Biomarkers of cardiac function and outcome in univentricular congenital heart disease

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Abstract: A biomarker is a clinical characteristic that predicts the presence of a specific physiological state, degree of severity of a pathophysiological state, or long-term outcome resulting from that state. Broadly defined, a biomarker can be a clinical parameter, or the result of a biochemical assay or imaging measure. Children are imperfect historians and cardiac disease may mimic other organ system diseases. Hence, reliable biomarkers can greatly enhance diagnosis and management of pediatric cardiac disease. In this review, we discuss the use of biomarkers in managing complex congenital heart disease characterized by single ventricle physiology.

Keywords: biomarker, natriuretic peptide, heart failure, congenital heart disease, single ventricle

Introduction
A biomarker is a measurable, surrogate characteristic that reflects the presence or severity of a disease state. A good clinical biomarker is one that is readily available, easily measured, and sensitive and specific for the disease state it is intended to inform upon. In the management of congenital heart disease, there is an urgent need for clinical biomarkers, since many pediatric patients cannot express their symptoms precisely, and the signs and symptoms of heart disease may overlap with those of other organ systems. In this review, we describe the use of biomarkers in situations in which they have shown utility in children and adolescents with univentricular congenital heart disease (Table 1). In these cases, biomarkers can differentiate between cardiac and non-cardiac causes of symptoms, guide management of these patients, and in some cases, predict outcomes for patients with this spectrum of complex cardiac lesions.

Demographic and anatomic biomarkers associated with prognosis
Patients with univentricular physiology are a growing population among those living with complex congenital heart disease. This can be attributed to major improvements in surgical technique, cardiac anesthesia, and postoperative and outpatient care. The spectrum of anatomical lesions resulting in this physiological state, and the various surgical options employed for palliation, make this group especially challenging to study. Biomarker data can be contradictory, making general conclusions difficult.

With the complexity of these patients in mind, however, certain patient characteristics, such as the morphology of the systemic ventricle (right, left, or primitive), the
### Table 1 Biomarkers for outcome and heart failure in univentricular physiology

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<tr>
<th>Biomarker (AHA level of evidence)</th>
<th>Study</th>
<th>Patients (n)</th>
<th>Age (years)</th>
<th>Design/population</th>
<th>Key results</th>
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| Demographic, anatomic, and hemodynamic (AHA level B) | Rogers (2012) | 771 | Mean 2.3 (Range 0.9–38.4) | Retrospective/TCPS patients | - Presence of AVVR associated with early death or TCPS takedown within 30 days  
- HLHS, younger age at TCPS, no fenestration associated with hospital stay > 14 days, pleural effusion > 14 days  
- Preoperative PA pressure ≥15 mmHg associated with prolonged pleural effusions, prolonged hospital stay, death |
| | D’Udekem (2007) | 305 | Mean 4.4 (IQR 3–7) | Retrospective/TCPS patients | - Elevated preoperative PA pressure, presence of AVVR independently associated with in-hospital mortality |
| | Hirsch (2008) | 636 | Mean 2 (IQR 1.7–2.5) | Retrospective/TCPS patients | - Longer aortic cross-clamp time associated with lower long-term survival  
- Pleural effusions > 2 weeks associated with decreased short- and long-term survival |
| | Kim (2008) | 200 | Mean 3.4 (Range 1.3–35.7) | Retrospective/TCPS patients | - High preoperative PA pressure associated with mortality  
- Heterotaxy syndromes, age at TCPS were associated with postoperative arrhythmias |
| | Khairy (2008) | 261 | Mean 7.9 (IQR 4.4–14.8) | Retrospective/TCPS patients | - PLE, single RV morphology, high preoperative RA pressure associated with heart failure |
| | Ohuchi (2011) | 405 | Mean±SEM 4.9±5.2 | Retrospective/TCPS patients | - Heterotaxy, AVVR associated with early mortality  
- Type of TCPS associated with late mortality |
| | Gaynor (2002) | 332 | Mean 1.8 (Range 0.9–31.7) | Retrospective/TCPS patients | - Low EF, AVVR, TAPVR in setting of extracardiac conduit associated with mortality  
- Common AV valve, high preoperative PA pressure associated with early death |
| | Anderson (2008) | 546 | Mean±SEM 3.4±2.1 | Cross sectional/TCPS patients | - HLHS associated with prolonged pleural effusions  
- Single RV morphology associated with poor ventricular function, AVVR |
| Cardiopulmonary exercise testing (AHA level B) | Diller (2010) | 321 | Mean±SEM 20.9±8.6 | Retrospective/TCPS patients | - Older age at TCPS associated with worse AVVR, arrhythmias  
- HRR, %pVO₂, percent predicted pVO₂, VE/VCO₂ slope associated with hospitalization  
- HRR associated with death/transplantation |
| | Giardini (2008) | 50 | Mean±SEM 15.4±6.3 | Retrospective/TCPS, atrioventricular, or AV connection patients | - Single RV morphology and non-TCPS palliation associated with increased rate of pVO₂ decline over time |
| | Madan (2010) | 50 | NYHA1/2 – Mean±SEM 15.5±5.5, NYHA3/4 – Mean±SEM 18.9±6.8 | Retrospective/TCPS patients | - Higher mean/peak CircP and pVO₂ associated with lower NYHA class |
Biomarkers in univentricular heart disease

**Hemodynamic biomarkers associated with surgical outcome**

Multivariable risk analysis of 5 year follow-up data in patients with partial cavopulmonary shunt (PCPS; commonly referred to as a Glenn shunt) surgery showed greater than mild AV regurgitation, prolonged hospitalization after stage 1 palliation (eg, Norwood), and age ≤3 months at PCPS to be independent risk factors for death or transplantation. At least moderate AV regurgitation and age ≤3 months at PCPS was also an independent risk factor for not proceeding to total cavopulmonary shunt (TCPS; commonly referred to as Fontan) completion. These authors point out, however, that patients who underwent PCPS at an earlier age may have been less stable after stage 1 palliation, requiring earlier progress to stage 2. In another study by Lee et al, moderate or severe AV regurgitation was acknowledged as a risk factor for survival to TCPS, but the presence of moderate ventricular dysfunction and an unbalanced atrioventricular canal defect were additional risk factors. In the same study, hypoxemia after PCPS that remained unexplained after cardiac catheterization carried an 80%–90% mortality rate.

In univentricular physiology, integrity of AV valve function is critical to optimal function of a circulation in series, the goal of TCPS surgery. Presence of moderate or severe AV regurgitation is an established biomarker for higher mortality risk in TCPS patients. In a thirty year follow-up of TCPS patients, Ohuchi et al showed that presence of moderate or worse AV regurgitation, total anomalous pulmonary venous return, TCPS, total cavopulmonary shunt (TCPS), and an unbalanced Fontan (eg, Norwood), and age ≥3 months at PCPS to be independent risk factors for death or transplantation. These authors also showed that the impact of heterotaxic syndrome on mortality decreased with surgical era and type of TCPS operation, losing its significance with the introduction of the extracardiac conduit. These data are concordant with those discussed by Kim et al, in which presence of a heterotaxy syndrome (ie, asplenia, polysplenia, ambiguous), and the type of surgery performed, places patients at different levels of risk. One study has shown that between the first two stages of palliation and in the presence of no or mild postoperative atrioventricular valve (AV) regurgitation, mortality is independently associated with gestational age less than 37 weeks, Hispanic ethnicity, aortic atresia/mitral atresia, greater number of post-Norwood complications, census block poverty level between 5.4% and 13%, and modified Blalock–Taussig shunt. Thus, patients with any of the above characteristics should be observed more closely.

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<td>Mean 3.4 (IQR 1.1–11.0)</td>
<td>Mean 2 (Range 0.2–6.7)</td>
<td>Mean 1.3 (Range 0.2–6.7)</td>
<td>Mean 3.7 (Range 0.2–6.5)</td>
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<td>Mean 2.4 (Range 1.1–5.5)</td>
<td>Mean 29 (Range 7.5–100)</td>
<td>Mean 71 (Range 5.5–100)</td>
<td>Mean 5 (Range 4.5–100)</td>
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Note: AHA level B refers to limited populations evaluated with data derived from a single randomized trial, or non-randomized studies. AHA, American Heart Association; Av, atrioventricular; AvvR, atrioventricular valve regurgitation; BNP, B-type natriuretic peptide; CircP, peak circulatory power; eF, ejection fraction; HLHS, hypoplastic left heart syndrome; HRR, heart rate reserve; IQR, interquartile range; LV, left ventricle; NTYA, New York Heart Association; PA, pulmonary artery; PLe, protein-losing enteropathy; PAO2, peak oxygen consumption; RA, right atrium; Rv, right ventricle; SeM, standard error of the mean; TAPvR, total anomalous pulmonary venous return; TCPS, total cavopulmonary shunt; VCO2, minute ventilation-carbon dioxide production.
presence of a common AV canal was also found to increase the mortality risk after TCPS surgery.24 Whether heterotaxy patients are at higher risk because they experience higher incidence of regurgitation from the common AV valve as well as total anomalous pulmonary venous connection, or whether it is inherent in the syndrome is not known. However, outcomes for this group of patients have improved over the years,9 and the functional status of survivors is now similar to that of other univentricular patients without heterotaxy.10

The presence of a pleural effusion for more than two weeks after TCPS also has been associated with higher long-term mortality and development of protein-losing enteropathy. Protein-losing enteropathy was also more common in patients with a single morphologically right ventricle,11 and is an independent predictor of heart failure, death and TCPS failure.12,13 Patients with hypoplastic left heart syndrome are at increased risk for developing persistent pleural effusion, while presence of a fenestration in a lateral tunnel conduit at TCPS, or using modified ultrafiltration, decreased the risk of pleural effusion, duration of hospitalization, and death in this population.8

High right atrial pressures12 and elevated preoperative pulmonary artery pressure2,7,14 have been shown to be risk factors for death over the course of staged surgical palliation. Preoperative pulmonary artery pressure greater than 15 mmHg was 90% specific for unfavorable outcome (hospital stay more than 14 days, pleural effusions lasting longer than 14 days, death prior to discharge) although with low sensitivity.15

Because the managing cardiologist should be aware of biomarkers of poor prognosis in specific patients, Diller et al devised a simple scoring system based on the risk factors of mortality, cardiac transplantation, and cardiac-related hospitalizations in a cohort of 321 TCPS patients. The system assigns one point for each of the following criteria if present: atrio-pulmonary connection, history of clinically significant arrhythmia, history of signs and symptoms of heart failure necessitating diuretic therapy. Patients with three risk factors had a 3-year risk of death or transplantation exceeding 40%, while patients with no risk factors had a 3-year freedom from death or transplantation of 100%. The scoring system was also able to predict cardiac-related hospitalization, and was shown to have predictive power superior to that of cardiopulmonary exercise testing.16

Biomarkers of long-term outcome derived from exercise physiology

Cardiopulmonary exercise testing (CPET) provides quantifiable measures of patient exercise (and functional) capacity. Diller et al showed that peak oxygen consumption (pVO2), percent predicted pVO2, anaerobic threshold, minute ventilation-carbon dioxide (VE/VCO2) slope, and heart rate reserve were all strongly predictive of cardiac-related hospitalization in univentricular physiology. However, only heart rate reserve predicted both death and cardiac transplantation.16

In this study, 81% of patients had a single morphologically left ventricle. Since patients with single left ventricle have more favorable results on CPET,17 and exhibit slower rates of decline over time, the predominance of left univentricular physiology in the study may have obscured a relationship between other parameters of CPET (eg, pVO2, VE/VCO2 slope) and mortality or cardiac transplantation.18

Peak circulatory power (CircP), the product of pVO2 and peak systolic arterial blood pressure, and mean CircP, the product of pVO2 and mean systolic arterial blood pressure, have been implicated as outcome biomarkers in univentricular heart disease. In a cohort of 50 single ventricle patients, a cut-point of mean CircP <2100 mmHg/mL O2/kg/min predicted a poorly functioning TCPS (NYHA class III-IV, protein-losing enteropathy, arrhythmias, death or transplantation) with 85% sensitivity.19

Plasma biomarkers

Plasma proteins traditionally come to mind when considering the topic of biomarkers, since these tend to be easier and less costly to obtain, measure, and standardize across clinical sites than biomarkers requiring invasive testing, imaging, or an exercise physiology lab. The use of plasma natriuretic peptide levels as biomarkers in univentricular patients, however, is controversial. B-type natriuretic peptide (BNP) is a peptide hormone produced in response to ventricular wall stress.20 It is rapidly cleared from the circulation through a process of cell surface binding and internalization, with a half-life of ~20 minutes.20 BNP was analyzed in 510 outpatients after TCPS in a NHLBI Pediatric Heart Network study.21 After controlling for age, gender, and type of TCPS, investigators found that higher BNP levels had a weak positive correlation with preoperative systolic ventricular dysfunction, postoperative diastolic dysfunction, postoperative complications, including thrombosis, lower chronotropic index, and ventricular mass index above the 95th percentile based on cardiac magnetic resonance imaging. There was also a weak correlation between elevated BNP levels and poorer physical function summary and domain scores on the parent-completed Child Health Questionnaire. In this study, there was no correlation found between BNP level and presence or magnitude of AV regurgitation or morphology of
the systemic ventricle. Based on their analysis, the authors concluded that outpatient monitoring of BNP in post-TCPS patients was not warranted.

Other investigators, however, have questioned this post hoc analysis, because of the inclusion of heterogeneous defects resulting in univentricular physiology, different surgical approaches employed, and varying management approaches used across centers. Koch et al demonstrated a correlation between BNP level and severity of AV regurgitation in patients with univentricular physiology and heart failure.22 BNP has also been shown to differentiate between isolated CPS (including both PCPS and TCPS) failure and ventricular dysfunction and/or AV regurgitation as causes of systemic congestion in this patient population. While elevations in BNP have not been detected in isolated CPS failure, increases in BNP level are observed with ventricular dysfunction and AV regurgitation.23

In two different studies, our group showed that doubling of BNP level in single ventricle patients was associated with a 2.2 odds ratio for heart failure20,24 as defined by a Ross score ≥3.25-27 A cut-point of ≥45 pg/mL correctly classified 75% of single ventricle patients with respect to the presence of heart failure, with 62% sensitivity and 81% specificity. Univentricular patients with a morphologically right ventricle also demonstrated a cut-point of ≥45 pg/mL that correctly classified 76% of patients (71% sensitivity, 77% specificity). When stratified by stage, a cut-point of 45 pg/mL correctly classified 73% of stage I patients (100% sensitivity, 60% specificity), 73% of stage II patients (57% sensitivity, 80% specificity), and 88% of stage III patients (100% sensitivity, 83% specificity). This analysis supports a cut-point of 45 pg/mL for predicting heart failure in single right ventricle patients, regardless of stage of palliation.20

Since it is possible that univentricular patients produce less BNP precursor (pre-BNP), our group also examined whether N-terminal pro-BNP peptide (NT-proBNP), another product of pre-BNP processing cleared by renal excretion with a longer half-life (1–2 hours)28 was more useful for predicting heart failure in single ventricle patients. NT-proBNP is stable at room temperature for 72 hours, while BNP is stable for 24 hours, which also makes NT-proBNP a more stable biomarker target.29

We observed that for all patients with a single morphologically right ventricle, a cut-point of ≥1,100 pg/mL showed both sensitivity (78%) and specificity (83%), correctly classifying 82% of patients with respect to the presence of heart failure. Interestingly, when these patients were stratified by stage of surgical palliation, the threshold for classifying heart failure decreased with stage, which may reflect the gradual unloading of the single ventricle with successive procedures (ie, stabilization of pulmonary blood flow in stage I, followed by PCPS [stage 2], then TCPC [stage 3]). A cut-point of 1,900 pg/mL was needed to correctly classify 80% of patients at stage I, while this decreased to 1,100 pg/mL for stage II patients, and 300 pg/mL for stage III patients.20

These studies suggest that BNP and NT-proBNP can be useful in identifying patients at various stages of single ventricle palliation that have mild heart failure. This is supported by a recent systematic review of 16 published studies including 1,185 patients with univentricular heart disease.30 This analysis concluded that elevated BNP levels were consistently elevated when patients were symptomatic, and there was a clear association between BNP level and NYHA functional class. Whether these biomarkers can be used to guide therapy in this patient population, however, remains to be demonstrated.

Beyond BNP, other plasma biomarkers are being evaluated that may be helpful in managing univentricular patients. Growth Differentiation Factor-15 level has been shown to correlate inversely with ejection fraction in univentricular patients,31 pVO2 in adults with congenital heart disease,32 and directly with NT-ProBNP levels.32 A single nucleotide polymorphism (G5665T) in the preproendothelin-1 gene has also been identified as a potential biomarker for outcome in patients with hypoplastic left heart syndrome undergoing surgical palliation.33 Patients with the T/T5665 genotype had significantly worse midterm prognoses with respect to transplant-free survival compared to patients with either G/T5665 or G/G5665.33

Conclusion

In the field of complex congenital heart disease, biomarkers have significantly impacted patient management, from quantifying the degree of heart failure to identifying patients who are less likely to have a successful outcome with TCPS surgery and a univentricular circulation. While knowledge gaps remain, technological advances in molecular biology, biochemistry, and cell biology, as well as anatomic and metabolic imaging, and exercise testing, provide opportunities for new biomarkers to emerge. These will be essential not only to understanding the natural course of univentricular congenital heart disease with existing therapies, but to developing reasonable clinical trial strategies for testing new drugs that might limit thromboembolic complications and improve myocardial function in these patients.
Disclosure
HS Bernstein is a current employee of Merck Sharp & Dohme Corp, a subsidiary of Merck & Co, Inc., and may own stock or hold stock options in the company.

References