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#### ORIGINAL RESEARCH

## Magnetic field enhanced convective diffusion of iron oxide nanoparticles in an osmotically disrupted cell culture model of the blood-brain barrier

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Department of Pharmacology and Therapeutics, University of Manitoba, Winnipeg, Manitoba, Canada; <sup>2</sup>Department of Chemistry and Biochemistry, Kent State University, Kent, OH, USA; 3Department of Physics and Astronomy, <sup>4</sup>Department of Chemistry, University of Manitoba, Winnipeg, Manitoba, Canada; <sup>5</sup>Chemical Physics Interdisciplinary Program, Liquid Crystal Institute, Kent State University, Kent, OH, USA Purpose: The present study examines the use of an external magnetic field in combination with the disruption of tight junctions to enhance the permeability of iron oxide nanoparticles (IONPs) across an in vitro model of the blood-brain barrier (BBB). The feasibility of such an approach, termed magnetic field enhanced convective diffusion (MFECD), along with the effect of IONP surface charge on permeability, was examined.

Methods: The effect of magnetic field on the permeability of positively (aminosilane-coated [AmS]-IONPs) and negatively (N-(trimethoxysilylpropyl)ethylenediaminetriacetate [EDT]-IONPs) charged IONPs was evaluated in confluent monolayers of mouse brain endothelial cells under normal and osmotically disrupted conditions.

**Results:** Neither IONP formulation was permeable across an intact cell monolayer. However, when tight junctions were disrupted using D-mannitol, flux of EDT-IONPs across the bEnd.3 monolayers was 28%, increasing to 44% when a magnetic field was present. In contrast, the permeability of AmS-IONPs after osmotic disruption was less than 5%. The cellular uptake profile of both IONPs was not altered by the presence of mannitol.

**Conclusions:** MFECD improved the permeability of EDT-IONPs through the paracellular route. The MFECD approach favors negatively charged IONPs that have low affinity for the brain endothelial cells and high colloidal stability. This suggests that MFECD may improve IONP-based drug delivery to the brain.

Keywords: drug delivery, iron oxide nanoparticles, permeability, blood-brain barrier, magnetic targeting

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### Introduction

Central nervous system (CNS) drug candidates have the lowest approval rate compared with other drug categories during the drug development process.<sup>1</sup> A principle challenge toward developing CNS drugs is obtaining sufficient permeability across the blood-brain barrier (BBB) to achieve adequate therapeutic concentrations in the brain. The brain capillary endothelial layer is morphologically distinct compared with other vascular beds because it lacks fenestrations, has reduced pinocytic activity, and contains complex tight junctions. In addition to the restricted paracellular diffusion of solutes, the expression of P-glycoprotein, multidrug-resistance protein, breast cancer-resistance protein, and various other drug efflux transporters in brain microvessel endothelial cells limit the transcellular diffusion of a wide variety of solutes and drugs.<sup>3-6</sup> Although it is generally accepted that large molecules have limited BBB permeability, smaller molecules also face restricted BBB permeability, with

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an estimated 95% of traditional small molecule compounds in drug discovery libraries unable to penetrate the BBB in pharmacologically relevant amounts.<sup>7</sup> Therefore, the effective treatment of CNS diseases requires an understanding of both the pharmacological target and the BBB permeability properties of a drug.

The applications of iron oxide nanoparticles (IONPs) as potential drug carriers have been explored actively over the last few years. 8–12 To date, the application of IONPs for imaging and therapeutic interventions of CNS disorders remains a great challenge. Under normal conditions, the permeability of IONPs across the BBB is restricted with little to no detectable penetration of IONPs reported with in vitro and in vivo models. 13,14 Indeed, the majority of studies reporting IONP delivery to the brain have been under conditions in which the BBB is compromised, such as in brain tumor models, where the enhanced permeability and retention effect of the tumor contribute to the accumulation of IONPs. 15,16 However, as the extent of BBB disruption observed in brain tumor models is variable and is dependent on the stage of tumor development, 17 other methods of delivery to the brain are required.

An additional concern with nanoparticles is their distribution in nontarget tissue. Nonspecific uptake of IONPs in the body will lead to systemic distribution and potential toxicity. The reticuloendothelial system in the liver, spleen, and circulating macrophages efficiently eliminates the majority of intravenously administered IONPs, preventing delivery to the target tissue site. One way to circumvent this problem is to modify the surface chemistry of the IONPs, as nanoparticlecell interactions depend on the physiochemical properties of the nanoparticles (NPs). Recently, we have established the biocompatibility and cell accumulation of both positively and negatively charged IONPs in a variety of different CNS relevant cell systems. 18 Although these studies showed a high degree of biocompatibility with all cell types examined, there was a substantial difference in cellular accumulation, with the positively charged IONPs having much greater uptake in brain microvessel endothelial cells compared with the negatively charged IONPs of similar size.<sup>18</sup>

The present study extends these initial observations by evaluating the effect of IONP surface charge on permeability across an in vitro cell culture model of the BBB. It was hypothesized that negatively charged IONPs would favor paracellular diffusion routes, whereas positively charged IONPs would primarily undergo transcellular vesicular transport. Further, it was postulated that although the paracellular route would normally be insufficient to support IONP delivery, transient disruption coupled with an externally applied magnetic field, which we have termed magnetic

field enhanced convective diffusion (MFECD), could be used to enhance BBB penetration.

### Materials and methods

### **Materials**

All chemical reagents were purchased from Sigma Aldrich (St Louis, MO, USA), and cell culture reagents were purchased from Life Technologies Inc. (Thermo Fisher Scientific, Waltham, MA, USA), unless otherwise specified.

# Nanoparticle synthesis and characterization

Iron oxide nanoparticles were prepared under mild conditions at room temperature, as previously described. 19 Aminosilane coating (AmS) of the IONPs was performed by rapidly adding 3-aminopropyltriethoxysilane (17 mmol) to the IONPs in a reaction vessel and stirring continuously overnight at room temperature. The crude product was purified by dialysis with molecular weight cut-off 6000-8000 Spectra/ Por 1 dialysis membrane (Spectrum Labs Inc., Rancho Donminguez, CA, USA). The resulting AmS-IONPs had a magnetite (Fe<sub>2</sub>O<sub>4</sub>) core and aminosilane outer shell with free amine functional groups. The EDT-IONPs were prepared by adding N-(trimethoxysilylpropyl)ethylenediaminetriacetate trisodium salt (EDT, 3 mmol, from a solution concentration of 45% in water; Gelest, Morrisville, PA, USA) directly to a reaction vessel containing IONPs. The mixture was allowed to react overnight with stirring, and the final product was purified by dialysis, as described for AmS-IONPs. Both NPs were filtered through a 0.2 µm nylon filter before experiments.

The IONP size distribution in DI water was determined initially through photon correlation spectroscopy (PCS) at a fixed scattering angle (90°), using a Horiba Nano-Partica SZ-100 series instrument (Horiba Instruments Inc., Irvine, CA, USA). The same instrument allowed for the assessment of particle surface charge (zeta potential) by the measurement of IONP electrophoretic mobilities, using phase analysis light scattering. Further characterization of IONP hydrodynamic size distribution was performed by PCS, using a Photocor Complex (Photocor Instruments Inc., College Park, MD, USA) and allowing for measurements over a range of scattering angles.

### Cell culture

A mouse brain-derived microvessel endothelial cell line, bEnd.3 (American Type Tissue Culture Collection, Manassas, VA, USA), was used as a cell culture model of the BBB. The bEnd.3 cells (passage number 30–50) were cultured in

Dulbecco's Modified Eagle's Medium (DMEM; Hyclone, Logan, UT, USA) supplemented with 10% heat-inactivated fetal bovine serum (Hyclone) and 50 units/mL penicillin and streptomycin (MP Biomedicals, Solon, OH, USA) at 37°C and 5% CO<sub>2</sub>. Cells were expanded in T-75 tissue culture flasks and then passaged and seeded at 2×10<sup>5</sup> cells per well on six well plates and six well inserts for uptake and permeability studies, respectively. Culture medium was changed every 2 days. All experiments were performed on confluent monolayers, typically on day 4 or 5 postseeding.

## Cellular uptake of IONP compositions

Confluent monolayers of bEnd.3 cells grown on six well culture plates (Costar, Lowell, MA, USA) were treated with culture media or culture media with 1.4 M mannitol containing various IONP concentrations (2.5–50 µg/mL Fe). After treatment with IONPs, the cells were placed in a humidified CO<sub>2</sub> incubator maintained at 37°C. After 5 hours, the culture media was removed and the cell monolayers were washed three times with ice-cold phosphate-buffered saline to remove the unbound NPs. Cells were lysed with 500 µL of 0.2 M NaOH, and the IONP content was determined from the Ferrozine assay described previously. 18 Cellular accumulation was examined in both the presence and absence of a static magnetic field. For studies involving a magnetic field, the cells were placed over a platform containing commercial cylindrical rare-earth magnets (Nd-Fe-B, 19 mm diameter, 3 mm height). Under these conditions, the cell monolayer was positioned approximately 1 mm above the magnets (field strength, 0.13 T). Cells with IONPs remained exposed to the magnetic field for the duration of the experiment.

### Permeability studies

Permeability studies were performed on confluent monolayers of bEnd.3 cells grown on semipermeable polycarbonate (PC) membrane inserts (3 µm pore size; 24 mm diameter; Corning Inc., Corning, NY, USA) and maintained with DMEM. Permeability was assessed by adding 1.5 mL of 50 μg/mL of either AmS-IONPs or EDT-IONPs to the apical (luminal) compartment and incubate at 37°C in a CO<sub>2</sub> incubator in the presence and absence of a static magnetic field, as described earlier. Under these conditions, the cell monolayer was positioned approximately 3 mm above the magnets (magnetic field strength, 0.06 T). To determine the integrity of the cell monolayers, 70,000 molecular weight fluorescein-labeled dextran (FDX; 250 µg/mL; Life Technologiess Inc) was also added to the apical compartment of each monolayer at the beginning of the permeability study, and its appearance in the basolateral

compartment was determined using a Biotek Synergy HT plate reader (excitation 488 nm and emission 510 nm; BioTek Instruments Inc., Winooski, VT, USA). At various times, the IONP concentration in the basolateral compartment was determined by the Ferrozine assay. Quantitative assessments of IONP permeability were determined by the fraction of Fe in the basolateral compartment relative to the amount of Fe loaded in the apical compartment. The apparent permeability coefficients P for FDX and IONPs were calculated using the following equation:

$$P = \frac{\Delta Q / \Delta t}{AC_0}$$

Where  $\Delta Q/\Delta t$  is the linear appearance rate of the compounds on the receiver, A is the membrane surface area, and  $C_0$  is the initial concentration in the donor chamber.

In separate studies, permeability of the IONP compositions was examined in bEnd.3 monolayers treated with DMEM containing 1.4 M mannitol to disrupt the tight junctions and reduce monolayer integrity.

### Analytical assay for measuring IONPs

Quantitative determination of IONP content in cell and media samples was performed using the Ferrozine assay.<sup>18</sup> As the Ferrozine assay is an absorbance-based assay for determining ionic iron concentrations, IONPs in the cell lysate and media samples were first solubilized by adding 500 μL concentrated HCl (~12 M) to 500 μL cell lysate or media samples. This mixture was incubated for 1 hour at room temperature with gentle shaking and then neutralized with 500 μL of 12 M NaOH. On neutralization, 120 μL hydroxylamine hydrochloride (2.8 M) in 4 M HCl was added, and the samples were incubated for 60 minutes at room temperature with gentle shaking. After this incubation, 50 µL of 10 M ammonium acetate solution (pH 9.5) and 300 µL of 10 mM ferrozine in 0.1 M ammonium acetate solution was added to each sample. Absorbance was measured at 562 nm, using a Synergy HT plate reader (BioTek). Quantitative assessment of IONP concentration was based on a standard curve prepared using a 1,000 ppm iron atomic absorption standard (Fisher Scientific, Ottawa, ON, Canada). Samples from the cell lysates were normalized for protein content, using a bicinchoninic acid assay protein assay kit (Pierce, Rockford, IL, USA).

## Statistical analysis

All data were expressed as mean ± standard error of the mean (SEM), and all values were obtained from at least three independent experiments. Statistical significance was evaluated

using one-way analysis of variance (ANOVA), followed by post hoc comparison of the means using the Fisher's least-significant difference test.

### **Results**

## Physicochemical characterization of IONPs

Characterization of AmS-IONPs by transmission electron microscopy, X-ray diffraction, thermal gravimetric analysis, and Fourier transform infrared spectroscopy has been recently published.21 Specific data concerning EDT-IONPs can be found in the supplementary information (Figures S1-S4). A schematic drawing of the IONPs used in the present study is provided in Figure 1. The mean hydrodynamic diameters of the AmS-IONPs and EDT-IONPs suspended in water were 25±1 nm and 29±1 nm, respectively, as determined by PCS at a fixed scattering angle (Figure 1). Although the sizes of the IONPs were similar, surface charge characteristics were substantially different, with the AmS-IONP having a positive zeta potential of 21±4 mV compared with -39±3 mV observed with the EDT-IONP composition. As the AmS- and EDT-coated IONPs have effectively the same hydrodynamic size in DI water, the selected coatings provided a platform for analyzing the influence of surface charge in uptake and permeability in bEnd.3 monolayers.

# Permeability studies of AmS-IONPs and EDT-IONPs

Permeability of IONPs across an intact model of the BBB was assessed using confluent monolayers of mouse bEnd.3 cells grown on PC membrane inserts (3  $\mu$ m pore size) in the presence and absence of an external magnetic field. In the absence of IONPs, 2% and 5% of fluorescein-labeled dextran (FDX-70,000) crossed confluent bEnd.3 monolayers at 8- and 24-hour times, respectively (Figure 2A and C). The

resulting permeability coefficients for FDX-70,000 in an intact bEnd.3 cells monolayer (Table 1) are consistent with literature reports.  $^{22}$  Treatment of the monolayers with either AmS-IONPs or EDT-IONPs (50 µg/mL) had no effect on the magnitude of FDX-70,000 permeability, suggesting that neither of the nanoparticle preparations compromised monolayer integrity (Figure 2A and C; Table 1). In the intact cell monolayers, the permeability of AmS-IONPs was minimal, with less than 1% of the AmS-IONPs in the basolateral compartment after 24 hours (Figure 2B). The presence of a magnetic field did not enhance the permeability of the AmS-IONPs in the intact bEnd.3 monolayers. A similar low-permeability profile was observed with EDT-IONPs in either the presence or absence of an external magnetic field (Figure 2D).

Osmotic disruption of the BBB has been extensively studied and is a clinically proven method for enhancing the brain delivery of poorly permeable compounds. As expected, treatment of the cells with 1.4 M mannitol significantly enhanced FDX-70,000 permeability compared with the intact BBB model (Figure 3A and C). However, it should be noted that the apparent permeability coefficients of FDX and both IONP formulations were higher in blank membrane than the disrupted BBB model, suggesting that some cellular resistance to passage of solutes remained even in a disrupted state (Table 1). Under high osmotic conditions, permeability of the positively charged AmS-IONPs was found to be less than 5% in the absence of a magnetic field, and no significant change was observed with the application of a magnetic field (Figure 3B). This was in contrast to the negatively charged EDT-IONPs that displayed a 30% flux after 24 hours (Figure 3D) in the mannitol treatment group. In addition, the application of an external magnetic field further enhanced the permeability of EDT-IONPs in the mannitol-treated monolayers, which significantly exceeded the flux observed with the FDX permeability marker at the 24-hour point (Figure 3C

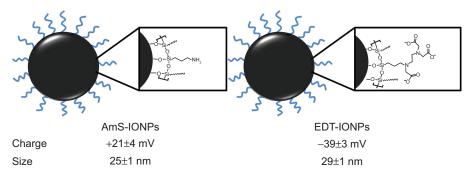


Figure 1 Schematic drawing of the positively charged AmS-IONPs and negatively charged EDT-IONPs and their physical properties.

**Notes:** Measurements were performed in triplicate samples, using a Nanopartica SZ-100 Series Instrument from Horiba (Horiba Instruments Inc., Irvine, CA, USA). Values represent the mean  $\pm$  standard error of the mean (n=3).

Abbreviations: AmS-IONPs, aminosilane-coated iron oxide nanoparticles; EDT-IONPs, N-(trimethoxysilylpropyl)ethylenediaminetriacetate-coated iron oxide nanoparticles.

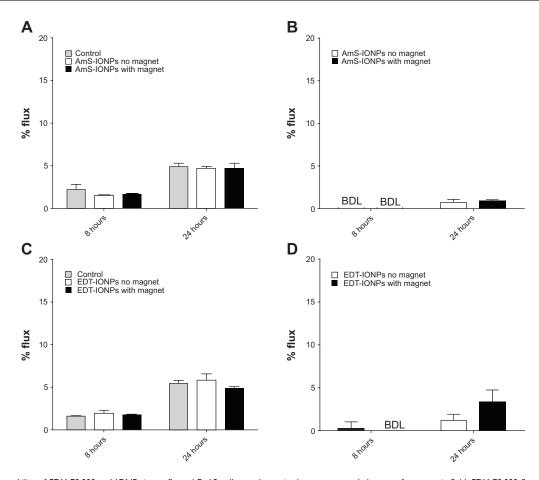


Figure 2 Permeability of FDX-70,000 and IONPs in confluent bEnd.3 cell monolayers in the presence and absence of a magnetic field. FDX-70,000 flux was determined in control monolayers and monolayers treated with AmS-IONPs (**A**) and EDT-IONPs (**C**). The permeability of AmS-IONPs (**B**) and EDT-IONPs (**D**) was determined at 8 and 24 hours.

**Notes:** Quantitative determination of IONP permeability was based on the amount of Fe in a basolateral compartment divided by the amount of Fe loaded in an apical compartment and recorded as a percentage. Values represent the mean ± standard error of the mean of three samples per treatment group.

**Abbreviations:** AmS-IONPs, aminosilane-coated iron oxide nanoparticles; EDT-IONPs, N-(trimethoxysilylpropyl)ethylenediaminetriacetate-coated iron oxide nanoparticles; FDX, fluorescein-labeled dextran; BDL, below detection limit.

and D). These results support our conclusion that MFECD may improve IONP-based drug delivery to the brain.

To examine potential factors that could be responsible for the limited AmS-IONP permeability in the osmotically disrupted BBB model, the permeability of the IONP formulations was determined across blank PC membranes (Figure 4A). Both IONPs showed similar trends, with rapid flux to the basolateral compartment observed within 4 hours of incubation. The AmS-IONPs reached 10% flux at 4 hours and a steady-state permeability of 19% within 24 hours compared with less than 5% permeability in the disrupted cell culture model (Figure 4B). This suggests that

**Table I** Permeability coefficient obtained in blank membrane, intact, and disrupted BBB model for paracellular marker FDX-70,000 MWT, AmS-IONPs, and EDT-IONPs with or without magnet

	Blank membrane	Intact BBB	Disrupted BBB
FDX-70,000 no magnet	11.3±0.1	0.20±0.02	1.25±0.04
FDX-70,000 with magnet	N/A	0.18±0.02	1.11±0.03
AmS-IONPs no magnet	2.4±0.2	0.03±0.01**	0.10±0.04***
AmS-IONPs with magnet	N/A	0.03±0.01**	0.11±0.02***
EDT-IONPs no magnet	11.1±0.3	0.04±0.02**	1.03±0.05
EDT-IONPs with magnet	N/A	0.12±0.04	1.62±0.15***†

Notes: The unit is  $10^{-6}$  cm/second. Values represent the mean  $\pm$  SEM of three samples per treatment group. \*\*\*P<0.01 compared with FDX-70,000; \*\*\*\*P<0.001 compared with FDX-70,000; †P<0.001 compared with same group in the absence of a magnetic field.

**Abbreviations:** AmS, aminosilane; EDT, N-(trimethoxysilylpropyl)ethylenediaminetriacetate; FDX, fluorescein-labeled dextran; IONPs, iron oxide nanoparticles; SEM, standard error of the mean; BBB, blood–brain barrier; MWT, molecular weight.

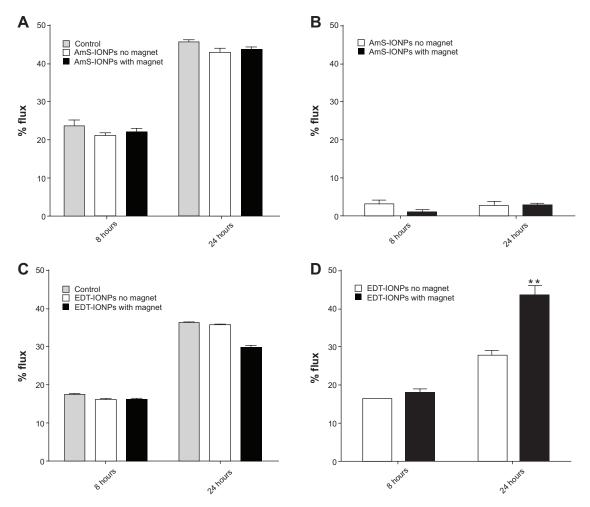


Figure 3 Permeability of fluorescein-labeled dextran-70,000 and IONPs across osmotically disrupted bEnd.3 cell monolayers in the presence and absence of a magnetic field. Fluorescein-labeled dextran-70,000 flux was determined in control monolayers and monolayers treated with AmS-IONPs (**A**) and EDT-IONPs (**C**). The permeability of AmS-IONPs (**B**) and EDT-IONPs (**D**) was determined at 8 and 24 hours.

**Notes:** Quantitative determination of IONP permeability was based on the amount of Fe in a basolateral compartment over the amount of Fe loaded in an apical compartment expressed as a percentage. Values represent the mean  $\pm$  standard error of the mean of three samples per treatment group. \*\*\*P<0.01 compared with the same treatment group but without magnetic field.

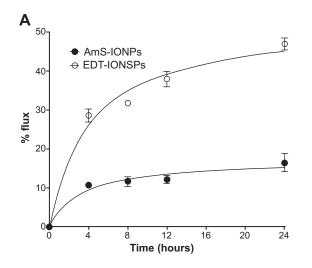
**Abbreviations:** AmS-IONPs, aminosilane-coated iron oxide nanoparticles; EDT-IONPs, N-(trimethoxysilylpropyl)ethylenediaminetriacetate-coated iron oxide nanoparticles; IONPs, iron oxide nanoparticles.

the bEnd.3 cells, regardless of monolayer integrity, act to reduce the permeability of the AmS-IONPs. In contrast, the EDT-IONPs reached 30% flux at 4 hours and continued to increase to 47% flux after 24 hours across the blank PC membranes. Compared with an osmotically disrupted model of the BBB, no significant difference was found in the EDT-IONP permeability (Figure 4B), indicating the cells were not a limiting factor to EDT-IONP penetration in the disrupted model of the BBB.

## IONPs size distribution at physiological pH

To further understand the reduced permeability of the AmS-IONPs across the blank PC membrane, particle size distributions for the AmS- and EDT-coated IONPs were determined by PCS in water (pH 7.8, as measured before particles were dispersed), with the addition of NaOH to

simulate more closely the pH conditions used in the permeability studies. Autocorrelation functions of the AmS- and EDT-IONP suspensions were measured at different times after sample preparation (Figure 5) and showed clearly the formation of AmS-IONP aggregates over time. EDT-IONPs did not show aggregation with time, consistent with a stable suspension. The average aggregate diameter of AmS-IONPs was 300 nm and was observed to vary with scattering angle, indicating nonspherical aggregates.<sup>23</sup> The fraction of the IONP population displaying aggregation was determined from the relative contributions to the scattered light intensity and was observed to increase during the first few hours after sample preparation, after which it remained constant (Figure 6). Similar results were also found in DMEM cell culture media. As PCS measures only particles in suspension, it is likely that the aggregates grew in size until thermal



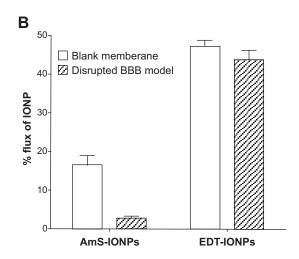


Figure 4 Permeability of aminosilane-coated-iron oxide nanoparticles and N-(trimethoxysilylpropyl)ethylenediaminetriacetate-iron oxide nanoparticles across blank membrane inserts (**A**) and compared with nanoparticle permeability in a disrupted model of the blood–brain barrier (**B**).

Note: Values represent the mean ± standard error of the mean of three samples per treatment group.

**Abbreviations:** AmS-IONPs, aminosilane-coated iron oxide nanoparticles; EDT-IONPs, N-(trimethoxysilylpropyl)ethylenediaminetriacetate-coated iron oxide nanoparticles; IONP, iron oxide nanoparticle; BBB, blood-brain barrier.

fluctuations were insufficient to keep the aggregates in suspension. A collection of several aggregates with average sizes of 300 nm could obstruct the 3  $\mu$ m pores of the PC membrane, leading to the observed reduced permeability of the AmS-IONPs in blank membranes.

# Cellular uptake of AmS-IONPs and EDT-IONPs in bEnd.3 monolayers

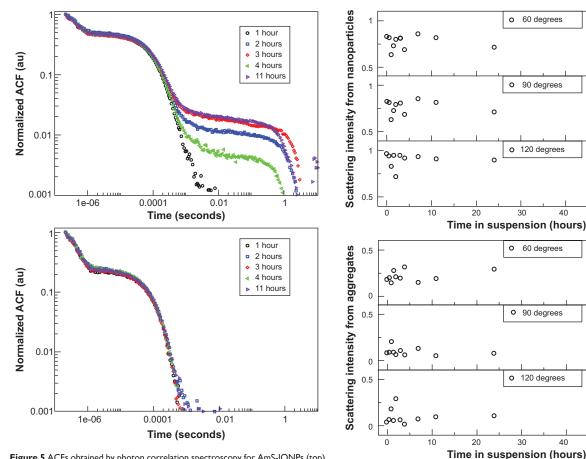
To investigate whether the endocytic transport of IONPs was altered by hyperosmotic conditions, the cellular accumulation of both IONPs in bEnd.3 monolayers was examined (Figure 7). The uptake of AmS-IONPs was found to be concentration-dependent, with a maximum of 30 ng Fe accumulation per microgram protein observed with the 50 μg/mL concentration of AmS-IONPs. Application of a magnetic field enhanced the accumulation of AmS-IONPs in the bEnd.3 cells by five-fold at all concentrations tested (Figure 7). Similar studies performed with the EDT-IONPs resulted in substantially lower magnitude of cellular uptake compared with AmS-IONPs (Figure 7). In contrast to the AmS-IONPs, application of a magnetic field did not enhance the cell accumulation of EDT-IONPs (Figure 7). Furthermore, hyperosmotic media had no significant effect on the cellular accumulation of either the AmS-IONP or the EDT-IONP formulation (Figure 7).

### **Discussion**

The present studies describe the potential application of MFECD as an approach for increasing IONPs delivery to the brain. Although NP-based drug delivery is a rapidly

progressing field, drug delivery applications in the CNS are severely limited because of the inability of most NPs to cross the BBB. Indeed, few publications have demonstrated transport of the NPs across the BBB.<sup>24-26</sup> It has been shown that uncoated, oleic acid-coated, and polyvinylamine-coated IONPs were impermeable across an endothelial cell culture model of the BBB, even in the presence of a magnetic field.<sup>13</sup> In contrast, a size-dependent permeation of polyethylene glycol functionalized (nonmagnetic) gold NPs was reported, using a rat brain microvessel endothelial cell culture model.<sup>27</sup> However, as these previous studies did not examine monolayer integrity with a permeability marker, the permeability observed may be attributable to the intrinsic leakage of the modeling system used.

This study used the magnetic properties of the IONPs to improve permeability through an MFECD process. With this technique, a magnetic field is used to enhance the bulk flow movement of IONPs. Although there was a tendency for increased permeability of the EDT-IONPs across intact bEnd.3 monolayers in the presence of a magnetic field, such effects were not statistically significant with the magnetic field strengths achieved in the present study. This was expected, as tight junctions between the endothelial cells would restrict the bulk flow movement of the IONPs. However, enhancement of IONP permeability with MFECD was observed in the bEnd.3 monolayers after osmotic disruption of the tight junctions, using 1.4 M mannitol. The extent of EDT-IONP permeability with MFECD after hyperosmotic disruption of the tight junctions surpassed that of the FDX-70,000 permeability marker, which has roughly the same size as albumin.



**Figure 5** ACFs obtained by photon correlation spectroscopy for AmS-IONPs (top) and EDT-IONPs (bottom) in water at a scattering angle of 90 degrees.

**Notes:** Symbols indicate time of measurement after suspension. Correlation at larger time scales is indicative of the presence of second, aggregated size distribution, as seen only in the AmS-IONPs.

**Abbreviations:** ACF, autocorrelation function; AmS-IONPs, aminosilane-coated iron oxide nanoparticles; EDT-IONPs, N-(trimethoxysilylpropyl)ethylenediaminetriacetate-coated iron oxide nanoparticles; PCS, photo correlation spectroscopy.

Transient disruption of the BBB has been used to enhance chemotherapy delivery in both preclinical and clinical treatment of brain tumors.<sup>28</sup> Several disruption methods have been developed. These include pharmacological disruption via the administration of compounds such as cadherin peptides<sup>29</sup> and phospholipids,<sup>30</sup> infusion of hyperosmotic agents such as mannitol, 31 and physical disruption via the application of techniques such as ultrasound.<sup>32</sup> Transient opening of the BBB with either osmotic disruption<sup>33</sup> or focused ultrasound<sup>34</sup> has been used previously to increase IONP deposition in the brain. The development of focused ultrasound has attracted much attention as a noninvasive method for BBB disruption. When combined with microbubbles, focused ultrasound causes localized and reversible disruption of the BBB.35 Although the current study used osmotic disruption to remove water from the cell and break the tight junction complexes, MFECD as an approach to increase delivery of IONPs to the brain would work with any transient disruption technique. The key factors to consider in choosing a disruption proto-

**Figure 6** Contributions to the scattered light intensity from AmS-coated nanoparticles (top) and nanoparticle aggregate population (bottom) in water with physiological pH as a function of time from sample preparation.

0

0

0

0

**Notes:** Scattering intensities are reported as the ratio of scattered light from a particular population to the total scattered light intensity. An increase in the relative population of aggregates is observed at small times (<3 hours). The aggregates continue to grow in size past the point of stability and fall out of suspension, no longer contributing to the scattered light intensity.

Abbreviation: AmS, aminosilane.

col are the magnitude and duration of the BBB opening, as prolonged disruption of the BBB can result in pathological conditions such as extravasation of plasma components, edema formation, and neuroinflammation. The present studies provide the initial proof of concept for MFECD as a means to increase IONP delivery to the brain.

Although the present studies demonstrate the utility of MFECD when combined with disruption of the tight junctions, it is important to note that not all IONP formulations benefit equally from MFECD. The IONPs used in the present study possess the same core structure and size, with surface chemistry modifications leading to different charges. As such, the two IONP formulations examined provide an excellent platform from which to examine the effect of surface charge on MFECD of IONPs across the BBB. Our results confirmed that differently charged IONPs do not pass across the intact endothelial cell layer; however, in the osmotic disrupted

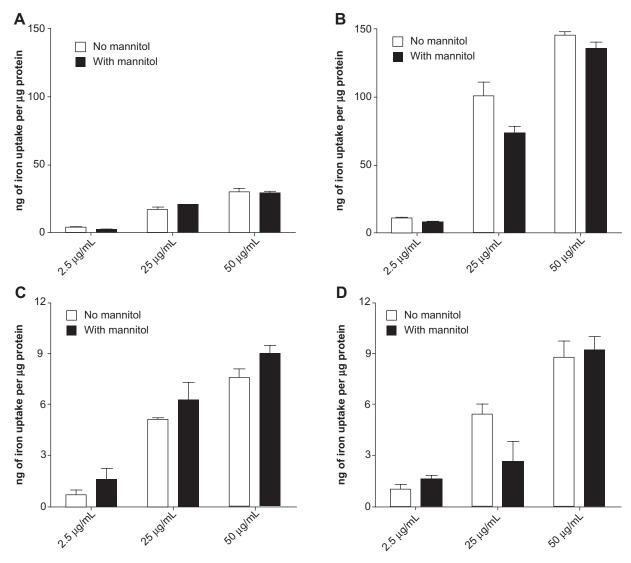


Figure 7 Cell accumulation of AmS-IONPs in the absence (A) and presence (B) of a magnetic field as well as EDT-IONPs uptake in the absence (C) and presence (D) of a magnetic field.

**Notes:** Values represent the mean  $\pm$  standard error of the mean of three samples per treatment group. No significant difference was found between the D-mannitol treated and the control group.

Abbreviations: AmS-IONPs, aminosilane-coated iron oxide nanoparticles; EDT-IONPs, N-(trimethoxysilylpropyl)ethylenediaminetriacetate-coated iron oxide nanoparticles.

model of the BBB, the permeability of EDT-IONPs increased substantially and was further enhanced by the presence of a magnetic field. The observed permeability with the EDT-IONPs in the absence of a magnetic field was comparable to that of the FDX permeability marker. After a 24-hour exposure to a static magnetic field, the EDT-IONP permeability (44%) was substantially greater than that observed with FDX (30%) and was essentially of similar magnitude to that observed in blank membranes without cells. In contrast, AmS-IONPs displayed much lower permeability (less than 5%) in the osmotic disrupted model of the BBB compared with blank membrane permeability (19%). This suggests that even in its disrupted state, the bEnd.3 cells remain a barrier to positively charged AmS-IONPs. The effect of MFECD was most apparent after 24 hours, with the EDT-IONPs having significantly greater

permeability than the FDX permeability marker. Although this study examined the effects of MFECD after a relatively long duration of barrier disruption, it is expected that increasing the magnetic field would allow for MFECD under less-extensive BBB disruption conditions. Indeed, studies examining the influence of magnetic field strength are currently ongoing.

In vivo application of an external magnetic field for targeting of IONPs to the brain is certainly more difficult than the current proof-of-concept studies in vitro. Preclinical studies in mice have used Nd-Fe-B magnets placed either on the outside skull or implanted intracranially to apply a local magnetic field.<sup>36,37</sup> These studies show a greater accumulation of the IONPs in the cortex near the magnet, with progressively lower accumulation in brain tissue farther from the magnetic field. Another group implanted tumor between

the poles of an electromagnet<sup>38,39</sup> and demonstrated at least a five-fold increase in magnetic targeting efficiency compared to non-magnetic targeted ones.<sup>40</sup> Although this is the current state of the art, and has some limitations, it is not out of the question to consider the use of devices for generating "on/off" magnetic fields in discrete brain regions. Such a system would provide even greater application for this technology.

In addition to magnetic targeting, physiochemical properties of IONPs such as surface charge are of great importance to application of MFECD. One reason for this may be the greater cellular uptake profile of the positively charged AmS-IONPs. As reported previously, the negatively charged EDT-IONPs have a lower cellular uptake compared with positively charged AmS-IONPs. Furthermore, the differences in EDT-IONP and AmS-IONP accumulation profiles in the bEnd.3 cells were unaffected by hyperosmotic conditions, suggesting endocytotic activity was not altered by hyperosmotic conditions. These findings, together with the increased permeability of EDT-IONPs in hyperosmotic conditions, suggest the negatively charged IONPs are more likely to favor the paracellular route, and thus are more suitable for applications with MFECD.

An additional consideration for the differences in permeability of the IONPs is the influence of surface charge on colloidal stability. The stability of a colloidal suspension is estimated by the magnitude of the surface (zeta) potential  $(\zeta)$  with  $|\zeta| > 20$  mV corresponding to a well-dispersed suspension.<sup>41</sup> NP surface charge is affected strongly by the properties of the suspension medium (eg, pH, ionic strength, presence of plasma protein). At physiological pH, there is a slight excess of hydroxide ions in solution. The positive surface charge of the AmS-IONPs attracts electrostatically a fraction of the excess hydroxide ions, effectively lowering the zeta potential by means of charge cancellation. It is possible that at physiological pH, there are sufficient counter-ions in solution to reduce the surface charge of the AmS-IONPs below the point of stability, leading to aggregation. This is seen clearly in the PCS results collected for AmS- and EDT-IONPs. As demonstrated in previous studies, the aggregation observed with the AmS-IONP may be further enhanced through interactions (eg, electrostatic and friction) between NPs and the various proteins present in the cell culture media. 42-45 The combination of these effects leads ultimately to the reduced permeability of the AmS-IONPs across the blank PC membrane when compared with the EDT-IONPs. Aggregation of the positively charged AmS-IONPs, along with their high uptake in endothelial cell monolayers making up the BBB, suggest that IONPs with negative surface charge benefit most greatly from MFECD. Our results suggest that to maximize the effectiveness of MFECD on NP permeability, great care must be exercised in the design of NP coating to ensure long-term colloidal stability at physiological pH.

The use of MFECD together with transient disruption of BBB permeability as a method for enhancing IONP delivery to the brain represents a substantial departure from vesicular transport pathways commonly examined. Multifunctional NPs have been developed by grafting BBB targeting moieties, such as Angiopep-2 targeting low-density lipoprotein receptor-related protein receptor and transferin antibodies targeting transferrin receptor, to improve the BBB penetration via receptor-mediated transcytosis. 46,47 In addition to active targeting, adsorptive mediated transcytosis triggered by electrostatic interactions between the positively charged moiety on the NPs and negatively charged cell membrane of nanoparticles has also been evaluated for BBB delivery. 48 Although NP delivery to the brain via receptor-mediated and adsorptive transcytosis has been reported, there are significant hurdles that remain. For example, the physiochemical properties of the particles can be altered by the covalent attachment of ligands resulting in reduced circulation times, which offset the potential benefits of active targeting.<sup>49</sup> Most important, the delivery efficiency via transcytosis as a percentage of the injected dose is rather limited: Only 0.25% of an injected dose of Angiopep-conjugated polyamidoamine dendrimer NPs per gram of brain tissue 2 hours after tail vein injection in Balb/c mice has been reported.<sup>50</sup> Even lower brain delivery efficiency was obtained using NPs coated with a 29-amino-acid peptide derived from the rabies virus glycoprotein 4 hours after intravenous injection in mice.<sup>51</sup> In addition, several in vivo studies have reported low efficiencies for brain delivery, using the transcytosis route. 52,53 Examination of transcytosis in cell culture models of the BBB show relatively low permeability with prion-labeled NPs (ie, 6% flux) and cationic polyethylenimine-coated NPs (ie, 3.4% flux) after 18-hour permeability studies.<sup>54</sup> These permeability rates are consistent with the low permeability observed for the positively charged AmS-IONPs in the present study.

### Conclusion

This proof-of-concept study is concerned with the feasibility of using MFECD to enhance the permeability of charged IONPs for potential brain-targeted drug delivery. These studies show that MFECD, when paired with disruption of tight junctions, can significantly enhance IONP permeability in an in vitro model of the BBB. The effects of MFECD were most apparent with the negatively charged EDT-IONPs,

consistent with enhanced bulk flow permeability of IONPs across transiently disrupted brain microvessel endothelial cells. The MFECD of EDT-IONPs provided higher delivery efficiency compared with either passive or active targeting of BBB vesicular transport processes. The use of MFECD in combination with methods for transient disruption of BBB permeability represents a potential method for enhancing drug delivery to the brain.

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### **Disclosure**

The authors report no conflicts of interest in this work.

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## Supplementary material

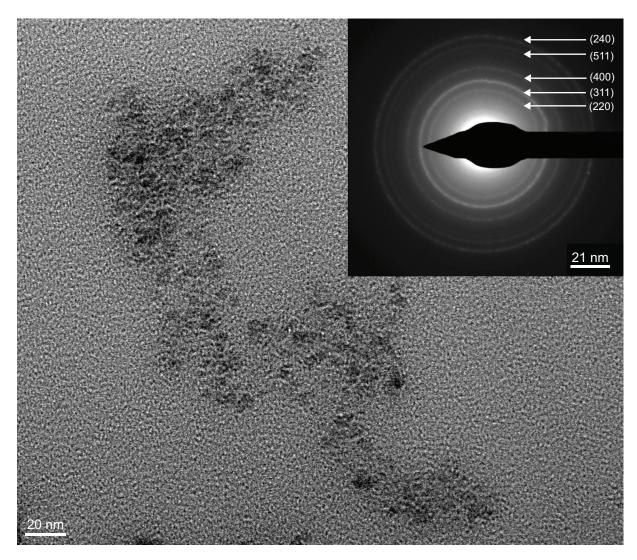
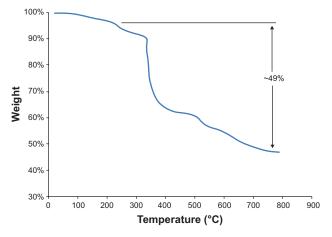
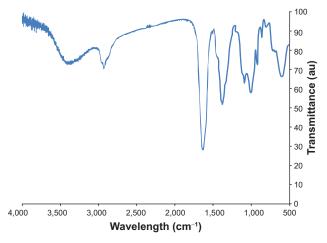


Figure S1 Transmission electron microscopy image of N-(trimethoxysilylpropyl)ethylenediaminetriacetate-iron oxide nanoparticles. Inset shows selected area electron diffraction pattern of these particles.



**Figure S2** Thermal gravimetric analysis plot for N-(trimethoxysilylpropyl)ethylene diaminetriacetate-iron oxide nanoparticles showing approximately 49% weight loss after desorption of water.



**Figure S3** Fourier transform infrared spectroscopy spectrum of N-(trimethoxysilylpropyl)ethylenediaminetriacetate-iron oxide nanoparticles.

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Figure S4 Picture of a dispersion of N-(trimethoxysilylpropyl)ethylenediaminetriacetate-iron oxide nanoparticles in water.

## Nanoparticle characterization

Successful coating of the iron oxide nanoparticles with N-(trimethoxysilylpropyl)ethylenediaminetriacetate (EDT)-silane was demonstrated first with Fourier transform infrared spectroscopy, using a Nicolet Magna-IR Spectrometer 550 (Thermo Fisher Scientific, Waltham, MA, USA). Sample preparation involved pelletizing the particles in KBr. Characteristic peaks at ~2,950 cm<sup>-1</sup> indicate C–H stretching. The strong peak between ~1,550 cm<sup>-1</sup> to ~1,630 cm<sup>-1</sup> is associated with asymmetric COO<sup>-</sup> stretching. The collection of peaks between 1,350–1,450 cm<sup>-1</sup> are associated with symmetrical COO<sup>-</sup> stretching and CH<sub>2</sub>-COO<sup>-</sup> scissoring. Finally, the peaks at ~990 cm<sup>-1</sup> and ~1,100 cm<sup>-1</sup> can be attributed to Si-O-Si and Si-O-R vibrations.

An estimate of the amount of EDT-silane on the surface of the particles was obtained with a TA Instruments (New Castle, DE, USA) Hi-Res TGA 2950. Figure S2 shows the results. Initial weight loss is a result of water desorption, after which the EDT-silane coating begins to burn off at approximately 200°C until the weight loss stabilizes before 800°C. Total weight loss after water desorption is approximately 49%.

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