Rhabdomyosarcoma in adolescent and young adult patients: current perspectives

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Abstract: Rhabdomyosarcoma (RMS), a malignant tumor of mesenchymal origin, is the third most common extracranial malignant solid tumor in children and adolescents. However, in adults, RMS represents <1% of all solid tumor malignancies. The embryonal and alveolar histologic variants are more commonly seen in pediatric patients, while the pleomorphic variant is rare in children and seen more often in adults. Advances in the research of the embryonal and alveolar variants have improved our understanding of certain genes and biologic pathways that are involved in RMS, but much less is known for the other variants. Multimodality therapy that includes surgery and chemotherapy with or without radiation therapy is the mainstay of treatment for RMS. Improvements in the risk stratification of the pediatric patients based on presurgical (primary tumor site, tumor size, regional lymph node involvement, presence of metastasis) and postsurgical parameters (completeness of resection or presence of residual disease or metastasis) has allowed for the treatment assignment of patients in different studies and therapeutic trials, leading to increases in 5-year survival from 25%–70% over the past 40 years. However, for adult patients, in great part due to rarity of the disease and the lack of consensus on optimal treatment, clinical outcome is still poor. Many factors have been implicated for the differing outcomes between pediatric RMS versus adult RMS, such as the lack of standardized treatment protocols for adult RMS patients and the increased prevalence of advanced presentations. Now that there are increased numbers of survivors, we can appreciate the sequelae from therapy in these patients, such as bone growth abnormalities, endocrinopathies, and infertility. Improvements in risk stratification have led to clinical trials using lower doses of chemotherapy or radiation therapy with the intention of decreasing the incidence of side effects without compromising survival outcome.

Keywords: rhabdomyosarcoma, soft-tissue sarcoma, late effects

Introduction
Rhabdomyosarcoma (RMS) is the most common soft tissue sarcoma in children and adolescents, accounting for approximately 5% of all pediatric cancers and about one-half of all soft tissue sarcomas. It is the third most common extracranial solid tumor in children after neuroblastoma and Wilms tumor. Among the population age group between 0–19 years, an estimated 350 new cases of RMS are diagnosed each year in the United States. There is a slight male predominance, and approximately two-thirds of the cases are diagnosed in children younger than 6 years of age. The two most common histologic variants encountered in children and adolescents are the embryonal (ERMS) and alveolar (ARMS) subtypes, while the botryoid and spindle cell variants are also encountered. The ERMS mainly occurs in the head and neck and...
genitourinary regions, and ERMS demonstrates a bimodal age of distribution, with a larger peak between 0–5 years and a smaller peak in adolescence. More than one-half of ERMS cases occur before the age of 5 years.4 Conversely, ARMS is more likely to occur in adolescents.

RMS is infrequent in adults; soft tissue sarcomas represent <1% of all adult solid tumor malignancies, and RMS accounts for only 3% of all soft tissue sarcomas in adults.6 In this patient population, RMS can occur as a primary malignancy, but it can also be a component of a heterogeneous malignancy, such as nongerm cell or teratomatous malignant tumor.6 The histologic distribution of RMS in adults also differs from young children. The pleomorphic subtype and RMS not otherwise specified (RMS NOS) are more frequently encountered in adult patients.7

RMS can arise in a variety of anatomic sites throughout the body. The most common primary tumor sites include the head and neck region (35%), followed by the genitourinary and extremity primaries.8 However, the epidemiology of primary tumor presentation is dependent upon the histologic variant and age. For example, adolescent patients are more likely to have the ARMS compared to younger patients. Also, adult RMS patients tend to have an increased likelihood of primary tumors occurring at unfavorable anatomic sites.7 Given some of the clinical and histologic differences between young children and older patients with RMS, the purpose of this review is to provide an overview of the known biology of RMS, diagnosis, clinical management, and late effects from therapy, while highlighting certain aspects that are relevant to the adolescent and young adult population.

Associated genetic syndromes
Most cases of RMS are currently considered sporadic in origin, but there have been associations of RMS with a number of genetic syndromes. In one study, approximately 30% of children with RMS had a congenital anomaly (most commonly affecting the gastrointestinal system, central nervous system, and genitourinary system), which hints at other potential genetic syndromes that have yet to be described.9 Familial syndromes associated with RMS include: Li–Fraumeni syndrome; neurofibromatosis type 1; Rubinstein–Taybi syndrome; Beckwith–Wiedemann syndrome (overgrowth syndrome with macrosomia, organomegaly, hemihypertrophy, and omphalocele); Costello syndrome (mental retardation, neonatal feeding problems, coarse facies, low birth weight, hirsutism, loose soft skin); Noonan syndrome; hereditary retinoblastoma; and Gorlin basal cell carcinoma nevus syndrome.10–14

RMS is the most frequently observed pediatric cancer in classic Li–Fraumeni cancer syndrome families. Li–Fraumeni syndrome has been linked to germline mutations (de novo or inherited) of the tumor suppressor gene for p53. Family members of afflicted children have an increased frequency of other types of cancers, such as breast cancer, acute leukemia, soft tissue and bone sarcomas, adrenocortical carcinoma, and brain tumors.3

RMS pathology and tumor biology
RMS is recognized as one of the small, round blue cell tumors of childhood, which includes lymphoma, neuroblastoma, Ewing’s sarcoma, and primitive neuroectodermal tumors. The cell of origin for RMS remains a subject of debate. It has been suggested that committed muscle stem cells and also multipotent mesenchymal stem cells can give rise to RMS.15,16

As previously noted, the two major histologic subtypes of RMS in children are ERMS and ARMS. In adults, RMS NOS and pleomorphic RMS are more common. Although they share a commonality of being mesenchymal-derived sarcomas, each subtype likely represents a distinct entity with its own unique biology for formation and possibly with different cells of origin. For example, the expression of the PAX7 gene in ERMS and in the myogenetic satellite cells points to these latter cells as possible cells of origin of this subtype of RMS. Given that the upregulation of PAX7 expression is not seen in cases of ARMS (that have PAX3-FKHR or PAX7-FKHR translocation), this supports the belief that the two histologic subtypes may originate from different cells.17

The development of experimental animal models has significantly contributed to our current understanding of the molecular factors that play a role in RMS genesis. Studies derived from these models have shown chemical and physical (heavy metals, ionizing radiation, polycyclic aromatic hydrocarbons), but also biological (viral proteins, p53 pathway alterations, rat sarcoma [RAS] or hepatocyte growth factor alterations) triggers of RMS.18 These studies have established an association of RMS with other diseases, like muscular dystrophy. This was mainly observed in aged dystrophic mice, suggesting that cumulative deoxyribonucleic acid damage – over time, together with paracrine signals – could direct the muscle cells and their progenitors toward tumor formation.19 Other animal models have also detected “drug-gable” kinases that are overexpressed in both human and mouse.20 Taken together, the use of animal models has not only helped us to understand RMS biology, but it also has
provided an avenue to find new targets for specific histologic subtypes of RMS.

ERMS represents approximately 70% of all childhood RMS. Under light microscopy, ERMS tumor resembles immature skeletal muscle. Almost all ERMS tumors demonstrate loss of heterozygosity (LOH) in region 11p15.5; and chromosomal fragment transfer studies have demonstrated that this region represses tumor cell growth, suggesting the presence of tumor suppressor genes.14 This LOH region includes genes for IGF2, H19, and CDKN1C, all subject to parental imprinting. In ERMS, the LOH results in loss of the maternal IGF2 allele and duplication of the paternal allele, resulting in the overexpression of IGF2.21

Most ARMS tumors have a microscopic appearance that resembles lung alveoli, but a solid variant has also been identified, so that a certain percentage of alveolar pattern is not required for the diagnosis of ARMS.22 Specific chromosomal translocations are detected in 70%–80% of ARMS.23 Translocation t(2;13)(q35;q14) occurs in 60% of ARMS, and translocation t(1;13)(p36;q14) occurs in 20% of ARMS. These translocations result in the expression of chimeric transcription factors PAX3-FKHR (PAX3-FOXO1) or PAX7-FKHR (PAX7-FOXO1), respectively. PAX-FKHR is a pleiotropic fusion protein that: stimulates proliferation; induces angiogenesis; inhibits apoptosis; activates the myogenic program; and inhibits simultaneously terminal differentiation.4 Approximately 20% of ARMS are translocation negative, and – interestingly – fusion-negative ARMS have allelic imbalance and LOH patterns that are indistinguishable from conventional ERMS cases. The clinical behavior and molecular characteristics of ARMS without a fusion gene are indistinguishable from ERMS cases and significantly different from fusion-positive ARMS cases.24,25 Thus, fusion gene status may play a role as a factor in risk stratification in RMS, irrespective of histology.

Other molecular aberrations found in both ARMS and ERMS involve tumor suppressor genes, such as p53 and Rb1. RMS cell lines showed a high frequency of mutations in p53 (up to 60%), but a lower frequency was observed in tumors (up to 30%).14,26–28 Mutations in CDKN2A have been found in all RMS cell lines but only 25% of RMS primary tumors.29 MYCN expression has been found in almost all RMS primary tumors, with higher levels in ARMS.30 Dysregulation of RAS genes has been associated with ERMS.31 Elevated expression of MET has also been associated with more advanced disease.32,33 Recent studies have also demonstrated that elevated messenger RNA levels, and protein expression of ALK have been associated with ARMS and worse clinical outcome.34,35 Even though the histological and genetic characteristics of ARMS and ERMS are different, these two RMS subtypes have a similar phenotype of defective differentiation, which occurs through multiple signaling pathways but involves – in many cases – the myogenic transcription factor MyoD.36

Pleomorphic RMS is more common in adults compared to children. It typically arises in the deep soft tissues of the extremities of patients older than 45 years. It is an aggressive neoplasm that is probably closer, both biologically and clinically, to adult, high-grade soft-tissue sarcomas than to pediatric RMS.37 Unfortunately, there is very little published data regarding the known biologic pathways involved in pleomorphic RMS when compared to the other major subtypes. One animal study was able to demonstrate the development of the pleomorphic RMS tumors in p53-deficient mice when KRAS was overexpressed.38 Another study suggested that ERMS and the undifferentiated pleomorphic sarcoma form a continuum, with mutant p53, Ptc1, or Rb1 in satellite cells, giving rise to undifferentiated sarcoma and ERMS originating from myoblasts that express satellite cells markers.39

**Diagnosis**

Patients with RMS can present with an asymptomatic mass or with signs and symptoms that are associated with the primary tumor site and are related to mass effect or complications that are secondary to the tumor. For example, patients with parameningeal tumors may initially present with cranial nerve palsies, while patients with intra-abdominal tumors may present with bowel obstruction. Pain is not a consistent presenting symptom and usually arises due to mass effect and compression upon adjacent neural structures. For active adolescents and young adults, swelling in certain areas, such as the extremities and the paratesticular site, is often mistaken as a sequelae of perceived musculoskeletal injury, and because there is often no associated pain, the area of question may not be brought to medical attention for some time.

Imaging studies should include computed tomography (CT) scan or magnetic resonance imaging of the primary tumor to determine the size and possible involvement of vital organ structures; these are parameters to be considered when surgical resection is planned. For tumors arising from the head, imaging studies should include full views of the neck to evaluate the cervical lymph node chains. Open biopsy of the suspected mass is often used to confirm the diagnosis of RMS. Biopsy incision should be oriented in a direction that allows for later wide tumor excision, respecting tissue planes. Core needle biopsy may be performed for small lesions in
areas that will be treated with chemotherapy/radiation or for metastatic disease.40

In the majority of pediatric cancer centers, a metastatic evaluation includes bone marrow biopsy and technetium methylene diphosphonate bone scintigraphy (99mTc MDP bone scan) for the detection of marrow involvement and bone metastases, respectively. A CT scan of the chest is performed to evaluate for the presence of lung metastasis or thoracic lymph node involvement. A lumbar puncture for cerebrospinal fluid collection should be performed for patients with parameningeal tumors, especially those exhibiting intracranial extension. However, the metastatic evaluation for pediatric RMS patients has been under scrutiny and may need to be tailored in the future to a patient’s presenting characteristics. For example, in one study, a bone marrow aspirate biopsy and bone scan were found to be of low yield in at least one-third of patients with RMS (specifically, patients with ERMS and T1 disease because they were at particularly low risk of metastatic disease to bone and bone marrow).41

There has been significant interest in evaluating the utility of 18–fluorodeoxyglucose positron emission tomography (FDG PET) scans in evaluating disease extent. A small number of studies have compared the use of FDG PET CT and conventional imaging (CT, magnetic resonance imaging, and bone scan) in staging pediatric RMS.42,43 The PET CT was found to be better in identifying nodal, bone, and bone marrow involvement (Figure 1), while conventional imaging was better for the detection of pulmonary nodules. The authors of one study even recommended that PET CT could be used for RMS staging in lieu of 99mTc bone scan.43 In adults with sarcoma, PET has been used to monitor patients with sarcoma to evaluate tumor grade, staging, and treatment monitoring, as well as in prediction of survival.44

Other special anatomic sites merit special consideration when evaluating the extent of the RMS disease involvement. For patients with paratesticular tumors and treated according to the Children’s Oncology Group (COG) treatment protocols, an ipsilateral retroperitoneal lymph biopsy surgery is recommended for all patients ≥10 years of age. The presence of lymph node involvement would influence the treatment plan by introducing radiation therapy (RT). However, this practice is not shared by other international collaborative groups due to concern about the risk of complications from the surgery, such as nerve damage or bleeding. For patients with extremity tumors, a sentinel node biopsy is recommended.

**Staging**

In 1972, the Intergroup Rhabdomyosarcoma Study Group (IRSG) initially developed a grouping system for risk stratification of RMS patients based on the postoperative extent of disease (Table 1). A pretreatment clinical staging system (based on clinical examination and imaging studies) was subsequently developed to account for pretreatment patient characteristics (Table 2). This staging system takes into consideration the size and site of the primary tumor as well as the degree of invasion of adjacent structures, the presence or absence of nodal involvement, and presence of absence of distant spread (metastasis). The IRSG has now become the Soft Tissue Sarcoma Committee of COG, and current COG treatment protocols utilize a combination of the group and stage criteria for risk stratification of patients and treatment assignment. While other international collaborative groups have their own risk stratification systems, we will mainly highlight the COG system for this review.
Unlike pediatric RMS, which employs a more complex risk stratification system, only a TNM Classification of Malignant Tumors (TNM system) is used for adult patients to assign treatment.

Prognostic factors

Given that most RMS clinical trials have been performed mainly in children, the characterization of prognostic factors has been described mainly for this patient population. Favorable prognostic factors in RMS are: undetectable distant metastases at diagnosis; favorable anatomic sites (orbit, nonparameningeal head/neck, and genitourinary nonbladder/prostate regions); grossly complete surgical removal of the localized tumor at the time of diagnosis; ERMS/botryoid histology; tumor size ≤5 cm; and age older than 1 but younger than 10 years at diagnosis.45-47 The presence of regional lymph node disease alters the prognosis for patients with ARMS with outcomes similar to distant metastatic disease, thus suggesting the need for more aggressive therapy for patients with ARMS and regional lymph node disease.46,48

With regards to molecular markers, the presence of PAX3/FOXO1 fusion has been proposed by one study as a molecular prognostic marker of poor outcome in patients with nonmetastatic ARMS.49 However, the German cooperative group did not find the same conclusions.50 Comparison between the two studies is somewhat complicated since the two groups used different treatment protocols. As previously described, other genes, such as MET, MYCN, and ALK, have been proposed as potential molecular prognostic markers based on retrospective pathologic studies, but they need to be validated as part of biologic correlates for future prospective clinical trials.

Other studies evaluating various clinical parameters, such as tumor diameter versus tumor volume at diagnosis, response to initial chemotherapy, and weight loss during therapy, were not of significant prognostic value.51-53

The outcome of adolescents and adults with RMS appears to be worse than that of children. A recent series demonstrated a 5-year overall survival rate of 27% in adults versus 61% in children.7 Adult RMS has a significant incidence of metastatic recurrence.54 Tumors in adults were more likely to be at an unfavorable site and to have histologies that are unusual during childhood, particularly the pleomorphic and RMS NOS subtype. The most significant difference in outcome was in patients with localized disease: 5-year survival estimates were 82% for children and 47% for adults.7 Another study found that adolescent patients tended to have an increased likelihood for an unfavorable primary tumor site, metastatic disease, and lymph node involvement.55

Many factors have been implicated in the different outcomes from pediatric versus adult RMS. One theory is that most pediatric RMS patients are referred to specialized centers, benefiting from more accurate diagnosis and evaluation that leads to improved risk stratification and optimal treatment. The proportion of adult patients with RMS of unknown histologic subtype has been reported to be up to three times higher than that reported in children.56 Other factors include advanced disease presentation in adults,
absence of standardized protocols for adult patients, and low inclusion in trials for adolescents and young adults.\textsuperscript{55,57}

**Treatment**

Currently, multimodality treatment that includes chemotherapy, surgery with or without RT, has become the standard of care for RMS. For children and adolescents with RMS, the multidisciplinary treatment of the disease according to collaborative group clinical trials has been performed in the United States and in Europe. The cure rates for RMS in children have increased from 25\% in the 1970s to 70\% in the 1990s.\textsuperscript{58–61} Patients at low risk have an estimated 3-year failure-free survival (FFS) rate of 88\%. Patients at an intermediate risk have a 3-year FFS rate of 55\%–76\%, while patients at high risk have a 3-year FFS rate of <30\%. Given the excellent outcome for low-risk pediatric patients, successive generations of clinical trials have attempted to decrease the intensity of therapy to decrease the incidence of treatment-related late effects. Survival rates for adolescents and adults with RMS, on the other hand, are significantly worse compared to younger children (range 21\%–56\%), and more study is needed to improve these outcomes.\textsuperscript{55,57}

**Chemotherapy**

For COG treatment protocols, the three-drug combination of vincristine, actinomycin D, and cyclophosphamide (VAC) has been the backbone of RMS therapy. For certain groups with low-risk disease, there has been interest in treating with only vincristine and actinomycin D to avoid large cumulative alkylator exposure that has been linked to secondary alkylator and anthracycline chemotherapeutic agents more readily than the COG studies.\textsuperscript{57} Given the excellent outcome for low-risk pediatric patients, successive generations of clinical trials have attempted to decrease the intensity of therapy to decrease the incidence of treatment-related late effects. Survival rates for adolescents and adults with RMS, on the other hand, are significantly worse compared to younger children (range 21\%–56\%), and more study is needed to improve these outcomes.\textsuperscript{55,57}

**Surgery**

The surgical management of patients with RMS is site-specific, and attempts for complete tumor resection should be made. Complete tumor resection is generally not advised if there is a significant risk for functional or cosmetic morbidity (for example, in head and neck RMS). Complete compartmental resection is not required for tumors arising
within a muscle, as long as a minimum of 0.5 cm of margins is obtained. Tumor debulking in general should not be performed, with the possible exception of tumors localized in the retroperitoneum that are causing organ compromise, such as bowel obstruction.

If an open biopsy of the mass was initially performed for diagnostic purposes or if the patient still has gross residual tumor or microscopically uncertain margins, then pretreatment re-excision of the tumor is recommended. This consists of a wide re-excision of the previous operative site with adequate margins of normal tissue prior to adjuvant therapy and usually performed in trunk and extremity lesions.

Second-look operations (SLO) may play a role in improving the local control of tumors that are initially unresectable but show a pronounced response to induction chemotherapy and/or RT. Additionally, the patients with group III disease (postoperative gross residual disease) who have a good response to induction chemotherapy can have a complete resection by means of SLO and then receive reduced radiotherapy dose. One COG study evaluated whether the lack of tumor viability at SLO was a reliable positive prognostic factor. The study noted a benefit in FFS but not for overall survival.

RT

RT is an important component of multimodality therapy for pediatric RMS. Induction chemotherapy, followed by concurrent chemoradiation, is the current standard of care for patients with unresected disease, those with micro- or macroscopic residual disease after surgery for patients with lymph node involvement, and for patients with alveolar histology. RT is usually initiated between 6–12 weeks after the start of chemotherapy, omitting the concomitant administration of a radiosensitizing agent, such as an anthracycline or actinomycin-D. The dose of RT is dependent upon several variables usually related to the extent of the disease, such as regional lymph node involvement. Current COG studies have been evaluating the delivery of 36 Gray (Gy) to certain groups of low-risk patients (eg, favorable site and with microscopic residual margins following initial tumor resection). Typical peak doses are 50.4 Gy for patients with a macroscopic residual tumor.

Reduction of RT volumes has been used if the tumor size decreases after induction chemotherapy. A recent study evaluating the cone-down boost according to tumor response to induction chemotherapy in pediatric patients found that for most of the patients a cone-down boost allowed for significant reductions in high-dose RT treatment volume while maintaining excellent tumor control. However in the subset of patients with parameningeal RMS and intracranial tumor extension, early RT initiation and wider margin RT to cover adjacent areas at high risk for meningeal extension may be more important for adequate disease control.

In the case of parameningeal RMS, there are data to suggest that earlier use of RT may improve local control rates. Other RT techniques, such as intensity modulated RT, brachytherapy, and proton beam therapy are being used in different centers to treat RMS with the aim to reduce locoregional side effects and to achieve a higher tumor treatment dose without an increase of toxic side effects, especially at anatomically sensitive areas, such as the orbit, bladder/prostate, and parameningeal area.

Role of transplant in RMS

The utility of bone marrow transplant for high-risk or relapsed RMS has been of much interest since this approach has been used in other solid tumors, such as neuroblastoma and germ cell tumor. For high-dose chemotherapy followed by autologous stem cell rescue, recent studies performed mainly in Europe have not demonstrated any significant benefit of this treatment approach compared to conventional treatment strategies. Other transplant strategies have mainly been reported as small case series or single case reports and will need further investigation as a clinical trial to validate their feasibility.

Late effects

The late effects of treatment for RMS survivors are increasingly being recognized and represent an important area of study. The type of late effect encountered is largely dependent upon a variety of factors, including anatomic site of tumor involvement, types of chemotherapy received, exposure to RT, and extent of surgical resection. The presentation of late effect may occur even many years after completion of therapy. Due to the broad nature of this topic, only a few relevant areas will be reviewed.

Alkylating agents like cyclophosphamide and ifosfamide have been linked to secondary malignancies and have shown a dose-dependent effect on testicular function and fertility. In females, age and dose-dependent effects of alkylating agents and RT on infertility and premature ovarian failure have been reported. Given the risks of infertility, concerted efforts must be made to inform adolescent and young adult RMS patients about these risks and the opportunity for options such as sperm banking. For female patients, ovarian preservation may be more difficult depending upon the time...
available before starting treatment and the availability of specialists. Other chemotherapy-related toxicities include increased peripheral nervous system toxicity in adolescent patients and increased risk of cardiomyopathy or other cardiac dysfunction in patients treated with anthracycline chemotherapy.\textsuperscript{67,89,90} For adolescents and young adults, these effects may lead to increased risk of physical inactivity or decreased exercise tolerance. Thus, appropriate surveillance for these toxicities is needed so that early physical therapy or exercise plans can be instituted.

RT-related late effects are varied and depend on the treatment field and dose received. In the head and neck region, facial growth retardation, xerostomia, dental abnormalities, visual and hearing deficits, and neuroendocrine dysfunction can occur.\textsuperscript{81,92} Jaw dysfunction, due to radiation-induced fibrosis of the temporomandibular joint, has also been reported.\textsuperscript{93} For the adolescent and young adult patient, facial asymmetry, growth deficiency, and jaw dysfunction can cause significant cosmetic morbidity and can dramatically impact quality of life. Early intervention with an experienced occupational therapy and speech pathology team can help ameliorate some of the risks for trismus and jaw dysfunction.

In the pelvic region, gonadal function can be affected; there can also be musculoskeletal growth delay or bowel obstruction.\textsuperscript{76} For extremity tumors treated with radiation or surgery, problems with growth or atrophy, fibrosis, peripheral nerve damage, and range of motion can be observed.\textsuperscript{94,95} Again, these effects may impact physical activity for adolescent and young adult patients.

For a more comprehensive review of treatment-related late effects, the COG has published a comprehensive, empiric literature review-based set of guidelines that are available online.\textsuperscript{96}

**Conclusion**

While there have been significant improvements made in the treatment of children with RMS, further work is needed to improve the clinical outcome for adolescent and young adult RMS patients – both in the understanding of the biology of the histologic subtypes found in adults and in standardizing the treatment for adult RMS patients. Increasing enrollment of adolescent and young adult patients into cooperative group studies would also represent an improvement. Current COG clinical trials allow patients up to 50 years of age to be enrolled. Finally, treatment-related late effects can have a significant impact on the lives of adolescent and young adult survivors of RMS. Thus, long-term surveillance is required so that early intervention can be applied.

**Disclosure**

The authors report no conflicts of interest in this work.

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