A long-term, complex, unitary appraisal regarding neurorestorative, including neurorehabilitative, outcomes in patients treated with Cerebrolysin®, following traumatic brain injury

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Background: Neuroprotection is a modern therapeutic concept that has some useful outcomes discussed in the literature, including for traumatic brain injury (TBI).

Scope and study design: This was a retrospective case-control study that was approved by the bioethics commission of the Bagdasar-Arseni Teaching Emergency Hospital, Bucharest, Romania. The aim of the study was to comparatively assess neurorestorative, including neurorehabilitative, outcomes obtained with or without Cerebrolysin®.

Materials and methods: Nineteen cases treated with Cerebrolysin versus 28 who did not receive this drug were included in this study. All cases had a subacute or post-acute status after TBI and were hospitalized (only at their first admission) between January 2005 and December 2010 in the hospital’s NeuroRehabilitation Clinic Division. Epidemiological, clinical, paraclinical, and functional parameters were evaluated, using the: Functional Independence Measure (FIM™), Glasgow Outcome Score (GOS), and Modified Rankin Scale.

Results: Patients in the Cerebrolysin group had, on average, higher (although not statistically significant) FIM evolution values (36.53) than the control group (29.64) (P=0.174, 95% confidence interval: −8.0 to 21.8). The effect size assessed on the GOS was 2.1%. Additionally, the mean FIM value at admission of the Cerebrolysin group (45.79) was lower than that of controls (61.50; P=0.076).

Discussion and conclusion: The clinical/functional evolution, comparatively evaluated in the studied inpatients, and taking into account the small sample and effect sizes – including for GOS – suggest that Cerebrolysin, correctly indicated and administered, may perhaps contribute to some improvement of post-TBI patients’ overall neurorestorative/rehabilitative outcomes; this given the short period (approximately 1 month) over which the medicine’s action was evaluated, the lower FIM mean value at admission in the Cerebrolysin group, and respectively that, for severe central nervous system lesions – including after TBI – and consequent conditions, it cannot yet be concluded that any therapeutic approaches, such as Cerebrolysin, can significantly improve post-injury outcomes.

Keywords: neuroprotection, Functional Independence Measure (FIM), brain trauma

Background
Brain and spinal cord injuries (traumatic or nontraumatic) generally entail lesions of the central nervous system (CNS), which, especially when severe, may result in impairments that can vary in severity and duration. These impairments may affect motor/neural muscles (tone decrease and/or trophicity); coordination; balance; sphincter control; and sensations. For traumatic brain injury (TBI) in particular, sensory, cognitive/
consciousness, communication, and behavioral impairments can also occur, often with an altered general state.

The major lesions/pathophysiological conditions following primary injuries and involved in the development of secondary brain damage are currently considered to be the following:

1. hemorrhage (extradural, subdural, subarachnoid, intracerebral, and intraventricular);
2. brain tissue swelling;
3. reduced local/regional blood flow leading to ischemia;
4. possible infection.¹

It should be noted that intracranial pressure (especially that over 40 mmHg, or persistent intracranial pressure values above 20 mmHg)² aggravates TBI severity – leading to cerebral mass herniation – and, especially, brain tissue swelling and ischemia, with consequent hydrocephalus and hypoxia,³ respectively. The points listed above correspond, at cellular and subcellular levels, to what modern research has identified as a series of events that lead, cyclically, to secondary injuries. These events include the following: “impact depolarization” – effusion from the disrupted cells of the potassium ions and of the neurotransmitter glutamate – resulting in early and very dangerous excitotoxicity;⁴ failure of cellular energy metabolism, interconditioned with local intense generation of reactive oxygen species/oxidative stress⁵ (including with the detrimental role of bleeding/iron)⁶ followed by biological membranes' lipid peroxidation, DNA and protein damage/misfolding, immune shifts/inflammatory processes/acidosis, global and/or focal multifactorial ischemia, ischemic penumbra and alteration of regional microcirculation, ionic disturbances (leading to cell swelling, including massive edema – due to sudden osmology, and cell-induced necrosis), with added excitotoxicity (excess influx of intracellular calcium ions), related activation of apoptotic genes, and, thus, of different pathways of delayed mechanisms of cell death: apoptosis and apoptosis-like processes.⁷⁻¹¹

Thereby, the cascade of secondary events entails an extremely complex and extended reaction, from a gene level to a macroscopic/clinical level. Therefore, the concept of secondary CNS (including brain) injuries has become the basis for developing an array of neuroprotective modern therapies in traumatic, ischemic, and degenerative injuries of the CNS (including both the brain and the spinal cord).

Based on a deeper and more comprehensive knowledge of detailed neural functioning, it is considered that the approaches most likely to lead to positive results are those that would best merge with the continuous activity of the endogenous defense system; this system acts continuously in the nervous system, simultaneously performing and integrating neurobiological processes of neurotrophicity, neuroprotection, neuroplasticity, neurogenesis and synaptogenesis.¹²

### Historical and general data

In 1986, Rita Levi-Montalcini and Stanley Cohen received the Nobel Prize for discovering nerve growth factor (NGF) and epidermal growth factor, respectively.¹³ Since that time, many other neurotrophic factors have been identified; they are naturally synthesized polypeptides. Their activity is crucial for nervous system development and neural cells’ natural survival, including resistance to noxious factors, retaining phenotypes during their lifetime and neurotransmitter production, especially for sympathetic neuron peripheral extensions.¹⁴

The neurotrophic factor concept appeared several decades ago, mainly generated by experimental observations regarding tight relationships between the amount of target tissues and the size of related neuronal populations: NGF, discovered in the 1950s, was the first known molecular proof. This concept basically refers to the feedback-type property of innervated tissues to generate signals for their innervating neurons in order to selectively limit neuronal death, which occurs in the course of development; in fact, it is an application in living organisms of a more general principle for any well-functioning state (including in society/economy – for instance, feasibility studies). Hence, this is a simple and clever way to match the size of neuronal and target cell populations, by conditioning the related neurons’ survival.¹⁴

Neurotrophic factors generally belong to one of three families: neurotrophins; glial cell-line derived neurotrophic factor family ligands; or neuropoietic cytokines – all generally with synergistic mechanisms/additive interactions – partially overlapped.¹⁵

Neurotrophic factors also stimulate neural plasticity and synaptic activity, therefore are important for both learning processes and the nervous system’s ability to spontaneously reorganize and thus clinically adapt (mainly by taking over/substitution) after different injuries, a process that is known as (self-)neurorecovery.¹²

The identification of the neurotrophic factors and their importance has led to new perspectives for the therapy of TBI and neurodegenerative and cerebrovascular diseases. The major disadvantage of these polypeptides is their low rate of penetration through the hemato-neurraxial/blood–brain barrier, due to their relatively high molecular weight.
By its molecular structure, Cerebrolysin® (EVER Neuro Pharma GmbH, Unterach, Austria) has the potential to overcome this biochemical/pharmacodynamic hurdle. Different aspects of Cerebrolysin’s properties have been presented elsewhere.10,16–19

Herein we only reiterate the well-known mechanisms of action by which Cerebrolysin – through its NGF-like activity – protects against neuronal damage, including apoptosis/necrosis, and stimulates neuroplasticity and axonal/dendritic growth. In summary, Cerebrolysin:
- protects against excitotoxicity;
- inhibits the caspase protease pathway and protects against apoptosis;
- inhibits the calpain protease (Ca++-dependent) pathway and protects against apoptosis;
- protects neuronal cytoskeleton elements against degradation;
- prevents free radical formation after cellular insults;
- decreases beta-amyloid production; and
- stimulates neuroplasticity, neurogenesis (axonal and dendritic growth) and synaptogenesis.

Taking into account Cerebrolysin’s main reported effects and the pathways of the secondary injury cascades it targets/counteracts, it has been considered as a pleiotropic drug, but, as it seems also to stimulate neuroplasticity, neurogenesis, and synaptogenesis, it is reasonable to consider that it may have a multimodal mechanism of action.10,16–20

Materials and methods

Scope of the study

The present study aimed to assess neurorestorative, including neurorehabilitative, outcomes obtained in inpatients of the Physical (neuromuscular) and Rehabilitation Medicine/NeuroRehabilitation Clinic Division of the Bagdasar-Arseni Teaching Emergency Hospital between January 2005 and December 2010, were included in the study and divided into two groups. Nineteen patients were treated with Cerebrolysin (five females, 14 males; mean age 36.58 years [median 33.5, standard deviation 15.69, minimum 19, maximum 80]), and there were 28 controls (six females, 22 males; mean age 38.46 years [median 33.5, standard deviation 15.69, minimum 19, maximum 74]).

Inclusion criteria for both groups were:
- inpatients aged over 18 years, diagnosed with varying degrees of TBI (initial stratification according to Glasgow Coma Scale [GCS]:21 severe, moderate, or mild);
- up to 2.5 months since the TBI; and
- first admission to the clinic.

The following concomitant medications were allowed:
- antithrombotic/antiaggregant prophylactic therapy;
- antibiotics for concurrent infections, if necessary;
- spasticity medication, if necessary;
- urinary antiseptics, to prevent urinary infections;
- pain medication, if necessary (nonsteroidal anti-inflammatory drugs, acetaminophen/paracetamol, GABAergic agonists);
- adequate hydroelectrolytic repletion;
- mucolytics, if necessary;
- psychotropic drugs (antidepressants, neuroleptics, sedatives, hypnotics, CNS stimulants), if necessary;
- anticonvulsant drugs (only as prophylactic, post-brain surgery medication);
- antioxidants;
- nootropics, such as pramiracetam; and
- pharmaceutical preparations containing B vitamins and α-lipoic acid.

Thereby, all patients received necessary and appropriate treatment for their conditions and comorbidities, in accordance with current good practice and their therapeutic needs.

Allowed medicines and/or physio-/kinesitherapy procedures (including administration initially – in supra-acute/acute
stages – prior to the admission into our ward) could interfere to some extent with the studied patients’ functional level at baseline and/or with the related outcomes, but, considering that the aforementioned types of drugs and/or minimal physio-/kinesitherapy procedures were used similarly in both groups (as needed – most of them included in the common medical approach after TBI), we consider this to be an acceptable bias/limitation of the study, and one that was unlikely to prevent the observation of Cerebrolysin effectiveness.

All patients in this study followed a rehabilitation program adapted to their neurologic/functional deficits and specific needs. Programs included:

- kinesitherapy;
- use of adapted orthoses and/or other assistive devices;
- prophylaxis and/or care of skin and subcutaneous/hypodermic lesions (pressure sores, surgical wounds, skin/nail infections);
- bladder and bowel management and training, if necessary;
- non-kinesiological physiotherapy, if necessary; and
- psychotherapy, if needed.

Inclusion for the Cerebrolysin-treated group in particular required:

- a TBI requiring multimodal therapy, with patients having poor neuro-motor-psycho-cognitive function.

Inclusion criteria specifically for the control group were:

- a TBI with no indication/not requiring multimodal therapy and/or had contraindication(s) for Cerebrolysin; and
- (temporarily) impossible for such a medicine to be purchased.

Exclusion criteria for both groups were:

- severe, life-threatening comorbidities: heart failure, lung failure, renal failure, liver failure;
- stroke with TBI;
- epilepsy; and
- pregnancy or lactation.

Note that previous cranial/brain surgical intervention(s) did not constitute an exclusion criterion.

All the patients in this study were evaluated individually with the same clinical/paraclinical and functional criteria and scales.

Evaluated parameters

Evaluated parameters were of the following types:

- epidemiological: age, sex distribution, etiology of TBI (traffic accidents, work-related accidents, falls, suicide attempts, diving events, assault, unknown causes), hospitalization duration;
- clinical/evolution signs/symptoms: motor deficit (hemiparesis, tetraparesis, diparesis, monoparesis), headache, memory disorders, confusion, aphasia, intracranial hypertension/dizziness, drowsiness, behavioral changes (agitation), vomiting, anosmia, epistaxis;
- paraclinical: computed tomography (CT) scan pathological findings (contusion, laceration, sub-/epi-/extradural hematoma, subarachnoid/intraventricular hemorrhage, diffuse axonal injury, cerebral edema, hygroma, skull fractures, maxillary ethmoidal hemosinus);
- therapeutic (surgery post-TBI: yes/no; Cerebrolysin [only pregnancy or lactation].

The software used was the SPSS (IBM Corporation, Armonk, NY, USA).

Statistical analysis

In order to perform population distribution comparative analysis, and because of the small group sizes, we used \( \chi^2 \) goodness-of-fit test, median test, \( t \)-tests, and Pearson correlation coefficient. The software used was the SPSS (IBM Corporation, Armonk, NY, USA).
Results

Age at admission

The age distribution of the patients in the two groups at admission is presented in Figure 1.

The mean age at admission for the entire sample was 37.6 years (standard deviation 16.9), with a median of 33. The two groups did not significantly differ by age ($P=0.859$ [median test]; $P=0.715$ [t-test]).

Sex distribution

There were five females and 14 males in the Cerebrolysin-treated group and six females and 22 males in the control group.

TBI etiology

In both groups, the main cause of TBI was car crash (eleven cases in the Cerebrolysin group and 17 in the control group).

Hospitalization duration

Hospitalization duration was longer in the Cerebrolysin group (32.95 days) than in the control group (25.29 days), due to the overall greater severity of the cases in the former group, but this difference was not significant ($P=0.154$ [t-test]). Pearson coefficient between hospitalization duration and aFIM value in the Cerebrolysin group was $-0.63$, and, in the control group $-0.42$, showing relatively high correlation.

Signs and symptoms

The most frequent clinical signs and symptoms in both groups were: motor deficit (hemiparesis was prevalent in both groups, with eleven cases in the Cerebrolysin group and 19 in the control group); headache (six cases in Cerebrolysin, eight in controls); memory disorders (four cases in each group); and confusion (six cases in Cerebrolysin, three in controls).

CT scan pathological findings

The most frequent types of lesions found in CT examinations were contusion (nine cases in the Cerebrolysin group and 18 in the control group) and laceration (five cases in the Cerebrolysin group and nine in controls). The control group presented more hemorrhagic lesions, mainly subarachnoid hemorrhage (four cases in Cerebrolysin, eight in controls) and subdural hematoma (no cases in Cerebrolysin, nine in controls).

Nine patients in each of the groups had undergone head and/or brain surgery.

FIM scores

The descriptive statistics for aFIM values of patients in both groups are summarized in Table 1.

The distribution of patients in the two groups, according to aFIM values/scores, is presented in Figure 2.

Patients in the control group had, on average, greater FIM values/scores at admission (61.50) than patients in the Cerebrolysin-treated group (45.79). This is supported by an independent samples t-test ($P=0.076$). This result, although not classically significant, was significant when testing at 0.1 level (ie, such a level accounts for a 10% likelihood of random results that could explain the outcomes; for the 0.05 level, this likelihood is half as likely, at one in 20).

Because the design of our study was rigorous, including clinically (in terms of the aforementioned indications for the prescription of Cerebrolysin and inclusion criteria), the two groups differed at baseline in terms of the severity of clinical/functional impairment (objectified by the average aFIM values/scores).

Thus, it can be assumed that the effect sizes we determined might have been larger if, in our comparative appraisal, we had evaluated similar groups in terms of severity and related FIM values/scores at baseline.

The functional evolution of the inpatients was quantified by the following formula:

$$\text{FIM evolution} = d\text{FIM} - a\text{FIM}$$

Larger FIM evolution values corresponded to better improvement in patient status.
Table 1  Functional Independence Measure at admission distribution – descriptive statistics

<table>
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<tr>
<th>Case/control</th>
<th>N</th>
<th>Mean</th>
<th>Standard deviation</th>
<th>Minimum</th>
<th>Median</th>
<th>Maximum</th>
</tr>
</thead>
<tbody>
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<td>Cerebrolysin®</td>
<td>19</td>
<td>45.79</td>
<td>35.16</td>
<td>11</td>
<td>32.0</td>
<td>120</td>
</tr>
<tr>
<td>Control</td>
<td>28</td>
<td>61.50</td>
<td>37.03</td>
<td>11</td>
<td>58.0</td>
<td>126</td>
</tr>
<tr>
<td>Total</td>
<td>47</td>
<td>55.15</td>
<td>36.73</td>
<td>11</td>
<td>46.0</td>
<td>126</td>
</tr>
</tbody>
</table>

The descriptive statistics for FIM evolution values/scores, according to the considered groups, together with the means of aFIM and dFIM values, are summarized in Table 2.

In the Cerebrolysin-treated group, a significant improvement, expressed in FIM mean value of 36.53 points, was registered (paired t-test P-value < 0.001, 95% confidence interval [CI]: 25.4–47.7). Similarly, the control group experienced a significant increase of 29.64 FIM points on average (paired t-test P-value < 0.001, 95% CI: 19.5–37.7).

It is apparent – though not statistically significant – that patients in the control group had, on average, smaller FIM evolution values (29.64) than patients in the Cerebrolysin-treated group (36.53) (P=0.174 [independent samples t-test]).

We consider a gain of 18 points on the FIM scale, in the approximately 1-month period over which we made our unitary evaluation, to be a clinical/functional “satisfactory improvement,” because, as is well known, the FIM scale consists of 18 items, with the possibility of ranking from 1 (“total assist” needed) to 7 (“complete independence – timely, safely”/no disability). More specifically, a completely independent person should score $18 \times 7 = 126$ points; conversely, a patient in a very severe clinical/functional state, needing “total assist” (the subject can achieve less than 25% of a tested task), could be quantified with 18 points. This suggests that every 18-point score increase (including for its means) on the FIM scale should equate to one degree of improvement (in independence, and decrease of dependence, respectively); for example, from level 2 (maximum assistance needed, whereby the patient is placed within the “complete dependence” level) to level 3 (the patient scores within the moderate assistance needed level). 27

The effect size we determined was 6.88 FIM points (95% CI: −8.0 to 21.8); this encompasses the abovementioned clinical/functional “threshold” of 18 FIM points – in its positive benefit-indicating part – and is more than one-third of the abovementioned threshold of value/score gain.

GOS

Based on the initial observed values (day 1) of the GOS, Table 3 shows that most patients scored 3 in GOS_1, which does not allow us to assert either similarity or dissimilarity (χ² goodness-of-fit test asymptotic P-value = 0.469) between the two groups.

GOS_10 values were compared to GOS_1. In order to do the comparison, we first evaluated the GOS difference according to the following formula:

$$\text{GOS difference} = \text{GOS}_10 - \text{GOS}_1$$  (2)

Only two possible GOS difference values were obtained: 0 (meaning stationary state of the patient) and 1 (meaning one degree of improvement).

Two patients from the Cerebrolysin-treated group and four controls showed an increase in GOS degrees (Table 4).

GOS evolution between admission and day 30 was evaluated through the difference between GOS_30 and GOS_1 (Table 5), according to the following formula:

$$\text{GOS evolution} = \text{GOS}_30 - \text{GOS}_1$$  (3)

Only four possible GOS evolution values were obtained: −1 (meaning a one-degree decrease in GOS); 0 (no change); 1 (meaning a one-degree increase); and 2 (meaning a two-degree increase, ie, strong improvement).

When stratification frequencies are considered, it appears that only one patient, from the control group, experienced a
c Participants in the study

Comparing the Cerebrolysin group with the controls, in terms of the FIM evolution mean values/scores (36.53 points versus 29.64 points), the t-test gave the P-value 0.174. On the other hand, the effect size was 6.88 FIM points, with a 95% CI –8.0 to 21.8; this encompasses the clinical/functional “threshold” of 18 FIM points – in the positive/benefit-indicating part of the distribution – and this value is more than one third of the abovementioned “strong improvement” of 15 FIM points. Moreover, the difference was statistically significant (as absolute risk increase) of 12.2%.

One limitation of the study concerns the low number of patients in both groups. This affects the power of the statistical testing: as discussed in the literature, small sample size may hinder achieving significance. More specifically, such reduced values (for example N=20) would not be sufficient quantitatively to certify some “statistical assumptions”, and might detect only very large effects as statistically significant.

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Discussion and conclusion

One limitation of the study concerns the low number of patients in both groups. This affects the power of the statistical testing: as discussed in the literature, small sample size may hinder achieving significance. More specifically, such reduced values (for example N=20) would not be sufficient quantitatively to certify some “statistical assumptions”, and might detect only very large effects as statistically significant.

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Thereby, the clinical/functional evolution, as determined by the comparative analyses in the inpatients we studied, according to the “exploratory (suggestive) findings” presented, allows for the assumption that Cerebrolysin, correctly indicated and administered, might perhaps be useful, according to each specialist’s clinical expertise, in improving the overall neurorestorative/rehabilitative outcome in subacute/post-acute stages after TBI.

We consider this, also because of the rather short duration (only of the first admission: approximately 1 month) on which the respective outcomes were evaluated, given that, in cases like those of post-TBI, the neuroreparative and neurorehabilitative processes usually extend to months or, more likely, years. It too has to be taken into account, the relatively lower aFIM mean value in the Cerebrolysin group than in the controls. As a general additional remark: due to the large complexity of issues associated with severe CNS lesions, including those following TBIs, at present there is no therapeutic approach that can provide a total cure or even lead to major improvement in such cases.

For further considerations and more extended conclusions, larger groups would be necessary in future studies.

Disclosure

The distributor of Cerebrolysin (EVER Neuro Pharma GmbH) administered in this study is an old and constant partner of ours, and contributed to the support of: scientific meetings, training courses, summer schools, participation with accepted papers to congress/conferences/symposia, but they did not contribute in any way, to this study (neither in data collection or processing, nor in the conclusions or editing process). The authors report no other potential conflicts of interest in this work.

References
