

Prognostic value of preoperative absolute lymphocyte count in recurrent hepatocellular carcinoma following thermal ablation: a retrospective analysis

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Purpose: To investigate the prognostic value of preoperative absolute lymphocyte count (ALC) in recurrent hepatocellular carcinoma (RHCC) following thermal ablation.

Materials and methods: We retrospectively analyzed the relationship between preoperative ALC and the clinicopathologic factors and long-term prognosis in 423 RHCC patients who underwent curative thermal ablation. Correlation analysis, receiver operating characteristic (ROC) calculation, Kaplan–Meier curves, and multivariate regression were used for statistical analysis.

Results: The median time to recurrence was 12 months for RHCC patients after thermal ablation. On multivariate Cox regression analysis, preoperative ALC was an independent risk factor for cancer recurrence, along with tumor differentiation and α -fetoprotein level. $ALC \geq 1.64 \times 10^9/L$ defined by ROC calculation was associated with prolonged survival (area under the curve 0.741, $P < 0.001$). Patients with $ALC \geq 1.64 \times 10^9/L$ showed a mean survival of 20.2 months versus 11.6 months for patients with $ALC < 1.64 \times 10^9/L$ ($P < 0.001$). Patients were stratified into high and low groups according to ALC status. After excluding the basic parameters between groups, the 1- and 3-year recurrence rates in the high group were 20.9% and 29.5%, respectively, which were significantly lower than those of the low group (58.4% and 71.9%, respectively; $P < 0.001$). The recurrence-free survival rates in the two groups analyzed by Kaplan–Meier curves were significantly different ($P < 0.001$).

Conclusion: Preoperative ALC is a powerful prognostic factor for RHCC recurrence after thermal ablation, which suggests that maintaining a high ALC in RHCC patients might improve cancer outcomes.

Keywords: absolute lymphocyte count, recurrent hepatocellular carcinoma, thermal ablation, recurrence

Introduction

Hepatocellular carcinoma (HCC) is one of the most aggressive and prevalent malignant diseases worldwide, and is characterized by a dismal prognosis.¹ HCC most commonly arises in patients with chronic liver disease, which induces chronic inflammation and impaired immunity.^{2,3} The high recurrence and metastasis rate after radical treatment are the main hurdles for improving HCC prognosis.^{4,5} For recurrent HCC (RHCC) patients, immune function and general health is impaired, and thus surgical resection may not be the first treatment choice. Instead, thermal ablation, a less invasive option that provides excellent clinical results, should be considered.^{6,7}

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Absolute lymphocyte count (ALC) is considered a surrogate marker for the level of immunosuppression and nutritional status of patients, and it is a prognostic factor for survival and recurrence in several cancers, including HCC with hepatitis.^{8,9} Therefore, despite being a relatively crude measure, ALC serves as a useful barometer of immune function and general health in humans.¹⁰ Cancer patients also frequently show decreased ALC at diagnosis. In 1970, Riesco reported that ALC was positively associated with the “curability” of a variety of cancers.¹¹ Similar associations between ALC and survival have been reported for a wide variety of epithelial, connective tissue, and lymphoid cancers,¹² including HCC after liver transplantation.⁹ The liver itself is an immunological organ, and the immune system strongly influences outcomes in patients with HCC.¹³ ALC as a prognostic factor for primary HCC after liver transplantation or resection has been reported, but it has not been extensively evaluated in patients with RHCC treated by thermal ablation.

The aim of this retrospective study was to determine the best cutoff value for preoperative ALC in RHCC patients after thermal ablation and to evaluate whether the new ALC cutoff point correlates with tumor recurrence. Furthermore, we established a simple preoperative prognostic score model suggesting that maintaining ALC in RHCC patients might improve cancer outcomes.

Materials and methods

Patient population

From May 2006 to May 2014, 423 RHCC patients (351 males and 72 females, mean age 59.4 ± 11.0 years, range 28–82 years) with 989 HCC nodules detected on contrast-enhanced ultrasound/computed tomography/magnetic resonance imaging (CEUS/CT/MRI) were enrolled in this study. All patients underwent thermal ablation with curative intention at our institution, and the protocol was designed in accordance with the patient's medical history, CT/MRI, and laboratory examination results. The maximum diameter of the lesions ranged from 1.0 to 6.0 cm (mean \pm standard deviation [SD], 2.6 ± 1.3 cm). Curative pretreatment included liver resection ($n=75$), transarterial chemoembolization (TACE; $n=150$), and thermal ablation ($n=198$). Infection-virus types were as follows: hepatitis B virus (HBV)-infected, 328; HCV-infected; 41, HBV + HCV-infected, 9; and no infection, 45. The Child–Pugh score of the RHCC patients was evaluated as A level ($n=403$) and B level ($n=20$). ALC was defined as the absolute neutrophil count multiplied by the percentage of lymphocytes. The final HCC diagnosis was verified by pathological examination. This study was approved by the Medical Ethics Committee of Chinese

PLA General Hospital. Written informed consent was obtained from every patient.

Preablation examination

The inclusion criteria for our study were as follows: 1) non-resectable tumors or patient refusal to undergo surgery, 2) single HCC lesion ≤ 6 cm, 3) more than two HCC lesions with a maximum diameter ≤ 4 cm, 4) the absence of portal vein thrombosis or extrahepatic metastases, 5) prothrombin time (PT) < 25 seconds, 6) prothrombin activity $> 40\%$, and 7) platelet count $> 40 \times 10^9/L$. Exclusion criteria were: 1) severe cardiopulmonary disease, 2) serious renal function failure, 3), severe liver-function failure, such as large-volume ascites or hepatic encephalopathy, and 4) active severe infection. All patients had undergone conventional ultrasound, CEUS, CT (computer tomography) and/or MRI (magnetic resonance imaging) to delineate the target tumor before ablation.

Microwave-ablation protocol

All treatments were performed at our institution. Before ablation, US/CEUS-guided biopsy was performed first using an automatic biopsy gun with an 18 G cutting needle under local anesthesia with 1% lidocaine; two or three punctures were performed. Subsequently, the antennas were percutaneously inserted into the tumor and placed in the desired location by US or CEUS guidance. For lesions < 1.7 cm in diameter, a single antenna was used; for those ≥ 1.7 cm in diameter, we used two or more antennas. General anesthesia (propofol, 6–12 mg/kg per hour; ketamine, 1–2 mg/kg) was employed after correctly placing the antennas, and ablation was implemented. If the lesion was adjacent to the bile duct, gallbladder, or bowel (≤ 5 mm), a 21 G thermocouple was placed percutaneously at a designated location to monitor temperature in real time.¹⁴ The temperature was kept at 50°C – 54°C for no longer than 3 minutes, with intermittent emission of microwaves.¹⁵ If the lesion was near the diaphragmatic dome, artificial ascites were used. In order to perform complete ablation of lesions larger than 5 cm or very close to the bile duct, gallbladder, or bowel, ethanol was injected into the marginal tissue of the tumor through a 21 G percutaneous transhepatic cholangiography needle during ablation. When the hyperecho overlapped the whole lesion, the antennas were withdrawn. During the process, the needle tracks were routinely cauterized to avoid bleeding and tumor seeding.

Follow-up

Complete ablation was defined as the absence of enhancement in any areas of the lesion on enhanced images obtained at

1 month after thermal ablation. The follow-up period started and continued until May 2014, ranging from 12 to 96 months. During the follow-up period, routine CEUS and CT and/or MRI were repeated to monitor for recurrence or metastasis at 3 months after ablation, and then at 6-month intervals. Once both CE images were positive or US-guided core needle biopsy was positive, the follow-up was terminated.

Statistical analysis

Data were analyzed using SPSS for Windows (version 17.0; SPSS Inc., Chicago, IL, USA). All data are expressed as mean \pm SD. Preoperative clinical parameters that impacted recurrence-free survival (RFS) were entered into the multivariate Cox proportional-hazard model to determine their independent effects. A best cutoff value of preoperative ALC was determined by time-dependent receiver-operating characteristic (ROC) curve analysis.¹⁶ Independent χ^2 tests were used to compare categorical variables. Continuous variables were compared using unpaired *t*-tests. RFS curves were analyzed using the Kaplan–Meier method and compared using the log-rank test. $P < 0.05$ was considered statistically significant.

Results

Correlation between ALC and RHCC recurrence following thermal ablation

To determine whether ALC was correlated with cancer recurrence after thermal ablation in RHCC patients, we performed multivariate Cox proportional-hazard model analysis. The statistically significant predicative factors for recurrence identified are shown in Table 1. Among the 12 clinical parameters (pretreatment, age, sex, tumor number,

size of tumor, type of hepatitis, cirrhosis, tumor differentiation, PT, ALC, and Child–Pugh score), low tumor differentiation, high α -fetoprotein, low PT, and low ALC were all independent predicative factors for cancer recurrence in RHCC patients.

Selection of the best cutoff value for ALC in RHCC patients

To analyze the predicted value of ALC for cancer recurrence in RHCC patients following thermal ablation, a time-dependent ROC curve was generated. An ALC of $1.64 \times 10^9/L$ was the best cutoff point for predicting recurrence after thermal ablation in RHCC patients (area under the ROC was 0.741, $P < 0.001$; Figure 1). We therefore utilized an ALC cutoff of $1.64 \times 10^9/L$ as a risk factor for RHCC recurrence. All patients were divided into one of two groups: a low ($< 1.64 \times 10^9/L$)-ALC group ($n=293$, 69.3%) and a high ($\geq 1.64 \times 10^9/L$)-ALC group ($n=130$, 30.7%). This result further indicates that the majority of RHCC patients had impaired immunity status, which may reduce the antitumor capabilities of RHCC patients and may be the basis of their greater inclination for recurrence.

Comparisons of recurrence rates in low- and high-ALC groups

During the follow-up period, the 1- and 3-year recurrence rates were 58.4% and 71.9% in the low-ALC group, respectively, which were significantly higher than those in the high-ALC group (20.8% and 29.5%, respectively; $P < 0.001$). Therefore, ALC is a good predictor of the risk of cancer recurrence in RHCC patients following thermal ablation.

Table 1 Multivariate Cox regression analysis of clinical factors in relation to recurrence-free survival of recurrent hepatocellular carcinoma patients

Clinical parameters	B	SE	Wald	df	Significance (P-value)	Exp (B)	95% CI for exp (B)	
							Lower	Upper
Pretreatment	0.118	0.091	1.679	1	0.195	1.125	0.942	1.344
Sex	0.294	0.186	2.504	1	0.114	0.745	0.518	1.073
Age	-0.004	0.006	0.381	1	0.537	0.996	0.985	1.008
Tumor numbers	0.020	0.061	0.110	1	0.740	10.020	0.905	1.150
Size of tumors	0.033	0.048	0.474	1	0.491	0.967	0.880	1.063
Differentiation	0.434	0.099	19.064	1	0.000*	1.543	1.270	1.875
Cirrhosis	0.331	0.019	3.026	1	0.082	0.718	0.494	1.043
Type of hepatitis	0.023	0.079	0.081	1	0.775	0.978	0.837	1.142
AFP ($\mu g/L$)	0.000	0.000	5.486	1	0.019*	1.000	1.000	1.000
ALC	-1.064	0.126	71.200	1	0.000*	0.345	0.270	0.442
PT	0.045	0.024	3.644	1	0.056	1.046	0.999	1.095
Child–Pugh	-0.570	0.311	3.356	1	0.067	0.566	0.307	1.041

Note: * $P < 0.05$.

Abbreviations: SE, standard error; CI, confidence interval; AFP, α -fetoprotein; ALC, absolute lymphocyte count; PT, prothrombin time; df, degrees of freedom.

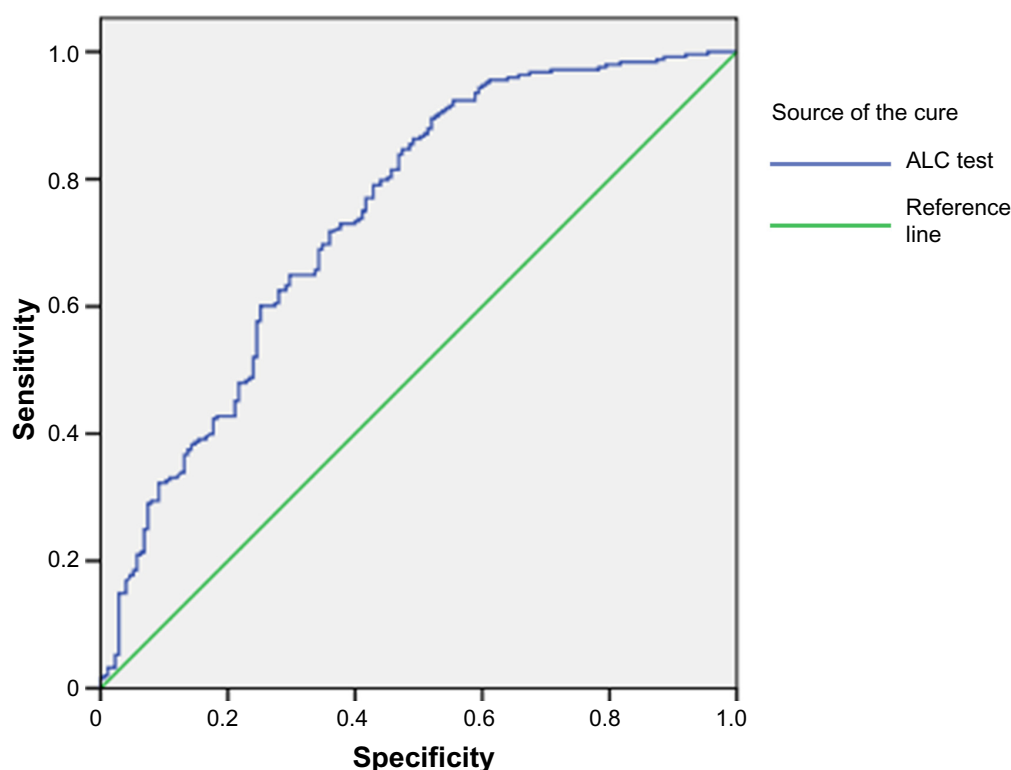


Figure 1 Receive-operating characteristic curve (ROC) analysis for ALC predicts recurrence in RHCC patients following thermal ablation.

Notes: ALC $\geq 1.64 \times 10^9/L$ was determined to be the cutoff value (AUROC = 0.741, $P < 0.001$). Diagonal segments are produced by ties.

Abbreviations: ALC, absolute lymphocyte count; RHCC, recurrent hepatocellular carcinoma; AUROC, area under the ROC.

Comparisons of RFS in low- and high-ALC groups

To compare the differences in RFS rates between the low-ALC and high-ALC groups, we first evaluated the basic clinical parameters between the two groups; of the eleven clinical parameters (Tables 2 and 3), none differed significantly between the low-ALC and high-ALC group. The RFS rate after thermal ablation was 28.3% in the low-ALC group and 70.4% in the high-ALC group ($P < 0.001$). The RFS rates of patients in the low- and high-ALC groups are shown in Figure 2. The RFS rate was significantly higher in the low-ALC group than in the high-ALC group ($P < 0.001$).

Discussion

In this retrospective study, preoperative ALC, tumor differentiation, and high α -fetoprotein levels were associated with cancer recurrence in RHCC patients after thermal ablation. These results suggest that preoperative ALC is an independent, powerful, and predictive factor for cancer recurrence of RHCC following thermal ablation. As reported in several studies, ALCs have been associated with favorable prognosis in many cancers and metastases.^{10,12,17}

Therefore, it is reasonable to state that ALC may be a simple and effective marker for determining the risk for recurrence in RHCC patients.

However, it has been reported that the ALC cutoff values for predicting prognosis and recurrence vary in different cancers.^{10,12,18} To our knowledge, this is the first study analyzing the best ALC cutoff value for predicting cancer recurrence in RHCC patients. On analyzing the ROC curve analysis, the best cutoff value ($1.64 \times 10^9/L$) was found to be discriminating for predicting cancer recurrence in RHCC patients following thermal ablation. The first interesting observation is that the incidence of ALC $< 1.64 \times 10^9/L$ in RHCC patients was very high (69.3%). This phenomenon further indicates that the immunity status of RHCC patients has been impaired. These observations strongly suggest that low ALC is related to cancer recurrence and prognosis.

In this study, we compared the 1- and 3-year recurrence rates of the high- and low-ALC groups, and observed a marked and significant difference between the two groups. Furthermore, we compared the RFS of these two groups, and we also found a marked and significant difference. ALC is considered a surrogate marker for the level of

Table 2 Comparison of clinical parameters of recurrent hepatocellular carcinoma patients between the low- and high-ALC groups

Clinical parameters	n	ALC $\geq 1.64 \times 10^9/L$ (n=130)	ALC $< 1.64 \times 10^9/L$ (n=293)	P-value
Pretreatment				0.815
Surgery	75	24	51	
TACE	150	43	107	
Ablation	198	63	137	
Sex				0.328
Male	351	110	241	
Female	72	20	52	
Type of hepatitis				0.703
HBV	328	105	223	
HCV	41	11	30	
HBV + HCV	9	3	6	
No	45	11	34	
Differentiation				0.116
High	235	82	153	
Middle	153	39	114	
Low	35	9	26	
Child-Pugh				0.09
A	403	127	276	
B	20	3	17	
Cirrhosis				0.067
Yes	357	104	253	
No	66	26	40	

Abbreviations: ALC, absolute lymphocyte count; TACE, transcatheter arterial chemoembolization; HBV, hepatitis B virus; HCV, hepatitis C virus.

immunosuppression and nutritional status of a patient, and it is also a prognostic factor for survival and recurrence in several cancers. This result further verifies that immunosuppression is common in RHCC patients, which is similar to other cancers.^{19–21}

An ALC reduction in patients may not only be a parameter correlated with survival but also a biological mechanism stimulating tumor progression. Immunity in the human body is a balance, and once this balance is disrupted, a tumor can develop and progress.^{22,23} Therefore, it is very important to explore the mechanisms underlying the association between low lymphocyte count and poor prognosis. It has been reported that the possible mechanism may be as follows: 1) the low lymphocyte count may be associated with a pre-existing immunosuppressed condition, suggesting that the host tends to have an inadequate immunological response; 2) the low lymphocyte count may be a consequence of lympholytic cytokines produced by lymphoma cells, and this lymphoma may itself be resistant; 3) a combination of both

or other factors;¹² or 4) the composition and proportion of lymphocytes may have changed. Effectively, the mechanism of low ALC in cancer patients remains unclear, but it is probably multifactorial.

There are several limitations to this study. For instance, this was a retrospective study with only a small number of patients enrolled. Moreover, the mechanism underlying the relationship between ALC and cancer recurrence in RHCC patients was not elucidated. Thirdly, the composition and proportion of lymphocytes should be further analyzed. Finally, multicenter prospective studies are needed to confirm and update the findings demonstrated in this study.

In conclusion, our results suggest that RHCC patients with low ALC have poor RFS following thermal ablation. The best ALC cutoff value was $1.64 \times 10^9/L$ for predicting cancer recurrence, which may improve the clinical management of RHCC patients after thermal ablation. Preoperative ALC is a simple and powerful prognostic factor for the recurrence of RHCC after thermal ablation, which suggests

Table 3 Comparison of clinical parameters of recurrent hepatocellular carcinoma patients between the low- and high-ALC groups

Group	Age (years)	Number of tumors	Size of tumors (cm)	AFP ($\mu g/L$)	PT (seconds)
ALC $\geq 1.64 \times 10^9/L$	57.5 \pm 11.6	2.3 \pm 1.1	2.6 \pm 1.3	559.4 \pm 2,816.9	14.1 \pm 2.9
ALC $< 1.64 \times 10^9/L$	60.1 \pm 10.5	2.0 \pm 1.0	2.5 \pm 1.2	479.8 \pm 2,292.1	14.6 \pm 2.6
P-value	0.058	0.916	0.471	0.897	0.078

Abbreviations: ALC, absolute lymphocyte count; AFP, α -fetoprotein; PT, prothrombin time.

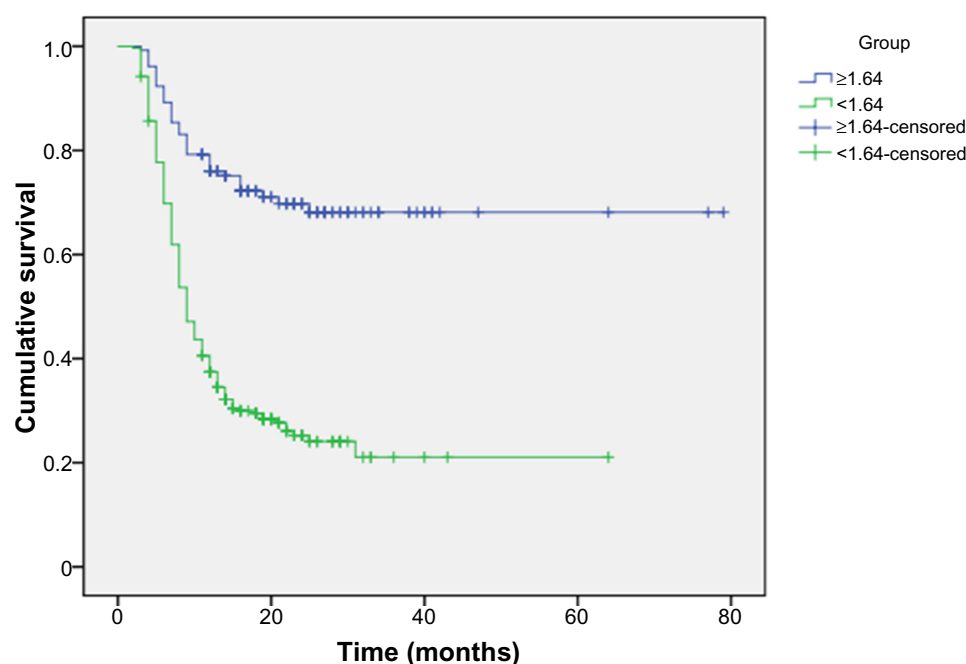


Figure 2 Comparison of RFS rates in the low ($<1.64 \times 10^9/L$)- and high ($\geq 1.64 \times 10^9/L$)-ALC groups.

Note: The RFS rate was significantly higher in the high-ALC group than in the low-ALC group ($P < 0.001$).

Abbreviations: RFS, recurrence-free survival; ALC, absolute lymphocyte count.

that maintaining ALC in RHCC patients might improve outcomes.

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Disclosure

The authors report no conflicts of interest in this work.

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