

# TRANSFORM: a novel study design to evaluate the effect of everolimus on long-term outcomes after kidney transplantation

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**Abstract:** Two well defined, modifiable risk factors for kidney allograft failure are acute rejection and poor graft function at one year post-transplant. Regulatory bodies and expert panels in the USA and Europe have recognized that both acute rejection and one-year graft function should be assessed when evaluating immunosuppressive regimens. TRANSFORM (Clinicaltrials.gov NCT01950819) is one of the first trials to adopt this approach and the first that applies a novel combined clinically meaningful endpoint to take the first step towards changing the paradigm for immunosuppression in kidney transplant patients. Everolimus with reduced-exposure calcineurin inhibitor (CNI) therapy is a strategy designed to reduce the risk of chronic nephrotoxicity and other dose-dependent complications associated with CNI therapy. In TRANSFORM, de novo kidney transplant patients are randomized to everolimus with reduced-exposure CNI, or mycophenolic acid with standard-exposure CNI, both with induction therapy and maintenance steroids. The primary endpoint is a composite of treated biopsy-proven acute rejection or estimated glomerular filtration rate <50 mL/min/1.73 m<sup>2</sup> at month 12 post-transplant, which is expected to be sensitive both to the effects of acute and chronic allograft rejection and nephrotoxic side effects of immunosuppressive therapies. The construct of this endpoint allows the integration of a continuous outcome (graft function) with a logistic outcome (rejection). The trial uses a randomized, multicenter, open-label, two-arm design. After completion of a 2-year core study, patients enter a further 3-year prospective observational study. By capturing follow-up to 5 years, TRANSFORM will provide substantial data on the incidence of graft loss, graft dysfunction, cancer, cardiovascular events, and other patient-relevant outcomes. TRANSFORM will determine whether de novo CNI reduction with an everolimus-based regimen achieves short-term outcomes compared with standard CNI. As the largest clinical trial undertaken to date in kidney transplantation, recruiting more than 2,000 patients, and with extended follow-up to 5 years, TRANSFORM will provide critical data required to help maximize long-term outcomes.

**Keywords:** mTOR inhibitor, calcineurin inhibitor, reduced exposure

## Introduction

### Current challenges for long-term outcomes after kidney transplantation

Marked improvements in acute rejection rates and graft survival during the first year after kidney transplantation have not been matched by a reduced rate of long-term graft loss.<sup>1</sup> One year after transplantation, over 90% of deceased-donor grafts and 97% of living-donor grafts are functioning.<sup>1-3</sup> However, by 5 years post-transplant, up to one in four patients has returned to dialysis,<sup>1,2,4</sup> and half of all grafts fail within 10 years.<sup>1,2</sup>

Large-scale multivariable analyses have identified predictive factors for long-term graft loss following kidney transplantation.<sup>4,5-10</sup> Many factors are entirely or largely non-modifiable, such as recipient age, type of donor, cold ischemia time, delayed graft function, and concomitant illnesses, such as diabetes mellitus and coronary artery disease.<sup>4,6-8,11,12</sup> Acute rejection, while occurring less frequently than in the past,<sup>8,9</sup> remains a major risk factor associated with increased risk of graft loss, ranging from 15% to 70%.<sup>6-9</sup> The magnitude of the association varies depending on the type and timing of rejection, with late rejection<sup>9</sup> and antibody-mediated rejection<sup>5</sup> being particularly hazardous for graft survival.

Graft function during the first year post-transplant is another clear predictor of long-term graft survival.<sup>4,9,10,13-17</sup> A recent systematic review of the literature confirmed that 12-month estimated glomerular filtration rate (eGFR) was consistently and significantly associated with an increased risk of death-censored graft loss on multivariate analysis.<sup>18</sup> As might be expected, patients with severe renal dysfunction at 12 months (chronic kidney disease stage 4; 15–29 mL/min/1.73 m<sup>2</sup>) show more than a four-fold increase in risk of graft loss compared with chronic kidney disease stage 2 (60–89 mL/min/1.73 m<sup>2</sup>).<sup>15</sup> However, even those with moderate renal impairment (eGFR 45–59 mL/min/1.73 m<sup>2</sup>) are at increased risk of subsequent graft failure compared with

patients with better renal function.<sup>15</sup> All-cause mortality also increases as 12-month eGFR declines, although the magnitude of the association is less marked than for death-censored graft failure<sup>18</sup> (Table 1). Thus, eGFR at one year post-transplant may represent a surrogate for long-term outcomes. However, although an association between eGFR at one year and subsequent graft loss is undisputed, the predictive utility of eGFR alone for long-term outcomes in specific patients is relatively limited.<sup>18,22</sup> This lack of predictive utility could be anticipated, given that there are many factors that influence graft and patient survival after kidney transplantation.

Assessment of graft function alone thus appears inadequate to predict long-term outcomes. When assessing the effect of immunosuppressive regimens on long-term outcomes, it would therefore be highly relevant to include both the rate of acute rejection and graft function in the first year after kidney transplantation, both of which are influenced by choice of immunosuppressive therapy. The importance of assessing preservation of graft function, in addition to avoiding rejection and improving long-term survival, was recognized at a public workshop organized by the US Food and Drug Administration in 2012<sup>23</sup> and by the European Medicines Agency.<sup>24</sup>

The ongoing TRANSFORM (Advancing renal TRANSplant eFficacy and safety Outcomes with an

**Table 1** Association between cut-off points for eGFR at month 12 and subsequent death-censored kidney graft loss or mortality on multivariate analysis

Study	n	Follow-up (time post-transplant)	eGFR at month 12 (mL/min/1.73 m <sup>2</sup> ) <sup>a</sup>	Death-censored graft loss		Mortality	
				HR (95% CI)	P-value	HR (95% CI)	P-value
Kasiske et al <sup>15</sup>	13,671	10 years	≥90	1.04 (0.73, 1.48)	0.8	1.61 (1.22, 21.4)	<0.001
			60–89	Reference	Reference	Reference	Reference
			45–59	1.35 (1.08, 1.44)	0.003	0.98 (0.84, 1.13)	0.8
			30–44	2.01 (1.75, 2.32)	<0.001	1.07 (0.92, 1.25)	0.4
			15–29	4.63 (3.95, 5.44)	<0.001	1.58 (1.30, 1.91)	<0.001
Rempert et al <sup>20</sup>	985	5 years	≥60	Reference <sup>b</sup>	Reference	Reference	Reference
			30–59	1.51 (0.77, 2.90) <sup>b</sup>	0.233	0.91 (0.56, 1.47)	0.688
			<30	3.63 (1.67, 7.88) <sup>b</sup>	0.001	2.58 (1.49, 4.80)	0.001
Schnitzler et al <sup>21</sup>	38,015	3 years	≥60	Reference	Reference	Reference	Reference
			45–59	1.31	<0.001	1.09	<0.001
			30–44	2.49	<0.001	1.34	<0.001
			15–29	7.22	<0.001	2.33	<0.001
		4–7 years	≥60	Reference	Reference	Reference	Reference
			45–59	1.28	<0.001	1.09	NS
			30–44	1.80	<0.001	1.34	<0.001
Wu et al <sup>17</sup>	1,062	Mean 94 months	≥45	Reference	Reference	–	–
			<45	2.65 (1.62, 4.31)	<0.001	–	–

**Notes:** <sup>a</sup>MDRD4 (four-variable Modification of Diet in Renal Disease) formula; <sup>b</sup>return to dialysis.

**Abbreviations:** CI, confidence interval; eGFR, estimated glomerular filtration; HR, hazard ratio; NS, not statistically significant.

everoliMus-based regimen [ClinicalTrials.gov identifier NCT01950819]) trial is the first study in kidney transplantation to evaluate both immunosuppressive efficacy and graft function in a single combined primary endpoint. The rationale and design of the trial are considered here.

## Everolimus: an opportunity to improve long-term outcomes

The mammalian target of rapamycin (mTOR) inhibitor everolimus offers the prospect of addressing some of the leading causes of long-term graft deterioration and death.<sup>25,26</sup>

### Calcineurin inhibitor reduction

A key role for everolimus is to reduce exposure to calcineurin inhibitor (CNI) agents. CNI therapy exerts a well-recognized, dose-dependent, deleterious effect on graft function by inducing progressive nonreversible nephrotoxicity.<sup>27,28</sup> A series of randomized trials in de novo kidney transplant patients has demonstrated consistently that immunosuppression with everolimus and a reduced-exposure CNI regimen maintains efficacy.<sup>29–33</sup> By facilitating as much as a 60% reduction in CNI exposure,<sup>34</sup> everolimus reduces the potential for chronic CNI-related nephrotoxic effects. By reducing CNI exposure, use of everolimus can also lower the risk of long-term cardiovascular complications of CNI therapy, such as new-onset diabetes mellitus and hypertension.<sup>35</sup>

### Nonimmunosuppressive effects

The indirect advantages of CNI reduction are complemented by the pleiotropic effect and other nonimmunosuppressive actions of everolimus. In terms of cardiovascular function, experimental evidence indicates that mTOR inhibition can lead to regression of cardiac hypertrophy,<sup>36,37</sup> and a remodeling benefit has been shown in kidney transplant patients receiving everolimus therapy.<sup>38,39</sup> mTOR inhibitors inhibit atherosclerotic plaque development and rupture in animal models,<sup>40</sup> consistent with evidence showing that their anti-proliferative effect ameliorates the progression of transplant vasculopathy in heart transplant patients.<sup>41,42</sup> Further, preliminary data suggest that mTOR inhibitors may attenuate the development of arterial stiffness,<sup>43,44</sup> a known risk factor for post-transplant cardiovascular events.<sup>45</sup>

Additionally, the pleiotropic effects of everolimus raise the possibility that the rate of post-transplant malignancy, a leading cause of late mortality after kidney transplantation,<sup>46,47</sup> may be reduced.<sup>48</sup> A randomized, double-blind trial demonstrated significantly better progression-free survival in patients with

metastatic renal cell carcinoma who received everolimus versus placebo<sup>49</sup> and in solid organ transplant patients.<sup>50,51</sup> Everolimus is licensed for the treatment of advanced renal carcinoma and advanced breast cancer, and trials are currently investigating its benefit in other types of malignancy.

Lastly, compared with standard CNI-based therapy, everolimus also appears to reduce the incidence of viral infections, a major cause of mortality after kidney transplantation.<sup>46,47,52</sup> Cytomegalovirus (CMV) and BK virus infection are also known risk factors for graft loss.<sup>53</sup> There is convincing evidence that the incidence of viral infections (notably CMV and BK virus) is significantly lower in everolimus-treated kidney transplant patients versus those receiving a standard CNI-based regimen.<sup>54,55</sup> Moreover, everolimus retains its antiviral properties when combined with CNI therapy. This beneficial effect may be maximized by using everolimus immediately post-transplant, since CMV infection usually develops within the first months.

## TRANSFORM: a modern approach to assessing outcomes

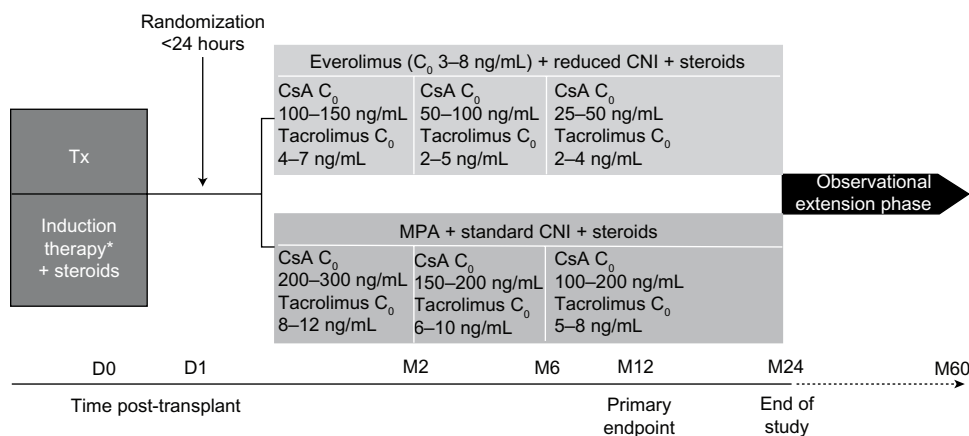
A large number of randomized, controlled trials have assessed the efficacy and safety of everolimus in solid organ transplantation.<sup>56</sup> In trials of everolimus with reduced-exposure CNI therapy in de novo kidney transplants, the primary endpoint has either assessed efficacy (defined as the incidence of biopsy-proven acute rejection [BPAR] or a combined efficacy endpoint)<sup>31,32</sup> or renal function based on eGFR.<sup>29,30</sup>

The TRANSFORM trial, for the first time, combines the stochastic outcome of rejection with a continuous measure of graft function (eGFR) in a single, clinically relevant composite primary endpoint. It takes into account both the need to effectively prevent graft rejection and maintain good graft function when comparing immunosuppressive regimens.<sup>23</sup> The trial will recruit over 2,000 patients, making it the largest randomized study ever undertaken in kidney transplantation. Patients will be recruited in over 40 countries in all five continents. Patients will be followed to 5 years after kidney transplantation to assess long-term outcomes.

## Materials and methods

### Study design

TRANSFORM is a randomized, multicenter, open-label, two-arm study (Figure 1). After completion of the 2-year core study, patients enter a further 3-year observational follow-up study. Patients are randomized at the time of transplantation to receive either everolimus with reduced-exposure CNI therapy, or mycophenolic acid with standard-exposure CNI, both with



**Figure 1** TRANSFORM study design.

**Notes:** Stratified for CNI (CsA or tacrolimus) and donor type (living, deceased standard criteria or deceased expanded criteria). \*Basiliximab or antithymocyte globulin.

**Abbreviations:** CNI, calcineurin inhibitor; CsA, cyclosporine; MPA, mycophenolic acid; TRANSFORM, Advancing renal TRANSplant efficacy and safety Outcomes with an eveRoliMus-based regimen; Tx, transplantation.

induction therapy and maintenance steroids. The protocol and the proposed informed consent form are currently being reviewed and approved by a properly constituted institutional review board or independent ethics committee at each center. Written informed consent is obtained from all patients. The trial is conducted in accordance with the ethical principles laid down in the Declaration of Helsinki.

## Selection of primary endpoint

Of the various renal parameters that have been investigated as markers for graft outcomes, including eGFR, slope of eGFR evolution, serum creatinine and proteinuria, eGFR at month 12 has been most thoroughly explored and has consistently been found to be associated with graft loss.<sup>18</sup> Several studies have examined cut-off points for the association between eGFR at 12 months and long-term graft survival (up to 10 years), generally based on stages 1–5 of chronic kidney disease (Table 1). The relationship is nonlinear,<sup>15,57</sup> with a dramatic increase in risk of graft loss with severe renal dysfunction,<sup>15</sup> but even when 12-month eGFR is in the range of 45–59 mL/min/1.73 m<sup>2</sup> (chronic kidney disease stage 3A), patients have a significantly increased risk of graft loss compared with patients with better renal function.

A well-functioning graft would be expected to have an eGFR  $\geq 60$  mL/min/1.73 m<sup>2</sup>.<sup>58</sup> An eGFR of 50 mL/min/1.73 m<sup>2</sup> represents a moderate level of renal dysfunction according to the chronic kidney disease staging criteria.<sup>15,21</sup> A retrospective analysis of data from the recent A2309 multicenter trial in which de novo kidney transplantation patients were randomized to everolimus with reduced-dose CNI versus enteric-coated mycophenolate sodium with standard CNI<sup>31,34</sup>

examined different cut-off points for eGFR at 12 months post-transplant to differentiate between the two treatment groups.<sup>57</sup> Results showed that the proportion of patients with low eGFR using a cut-off point of 50 mL/min/1.73 m<sup>2</sup> was significantly lower in the everolimus treatment group (38.2% versus 50.5%; difference –12.3% with a 95% confidence interval of –20.6%, –4.1%).

Accordingly, a cut-off point of 50 mL/min/1.73 m<sup>2</sup> for eGFR at month 12 post-transplant was selected as a surrogate for good graft function in the TRANSFORM study. Studies have shown that patients with BPAR have significantly lower renal function than those without BPAR, while patients with less severe BPAR tended to have better renal function compared with those who experience more severe BPAR.<sup>59,60</sup> It is expected that evolution of renal function will show a negative correlation with treated BPAR in the TRANSFORM study. Therefore, the two components (treated BPAR and eGFR  $< 50$  mL/min/1.73 m<sup>2</sup>) will show the same trend and account for the effects of both acute and chronic allograft rejection and the nephrotoxic side effects of immunosuppressive therapies. The four-variable Modification of Diet in Renal Disease (MDRD4) formula<sup>19</sup> was selected for estimation of GFR. MDRD4 is a formula that is widely employed in clinical trials of transplant patients, and has been widely used in trials investigating the effect of different eGFR levels on patient outcomes (Table 1). No equation for estimation of GFR appears inferior or superior for predicting graft failure or mortality following kidney transplantation.<sup>22</sup>

The renal cut-off point of eGFR  $< 50$  mL/min/1.73 m<sup>2</sup> was combined with occurrence of treated BPAR, a widely accepted measure of immunosuppressive efficacy in solid



organ transplantation. It is well established that treated BPAR is also an important predictive factor for long-term graft failure after renal transplantation, with severity of acute rejection showing a statistical association with nonresponsiveness to antirejection treatment and increased risk of graft failure.<sup>59,61</sup> Treated BPAR was defined as receipt of antirejection treatment and histological diagnosis of acute rejection according to Banff 2009 criteria.<sup>62</sup> This composite endpoint represents a clinically meaningful approach to discriminate between immunosuppressive regimens in renal transplantation.

## Secondary objectives

The key secondary objective of the TRANSFORM trial is to evaluate the two treatment groups with respect to a composite efficacy failure endpoint comprising treated BPAR, graft loss, or death (see Table 2), consistent with recommendations from the European Medicines Agency.<sup>24</sup> Other secondary objectives include assessment of individual components of this composite failure endpoint, and evolution of renal function via a slope analysis. Safety objectives include standard assessments of adverse events and serious adverse events, and specific objectives relating to this study population that are of concern to transplant physicians, including comorbidities such as cardiovascular complications, malignancies, viral infections, and renal failure.

Exploratory objectives include evaluation of the incidence of donor-specific antibodies by treatment group and in relation to acute rejection in a subset of patients at participating centers. The clinical significance of donor-specific antibodies, specifically whether or not they contribute to antibody-mediated rejection, is not fully elucidated and is currently a topic of considerable interest to transplant physicians. Prospectively collected data on donor-specific antibody status in the TRANSFORM substudy will permit a thorough characterization of donor-specific antibodies in antibody-mediated rejection and a comparison of the effect of the two treatment regimens on de novo donor-specific antibody development post-transplant.

## Study population

The study population comprises de novo adult kidney transplant patients. The study has broad eligibility criteria and, in general terms, enrolls patients unless they are sensitized or have received a graft from a donor after cardiac death. Specific key inclusion and exclusion criteria are shown in Table 3. Patients can be recipients of a graft from a living donor or a deceased donor, based on standard or extended criteria. Patients who had undergone a previous kidney

transplant could be enrolled if the first graft had not been lost due to immunological reasons. The eligibility criteria exclude patients at high risk of rejection (based on local practice for assessment of antidonor reactivity such as high panel reactive antibodies or presence of pre-existing donor-specific antibody), if they have lost a previous kidney transplant due to immunological causes, or have cold ischemia time >30 hours. Thus, the study population is at moderate immunological risk. The eligibility criteria did not include any specifications relating to baseline proteinuria or the risk of developing proteinuria post-transplant.

## Randomization and study treatment

Patients are randomized within 24 hours of completion of the transplant procedure. Randomization is stratified within treatment groups by donor type (living, deceased standard criteria, or deceased expanded criteria) and by the type of CNI administered (cyclosporine or tacrolimus). The overall study population will contain no less than 50% of living-donor recipients, and patients receiving cyclosporine will comprise no more than 20% of the population.

The immunosuppressive regimens used in the study are widely used in clinical practice. Investigators may use either CNI therapy, with or without steroids, and either of the two most frequently administered induction therapies can be given.

All patients will receive induction therapy with basiliximab (two 20 mg doses, administered on days 0 and 4) or rabbit antithymocyte globulin (1.5 mg/kg/day with a total dosage  $\leq 6$  mg/kg). Since induction therapy is initiated before randomization, the allocated treatment arm will not bias the decision as to whether to administer basiliximab or antithymocyte globulin. Treatment with CNI, mycophenolic acid, and/or steroids may be started prior to transplant according to center practice but must be applied consistently to all subjects at a given center.

For patients randomized to the everolimus group, the initial dose of everolimus will depend on the concomitant CNI (tacrolimus or cyclosporine) because of pharmacokinetic interactions.<sup>63</sup> With concomitant tacrolimus, the starting dose is 3.0 mg/day, compared with 1.5 mg/day in patients receiving concomitant cyclosporine. In either case, the dose is then adjusted to target a locally determined everolimus trough concentration ( $C_0$ ) of 3–8 ng/mL throughout the study. CNI dose is adjusted to maintain the target  $C_0$  concentration ranges shown in Figure 1.

In the mycophenolic acid treatment arm (the control group), the initial mycophenolic acid dose is enteric-coated mycophenolate sodium 1.44 g/day or 2.0 g/day for mycophenolate mofetil. For control patients receiving tacrolimus, the dose should be

**Table 2** Objectives of the TRANSFORM study

Primary objective	To evaluate the effect of everolimus with reduced-exposure CNI versus MPA with standard-exposure CNI on the composite of treated BPAR or eGFR <50 mL/min/1.73 m <sup>2</sup> (MDRD4) at month 12 post-transplant
Key secondary objective	To evaluate everolimus with reduced-exposure CNI versus MPA plus standard-exposure CNI with respect to the composite efficacy failure rate of treated BPAR, graft loss or death at month 12 post-transplant
Other secondary objectives	To evaluate, by treatment group: <ul style="list-style-type: none"> <li>Composite endpoint of treated BPAR, graft loss, death or eGFR &lt;50 mL/min/1.73 m<sup>2</sup> at months 12 and 24</li> <li>Composite endpoint of treated BPAR, graft loss or death at month 24</li> <li>Composite endpoint of treated BPAR, graft loss, death, or loss to follow-up at months 12 and 24</li> <li>Composite endpoint of graft loss or death at months 12 and 24</li> <li>Death, graft loss, treated BPAR, BPAR, treated acute rejection, acute rejection, or humoral rejection at months 12 and 24</li> <li>Incidence of composite endpoint of treated BPAR or eGFR &lt;50 mL/min/1.73 m<sup>2</sup> (MDRD4) among compliant subjects</li> <li>Incidence of composite endpoint of treated BPAR or eGFR &lt;50 mL/min/1.73 m<sup>2</sup> (MDRD4) among subgroups</li> <li>Incidence of treated BPAR (excluding grade IA rejections) or eGFR &lt;50 mL/min/1.73 m<sup>2</sup></li> <li>Incidence of treated BPAR by severity and time to event</li> <li>Incidence of treated BPAR excluding grade IA rejections</li> <li>Composite endpoint of treated BPAR or eGFR &lt;50 mL/min/1.73 m<sup>2</sup> (MDRD4) at month 24</li> <li>eGFR &lt;50 mL/min/1.73 m<sup>2</sup> at months 12 and 24</li> <li>Renal function (eGFR) at months 12 and 24</li> <li>Evolution of renal function (eGFR) over time by slope analysis</li> <li>Change in renal allograft function from month 1 (eGFR) at months 12 and 24</li> <li>Renal function assessed by cystatin C-based and alternative formulae at months 12 and 24</li> <li>Adverse events, serious adverse events, and adverse events leading to study regimen discontinuation</li> <li>Cytomegalovirus infection, BK virus infection, new onset diabetes mellitus, chronic kidney disease with associated proteinuria, and CNI-associated adverse events</li> <li>Urinary protein and albumin excretion estimated by urinary protein/creatinine and urinary albumin/creatinine ratios</li> <li>Major cardiovascular events</li> <li>Malignancies</li> </ul>
Exploratory objectives	To explore the incidence of DSA by treatment group, and in relation to acute rejection, in a subset of patients at participating centers <ul style="list-style-type: none"> <li>To explore the development of chronic allograft nephropathy/interstitial fibrosis-tubular atrophy on protocol renal biopsy in a subset of patients at participating centers</li> <li>To support codevelopment and validation of everolimus assays or diagnostic systems at selected centers</li> </ul>

**Abbreviations:** BPAR, biopsy-proven acute rejection; CNI, calcineurin inhibitor; DSA, donor specific antibody; eGFR, estimated glomerular filtration rate; MDRD4, four-variable Modification of Diet in Renal Disease; MPA, mycophenolic acid; TRANSFORM, Advancing renal TRANSplant efficacy and safety Outcomes with an eveRoliMus-based regimen.

reduced after week 2 to enteric-coated mycophenolate sodium 1.08 g/day or mycophenolate mofetil 1.5 g/day, but should remain unchanged in control patients receiving cyclosporine. The dose of tacrolimus or cyclosporine is adjusted according to the target ranges shown in Figure 1. The minimum dose of tacrolimus is 0.5 mg twice daily, and the minimum dose of cyclosporine is 25 mg twice daily.

## Concomitant medication

Pre-emptive CMV therapy and/or prophylaxis for at least 6 months post-transplant is recommended for all cases where the donor is CMV-positive and the recipient is CMV-negative, and should be considered for all CMV-positive recipients. All patients will receive trimethoprim-sulfamethoxazole as prophylaxis for *Pneumocystis jirovecii* pneumonia for at least 6 months after transplantation. Lipid-lowering medications are to be administered according to guidelines and local practice.

## Statistical analysis

For the primary endpoint (treated BPAR or eGFR [MDRD4] <50 mL/min/1.73 m<sup>2</sup> at month 12), treatment by CNI interaction will be assessed using a logistic regression model. If the interaction is not significant at the level of 0.10 (ie, the effect of treatment is similar for tacrolimus and cyclosporine), then the primary analysis will be based on pooled CNI data in each treatment group. If this test shows significantly different event rates for tacrolimus versus cyclosporine, then testing will be performed for the two CNI therapies separately, with the tacrolimus subgroup being considered primary and the cyclosporine subgroup being considered exploratory due to the expected smaller sample size. Event rates will be compared between groups using a hierarchical testing strategy: noninferiority of the everolimus group versus the mycophenolic acid group for the primary endpoint using a 10% noninferiority margin; noninferiority of the everolimus group versus the mycophenolic acid group for the key secondary endpoint (the composite of treated BPAR, graft loss, or death) with

**Table 3** Key inclusion and exclusion criteria for the TRANSFORM study

Key inclusion criteria	Key exclusion criteria
Male or female subjects $\geq 18$ years	Use of other investigational drugs at the time of enrollment, or within 30 days or five half-lives of enrollment, whichever is longer (except for dialysis-related drugs which are not expected to interact with the study regimens)
Randomized $< 24$ hours after transplant surgery	Multiorgan transplant recipient
Cold ischemia time $< 30$ hours	ABO incompatible allograft or complement-dependent lymphocytotoxic crossmatch positive transplant
Recipient of a primary (or secondary, if first graft was not lost due to immunological reasons) kidney transplant from a deceased heart beating donor, living unrelated donor, living related non-human leukocyte antigen identical donor, or an expanded criteria donor <sup>a</sup>	High immunological risk for rejection <sup>b</sup>
	Recipient or donor positive for HIV, hepatitis B surface antigen or hepatitis C
	Body mass index $> 35$ kg/m <sup>2</sup>
	Severe systemic infection (current or within 2 weeks prior to randomization)
	Requirement for systemic anticoagulation that cannot be temporarily interrupted and which would preclude renal biopsy
	History of malignancy of any organ system (other than localized basal cell carcinoma of the skin), treated or untreated, within the past 5 years, regardless of whether there is evidence of local recurrence or metastases
	Severe restrictive or obstructive pulmonary disorders
	Severe uncontrolled hypercholesterolemia or hypertriglyceridemia
	White blood cell count $\leq 2,000/\text{mm}^3$ or platelet count $\leq 50,000/\text{mm}^3$
	Pregnant or nursing (lactating) women
	Women of child-bearing potential, unless they are using effective methods of contraception during dosing of study treatment

**Notes:** <sup>a</sup>Defined as brain-dead donor aged  $> 60$  years or donor aged  $> 50$  years with two of the following: history of hypertension, terminal serum creatinine  $\geq 1.5$  mg/dL (132  $\mu\text{mol/L}$ ) or death resulting from cerebrovascular accident; <sup>b</sup>as determined by local practice for assessment of antidonor reactivity, eg, high panel reactive antibodies, presence of pre-existing donor specific antigen.

**Abbreviation:** TRANSFORM, Advancing renal TRANSplant eFFicacy and safety Outcomes with an eveRoliMus-based regimen.

a 10% noninferiority margin; and superiority of the everolimus group to the mycophenolic acid group based on the primary endpoint. Missing eGFR values will be handled as follows: subjects who lose their grafts will be assigned a value of zero for eGFR at month 12; for other subjects with missing eGFR data, including those who die with a functioning graft, eGFR will be imputed using a multiple imputation method under missing at random assumption based on the longitudinal eGFR (MDRD4) data at all available time points and covariates of randomization strata (donor type and CNI), HLA mismatches ( $\leq 3$  versus  $> 3$ ), and induction. Based on a sample size of 1,020 patients in each treatment arm (2,040 in total), the study will have at least 95% power to demonstrate noninferiority ( $\alpha=0.025$ , one-sided) for the primary endpoint in the overall study population, and in the tacrolimus subgroup, at month 12.

Supportive analyses for the primary endpoint will include an analysis based on the per-protocol population, and different imputations for missing eGFR values under missing not at random assumption. The incidence rates in the primary endpoint will also be summarized for predefined subgroups based on recipient age, donor characteristics, type of CNI, type of induction, and immunological status, using the Z-test to compare event rates. A logistic regression model will be used to investigate prognostic variables that might have an impact on the primary efficacy endpoint.

Following the intent-to-treat principle, analyses of efficacy and renal function will be based on the full analysis set, comprising all randomized and transplanted subjects who received the study drug. Selected parameters will also be analyzed in the per-protocol population, which will include all patients in the full analysis set who complete the study without any major deviations from protocol procedures. All safety analyses will be performed on the safety set, consisting of all subjects who receive at least one dose of study drug.

## Conclusion

TRANSFORM is the first trial of immunosuppression in kidney transplantation that captures the key surrogate markers of long-term outcomes in a novel single combined primary endpoint. Combining the efficacy marker of treated BPAR with the renal function parameter of eGFR at month 12 as the primary endpoint is designed to assess optimal immunosuppression, ie, adequate to avoid rejection without overexposure and the associated risk of nephrotoxicity, in order to maximize graft preservation. The trial is also the largest undertaken to date in kidney transplantation, recruiting a population of more than 1,000 patients per arm, and will follow patients to 5 years post-transplant. The scale and duration of the study and its novel primary endpoint mean that TRANSFORM is likely to be regarded as a landmark study in the field.

## Disclosure

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