

# Oncogenic mutations are associated with histological subtypes but do not have an independent prognostic value in lung adenocarcinoma

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**Abstract:** Lung adenocarcinomas have diverse genetic and morphological backgrounds and are usually classified according to their distinct oncogenic mutations (or so-called driver mutations) and histological subtypes (the de novo classification proposed by the International Association for the Study of Lung Cancer/American Thoracic Society/European Respiratory Society [IASLC/ATS/ERS]). Although both these classifications are essential for personalized treatment, their integrated clinical effect remains unclear. Therefore, we analyzed 981 lung adenocarcinomas to detect the potential correlation and combined effect of oncogenic mutations and histological subtype on prognosis. Analysis for oncogenic mutations included the direct sequencing of *EGFR*, *KRAS*, *HER2*, *BRAF*, *PIK3CA*, *ALK*, and *RET* for oncogenic mutations/rearrangements, and a review of the IASLC/ATS/ERS classification was undertaken. Eligible tumors included 13 atypical adenomatous hyperplasia/adenocarcinoma in situ, 20 minimally invasive adenocarcinomas, 901 invasive adenocarcinomas, 44 invasive mucinous adenocarcinomas, and three other variants. The invasive mucinous adenocarcinomas had a lower prevalence of *EGFR* mutations but a higher prevalence of *KRAS*, *ALK*, and *HER2* mutations than invasive adenocarcinomas. Smoking, a solid predominant pattern, and a mucinous component were independently associated with fewer *EGFR* mutations. The *ALK* rearrangements were more frequently observed in tumors with a minor mucinous component, while the *KRAS* mutations were more prevalent in smokers. In addition, 503 patients with stage I–IIIA tumors were analyzed for overall survival (OS) and relapse-free survival. The stage and histological pattern were independent predictors of relapse-free survival, and the pathological stage was the only independent predictor for the OS. Although patients with the *EGFR* mutations had better OS than those without the mutations, no oncogenic mutation was an independent predictor of survival. Oncogenic mutations were associated with the novel IASLC/ATS/ERS classification, which facilitates a morphology-based mutational analysis strategy. The combination of these two classifications might not increase the prognostic ability, but it provides essential information for personalized treatment.

**Keywords:** oncogenic mutation, IASLC/ATS/ERS classification, personalized treatment, molecular testing, prognosis

## Introduction

Over the past decades, the treatments for lung cancer have progressed with the recognition of interindividual variation, leading to classification according to subtype and histology-based treatment strategies.<sup>1–4</sup> Lung adenocarcinoma is one of the histological subsets accounting for nearly 40% of all lung cancer cases. Its treatments have further advanced after the delineation of disease subgroups harboring specific mutant oncogenic kinases,

such as epidermal growth factor receptor (EGFR), which respond to their corresponding tyrosine kinase inhibitors (TKIs).<sup>5–7</sup> With the increasing number of the so-called “driver” mutations identified in lung adenocarcinoma,<sup>8</sup> other prime examples, such as anaplastic lymphoma kinase (ALK) and its inhibitor crizotinib, continue to emerge and provide patients with molecular-based treatments.<sup>9–12</sup> Therefore, lung adenocarcinomas could be classified in the genetic dimension by using mutant genes corresponding to the potential targeted molecular therapies.<sup>13</sup>

Recently, a new classification system was proposed by the International Association for the Study of Lung Cancer (IASLC)/American Thoracic Society (ATS)/European Respiratory Society (ERS) to characterize further lung adenocarcinoma in the morphological dimension.<sup>14</sup> This approach segregates primary lesions considering their invasiveness and predominant histological pattern. Previous studies showed the association of this novel classification system with tumor metabolism,<sup>15,16</sup> response to radiation,<sup>17</sup> and prognosis prediction,<sup>17–21</sup> indicating its role as a supplement to stage-dependent clinical decision-making.

To better characterize patients for clinical evaluation and treatment, we sought to evaluate whether these two classification systems correlate with each other and whether the combination of these two dimensions might produce subgroups that are more homogeneous. Several previous studies, all with relatively small sample sizes, reported a possible relationship between the IASLC/ATS/ERS classification and the *EGFR* and/or the *KRAS* mutation status.<sup>21–25</sup> In this study, we comprehensively analyzed 1,015 lung adenocarcinomas for driver mutations by using the IASLC/ATS/ERS classification and incorporated these data with the clinicopathological characteristics to evaluate their mutual correlation and potential role in prognostic prediction.

## Materials and methods

### Patients and tissues

From February 2007–July 2012, surgically resected tumor samples from 1,015 patients with newly diagnosed, pathologically confirmed lung adenocarcinomas were consecutively collected by the Department of Thoracic Surgery at the Fudan University Shanghai Cancer Center. These tumor samples were taken at the time of surgical resection, and the tumor content was at least 20% evaluated by the pathologist. Among them, 24 patients received neoadjuvant chemotherapy, and ten cases that could not be pathologically/genetically classified were excluded; therefore, 981 completely resected

lung adenocarcinomas were assessed for their genetic and morphological classification (Figure S1).

Genomic deoxyribonucleic acid (DNA) or ribonucleic acid (RNA) was extracted from frozen tissues as per standard protocols (RNeasy Mini Kit and QiAamp DNA Mini Kit; Qiagen NV, Venlo, the Netherlands). The total RNA samples were then reverse-transcribed into single-stranded cDNA by using a RevertAid™ First Strand cDNA Synthesis Kit (Thermo Fisher Scientific, Waltham, MA, USA). Clinical and pathological data, including the age at diagnosis, sex, smoking history, and the pathological tumor, node, metastasis stage, were prospectively collected for analyses. Patients were followed-up in the clinic and/or by telephone for disease recurrence and survival from the date of diagnosis. This research was approved by the institutional review board of the Fudan University Cancer Center, Shanghai, People's Republic of China. All participants provided written informed consent.

### Morphological and genetic classification evaluation

The novel classification of adenocarcinoma was reviewed by two pathologists (Yuan Li and Lei Shen), according to the criteria of the IASLC/ATS/ERS classification as previously described.<sup>24,25</sup> For invasive adenocarcinoma, the predominant pattern was recorded and designated into three pattern groups for survival analysis, as suggested by previous studies:<sup>15,17,19,26</sup> group 1 refers to lepidic predominant (LEP); group 2 refers to acinar predominant (ACN) or papillary predominant (PAP); and group 3 refers to micropapillary predominant (MP) or solid predominant (SLD) adenocarcinomas. Invasive mucinous adenocarcinoma (IMA) and other variants of invasive adenocarcinoma were analyzed separately, by using the IASLC/ATS/ERS guidelines.

A comprehensive analysis for driver mutations, including the *EGFR*, *KRAS*, *HER2*, *BRAF*, *ALK*, *RET*, and *PIK3CA*, was carried out as previously described.<sup>13,24,27,28</sup> Briefly, *EGFR* (exons 18–22), *HER2* (exons 18–21), *KRAS* (exons 2–3), *BRAF* (exons 11–15), and *PIK3CA* (exons 9–20) were amplified by using the polymerase chain reaction (PCR) with cDNA used for Sanger sequencing. The *ALK* and *RET* rearrangements were screened by using PCR and quantitative real-time PCR with cDNA<sup>27,28</sup> and confirmed with fluorescence in situ hybridization in formalin-fixed paraffin-embedded specimens.<sup>27,28</sup>

### Statistical analyses

Associations between genetic, morphological, and clinical characteristics were analyzed by using the  $\chi^2$  test or

the Fisher's exact test. Patients who were diagnosed with stage I–IIIA lung adenocarcinoma from October 2007–August 2011 were followed-up until June 2012 for relapse-free survival (RFS) and overall survival (OS) analyses (Figure S1). The survival curves were estimated by using the Kaplan–Meier method with differences in survival assessed using the log-rank test. The multivariate survival analysis was conducted using the Cox proportional hazards model. All data were analyzed with SPSS 16.0 (SPSS Inc., Chicago, IL, USA). The two-sided significance level was set at  $P < 0.05$ .

## Results

In total, completely resected tumors from 981 patients with lung adenocarcinoma were eligible for examination and analyses, including 13 preinvasive lesions, 20 minimally invasive adenocarcinomas (MIAs), 901 invasive adenocarcinomas, 44 IMAs, and three colloid/enteric adenocarcinomas. The 901 patients with invasive adenocarcinoma consisted of 71 LEP, 488 ACN, 155 PAP, 24 MP, and 163 SLD subtypes. The patients' characteristics, according to the criteria of the IASLC/ATS/ERS classification, are shown in Table 1, and the overall mutational spectrum is shown in Figure S2.

(Characteristics of the three colloid/enteric adenocarcinomas are shown in Table S3.)

## Driver mutations partially correlate with IASLC/ATS/ERS classification

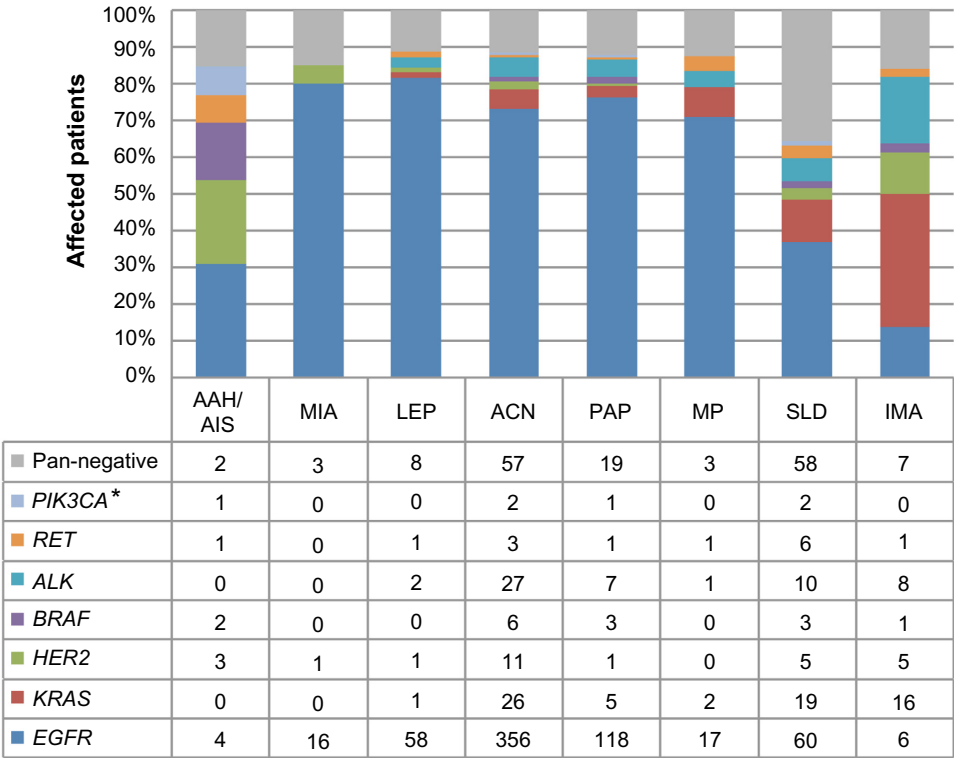
The spectrum of driver mutations across the IASLC/ATS/ERS classifications is illustrated in Figure 1. All driver mutations were mutually exclusive except in 18 patients with coexisting *EGFR* and *PIK3CA* mutations, four with both the *KRAS* and *PIK3CA* mutations, and one with both the *RET* and *PIK3CA* mutations. The overall frequency of the *EGFR* mutation was 64.7%, much higher than that reported in the Caucasian population, while the overall frequency of the *KRAS* mutation was 7.1%, much lower than that reported in Caucasian patients.<sup>29</sup>

MIA has a comparable mutation spectrum to invasive adenocarcinoma in terms of the frequency of the *EGFR* mutants ( $P=0.334$ ) and pan-negative samples ( $P=1.000$ ). Surprisingly, the samples from preinvasive lesions (atypical adenomatous hyperplasia [AAH]/adenocarcinoma in situ [AIS]) were found to have a significantly lower *EGFR* mutation frequency ( $P=0.013$ ), but higher *HER2* and *BRAF* mutation frequencies than invasive adenocarcinoma ( $P=0.015$  and  $P=0.003$ , respectively).

**Table 1** Characteristics of patients by IASLC/ATS/ERS classification

	AAH/AIS (%) N=13	MIA (%) N=20	Invasive adenocarcinoma					IMA (%) N=44
			LEP (%) N=71	ACN (%) N=488	PAP (%) N=155	MP (%) N=24	SLD (%) N=163	
Age (years)								
<60	61.5	55.0	46.5	47.3	48.4	25.0	58.9	63.6
≥60	38.5	45.0	53.5	52.7	51.6	75.0	41.1	36.4
Sex								
Male	15.4	25.0	25.4	41.0	49.0	41.7	61.3	36.4
Female	84.6	75.0	74.6	59.0	51.0	58.3	38.7	63.6
Smoking								
Never	92.3	100.0	83.1	71.3	66.5	70.8	47.9	70.5
Ever	7.7	0.0	16.9	28.7	33.5	29.2	52.1	29.5
Pathologic stage								
IA	100.0	100.0	74.6	37.7	28.4	20.8	12.9	34.1
IB			19.7	18.4	19.4	12.5	12.9	15.9
IIA			0.0	10.0	11.0	16.7	17.8	15.9
IIB			0.0	1.6	6.5	8.3	3.1	6.8
IIIA			4.2	25.2	28.4	41.7	44.8	25.0
IIIB			0.0	2.0	0.6	0.0	4.3	0.0
IV			1.4	4.9	5.8	0.0	4.3	2.3
Pathologic T stage								
pT1	100.0	100.0	76.1	54.1	44.5	45.8	30.1	36.4
pT2–T4			23.9	45.9	55.5	54.2	69.9	63.6

**Abbreviations:** IASLC, International Association for the Study of Lung Cancer; ATS, American Thoracic Society; ERS, European Respiratory Society; AAH, atypical adenomatous hyperplasia; AIS, adenocarcinoma in situ; MIA, minimally invasive adenocarcinoma; LEP, lepidic predominant; ACN, acinar predominant; PAP, papillary predominant; MP, micropapillary predominant; SLD, solid predominant; IMA, invasive mucinous adenocarcinoma.



**Figure 1** Driver mutation spectrum, according to the novel IASLC/ATS/ERS classification.  
**Note:** \*Indicates samples harboring the *PIK3CA* mutation without overlap with other driver mutations.  
**Abbreviations:** IASLC, International Association for the Study of Lung Cancer; ATS, American Thoracic Society; ERS, European Respiratory Society; AAH, atypical adenomatous hyperplasia; AIS, adenocarcinoma in situ; MIA, minimally invasive adenocarcinoma; LEP, lepidic predominant; ACN, acinar predominant; PAP, papillary predominant; MP, micropapillary predominant; SLD, solid predominant; IMA, invasive mucinous adenocarcinoma.

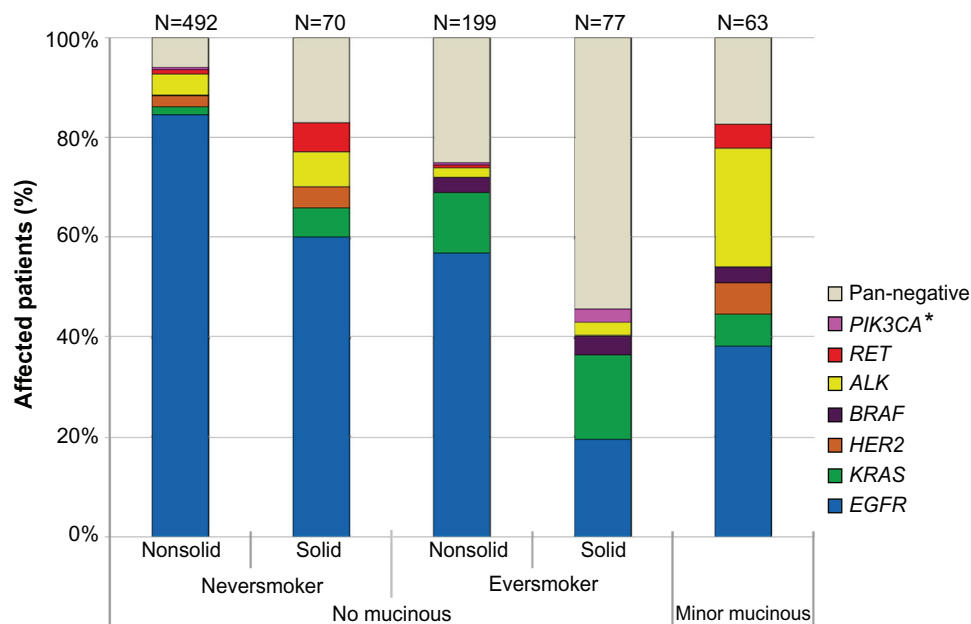
Interestingly, IMA was found to have a significantly lower prevalence of *EGFR* mutations but a higher prevalence of *KRAS*, *HER2*, and *ALK* mutations than invasive adenocarcinoma ( $P<0.001$ ,  $P<0.001$ ,  $P=0.003$ , and  $P=0.003$ , respectively). The difference was significant even when compared with MIA ( $P<0.001$ ,  $P=0.001$ ,  $P=0.656$ , and  $P=0.049$ , respectively) or LEP invasive adenocarcinoma ( $P<0.001$ ,  $P<0.001$ ,  $P=0.030$ , and  $P=0.007$ , respectively).

For 901 invasive adenocarcinomas, the prevalence of *EGFR* mutants ( $P=0.404$ ) and pan-negative samples ( $P=0.995$ ) was relatively equal among the LEP, ACN, PAP, and MP patterns. However, SLD patterns had a significantly lower *EGFR* mutation frequency ( $P<0.001$ ) and a higher pan-negative frequency ( $P<0.001$ ) than non-SLD patterns. Table S1 summarizes the correlation between driver mutations and clinical and pathological characteristics. Univariate analysis revealed a significant association of *KRAS* mutations with men ( $P<0.001$ ), smokers ( $P<0.001$ ), and SLD pattern adenocarcinomas ( $P<0.001$ ), and the tendency for the *ALK* fusions was significantly associated with invasive adenocarcinomas with a minor mucinous component ( $P<0.001$ ). Multivariate analysis (Table S2) confirmed smoking status

and SLD pattern as independent factors predicting fewer *EGFR* mutants and more pan-negative tumors. The pan-negative tumors were also independently associated with older age ( $>60$  years), although it was not significant in the univariate analysis, while *EGFR* mutant tumors were also independently correlated with the absence of a mucinous component. Characteristics of one colloid, two enteric, and four stage III–IV adenocarcinomas with LEP pattern are listed in Table S3.

**Mucinous component and smoking status indicate mutational test priority**

Considering the predominant prevalence of *EGFR* mutations in this Chinese cohort, independent factors, including a minor mucinous component, smoking status, and SLD pattern were used to investigate a practical mutational test strategy in invasive adenocarcinomas. As demonstrated in Figure 2, the frequency of *EGFR* mutations decreased and that of pan-negative tumors increased in smokers and in patients with SLD adenocarcinoma. The *KRAS* mutations were more common in smokers without a mucinous component, and the *ALK* mutations were more common in invasive adenocarcinomas



**Figure 2** Driver mutation spectrum of 901 invasive adenocarcinomas, according to presence of minor mucinous component, smoking status, and solid predominant pattern.  
**Note:** \*Indicates samples harboring the *PIK3CA* mutation without overlap with other driver mutations.

with a minor mucinous component. *EGFR* remains the major genetic subtype in either subgroup.

## Impact of genetic and morphological classifications on prognosis

The survival data of eight patients with preinvasive lesions or MIAs, 478 patients with stage I–IIIA invasive adenocarcinoma, and 17 patients with stage I–IIIA IMA were collected for RFS and OS analyses. Of these, 277 received adjuvant chemotherapy, with 266 (96.0%) treated with platinum-based doublets and eleven (4.0%) with a single regimen. No patient received TKIs as adjuvant chemotherapy. The median follow-up time was 19.0 months.

As listed in Table S4, the sex, smoking status, pathological stage, adjuvant chemotherapy, and histological pattern group were significantly associated with RFS, while the pathological stage, adjuvant chemotherapy, pattern group, and *EGFR* mutations were significantly associated with OS. As shown in Table 2, the pathological stage and histological pattern group remained the only independent predictors of RFS, and the pathologic stage was the only independent predictor of OS in the multivariate analysis.

None of the eight patients with preinvasive lesions or MIA had disease recurrence or death during follow-up. Predominant histological pattern and pattern group were significantly associated with RFS ( $P < 0.001$  and  $P < 0.001$ , respectively) and OS ( $P = 0.055$  and  $P = 0.018$ , respectively).

**Table 2** Multivariate survival analysis for RFS and OS

	RFS (All, N=478)			RFS (EGFR WT, N=165)			OS (All, N=478)			OS (EGFR WT, N=165)		
	HR	95% CI	P-value	HR	95% CI	P-value	HR	95% CI	P-value	HR	95% CI	P-value
Age ( $\geq 60$ versus $< 60$ )	1.02	0.75–1.40	0.891	0.99	0.59–1.65	0.956	1.47	0.91–2.38	0.119	1.86	0.91–3.77	0.087
Sex (female versus male)	1.00	0.62–1.62	0.993	0.78	0.36–1.69	0.528	0.74	0.38–1.46	0.389	0.68	0.26–1.74	0.416
Smoking (ever versus never)	1.42	0.87–2.32	0.166	1.01	0.49–2.05	0.988	0.87	0.44–1.72	0.687	0.57	0.24–1.37	0.209
Pathologic stage	1.47	1.29–1.67	<b>&lt;0.001</b>	1.58	1.30–1.91	<b>&lt;0.001</b>	1.39	1.13–1.70	<b>0.002</b>	1.43	1.08–1.91	<b>0.013</b>
Pattern group	1.72	1.26–2.33	<b>0.001</b>	1.56	0.95–2.54	0.077	1.22	0.76–1.96	0.406	1.30	0.67–2.54	0.435
Adjuvant CTX (with versus without)	0.85	0.54–1.33	0.477	0.53	0.28–0.99	<b>0.048</b>	1.79	0.87–3.68	0.111	1.21	0.5–2.95	0.668
EGFR mutation (MT versus WT)	1.25	0.88–1.79	0.209	–	–	–	0.67	0.40–1.13	0.133	–	–	–

**Note:** P-values less than 0.05 are shown in bold.

**Abbreviations:** RFS, relapse-free survival; OS, overall survival; HR, hazard ratio; CI, confidence interval; CTX, chemotherapy; MT, mutant; WT, wild type.



Multivariate analysis confirmed the pattern group as an independent predictor for RFS ( $P=0.001$ ) but not for OS ( $P=0.406$ ). The group 1 (LEP) patients had the most favorable outcome, followed by group 2 (CAN and PAP), and by group 3 (SLD and MP) (Figure S3). Patients with IMA had a moderate-to-poor prognosis that could not be differentiated from group 2 or group 3 (Figure S3).

Generally, driver mutations had no impact on RFS ( $P=0.290$ ) or OS ( $P=0.160$ ) for invasive adenocarcinoma. However, there was a trend toward a poorer prognosis for patients harboring *HER2*, *BRAF*, or *ALK* mutations versus those with *EGFR* mutations, and the difference in OS between patients with *EGFR* and *HER2* or *KRAS* mutants was statistically significant (Figure S4).

We further investigated whether genetic classification had an impact on survival when it was combined with morphological classification. In the subgroup analysis for patients with stage IIIA tumors (Figure 3), the pattern group 2 (ACN and PAP) tumors harboring *KRAS/HER2/BRAF* mutations conferred significantly poorer RFS than group 2 and even group 3 (SLD and MP) tumors that did not harbor any *KRAS/HER2/BRAF* mutations. However, there was no significant difference between *KRAS/HER2/BRAF* mutants and the wild-type tumors in group 3 patients. Although the comparison of the OS did not show any statistical significance, a similar trend suggested that the combination of genetic and morphological classification might define a distinct prognostic subgroup.

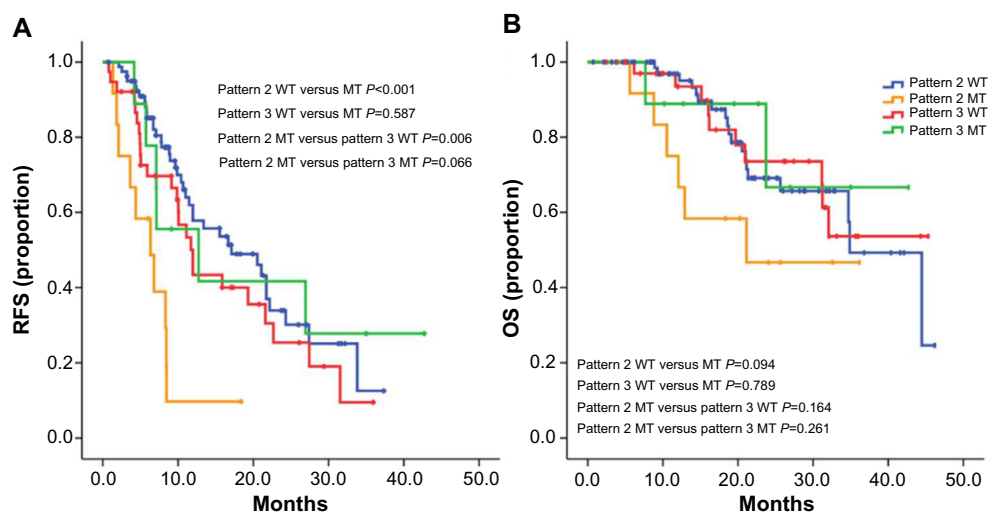
We also found that in the subcohort of patients harboring a wild-type *EGFR* gene, the histological pattern group was

no longer an independent predictor of RFS, but the adjuvant chemotherapy was (Table 2), suggesting that genetic factors might modify the impact of morphological classification on prognosis.

## Discussion

The diverse responses and/or prognoses of patients reinforce that interindividual variation exists, and that specialized treatment is required. Recurrent kinase mutation analysis provides a genetic approach to scale these variations, according to the patients' potential responses to targeted therapy. The novel IASLC/ATS/ERS classification system provides a morphological predictor of prognosis, and possibly, of therapy response. Therefore, the integration of these two classifications might help to combine both kinds of information, potentially extending our understanding of lung adenocarcinoma. Although detected in several small set studies, the correlation between these two classification systems is still far from clear and their common impact on prognosis remains unknown. To the best of our knowledge, this is the largest scale study that used a comprehensive approach to investigate the correlation between the IASLC/ATS/ERS classification and the driver mutations and to evaluate their combined impact on prognosis.

The distribution of driver mutations partially correlated with the novel IASLC/ATS/ERS classification system. The MIA had a higher *EGFR* mutation frequency than invasive adenocarcinoma and IMA. For invasive adenocarcinoma, LEP had the largest *EGFR* mutation frequency followed by



**Figure 3** RFS and OS of stage IIIA patients who received adjuvant chemotherapy.

**Notes:** RFS (**A**) and OS (**B**) of stage IIIA patients who received adjuvant chemotherapy. MT, indicative of patients harboring either of *HER2*, *KRAS*, or *BRAF* mutations. WT, indicative of patients harboring wild-type *HER2*, *KRAS*, and *BRAF* genes. Pattern group 2 includes acinar and papillary predominant patterns. Pattern group 3 includes solid and micropapillary predominant patterns.

**Abbreviations:** RFS, relapse-free survival; OS, overall survival; MT, mutant; WT, wild type.

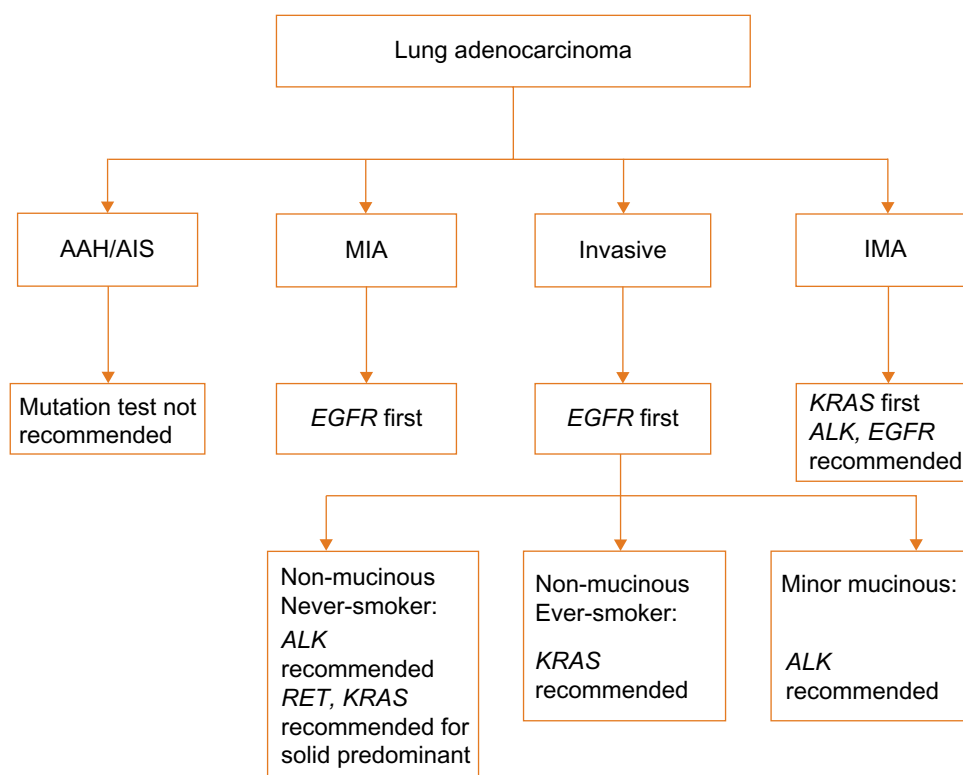
PAP, ACN, MP, and SLD. SLD was an independent predictor of *KRAS* and *RET* mutations, and the existence of a minor mucinous component was independently associated with a relatively high prevalence of *HER2* and *ALK* mutations. Either SLD or a mucinous component indicated a reduced chance of harboring a mutant *EGFR* gene. However, no morphological characteristics could identify a specific genetic subtype, suggesting that genetic heterogeneity remains a morphological scale.

One interesting finding in this study cohort was that pre-invasive lesions (AAH/AIS) had a relatively lower *EGFR* mutation frequency but had a higher frequency of *HER2* and *BRAF* mutations. This finding greatly differs from the report by Yoshizawa et al, in which more than 80% of AIS patients harbored an *EGFR* mutation.<sup>21</sup> In addition, Sakamoto et al reported that AAH had a higher frequency of *KRAS* mutation (33%), which was low in AIS (12%) and MIA (8%).<sup>30</sup> Therefore, the mechanism behind the carcinogenesis driven by the mutant kinases and the pathological pathway underlying this process still warrant further investigation.

One of the great developments of the novel IASLC/ATS/ERS classification system is the replacement of previous bronchioloalveolar carcinoma with MIA, LEP, and IMA.<sup>14</sup>

Earlier studies showed the association of the bronchioloalveolar carcinoma subtype with *EGFR* mutations.<sup>31</sup> Given this novel morphological insight, we found that IMA was associated with fewer *EGFR* mutations and more *KRAS*, *HER2*, and *ALK* mutations, indicating a different genetic background in this group of tumors. Survival analysis also revealed a poorer RFS and OS for patients with IMA than for patients with MIA or LEP. These data support the separation of IMA from the old bronchioloalveolar carcinoma classification.

The National Comprehensive Cancer Network guidelines recommend that biomarkers including *EGFR* and *ALK* should be initially tested for advanced nonsquamous non-small-cell lung cancer.<sup>32</sup> While the molecular testing guidelines by the College of American Pathologists, the IASLC, and the Association for Molecular Pathology<sup>33</sup> suggest that the laboratories may implement testing algorithms to enhance the efficiency of molecular testing of lung adenocarcinomas. When incorporated with the novel IASLC/ATS/ERS classification, we may propose an efficient mutation test algorithm for Chinese or East Asian patients (Figure 4). Patients with AAH/AIS showing a favorable prognosis might not need a mutational test, and patients with MIA should undergo *EGFR* testing first, owing to its



**Figure 4** Proposed mutation analysis strategy based on IASLC/ATS/ERS classification.

**Abbreviations:** IASLC, International Association for the Study of Lung Cancer; ATS, American Thoracic Society; ERS, European Respiratory Society; AAH, atypical adenomatous hyperplasia; AIS, adenocarcinoma in situ; MIA, minimally invasive adenocarcinoma; IMA, invasive mucinous adenocarcinoma.

predominant prevalence. As a mucinous component and smoking were found to harbor diverse mutation spectrums in invasive adenocarcinoma, tumors with a mucinous component are recommended to receive *ALK* testing with or after testing for *EGFR* mutations, and patients who are smokers are recommended to be screened for the *KRAS* mutations with or after screening for *EGFR* mutations. IMA had a unique mutation distribution; therefore, this group of patients is recommended to undergo *KRAS* testing first, followed by *ALK* and *EGFR* mutation detection. Given that more and more oncogenes, including *KRAS*<sup>34</sup> and *RET*, are targetable (ie, cabozantinib),<sup>35</sup> this testing strategy might not only facilitate laboratory work flow but also the physicians' decision-making on target therapy.

The novel IASLC/ATS/ERS classification is excellent for outcome prediction; patients with preinvasive lesions and MIAs had no recurrence or death during follow-up. For invasive adenocarcinoma, a previous study showed that pattern group was an independent predictor for both disease-free survival and OS;<sup>17</sup> however, in this study cohort, we only validated the pattern group as an independent predictor of RFS, but not for OS. Potential reasons for this discrepancy might be the relatively short period of follow-up in our study, and that only patients with stage I–IIIA tumors were included in the survival analysis in our study to achieve more reproducible results in the surgical setting. Genetic classification according to driver mutations generally had no independent impact on the RFS or OS, although a trend toward improved outcomes for *EGFR* mutant tumors, similar to what was observed in previous studies of resected non-small-cell lung cancers,<sup>36</sup> was observed.

The addition of morphological classification by using the IASLC/ATS/ERS criteria increased the discriminative ability for predicting outcome; however, patients were still grouped in several specific patterns (eg, ACN and PAP). Therefore, the necessity to identify further patients with different outcomes is questioned. Kadota et al assessed the expression level of thyroid transcription factor-1 by using immunohistochemical staining to identify patients with early disease recurrence in stage I lung adenocarcinomas.<sup>18</sup> In this study, we found that the *KRAS/HER2/BRAF* mutations identified a distinct subgroup of patients with stage IIIA tumors who showed early recurrence even after they received adjuvant chemotherapy; therefore, more aggressive perioperative treatment of these patients might be warranted. We also revealed that the histological pattern group was not an independent predictor of survival for the subcohort of patients harboring a wild-type *EGFR* gene, suggesting that

the genetic classification might also supersede morphological classification for prognosis prediction.

Although strengthened by the consecutively collected, completely resected samples as well as the large sample size, several limitations of the current study still need to be noted. First, we only considered the predominant histological pattern in our analysis. However, this might not interfere with the result, as previous studies have sufficiently proved that only the predominant pattern plays a role in survival prediction,<sup>17</sup> and there might not be intratumoral heterogeneity for mutation analysis in mixed-subtype tumors.<sup>23</sup> Second, the use of *EGFR* TKIs, radiation therapy data was not included. Therefore, further investigation into whether the patients with an *EGFR* mutant gene have different responses to *EGFR* TKIs of radiation therapy considering their morphological subtype would be of great value.

## Conclusion

This study demonstrated that the novel IASLC/ATS/ERS classification was associated with oncogenic mutations, which further increases our understanding of interindividual variation among lung adenocarcinomas and helps to stratify the mutational analysis strategy in clinical practice. The combination of these two systems provides essential information for specialized treatment, and their combined impact for targeted therapy still requires further investigation. The histological subtype based algorithm is an efficient implement to the CAP/IASLC/AMP molecular testing guideline for East Asian patients.

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## Disclosure

The authors report no conflicts of interest in this work.

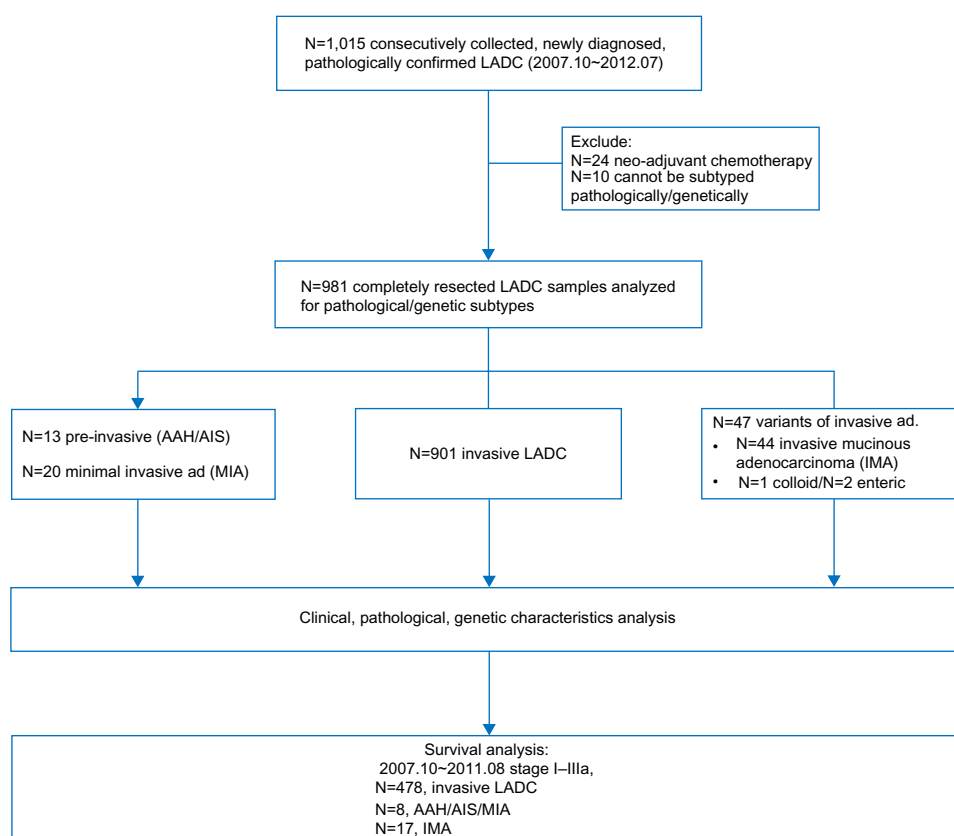
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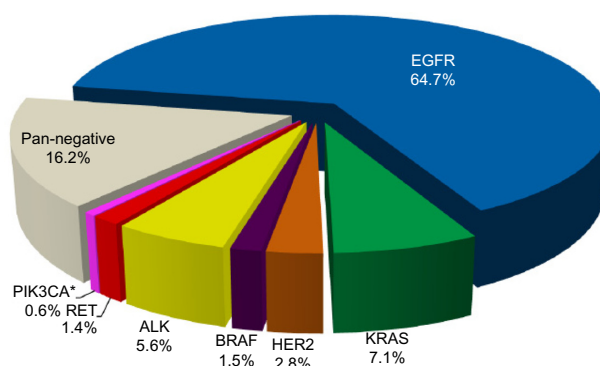
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## Supplementary materials



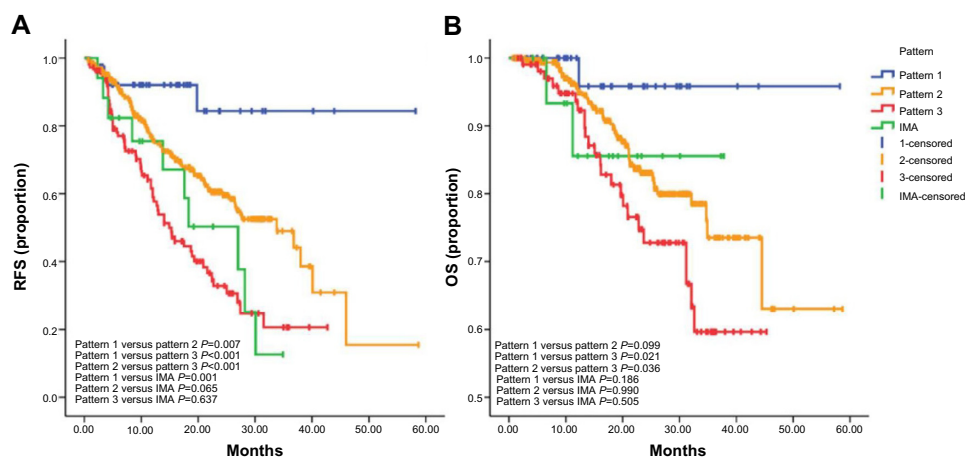
**Figure S1** Flow chart of the study design.

**Abbreviations:** LADC, lung adenocarcinoma; AAH, atypical adenomatous hyperplasia; AIS, adenocarcinoma in situ; MIA, minimally invasive adenocarcinoma; IMA, invasive mucinous adenocarcinoma.



**Figure S2** Overall mutation spectrum of 981 lung adenocarcinomas.

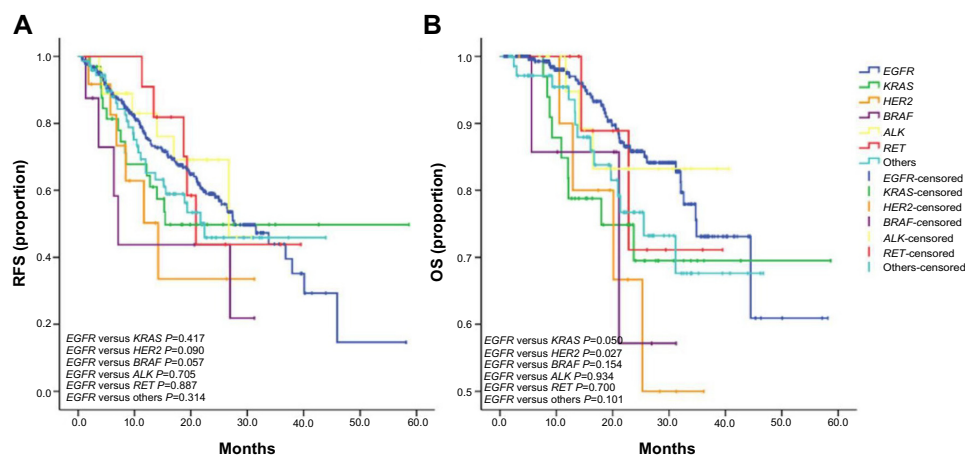
**Note:** \*Indicates samples harboring *PIK3CA* mutation without overlap with other driver mutations.



**Figure S3** RFS and OS of IA and IMA.

**Notes:** RFS (**A**) and OS (**B**) of 478 IA and 17 IMA. Pattern group 1 includes LEP predominant pattern. Pattern group 2 includes acinar and PAP patterns. Pattern group 3 includes solid and MP patterns.

**Abbreviations:** RFS, relapse-free survival; OS, overall survival; IA, invasive adenocarcinomas; IMA, invasive mucinous adenocarcinomas; LEP, lepidic predominant; PAP, papillary predominant; MP, micropapillary predominant.



**Figure S4** IA by driver mutations.

**Notes:** RFS (**A**) and OS (**B**) of 478 invasive adenocarcinomas by driver mutations.

**Abbreviations:** IA, invasive adenocarcinomas; RFS, relapse-free survival; OS, overall survival.

**Table S1** Univariate analysis for correlation between mutations and clinicopathological characteristics in 901 invasive adenocarcinomas

	All			EGFR			KRAS			HER2			BRAF			ALK			RET			PIK3CA*			Pan-negative		
	#	#	%	#	%	P-value	#	%	P-value	#	%	P-value	#	%	P-value	#	%	P-value	#	%	P-value	#	%	P-value	#	%	P-value
Age																											
<60	441	293	66.4			0.470	30	6.8		12	2.7	0.250	5	1.1	0.774	29	6.6	0.072	9	2.0	0.084	14	3.2	0.759	61	13.8	0.071
≥60	460	316	68.7				23	5.0		6	1.3		7	1.5		18	3.9		3	0.7		13	2.8		84	18.3	
Sex																											
Male	404	216	53.5			<0.001	47	11.6		1	0.2	<0.001	9	2.2	<b>0.042</b>	16	4.0	0.126	4	1.0	0.563	15	3.7	0.256	108	26.7	<0.001
Female	497	393	79.1				6	1.2		17	3.4		3	0.6		31	6.2		8	1.6		12	2.4		37	7.4	
Smoke																											
Never	605	475	78.5			<0.001	13	2.1		18	3.0	<0.001	3	0.5	<b>0.003</b>	37	6.1	0.083	10	1.7	0.355	14	2.3	0.086	47	7.8	<0.001
Ever	296	134	45.3				40	13.5		0	0.0		9	3.0		10	3.4		2	0.7		13	4.4		98	33.1	
Pathologic stage																											
I-II	590	414	70.2			<b>0.023</b>	37	6.3		9	1.5	0.494	6	1.0	0.359	29	4.9	0.575	5	0.8	0.122	17	2.9	0.780	87	14.7	0.130
III-IV	311	195	62.7				16	5.1		9	2.9		6	1.9		18	5.8		7	2.3		10	3.2		58	18.6	
Pathologic T stage																											
pT1	477	318	71.1			<b>0.024</b>	29	6.5		8	1.8	0.444	7	1.6	0.576	22	4.9	0.693	10	2.2	<b>0.021</b>	9	2.0	0.117	51	11.4	<0.001
pT2-4	454	291	64.1				24	5.3		10	2.2		5	1.1		25	5.5		2	0.4		18	4.0		94	20.7	
Predominant pattern																											
Nonsolid	738	549	74.4			<0.001	34	4.6		13	1.8	<0.001	9	1.2	0.463	37	5.0	0.560	6	0.8	<b>0.011</b>	20	2.7	0.308	87	11.8	<0.001
Solid	163	60	36.8				19	11.7		5	3.1		3	1.8		10	6.1		6	3.7		7	4.3		58	35.6	
Minor mucinous component																											
Without	838	585	69.8			<0.001	49	5.8		14	1.7	0.782	10	1.2	0.202	32	3.8	<0.001	9	1.1	<b>0.046</b>	25	3.0	0.713	134	16.0	0.759
With	63	24	38.1				4	6.3		4	6.3		2	3.2		15	23.8		3	4.8		2	3.2		11	17.5	

**Notes:** \*PIK3CA overlapped with 17 EGFR, four KRAS, and one RET. P-values less than 0.05 are shown in bold.

**Table S2** Multivariate analysis for correlation with *EGFR* mutation and pan-negative samples in 901 invasive adenocarcinomas

	<i>EGFR</i>			Pan-negative		
	<i>P</i> -value	OR	95% CI	<i>P</i> -value	OR	95% CI
Age ( $\geq 60$ versus $< 60$ )	0.939	1.01	0.74–1.39	<b>0.030</b>	1.56	1.04–2.33
Sex (female versus male)	0.266	1.31	0.81–2.11	0.396	0.75	0.39–1.45
Smoke (ever versus never)	<b>&lt;0.001</b>	0.30	0.18–0.48	<b>&lt;0.001</b>	4.00	2.14–7.48
Pathologic stage (III–IV versus I–II)	0.876	0.97	0.69–1.37	0.691	0.92	0.61–1.39
Pathologic T stage (pT2–4 versus pT1)	0.765	1.05	0.76–1.46	0.055	1.50	0.99–2.28
Predominant pattern (solid versus nonsolid)	<b>&lt;0.001</b>	0.24	0.16–0.36	<b>&lt;0.001</b>	3.20	2.07–4.93
Minor mucinous component (with versus without)	<b>&lt;0.001</b>	0.22	0.12–0.39	0.883	1.06	0.50–2.23

**Note:** *P*-values less than 0.05 are shown in bold.

**Abbreviations:** OR, odds ratio; CI, confidence interval.

**Table S3** List of three variants of IAs and four stage III–IV LEP adenocarcinomas

Patient #	Sex	Age (year)	Smoking (pack-year)	Diameter (cm)	pT	pN	pM	Stage	Mutation	Predominant pattern
1	Female	46	0	4.0	2a	0	0	IB	Pan-negative	Enteric
2	Male	55	30	3.0	2a	1	0	IIB	Pan-negative	Enteric
3	Male	68	35	3.5	2a	0	0	IB	<i>KRAS</i>	Colloid
4	Male	37	0	4.0	2a	2	0	IIIA	<i>EGFR</i>	Lepidic
5	Female	52	0	2.5	4	0	0	IIIA	<i>EGFR</i>	Lepidic
6	Male	34	17	1.2	2a	2	0	IIIA	<i>EGFR</i>	Lepidic
7	Female	48	0	1.0	4	0	1	IV	<i>EGFR</i>	Lepidic

**Abbreviations:** IAs, invasive adenocarcinomas; LEP, lepidic predominant; pT, pathologic tumor stage; pN, pathologic node stage; pM, pathologic metastasis stage.



**Table S4** Survival analysis for RFS and OS in 487 invasive adenocarcinomas

	RFS					OS				
	#	Events	Survival (months)	95% CI	P-value	#	Events	Survival (months)	95% CI	P-value
Age					0.602					0.500
<60	249	93	31.5	27.3–35.7		249	35	45.2	39.1–51.4	
≥60	229	73	30.8	25.9–35.7		229	34	46.9	43.5–50.3	
Sex					<b>0.047</b>					0.063
Male	211	90	29.6	25.2–34.0		211	40	43.8	37.6–50.0	
Female	267	76	32.1	27.1–37.2		267	29	48.0	44.4–51.5	
Smoking					<b>0.007</b>					0.092
Never	317	94	31.8	27.1–36.4		317	38	46.6	42.5–50.6	
Ever	161	72	28.2	23.2–33.2		161	31	46.8	43.2–50.4	
IASLC stage					<b>&lt;0.001</b>					<b>&lt;0.001</b>
IA	153	27	40.1	33.6–46.5		153	6	55.1	52.7–57.6	
IB	96	19	30.8	26.3–35.2		96	7	50.8	46.4–55.3	
IIA	53	21	33.3	25.6–41.0		53	10	46.1	39.3–52.9	
IIB	14	6	20.9	13.4–28.4		14	2	35.4	3.3–46.6	
IIIA	162	93	17.7	15.2–20.2		162	44	33.6	3.8–36.5	
Adjuvant CTX, total					<b>&lt;0.001</b>					<b>&lt;0.001</b>
No	247	53	35.8	3.5–41.2		247	14	53.6	51.3–56.0	
Yes	231	113	26.1	22.4–29.9		231	55	41.4	37.3–45.6	
Adjuvant CTX, stage II–IIIA					0.256					0.827
No	36	19	14.4	11.1–17.6		36	9	31.7	26.7–36.6	
Yes	193	101	23.9	19.9–28.0		193	47	40.6	36.1–45.2	
Pattern group					<b>&lt;0.001</b>					<b>0.018</b>
1	40	4	50.8	43.7–58.0		40	1	56.3	52.6–59.9	
2	330	102	30.9	26.5–35.2		330	44	47.3	43.5–51.1	
3	108	60	19.4	16.2–22.6		108	24	35.3	32.0–38.6	
Minor mucinous component					0.472					0.885
Without	443	155	30.4	26.6–34.1		443	64	47.0	43.9–50.1	
With	35	11	26.7	21.3–32.1		35	5	35.2	31.2–39.3	
Mutations					0.353					225
Pan-negative	73	27	26.0	21.2–30.9		73	14	37.3	33.1–41.6	
Mutant	405	139	30.7	26.9–34.5		405	55	46.9	43.3–50.5	
EGFR					0.185					<b>0.019</b>
Wild-type	165	65	32.8	28.2–37.4		165	34	45.7	41.9–49.5	
Mutant	313	101	29.9	25.8–34.0		313	35	47.3	43.3–51.3	
KRAS					0.529					0.126
Wild-type	445	151	29.5	25.7–33.4		445	60	46.7	43.4–49.9	
Mutant	33	15	33.5	24.4–42.6		33	9	44.8	37.2–52.5	
HER2					0.129					0.081
Wild-type	466	160	30.7	27.0–34.4		466	65	47.1	44.0–50.2	
Mutant	12	6	16.5	9.1–23.9		12	4	27.3	2.8–33.9	
BRAF					0.077					0.321
Wild-type	470	161	30.8	27.1–34.5		470	67	46.9	43.8–50.0	
Mutant	8	5	15.4	6.3–24.5		8	2	24.7	17.3–32.1	
ALK					0.478					0.699
Wild-type	451	159	30.4	26.7–34.1		451	66	46.7	43.5–49.8	
Mutant	27	7	23.5	19.2–27.8		27	3	36.2	31.6–40.8	
RET					0.756					0.974
Wild-type	467	161	30.4	26.7–34.1		467	67	46.8	43.7–49.9	
Mutant	11	5	27.1	19.5–34.6		11	2	33.8	26.9–40.6	
PIK3CA					0.438					0.604
Wild-type	461	161	30.3	26.6–34.0		461	67	46.7	43.6–49.8	
Mutant	17	5	24.2	18.4–29.9		17	2	39.8	34.1–45.5	

**Note:** P-values less than 0.05 are shown in bold.

**Abbreviations:** RFS, relapse-free survival; OS, overall survival; IASLC, International Association for the Study of Lung Cancer; CTX, chemotherapy; CI, confidence interval.

**Table S5** Categories of EGFR mutations

	n	%
Sensitizing mutations alone		
G719X	9	0.9%
G719X, deletion	1	0.1%
G719X, L861Q	2	0.2%
Deletion	272	27.7%
L858R	278	28.3%
L861Q	7	0.7%
Resistance mutations		
S768I	2	0.2%
S768I, exon 20 other (insertion)	4	0.4%
Exon 20 other (insertion)	31	3.2%
Combination of sensitizing and resistance mutations		
G719X, T790M	1	0.1%
G719X, S768I	5	0.5%
Deletion, T790M	4	0.4%
Deletion, exon 20 other (insertion)	1	0.1%
T790M, L858R	5	0.5%
S768I, L858R	4	0.4%
L858R, exon 20 other (insertion)	3	0.3%
L861Q, exon 20 other (insertion)	1	0.1%
Others		
E709_T710>D	4	0.4%
Exon 19 insertion	1	0.1%
Negative	346	35.3%

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