DM16000B; Leica Microsystems, Wetzlar, Germany) and flow cytometric analysis was carried out with BD Accuri C6 Flow Cytometry and BD CFlow<sup>®</sup> Software.

#### Cell viability assay

The neuroprotective effects of R-LA against  $H_2O_2$ -induced apoptosis in NG108-15 cells were evaluated by 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay. Cells were plated at a total density of  $5\times10^3$  cells/well in a 96-well plate. The cells were left to adhere for 48 hours and treated with R-LA (2 hours) prior to  $H_2O_2(400 \,\mu\text{M})$  exposure for 24 hours. Twenty microliters of MTT solution (Sigma-Aldrich Co.) was added into each well and incubated at 37°C for another 4 hours. The absorbance was measured using a microplate reader (ASYS UVM340) at 570 nm (reference wavelength: 650 nm).

#### Nuclear morphological analysis

Cells were plated in a 60 mm culture dish and left to adhere. The cells were pretreated with R-LA (12.5–50  $\mu$ M) for 2 hours prior to H<sub>2</sub>O<sub>2</sub> exposure. The cells were then harvested and rinsed with phosphate-buffered saline (PBS). Hoechst 33342 (5  $\mu$ g/mL, Sigma-Aldrich Co.) was added, followed by propidium iodide (PI) dye (2.5  $\mu$ g/mL) into each sample.

The cells were incubated for 15 minutes and observed by fluorescence microscope. Cells that exhibited intense blue fluorescence were considered to be at an early apoptosis stage. In contrast, cells that were dual stained with H33342 and PI were considered to be at the late apoptosis stage.

# Determination of glutathione/glutathione disulfide (GSH/GSSG) ratio

Cells were plated into a 96-well plate and left to adhere. Cells were subjected to the designated treatments, and after 24 hours, the solution in the well plate was discarded. Fifty microliters of total glutathione lysis reagent or oxidized glutathione lysis reagent (Promega, Madison, WI, USA) were added to each well and the plate was shaken for 5 minutes at room temperature. Fifty microliters of Luciferin generation reagent was added to all wells and incubated at room temperature for 30 minutes. One hundred microliters of Luciferin detection reagent was added and the luminescence signal was then read. The GSH and GSSG concentrations in each sample were calculated based on the GSH and GSSG standard curve.

## Mitochondrial membrane potential ( $\Delta \psi m$ )

Alterations in  $\Delta \psi m$  were quantified by using a JC-1 kit according to the manufacturer's protocol (Stratagene, La Jolla, CA, USA). The analysis of the R-LA effect on  $\Delta \psi m$ was evaluated as described previously.<sup>28</sup> The green and red fluorescence signals were detected by flow cytometer. JC-1 aggregates, which emit red fluorescence signals within the intact mitochondria of healthy cells, were detected in the FL-2 channel, whereas JC-1 monomers with green fluorescence signals in the cytoplasm of apoptotic cells were detected in the FL-1 channel.

## Measurement of intracellular ROS level

Cellular oxidative stress induced upon exposure to  $H_2O_2$  and its modulation by R-LA were measured using the fluorescent probe 2',7'-dichlorfluorescein-diacetate (DCFH-DA). Cells were plated and subjected to similar pretreatment of LA prior to the addition of  $H_2O_2$ . Cells were harvested, rinsed, and incubated with 10  $\mu$ M of DCFH-DA for 30 minutes at 37°C in cell-loading medium. The fluorescence signal was measured using flow cytometer.

### Western blot analysis

Total protein cell lysates of the treated NG108-15 cells were extracted with radioimmunoprecipitation assay (RIPA) buffer (Thermo Fisher Scientific, Waltham, MA, USA). The protein concentration was determined using the Bradford protein assay kit (Bio-Rad Laboratories Inc., Hercules, CA, USA). Twenty micrograms of each protein sample was electrophoresed on 10% SDS-PAGE. Proteins on the gel were transferred onto a nitrocellulose membrane and blocked with 5% bovine serum albumin (BSA). The membrane was then probed with the following primary antibodies: Bcl-2, Bcl-xL, Bax, caspase-3, cleaved caspase-3, phosphorylated Akt, Akt, phosphorylated mTOR, mTOR, Rictor, Raptor, and GSK-3 $\beta$  (Cell Signaling Technology, Danvers, MA, USA) at 4°C overnight followed by appropriate horseradish peroxidase (HRP)-conjugated secondary antibody and developed with enhanced chemiluminescence (ECL) reagent (Bio-Rad Laboratories Inc.). Proteins were quantified with Bio-1D software as a proportion of the signal of the housekeeping protein band ( $\beta$ -actin).

## NF- $\kappa\beta$ p65 translocation assay

Cells were plated onto coverslips and subject to designated treatments. After treatment, cells were gently rinsed and fixed with 4% paraformaldehyde in PBS. Cells were rinsed with PBS and blocked with blocking buffer (5% BSA, 0.5% Triton X-100 in PBS) for 1 hour. The cells were then incubated with rabbit anti-NF- $\kappa\beta$  p65 (Cell Signaling Technology) overnight, followed by incubation with fluorescein isothiocyanate (FITC)-conjugated goat anti-rabbit secondary antibody (Pierce Antibodies, Rockford, IL, USA) for 2 hours, respectively. The cells were washed and then analyzed by using a fluorescence microscope.

### Cytokines measurement

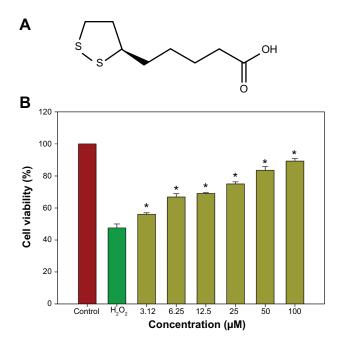
The production of cytokines was measured using Cytokine Bead Array (BD Biosciences, San Jose, CA, USA). In brief, following the designated treatment, 50  $\mu$ L of culture medium was collected and mixed with the cytokine capture beads. The mixture was then mixed with phycoerythrin (PE)-conjugated detection antibodies to form sandwich complexes. The desired cytokines (IL-6, IL-10, and TNF- $\alpha$ ) were then measured by flow cytometer and data were analyzed using FCAP Array<sup>TM</sup> software with comparison to mouse cytokines standard curves. To further validate the NF- $\kappa\beta$ cytokines regulation, the cells were pretreated with ethyl 3,4-dihydroxycinnamate (10  $\mu$ M) prior to H<sub>2</sub>O<sub>2</sub> exposure and the production of cytokines was then measured and compared with the H<sub>2</sub>O<sub>2</sub>-treated group.

### Data analyses

All the experimental data are expressed as mean  $\pm$  standard error (SE). Statistical differences between groups were analyzed by one-way analysis of variance (ANOVA), followed by Dunnett's test. *P*-values <0.05 were considered to be statistically significant.

## **Results** R-LA-mitigated $H_2O_2$ -induced cell death

The neuroprotective effects of R-LA (Figure 1A) against H<sub>2</sub>O<sub>2</sub>-induced cell death were evaluated with the MTT cell viability assay. In a prior study, the concentration-dependent effect of H<sub>2</sub>O<sub>2</sub> on cell viability for 24 hours was first optimized (data not shown). Exposure to  $H_2O_2$  (400 µM) significantly reduced cell viability to 48.95%±2.82%; therefore, H<sub>2</sub>O<sub>2</sub> at this concentration was selected for MTT cell viability study. Conversely, following pretreatment with R-LA  $(3.12-100 \ \mu M)$ , NG108-15 cell viability was significantly increased in a dose-dependent manner. R-LA at 3.12 µM elicited the initial significant protection against H<sub>2</sub>O<sub>2</sub> exposure and increased cell viability to 56.41%±1.87% as compared to H<sub>2</sub>O<sub>2</sub>-treated cells. It was observed that pretreatment with varying concentrations of R-LA (6.25, 12.5, 25, and 50 µM) significantly increased cell viability to 67.06%±2.77%, 69.89%±1.72%, 74.95%±2.15%, and 82.25%±2.97%, respectively. The highest protection level was achieved following pretreatment with R-LA at 100 µM, which significantly increased NG108-15 cell viability up to 89.37%±2.01% (Figure 1B). More importantly, R-LA was shown to protect the NG108-15 cells effectively against H<sub>2</sub>O<sub>2</sub> exposure in a dose-dependent manner as compared to H<sub>2</sub>O<sub>2</sub>-treated cells.



**Figure I** Neuroprotective effect of R-LA by MTT cell viability assay. **Notes:** (A) Structure of R-LA. (B) Dose-dependent increase in cell viability following pretreatment with R-LA prior to H<sub>2</sub>O<sub>2</sub> exposure (400  $\mu$ M) for 24 hours. Values are means  $\pm$  standard error and the asterisks indicate significantly different values from H<sub>2</sub>O<sub>2</sub>-treated cells (\**P*<0.05, n=9). **Abbreviation:** R-LA, (R)-(+)-alpha-lipoic acid.

# R-LA abated H<sub>2</sub>O<sub>2</sub>-induced nuclear morphological changes

Control untreated cells displayed evenly stained disseminated chromatin with intact nuclei with low blue fluorescence (Figure 2).  $H_2O_2$ -treated cells exhibited typical morphological characteristics of early apoptotic cells, which include condensed chromatin with fragmented DNA and the presence of apoptotic bodies with intense blue fluorescence (arrow 1, Figure 2). In addition, nuclei of NG108-15 cells were also dual-stained with both Hoechst 33342/PI, indicating late apoptotic cell population (arrow 2, Figure 2). However, pretreatment with R-LA notably abated the  $H_2O_2$ -induced nuclear morphological changes where the number of cells with nuclear condensation, fragmentation, and the presence of apoptotic bodies were significantly decreased.

## R-LA augmented the GSH/GSSG ratio and suppressed the intracellular ROS level

Diffusion of exogenous H2O2 into the cell nucleus leads to elevated generation of the hydroxyl radical (·OH), which can directly injure the DNA of culture cells. GSH is an important intracellular antioxidant in the mammalian redox system that detoxifies H<sub>2</sub>O<sub>2</sub> and keeps the thiol groups of proteins in the reduced state. Following oxidative stress, GSH will be oxidized into a dimer of two of the peptide elements linked by a disulfide bond between the cysteines known as oxidized glutathione (GSSG). The GSH/GSSG ratio has been used as an indicator of cell health and oxidative stress in neurodegenerative studies. Hence, the ratio of GSH/GSSG in the cells was investigated following pretreatment with R-LA and exposure to H<sub>2</sub>O<sub>2</sub>. The intracellular GSH level was determined from a GSH standard curve (0.05–1,000 nM) while the GSSG level was calculated based on the GSSG standard curve derived from the GSH standard curve according to the manufacturer's protocol. H<sub>2</sub>O<sub>2</sub> exposure significantly decreased (Figure 3A) the reduced GSH level but elevated the GSSG level, which led to reduction of the GSH/GSSG ratio to 1.15-fold as compared to 60.13-fold in untreated cells (Figure 3B). However, pretreatment with R-LA (12.5-50 µM) significantly increased the reduced GSH level and decreased the GSSG level, yielding 2.5-, 5.09-, and 27.14-fold increases of GSH/GSSG in a dose-dependent manner when compared to H<sub>2</sub>O<sub>2</sub>-treated cells (Figure 3B). Following this, the intracellular ROS level in the NG108-15 cells was determined by flow cytometry analysis. It was demonstrated that exposure of NG108-15 cells to H<sub>2</sub>O<sub>2</sub> increased the intracellular ROS production significantly as illustrated by the right shift in the histogram (Figures 3C and D); 4.52-fold when compared to

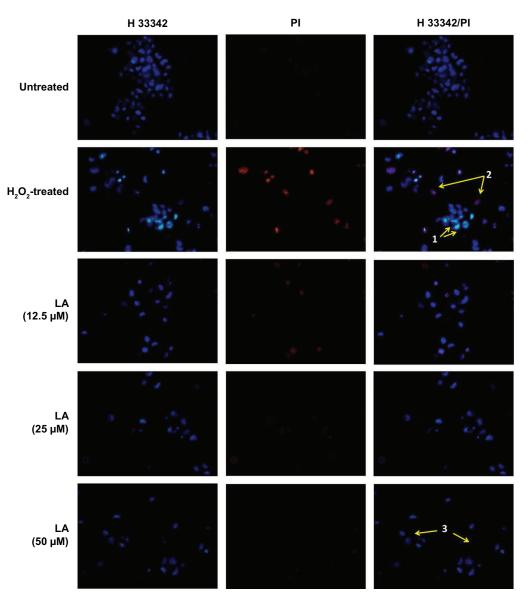


Figure 2 R-LA reduced the H<sub>2</sub>O<sub>2</sub>-induced morphological changes in NG108-15 cells.

**Notes:** Control untreated cell nuclei were evenly stained. Images were taken with an average of 100 cells per field. Nuclei of  $H_2O_2$ -treated cells were stained with intense blue fluorescence, indicating early apoptotic cells (arrow 1). The nuclei of late apoptotic cells were dual stained with Hoechst 33342/Pl (arrow 2). Pretreatment with R-LA at 12.5–50  $\mu$ M significantly abated the apoptotic cells but increased the number of evenly stained nuclei, indicating viable cells (arrow 3). Magnification 100×. **Abbreviations:** DMEM, Dulbecco's Modified Eagle's Medium; LA, alpha-lipoic acid; Pl, propidium iodide; R-LA, (R)-(+)-alpha-lipoic acid.

untreated cells. Notably, since pretreatment with R-LA was shown to elevate the GSH/GSSG ratio, it concomitantly suppressed the increase of intracellular ROS levels by  $H_2O_2$  in NG108-15 cells as evidenced by the shift to the left in the histogram (Figures 3C and D).

#### R-LA ameliorated $\Delta \psi m$

Changes in  $\Delta \psi m$  reflect the alteration of the formation of a proton gradient across the inner mitochondrial membrane and is considered to be one of the key indicators of cellular viability. H<sub>2</sub>O<sub>2</sub>-treated cells exhibited changes in the fluorescence signal from the upper right quadrant to the

lower quadrant, which leads to a lower red fluorescence signal (23.09%±2.57%) and higher green fluorescence signal (77.91%±1.47%), indicating the dissipation in  $\Delta \psi m$ (Figure 4A). Treatment with R-LA significantly reversed dissipation in  $\Delta \psi m$  as shown in Figure 4B. Pretreatment with R-LA at 50 µM suppressed the effect of H<sub>2</sub>O<sub>2</sub>-induced  $\Delta \psi m$  dissipation, shifting the fluorescence signal from the lower quadrant (37.14%±1.74%), resulting in an increase of the upper quadrant to 62.86%±1.75% (Figure 4A). These results indicated that pretreatment with R-LA attenuated the H<sub>2</sub>O<sub>2</sub>-induced apoptosis through the mitochondrialmediated pathway.

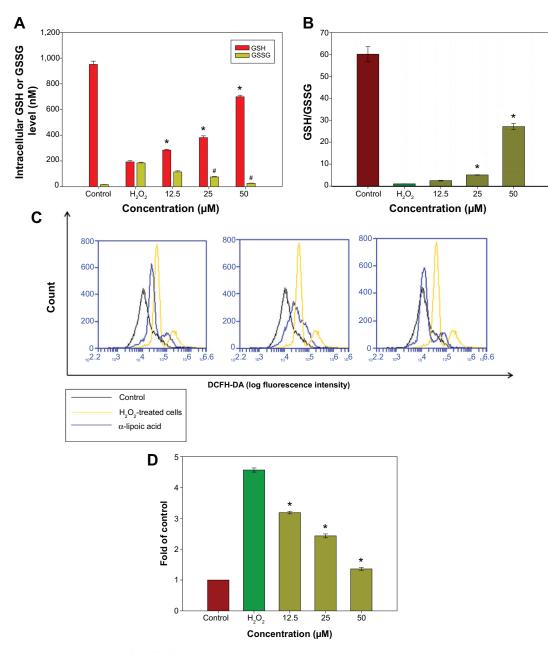
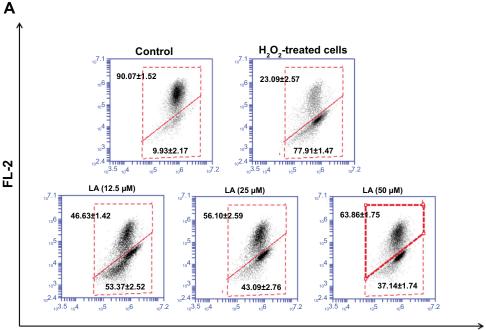


Figure 3 The effect of R-LA on the reduced GSH, GSSG, GSH/GSSG, and ROS levels.

**Notes:** (**A**) Pretreatment with R-LA dose-dependently increased the reduced GSH and attenuated the production of the GSSG level following  $H_2O_2$  exposure. (**B**) Bar chart shows the GSH/GSSG ratio following the exposure to  $H_2O_2$  and pretreatment with R-LA. (**C**) Representative histograms of flow cytometric analysis demonstrating that R-LA reduced the intracellular ROS level (20,000 events were collected for each of the samples). (**D**) Bar chart shows pretreatment with R-LA dose-dependently reduced intracellular ROS level in NG108-15 cells. Values are means  $\pm$  standard error and the asterisks indicate significantly different values from the  $H_2O_2$ -treated cells (\*P<0.05, n=4). **Abbreviations:** DCFH-DA, dichloro-dihydro-fluorescein diacetate; GSH, glutathione; GSSG, glutathione disulfide; R-LA, (**R**)-(+)-alpha-lipoic acid; ROS, reactive oxygen species.

# R-LA modulated the expression of apoptotic proteins and caspase-3

The increase in the ratio of proapoptotic protein to antiapoptotic protein is known to initiate a cascade of events leading to the activation of caspases, which in turn triggers apoptosis. The data in Figure 5A shows that Bax protein expression increases significantly in  $H_2O_2$ -treated NG108-15 cells. In addition,  $H_2O_2$  reduced both Bcl-2 and Bcl-xL protein expression (Figure 5A), leading to a significant increase in both Bax/Bcl-2 and Bax/Bcl-xL ratios (Figures 5B and C), eventually resulting in apoptosis-favored conditions. Conversely, Bcl-2 and Bcl-xL protein expression in R-LA-treated NG108-15 cells were elevated (Figure 5A), while Bax protein expression was suppressed. This led to a significant reduction in Bax/Bcl-2 ratio from ~5.23 to ~1.69 (Figure 5B) and also Bax/Bcl-xL ratio from ~4.20 to ~1.75 (Figure 5C). To examine the involvement of the caspase-dependent pathway, the expression of



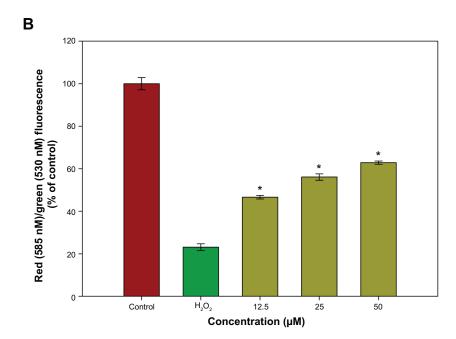


Figure 4 Flow cytometric analysis of  $\Delta \psi m$  in NG108-15 cells.

**Notes:** (**A**) Representative dot plots of JC-1 aggregates (FL-2 red fluorescence) versus JC-1 monomers (FL-1 green fluorescence). Twenty thousand events were collected for each of the samples. (**B**) Bar chart showing the percentages of relative fluorescence intensity of  $\Delta \psi m$  in control, H<sub>2</sub>O<sub>2</sub>-only, and varying pretreatment concentrations of R-LA in NG108-15 cells. Values are means  $\pm$  standard error and the asterisks indicate significantly different values from H<sub>2</sub>O<sub>2</sub>-treated cells (\*P<0.05, n=4). **Abbreviations:** LA, alpha-lipoic acid; R-LA, (R)-(+)-alpha-lipoic acid.

procaspase-3 and cleaved caspase-3 were analyzed. The results showed that R-LA-treated cells expressed higher procaspase-3 as compared to  $H_2O_2$ -treated cells (Figures 5A and D). Moreover, the increase of cleaved caspase-3 in  $H_2O_2$ -treated cells was reduced following treatment with R-LA (Figures 5A and D).

# R-LA-induced activation of PI3K-Akt via mTORC1 and mTORC2

The PI3K-Akt pathway plays vital roles in the regulation of neuronal survival. There was a significant reduction in the activation/phosphorylation of Akt (Thr308 and Ser473) in  $H_2O_2$ -treated cells when compared to untreated cells,

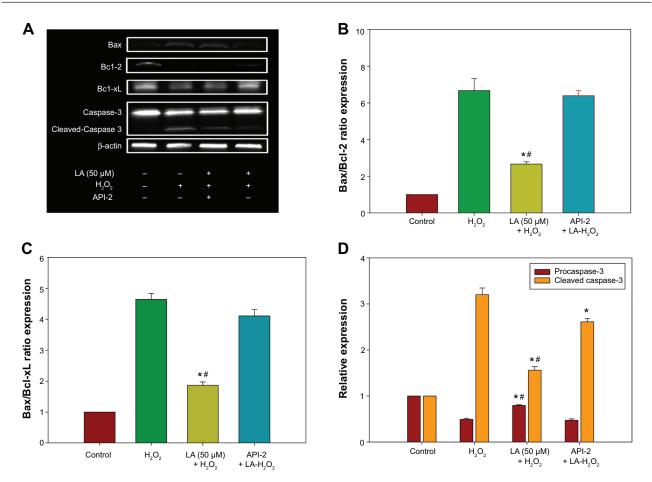
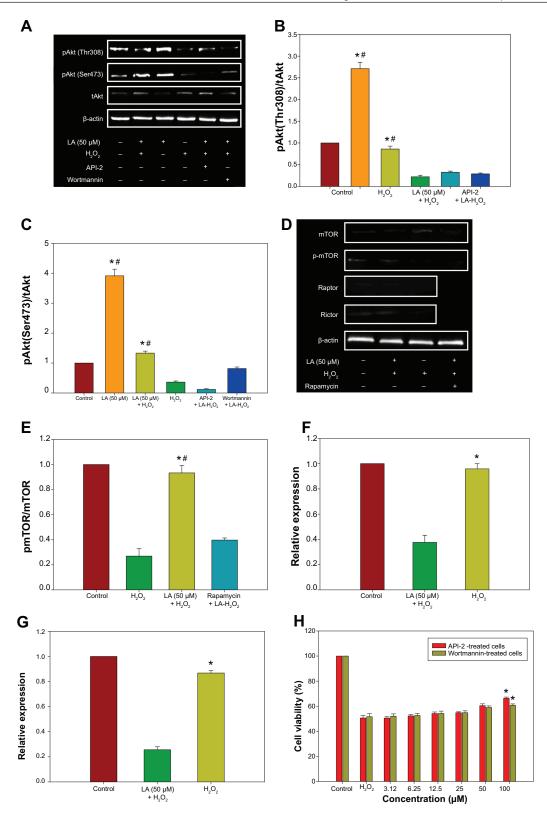


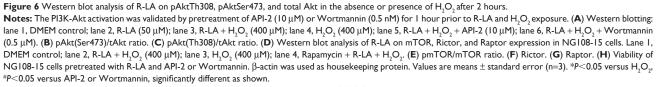
Figure 5 Western blot analysis of R-LA on Bax, Bcl-2, Bcl-xL, procaspase-3, and cleaved caspase-3 expression in NG108-15 cells. Notes: The apoptotic protein and caspase expression was validated by pretreatment of API-2 ( $10 \mu$ M) or Wortmannin (0.5 nM) for 1 hour prior to R-LA and H<sub>2</sub>O<sub>2</sub> exposure. (A) Western blotting: Iane 1, DMEM control; Iane 2, H<sub>2</sub>O<sub>2</sub> (400  $\mu$ M); Iane 3, R-LA + H<sub>2</sub>O<sub>2</sub> + API-2 ( $10 \mu$ M); Iane 4, R-LA + H<sub>2</sub>O<sub>2</sub> (400  $\mu$ M). (B) Pretreatment with R-LA reduced Bax/Bcl-2 ratio. (C) Pretreatment with R-LA attenuated Bax/Bcl-xL ratio. (D) Bar chart represents procaspase-3 expression and cleaved caspase-3 following pretreatment with R-LA.  $\beta$ -actin was used as housekeeping protein. Values are means ± standard error (n=4). \*P<0.05 versus H<sub>2</sub>O<sub>2</sub>, #P<0.05 versus API-2, significantly different as shown. Abbreviations: DMEM, Dulbecco's Modified Eagle's Medium; LA, alpha-lipoic acid; R-LA, (R)-(+)-alpha-lipoic acid.

but this change was prevented by pretreatment with R-LA (Figure 6A). The increase in Akt (Thr308 and Ser473) phosphorylation level (Figure 6A) led to an increase of both pAkt(Thr308)/Akt and pAkt(Ser473)/Akt protein ratios (Figures 6B and C). Full activation of Akt can be achieved via phosphorylation by the mammalian target of rapamycin complex 2 (mTORC2), which contains the regulatory proteins Rictor and mTOR. R-LA treatment was shown to augment phosphorylated mTOR protein expression (Figure 6D) significantly as compared to H<sub>2</sub>O<sub>2</sub>-treated cells, resulting in an increase of the pmTOR/mTOR protein ratio (Figure 6E). Furthermore, R-LA treatment upregulated Rictor expression (Figure 6F) as compared to H<sub>2</sub>O<sub>2</sub>-treated cells. More interestingly, R-LA also elevated the Raptor expression, which implied the activation of the mTORC1 complex following Akt activation (Figure 6G). To validate PI3K-Akt activation, an inhibitor of PI3K (Wortmannin) and Akt (API-2) was added prior to R-LA addition. Addition of Wortmannin and API-2 significantly attenuated neuroprotective activity of R-LA as shown by the MTT cell viability assay (Figure 6H) and reduced pAkt protein expression (Figures 6A–C), which suggests the involvement of the PI3K-Akt pathway.

# R-LA modulated the production of cytokines by inactivating GSK-3 $\beta$ and NF- $\kappa\beta$ p65 translocation

To further corroborate the participation of Akt downstream targets other than mTORC2, the regulation of GSK-3 $\beta$ , an important Tau kinase protein that plays a role in neuronal apoptosis was then determined. GSK-3 $\beta$  is reported to be essential in regulating NF- $\kappa\beta$  activity since it can promote rapid NF- $\kappa\beta$  p65 translocation. Based on this, the activation of PI3K-Akt by R-LA was postulated to mediate the inhibition of GSK-3 $\beta$ , which will be followed by suppression





Abbreviations: DMEM, Dulbecco's Modified Eagle's Medium; LA, alpha-lipoic acid; R-LA, (R)-(+)-alpha-lipoic acid.

of NF-κβ p65 translocation. A significant increase in GSK-3β expression accompanied by a reduction of pGSK-3β (Ser9) was observed in  $H_2O_2$ -treated cells (Figure 7A), but these changes were prevented when the NG108-15 cells were pretreated with LA (Figure 7B). In fact, pretreatment with R-LA (50 µM) was shown to suppress the translocation of NF-κβ p65. Similarly, the immunofluorescence data substantiated that treatment with R-LA inhibited the translocation and activation of NF-κβ p65 in NG108-15 cells that were exposed to  $H_2O_2$  (Figure 7C). Next, the effects of R-LA on the production of major inflammatory cytokines (TNF- $\alpha$ , IL-6, and IL-10) were analyzed since GSK-3β and NF-κβ activation is reciprocally regulated with the production of cytokines. Following  $H_2O_2$  exposure, TNF- $\alpha$  and IL-6 production was

augmented significantly as compared to control untreated cells (Figures 7D and E). Moreover, these changes were accompanied by the significant reduction of the antiinflammatory cytokine, IL-10. Intriguingly, upon inhibition of GSK-3β and suppression of NF- $\kappa\beta$  p65, R-LA treatment aggrandized the production of IL-10, which then resulted in further diminution of TNF- $\alpha$  and IL-6 as compared to H<sub>2</sub>O<sub>2</sub>-treated cells. To further validate the regulation of NF- $\kappa\beta$ -cytokines modulated by R-LA, the NG108-15 cells were also pretreated with ethyl 3,4-dihydroxycinnamate, a specific NF- $\kappa\beta$  inhibitor and its subsequent mediators upon exposure to H<sub>2</sub>O<sub>2</sub>. It was observed that pretreatment with ethyl 3,4-dihydroxycinnamate suppressed the production of IL-6 and TNF- $\alpha$  as well as IL-10 when compared to the

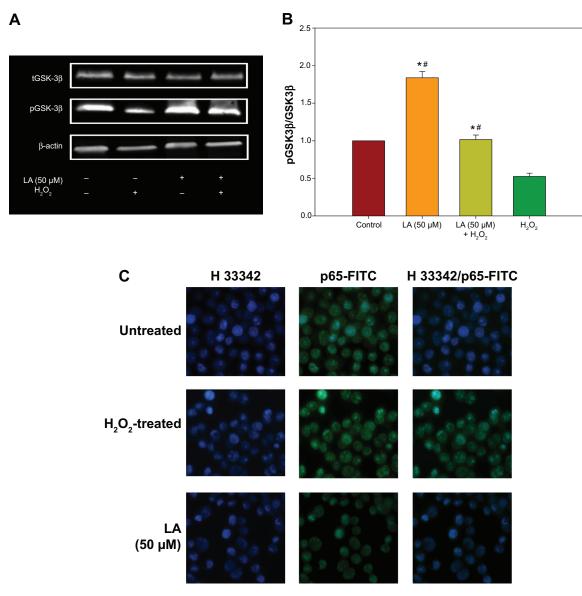
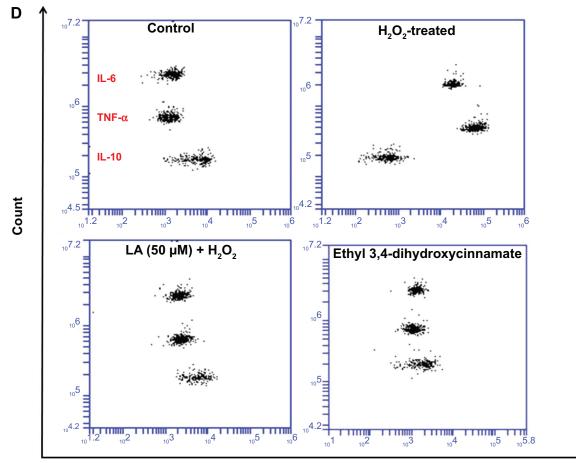


Figure 7 (Continued)



Log fluorescence (PE)

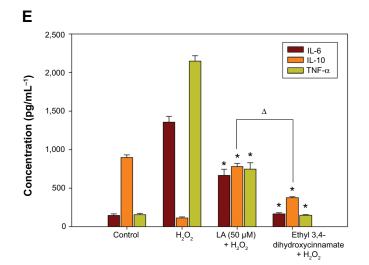


Figure 7 Western blot analysis of R-LA on pGSK-3 $\beta$ (Ser9) and total GSK-3 $\beta$  and NF- $\kappa\beta$  p65 translocation.

**Notes:** (**A**) Western blotting: lane 1, DMEM control; lane 2,  $H_2O_2$  (400  $\mu$ M); lane 3, R-LA (50  $\mu$ M); lane 4, (**B**) pGSK-3 $\beta$ (Ser9)/tGSK-3 $\beta$  ratio. (**C**) Cells were pretreated with R-LA (50  $\mu$ M) for 2 hours before  $H_2O_2$  addition for 4 hours. Cells were stained with H33342 for nuclear visualization (blue) and NF- $\kappa\beta$  p65 translocation was visualized by fluorescence microscopy after immunofluorescence staining with anti-NF- $\kappa\beta$  p65 antibody and a FITC-labelled anti-rabbit IgG antibody (magnification: 200×). (**D**) Pretreatment with R-LA (50  $\mu$ M) and ethyl 3,4-dihydroxycinnamate (10  $\mu$ M) modulated the cytokine production following H<sub>2</sub>O<sub>2</sub> exposure. Representative flow cytometric dot plots (2,100 events were collected according to BD Biosciences TH1/TH2/TH17 CBA protocol) of TNF- $\alpha$ , IL-6, and IL-10. (**E**) Bar chart represents the concentration (pg/mL) of TNF- $\alpha$ , IL-6, and IL-10 modulated by R-LA and ethyl 3,4-dihydroxycinnamate following H<sub>2</sub>O<sub>2</sub> exposure after 24 hours. R-LA treatment increased IL-10 significantly as compared to ethyl 3,4-dihydroxycinnamate and H<sub>2</sub>O<sub>2</sub>-treated cells. Similar to ethyl 3,4-dihydroxycinnamate, treatment with R-LA suppressed the production of TNF- $\alpha$  and IL-6 as compared to H<sub>2</sub>O<sub>2</sub>-treated cells. Values are means ± standard error and the asterisks indicate significantly different values from H<sub>2</sub>O<sub>2</sub>-treated cells (\*P<0.05, n=4).  $\Delta P<0.05$ , significantly different as shown.

Abbreviations: DMEM, Dulbecco's Modified Eagle's Medium; FITC, fluorescein isothiocyanate; LA, alpha-lipoic acid; PE, phycoerythrin; R-LA, (R)-(+)-alpha-lipoic acid.

control and  $H_2O_2$ -treated groups. Unlike the R-LA-treated group, the production of IL-10 was significantly greater following pretreatment with R-LA, which may suggest that R-LA induced neuroprotection against  $H_2O_2$  by modulating both anti- and proinflammatory cytokines through their reciprocal regulation with NF- $\kappa\beta$ .

#### Discussion

Cogent evidence has supported the multitude use of LA for metabolic syndrome<sup>29</sup> and its associated-diseases,<sup>30</sup> as a potential cognitive enhancer,<sup>31</sup> and an important modulator of various inflammatory signaling pathways,26 making it a beneficial nutraceutical with multifarious properties. Additionally, LA has been proposed as a potential antiinflammatory drug since it interferes with the pathogenesis of AD.<sup>22,32</sup> MTT cell viability assay revealed that R-LA exhibited a dosedependent neuroprotective effect against H<sub>2</sub>O<sub>2</sub>-induced cell death in NG108-15 cells (Figure 1). Induction of apoptosis was detected using H33342/PI fluorescence morphological analysis. Morphological changes in H2O2-induced apoptosis in NG108-15 cells were characterized by the condensation of chromatin, the shrinkage of cell nuclei, and apoptotic bodies being stained with intense blue fluorescence. Conversely, these morphological alterations were evidently abrogated following R-LA treatment as compared to the H<sub>2</sub>O<sub>2</sub>-treated cells. These findings first suggested the evasion of cell death by R-LA through mitigation of apoptosis in NG108-15 cells.

The primary etiology of hereditary and sporadic neurodegenerative diseases remains vague, albeit growing evidence implicating the role of oxidative stress in the process. Neurons are considered to be highly susceptible to oxidative stress as they are intrinsically ill-equipped to protect against an increase in ROS due to low levels of antioxidants relative to other mammalian cell types.<sup>33</sup> ROS plays various roles in cell signaling, including apoptosis, cell proliferation, gene expression, and the activation of cell signaling cascades.<sup>34,35</sup> Excessive production of ROS may lead to oxidative stress, loss of cell functions, and ultimately, cell death.<sup>36</sup> H<sub>2</sub>O<sub>2</sub> exposure caused a shift to the right in the histogram (Figure 3C), indicating an elevation in intracellular ROS production. In contrast, pretreatment with LA caused a reduction in intracellular ROS formation, which suggests that R-LA protected the NG108-15 cells through its antioxidative activity. The current paradigm advocates that a decline in intracellular GSH below a threshold level constitutes an apoptotic signal that will initiate neuronal cell death signaling.<sup>37</sup> H<sub>2</sub>O<sub>2</sub> exposure caused a significant reduction in the reduced GSH level and elevated the GSSG

level in NG108-15 cells (Figure 3A), leading to a significant diminution in the ratio of GSH/GSSG. The reduction in the ratio of GSH/GSSG indicated oxidative stress in the cellular redox system, which eventually led to neuronal cell death. Notwithstanding, pretreatment with R-LA dosedependently aggrandized the reduced GSH level and markedly increased the ratio of GSH/GSSG (Figure 3B), which further corroborated that LA protects the NG108-15 cells by ameliorating the cellular redox state via its antioxidant activity. GSH depletion exacerbates the dissipation of  $\Delta \psi m$ , which leads to mitochondrial release of apoptogenic factors such as cytochrome c and hence, initiating the activation of caspases.<sup>34</sup> However, R-LA treatment significantly mitigated the dissipation of  $\Delta \psi m$  (Figure 4), which further established the ability of R-LA to ameliorate the  $\Delta \psi m$  after consequential loss of potential due to H2O2 exposure. Collectively, through its ability to preserve the mitochondrial membrane integrity, R-LA directly suppressed cytochrome c release and formation of apoptosome, which eventually led to disruption of the apoptotic signaling cascades.

Research in recent times has seen unprecedented advanced understanding of PI3K-Akt signaling, which plays a central role in cell survival and proliferation by mediating the antiapoptosis and antiinflammatory mechanisms.<sup>38</sup> This includes the activation of mTOR and its downstream effectors, which affect a number of cellular processes including proliferation and motility.<sup>39,40</sup> The involvement of the PI3K-Akt signaling pathway by R-LA was further examined and it was observed that treatment with R-LA suppressed H<sub>2</sub>O<sub>2</sub>induced inhibition of Akt (Thr308/Ser473) phosphorylation, thereby resulting in an increased pAkt/Akt ratio (Figures 6B and C), suggesting that R-LA exerts its neuroprotective effect through the activation of the PI3K-Akt pathway. The addition of both API-2 and Wortmannin further reduced the LA-treated cell viability (Figure 6H) and thereby abrogated the neuroprotective effect of R-LA in NG108-15 cells, which further substantiated the involvement of PI3K-Akt. Furthermore, Western blot analysis demonstrated that addition of API-2 and Wortmannin suppressed R-LA-induced Akt (Thr308/Ser473) protein phosphorylation (Figures 6A-C), thus indicating the neuroprotective effect of R-LA through activation of the PI3K-Akt pathway.

As the ability of R-LA to activate Akt signaling has been demonstrated, the intracellular molecular events has since been evaluated. The full activation of Akt requires phosphorylation at Ser473 with the aid of mTORC2.<sup>41</sup> R-LA–treated cells exhibited an elevation of both mTOR and Rictor expression (Figures 6E and F), suggesting that administration with

R-LA induced full activation of Akt protein. The activated Akt in turn phosphorylates to activate its diverse downstream protein substrates, including mTORC1 component, mTOR, and Raptor (Figure 6G). The suppression of neuronal apoptosis through mTOR mainly depends on Akt activation, which occurs in several stages such as fostering cell survival through preserving  $\Delta \psi m$ , caspase inactivation, and promoting inflammatory cell inactivation.42 Moreover, the ability of mTORC1 to inhibit apoptotic neuronal cell death is associated with Akt activation,43 and inflammatory cells have been shown to capitulate apoptosis during oxidative stress following Akt and mTOR inhibition.44,45 In this context, our data indicated that LA induced full activation of Akt through its regulation of mTORC1 and mTORC2 components that confer protection against H<sub>2</sub>O<sub>2</sub> insult. In addition, PI3K-Akt protects neurons from damage38,46 and regulates survival in neurons by regulating the Bcl-2 family of proteins.<sup>47</sup> H<sub>2</sub>O<sub>2</sub> exposure elevated the expression of Bax protein and attenuated Bcl-2 and Bcl-xL, which resulted in the increase of Bax/Bcl-2 and Bax/Bcl-xL ratios (Figures 5B and C), and thus led to conditions that favor apoptosis. However, this was prevented by R-LA treatment, which increased both Bcl-2 and Bcl-xL protein expression, leading to the decrease in the Bax/Bcl-2 and Bax/Bcl-xL ratios. This then suppressed the release of cytochrome c and formation of apoptosome with concomitant suppression of caspase activation.

Furthermore, Akt confers neuronal survival by inactivating its other target, GSK-3 $\beta$ , through phosphorylation. GSK-3 $\beta$  is a multitasked kinase that plays imperative roles in various signaling pathways since it targets and regulates important metabolic and signaling proteins, structural proteins, and transcription factors.<sup>48</sup> GSK-3β is tightly regulated by pAkt through phosphorylation of its Ser9, rendering it inactive. Inhibition of GSK-3ß was reported to be protective against a plethora of neuronal insults,<sup>9</sup> thus implying that its suppression confers neuronal survival. In accordance with this, activation of Akt was accompanied by increased phosphorylation of GSK-3B when compared to H<sub>2</sub>O<sub>2</sub>-treated cells (Figures 7A and B), suggesting that R-LA prevented cell death through inactivation of GSK-3β. GSK-3 $\beta$  is a well-known upstream mediator of caspase-3, although the precise mechanism by which GSK-3 $\beta$  facilitates neuronal death remains unclear.49 Caspase-3 is activated in the apoptotic cell both by extrinsic and intrinsic pathways. Following a decrease in Bax/Bcl-2 and Bax/Bcl-xL ratios and inhibition of GSK-3 $\beta$ , R-LA suppressed the cleavage of procaspase-3 into cleaved caspase-3 (Figure 5D). These findings highlighted that R-LA protected the NG108-15 cells via activation of PI3K-Akt, which led to subsequent inhibition of proapoptotic Bax and GSK-3 $\beta$  accompanied by activation of antiapoptotic Bcl-2 and Bcl-xL, which concomitantly suppressed caspase-3 activation.

Suppression of GSK-3B can result in both activation and suppression of an array of transcription factors that are critical in regulating the production of pro- and antiinflammatory cytokines<sup>8</sup> by enhancing the binding of cAMP response element-binding protein (CREB) while inhibiting the binding of NF- $\kappa\beta$  p65 to the nuclear coactivator CREB-binding protein.50 Inhibition of GSK-3β was reported to suppress toll-like receptor-mediated inflammatory responses. For instance, phosphorylation of GSK-3 $\beta$ (Ser9) inhibited the translocation of NF- $\kappa\beta$  p65 into cell nuclei and the production of inflammatory factors in various neuronal models.<sup>8,51</sup> NF- $\kappa\beta$  is an important regulator of DNA transcription that regulates the expression of genes involved in innate and adaptive immune responses, inflammatory responses, cell survival, and cell proliferation.<sup>52,53</sup> Furthermore, NF-κβ and proinflammatory cytokines' reciprocal activation play a major role in inflammatory processes;8,54 nonetheless, aberrant activation of NF- $\kappa\beta$  can result in excessive neuroinflammation that may lead to neuronal cell death.<sup>13,14</sup>

H<sub>2</sub>O<sub>2</sub> escalated the intracellular ROS level, thereby inducing oxidative stress, which was followed by NF- $\kappa\beta$ p65 activation in NG108-15 cells. Moreover, oxidative stress was reported to promote NF- $\kappa\beta$  p65 activation through phosphorylation of  $I\kappa\beta^{55,56}$  and addition of ROS scavenger compound subsequently abated the transcriptional activity and translocation of NF- $\kappa\beta$  p65,<sup>57</sup> which concomitantly suppressed the production of TNF- $\alpha$  and IL-6. Our current findings demonstrated that H<sub>2</sub>O<sub>2</sub> exposure significantly induces NF- $\kappa\beta$  p65 nuclear translocation (Figure 7C), as well as production of proinflammatory cytokines (Figures 7D and E) as compared to untreated cells. However, the increase in the production of proinflammatory cytokines was clearly suppressed following pretreatment with the NF- $\kappa\beta$  specific inhibitor, ethyl 3,4-dihydroxycinnamate, as compared to both control untreated and H<sub>2</sub>O<sub>2</sub>-treated cells. Following GSK-3β inhibition, R-LA suppressed the NF-κβ p65 nuclear translocation and subsequently decreased the production of proinflammatory cytokines. It was observed that R-LA inhibited  $H_2O_2$ -induced production of TNF- $\alpha$  and IL-6, which are NF- $\kappa\beta$ -regulated cytokines (Figures 7D and E); this observation was similar to pretreatment with ethyl 3,4-dihydroxycinnamate. Cytokines are well-known major regulators of inflammatory responses in the brain since they constitute a cardinal role in the nerve cell response towards brain infection or injury.58 Although neuronal release of cytokines aims to eradicate threats through microglial activation, nevertheless, when they are secreted in imbalanced fashion, the homeostatic balance will be broken and the damage will persist leading to excessive production of proinflammatory cytokines that promote progressive neuronal cell death. TNF- $\alpha$  and IL-6 are usually among the first proinflammatory cytokines to be expressed following neuronal injury and their overproduction is highly detrimental to neuronal cells.<sup>59</sup> TNF-α and IL-6 are major components of neuroinflammation in AD and Parkinson's disease since direct intraparenchymal injection of TNF- $\alpha$ induced dopaminergic neuron degeneration and inhibition of both TNF- $\alpha$  and IL-6 prevented preplaque amyloid aggregation, thereby preventing the acceleration of ADlike pathology.<sup>60,61</sup> Furthermore, various findings suggest that soluble inflammatory factors such as TNF- $\alpha$  possess complex injury-promoting properties as well as protective effects on neurons by modulating the electrophysiological membrane properties of neurons and glial cells. For instance, chronic inhibition of soluble TNF ameliorated dopaminergic neuron death by interfering with PI3K-Akt signaling and activation of caspase-3.<sup>62</sup> Moreover, TNF- $\alpha$  was shown to promote neuronal cell death in neuroblastoma cells through the activation of Fas ligand/Fas receptor (FasL/Fas) in the absence of glial cells.63

More importantly, in a more intricate inflammatory network, various anti- and proinflammatory cytokines such as TNF- $\alpha$ , IL-1 $\beta$ , IL-2, IL-6, and IL-10 are produced simultaneously and may stimulate or suppress each other during inflammation.<sup>64</sup> Inhibition of GSK-3( $\alpha/\beta$ ) mediated by mTORC1 through PI3K-Akt was reported to increase the production of a potent antiinflammatory cytokine, IL-10, which is capable of inhibiting the production of proinflammatory cytokines such as TNF- $\alpha$ , IL-1, IL-6, and IL-12.<sup>51,65</sup> Furthermore, IL-10 has been reported to suppress neuroinflammation and the production of proinflammatory cytokines and inflammatory mediators through its inhibitory activity on the NF- $\kappa\beta$  pathway activation in various cellular models.66-69 Likewise, in the present study, R-LA was demonstrated to increase IL-10 production in NG108-15 cells, thereby decreasing the production of induced TNF- $\alpha$  and IL-6 following H<sub>2</sub>O<sub>2</sub> exposure, resulting in the suppression of caspase-dependent neuronal apoptosis. Moreover, pretreatment with R-LA was shown to increase the production of IL-10 as compared to ethyl 3,4-dihydroxycinnamate. Even though both ethyl 3,4-dihydroxycinnamate and R-LA suppressed H2O2-induced neuroinflammation in NG108-15 cells through inactivation of NF- $\kappa\beta$  p65 translocation, which subsequently inhibited the production of IL-6 and TNF- $\alpha$ , R-LA was observed to significantly increase the IL-10 level significantly higher than both H<sub>2</sub>O<sub>2</sub>- and ethyl 3,4-dihydroxycinnamate-treated cells. This observation may suggest that R-LA induced the production of IL-10, which exerted its antiinflammatory effect by inhibiting NF-KB activation with concomitant IL-6 and TNF-suppression. The production of cytokines can be either detrimental or advantageous for neuronal survival depending on multiple factors and the signaling pathway involved. The current study clearly demonstrates that R-LA significantly attenuated H<sub>2</sub>O<sub>2</sub>induced overproduction of proinflammatory cytokines by reciprocally stimulating the production of antiinflammatory cytokines by modulating NF- $\kappa\beta$ -cytokines regulation. Thus, a potential therapeutic therapy of various nutraceuticals against oxidative stress should also consider the modulation of neuroinflammation-mediated neurodegenerative diseases by targeting both anti- and proinflammatory cytokines.

#### Conclusion

The contribution of the PI3K-Akt/GSK-3ß pathway and its coregulation with NF- $\kappa\beta$ -cytokines in neuroprotection remains controversial and thus, illustrates the complexity of these pathways. Nevertheless, it is unraveled at least in part in this study. R-LA inhibition of GSK-3ß resulted in the suppression of proinflammatory cytokines with subsequent mitigation of mitochondrial-mediated caspase-dependent neuronal apoptosis. Nevertheless, it is noteworthy that targeting GSK-3β through PI3K-Akt would confer neuronal survival in NG108-15 cells owing to GSK-3β diverse mechanisms that include NF-κβ-cytokines-specific reciprocal regulation. Based on these findings, R-LA mitigated the H<sub>2</sub>O<sub>2</sub>-induced neuronal cell death in NG108-15 cells by suppressing both mitochondrial-mediated caspase-dependent apoptosis and production of proinflammatorry cytokines via inhibition of GSK-3 $\beta$  through the PI3K-Akt pathway. More importantly, these findings exhibited the ability of R-LA to modulate NF- $\kappa\beta$ -cytokines expression in the neuronal model and highlighted the rational use of R-LA in the intervention of neurodegenerative diseases with a potential new molecular target site.

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### **Author contributions**

MNAK contributed to the overall experimental design, performed the experiments, statistical data analysis, data interpretation, and the writing of the manuscript. NAMR, SSSH, and LJY performed the experiments and contributed to the writing of the manuscript. HS provided his assistance in repeating the experiments and data analysis prior to resubmission and also revised the manuscript. HAK contributed to the overall experimental design, data interpretation, and critical manuscript review. All authors read and approved the final manuscript.

## Disclosure

The authors report no conflicts of interest in this work.

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