REVIEW

# Botanics: a potential source of new therapies for Alzheimer's disease?

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Department of Biotechnology, Alagappa University, Karaikudi, Tamil Nadu, India **Abstract:** Alzheimer's disease is an age-related, complex neurodegenerative disorder characterized by loss of memory and impairment of multiple cognitive functions. Several factors contribute to the progression and development of the disease including amyloid beta accumulation, neurofibrillary tangle formation, cholinergic deficit, oxidative stress, neuroinflammation, and apoptosis. Numerous traditional and herbal medicinal plants have been used to treat several cognitive disorders including Alzheimer's disease. They act as excellent antioxidants, anti-inflammatory mediators, and cholinesterase and  $\beta$ -secretase inhibitors. In addition, these natural compounds also prevent the accumulation of amyloid beta and its fibril formation. Besides acting as core-molecules, these natural compounds act as a template for the production and synthesis of several drug leads with improved pharmacological effects with noticeable anti-Alzheimer's potential deserve increased attention for further development to drug entities. The present article reviews the botanical pharmacology with special reference to anti-Alzheimer activity of plants and plant-derived compounds.

**Keywords:** neurodegeneration, medicinal plants, antioxidants,  $A\beta$  peptide, neuroprotective, clinical trials

#### Introduction

Alzheimer's disease (AD) is a progressive age-related neurodegenerative disorder characterized by progressive cognitive deficits and behavioral disturbances. Several complex pathogenic pathways have been found to be involved in the disease development and progression, including plaque formation, inflammatory cascade, cholinergic deficit, oxidative stress, etc.<sup>1</sup> Formation of senile plaques and neurofibrillary tangles remain the most important neuropathological hallmarks of AD. Senile plaques are the major constituent of toxic amyloid beta (A $\beta$ ) peptide, which is surrounded by dystrophic neurites and activated microglia. Aß accumulates as a result of altered proteolytic processing of amyloid precursor protein (APP) by β-secretase and  $\gamma$ -secretase.<sup>2</sup> An increased amount of A $\beta$  (soluble monomeric form) self-aggregation into AB oligomers is found to be present in the brain of AD patients. These oligomers are highly toxic in nature and cause synaptic dysfunction due to oxidative stress and inflammation.3 It has been demonstrated that at least two intermediate processes are involved in the process of fibrillogenesis.<sup>4</sup> The small oligomers undergo noncovalent interactions and form protofibrils, which are less structured than mature fibrils. The protofibrils, upon maturation, result in the formation of mature amyloid-like fibrils (Figure 1).<sup>4</sup>

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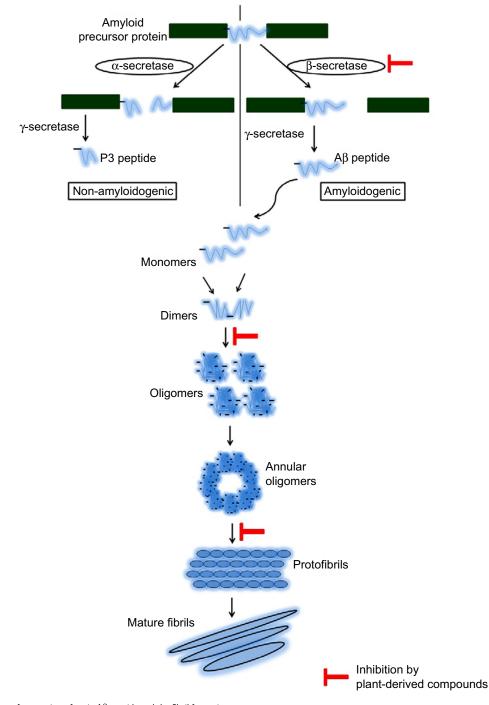


Figure 1 Mechanism of generation of toxic A $\beta$  peptide and the fibril formation. Note: Major targets for plant-derived natural compounds are indicated. Abbreviation: A $\beta$ , amyloid beta.

Apart from senile plaques, the presence of neurofibrillary tangles has been considered as another pathological event in AD. These neurofibrillary tangles are intracellular fibrillar aggregates of the microtubule-associated protein tau that exhibits hyperphosphorylation and oxidative modifications.<sup>2</sup> Normally, the tau protein binds to microtubules and promotes the stability and assembly of microtubules. However, under diseased conditions, these tau proteins undergo hyperphosphorylation, which results in the decrease in affinity toward the microtubule and consequently causes aggregation. Recent evidence suggests that the level of hyperphosphorylated tau protein correlates with the degree of cognitive impairment in patients suffering from AD.<sup>3</sup>

Anti-Alzheimer activity of medicinal plants

Recent studies indicate that oxidative stress and free radical generation have been implicated as major etiological factors in the pathogenesis of AD.<sup>5–7</sup> The free radicals generated in turn induce the formation of advanced glycation end products, nitration, lipid peroxidation adduction products, and also the carbonyl-modified neurofilament protein and free carbonyls, ultimately resulting in neuronal death (Figure 2). In addition to that, it has been verified that oxidative stress can induce amyloidogenic processing of beta-APP ( $\beta$ -APP), resulting in accumulation of potentially neurotoxic A $\beta$  species.<sup>8</sup>

One of the earliest pathological events in AD is thought to be the dysfunction and loss of basal forebrain cholinergic neurons and their cortical projections.<sup>9</sup> In general, two types of cholinesterases (ChEs) exist within the nervous system, acetylcholinesterase (AChE) that is primarily associated with cholinergic neurons and butyrylcholinesterase (BuChE), also known as pseudocholinesterase or nonspecific ChE, a serine hydrolase that catalyses the hydrolysis of esters of choline that is associated with supporting glial cells and specific cholinergic nerve tracts. These ChEs are highly efficient since they are able to cleave more than 10,000 molecules

of acetylcholine (ACh) per second and produce acetate and choline rapidly (Figure 2).<sup>10</sup> Cholinergic neurons produce ACh (by the action of enzyme choline acetyl transferase), which gets concentrated in vesicles and released from the presynaptic cell following depolarization. Under diseased conditions, the activity of ChEs will be high, resulting in scarcity in the levels of ACh, which ultimately results in the halt of neurotransmission. Furthermore, it has been previously demonstrated that AChE promotes A $\beta$  aggregation and a remarkable increase in the cortical levels of BuChE has been found to be associated with neuritic plaques and neurofibrillary tangles, which are the major neuropathological features of AD.11 Hence, in the current scenario, inhibitors of AChE and BuChE have become the major targets for the development of therapeutic strategies for AD. These ChE inhibitors enhance the cholinergic neurotransmission by prolonging the time in which ACh molecules remain in the synaptic cleft.12

Besides these factors, the role of metal ions in A $\beta$ -mediated toxicity in AD-affected patients' brains has been largely implicated. Jomova et al<sup>13</sup> suggest that an increase in the content of Cu and Fe in the brain results in the stimulation

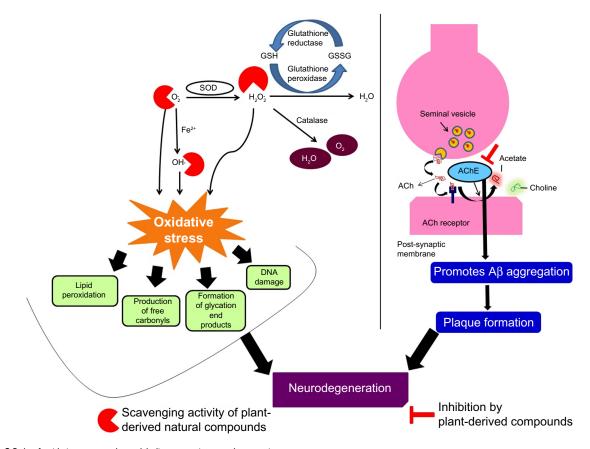


Figure 2 Role of oxidative stress and acetylcholinesterase in neurodegeneration. Abbreviations: Aβ, amyloid beta; ACh, acetylcholine; AChE, acetylcholinesterase; GSH, glutathione; GSSG, glutathione disulfide; SOD, superoxide dismutase.

of free radical generation, increased protein and DNA oxidation, and enhanced lipid peroxidation in AD. In addition, the aggregated state and structure of A $\beta$  peptide depends mainly on the concentration of peptide and the concentration of three metal ions like Cu, Zn, and Fe. Interestingly, it has also been suggested that Cu(II), Zn(II), and Fe(III) binds to A $\beta$  with high affinity and the levels of these metal ions were found to be in higher amounts in the neocortex region, where the amyloid plaques are present to a great extent.

The involvement of the inflammatory process has been greatly implicated in the pathogenesis of AD.<sup>14-16</sup> Although the inflammatory reaction has been believed to be the first line of protection against injury, abnormal increase in the activation of inflammatory players contributes to the deleterious neurodegenerative processes. The neuroinflammatory process involves activated microglial cells, astrocytes, neurons, and complement systems. The formation of senile plaques in AD also involves the chronic activation and dysfunction of microglial cells. In addition, high levels of interleukin (IL)-1 are often found in the proximity of AB plaques and neurofibrillary tangles.<sup>17</sup> It has also been verified that the production of IL-6 by microglial cells directs the effective clearance of  $A\beta$ , which suggests that normal microglial cells are excellent in clearing A $\beta$ ; however, it fails in its function whenever there is a rapid accumulation of a large amount of A $\beta$  peptides.<sup>18</sup> Earlier findings suggest that apoptotic cell death is a common feature shared by sporadic and familial forms of AD.<sup>2,19</sup> The presence of fragmented DNA, activated forms of caspases (3, 8, and 9), and altered expression of cell-death-related genes like Bcl-2 suggests that apoptosis might be one of the central mechanisms leading to neuronal death in AD. Moreover,  $A\beta$ has been considered as the trigger of apoptotic cell death, as pro-apoptotic proteins were found to be associated with A $\beta$  deposits in the brains of AD patients.<sup>20</sup> In addition, A $\beta$ activates the mitochondrial cell death pathway indirectly by promoting oxidative stress through the production of reactive oxygen species (ROS; prime pro-apoptotic molecules) and it was also found to induce the death-receptor pathway by interacting with the cell membrane receptors present in microglia including tumor necrosis factor alpha (TNF- $\alpha$ ) receptor, p75 receptors, and receptors for advanced glycation endproducts, which promotes neuronal apoptosis.<sup>21</sup>

In addition to the abovementioned factors, neurovascular dysfunction also contributes to cognitive deficit and neurodegeneration in AD. The neurovascular system in the brain controls the internal environment of neurons by regulating local cerebral blood flow and transport across the blood–brain barrier (BBB).<sup>22</sup> Notable changes like reduced microvascular density, increase in the number of fragmented vesicles, irregular capillary surfaces, and collagen accumulation in the basement membrane have been observed in the brains of AD patients, suggesting a strong association between cerebrovascular disorder and AD.23,24 Transport across the BBB is highly influenced by the concentration of soluble  $A\beta$ in central nervous system.<sup>25</sup> Under physiological conditions, the amount of free A $\beta$  in brain interstitial fluid is higher than the in plasma. Increased basal level  $A\beta$  in plasma than the body fluids and interstitial fluids has also been reported in AD mice.<sup>26</sup> It has long been known that apolipoprotein E (APOE4) is involved in the binding and metabolism of lipids, and in the clearance of A  $\beta$  from the brain.<sup>27</sup> A protein variant APOE4 of mice induces a destructive inflammatory response in pericytes (cells that wrap around blood vessels and seal off the BBB). The resulting breach in the barrier results in the leakage of molecules that are toxic to neurons and cause abnormal changes in the brain. Therefore, researchers have started targeting these inflamed pericytes and other vascular abnormalities that cause neurodegeneration.28

#### **Botanical pharmacology**

For several decades natural products have been used as traditional medicine to treat several diseases and disorders. Most of these traditional herbal medicines are complex mixtures of chemical components possessing diverse biological and pharmacological activities. Theoretically, these medicines have been believed to maintain the level of vital energy in our body. In recent years, the effort to develop drugs from natural sources has increased tremendously, which has resulted in the development of a wide range of natural-productderived drugs against a large number debilitating diseases. Interestingly, noticeable effort has been made to develop potential anti-AD agents from natural products.<sup>29,30</sup>

#### Botanics as source of drugs

Houghton<sup>31</sup> suggests that many important drugs employed in modern medicines consist of active principles derived from traditional medicines, which have been used as the only drug of choice for particular conditions. The use of the *Digitalis* species-derived compound, digoxin (cardiotonic glycoside) and analgesic-activity-bearing compounds such as morphine and codeine from opium poppy, *Papaver somniferum* L. (Papaveraceae), have a long history of use. There are certain medicinal plant-derived compounds which cannot be used in their native forms, and hence are chemically modified to attain the best therapeutic potentials. One fine example is the antimalarial compound artemesinin, derived from the

Chinese medicinal herb *Artemisia annua* L. (Asteraceae). Artemesinin, by nature, is insoluble in water; hence, it poses problems during administration, whereas sodium artesunate, a derivative of artemesinin that was developed, has good solubility in water without losing its antimalarial potency. Another compound, lignocaine (derived from cocaine), was designed by removing its addictive properties and retaining the features of cocaine that are vital for its anesthetic activity. Hence, the natural compounds, apart from exhibiting excellent therapeutic values directly, also serve as lead compounds for the development of novel compounds with improved activities and reduced side effects.

## Mode of action of medicinal plants against AD

One of the standard anti-AD drugs, galantamine (alkaloid), was isolated from bulbs and flowers of *Galanthus woronowii* Losinsk. (Amaryllidaceae). The mode of action of this Food and Drug Administration (FDA)-approved drug is inhibition of AChE.<sup>32</sup> In addition, terpenoids like ginsenosides obtained from *Panax ginseng* L. (Araliaceae) have been studied extensively on their anti-AD efficacy.<sup>3</sup> The mode of action of a wide range of medicinal plants which are being screened to lessen the effects of several pathological consequences of AD are discussed below.

#### Plants as a source of antioxidants

Oxidative stress plays a central role in AD pathogenesis, in which several factors are reported to influence the rapid generation of ROS in the AD brain. The brain is more vulnerable to oxidative stress since it requires a high amount of oxygen per unit of weight. Moreover, the brain region is rich in oxidizable polyunsaturated fatty acids, which are highly susceptible to lipid peroxidation. In addition, mitochondrial dysfunction with age also contributes to increased production of ROS in the AD brain. The major source of production of ROS by mitochondria in aged persons is identified as electron transport complexes I and III.<sup>33</sup> An Increase in the concentration of iron causes ROS generation, which further promotes protein modifications and subsequent damage. A sudden increase in activated microglial cells also represents a major source of ROS.<sup>34</sup>

Over the past several years, natural antioxidants such as polyphenols have been considered as an alternative for the treatment of age-related neurological disorders.<sup>35,36</sup> Polyphenols are plant-derived secondary metabolites, which represent a broad group of compounds having aromatic rings and are characterized by the presence of hydroxyl groups with varied structural complexities. These polyphenols execute neuroprotective effects through a variety of actions like inactivation of free radicals, interaction with transition metals, and modulating the activities of antioxidant enzymes.<sup>37</sup> The methanol–water (8:2) extract of leaves of *Ricinus communis* L. (Euphorbiaceae) has been found to exhibit significant antioxidant activity and the presence of Gallic acid, quercetin, gentisic acid, rutin, epicatechin, and ellagic acid has been attributed to the observed activity.<sup>29</sup> Orhan and Aslan<sup>38</sup> demonstrated that *Salvia triloba* L., *Teucrium polium* L., and *Melissa officinalis* L. showed strong radical scavenging activity against 2, 2-diphenyl-1-picrylhydrazyl radicals. In addition, extensive studies have been carried out to delineate the antioxidant potential of several medicinal plants.<sup>39-44</sup>

#### ChE inhibitors

One of the neurochemical alterations that occur in AD is the cholinergic deficit, which is caused either due to the reduced production of ACh or due to the abnormal increase in the activity of the enzyme AChE. Hence, ChE inhibitors are considered as the standard drugs for the treatment of AD. Tacrine (1, 2, 3, 4-tetrahydro-9-aminoacridine) was the first drug, which was approved as a ChE inhibitor for the treatment of AD.<sup>45</sup> Later, various AChE inhibitors were developed including donepezil, galantamine, rivastigmine, etc.<sup>46</sup> In recent years, a large variety of plants and their crude extracts have been screened for anti-ChE activity, which are listed in Table 1.

#### Anti-amyloidogenic activities of medicinal plants

One of the key pathological effects in AD is fibrillation of  $A\beta$  peptide and the formation of toxic oligomers. Hence, preventing the aggregation of the A $\beta$  peptide might help in the treatment of AD. Several medicinal plants have been found to exhibit anti-amyloidogenic activities. In particular, they exhibit specific effects on the formation of aggregates and destabilization of preformed fibrillar structures in brain. Since the formation of fibrillar aggregates remains the most important pathological event, the plants with potential anti-amyloidogenic activity will be highly beneficial in developing novel drug leads against AD.

Fujiwara et al<sup>69</sup> demonstrated that *Uncaria rhynchophylla* Miq. (Rubiaceae), a traditional Chinese medicinal herb, exhibits excellent inhibitory activity against aggregation of both A $\beta$  1-40 and A $\beta$  1-42 peptide and also on the assembly of A $\beta$  fibrils. Another Chinese traditional herbal medicine, *Paeonia suffruticosa* Andrews (Paeoniaceae) (methanolic,

#### Table I Plants with potential AChE inhibitory activity

Serial number	Plant	Family	Extract/active compound	<b>References</b> Howes et al <sup>46</sup>	
1	Angelica archangelica L.	Apiaceae	Unknown		
2	Coptis Chinensis Franch	Ranunculaceae	Berberine, palmatine	Howes et al <sup>46</sup>	
3	Evodia rutaecarpa (Juss.)	Tetradium	Dehydroevodiamine	Howes et al <sup>46</sup>	
4	Polygala tenuifoila Willd.	Polygalaceae	Root extract	Howes et al <sup>46</sup>	
5	Salvia officinalis L.	Labiatae	Ethanolic extract	Howes et al <sup>46</sup>	
6	Salvia lavandulaefolia Vahl.	Labiatae	I,8-cineole and $\alpha$ -pinene	Howes et al <sup>46</sup>	
7	Withania somnifera (L.) Dunal	Solanaceae	Sitoindosides	Howes et al <sup>46</sup>	
8	Andrographis paniculata Nees	Acanthaceae	Hydroalcohol extract	Mukherjee et al47	
9	Nardostachys jatamansi (D. Don) DC.	Valerianaceae	Hydroalcohol extract	Mukherjee et al <sup>47</sup>	
10	Nelumbo nucifera Gaertn	Nymphaeaceae	Hydroalcohol extract	Mukherjee et al47	
11	Myristica fragrans Houtt	Myristicaceae	Hydroalcohol extract	Mukherjee et al47	
12	Evalvulus alsinoides L.	Convolvulaceae	Hydroalcohol extract	Mukherjee et al47	
13	Scoparia dulcis L.	Scrophulariaceae	Chloroform extract	Coulibaly et al48	
14	Centella asiatica (L.) Urban	Umbelliferae	$lpha$ -pinene, $eta$ -pinene, $\gamma$ -terpinene	Miyazawa et al <sup>49</sup>	
14	Bacopa monniera Wettst.	Plantaginaceae	Ethanolic extract	Ahirwar et al <sup>50</sup>	
15	Lippia stoechadifolia H. B.	Verbenaceae	Pulegone-1, 2-epoxide	Grundy and Still⁵	
16	Magnolia officinalis Rehder & Wilson	Magnoliaceae	Honokiol	Hou et al <sup>52</sup>	
17	Melissa officinalis L.	Lamiaceae	Essential oil fraction	Ferreira et al <sup>53</sup>	
18	Huperzia serrata Brehn.	Lycopodiaceae	Huperzine A	Wang et al <sup>54</sup>	
19	Salvia miltiorrhiza Bunge.	Lamiaceaea	Dihydrotanshinone, cryptotanshinone	Ren et al <sup>55</sup>	
20	Desmodium pulchellum (L.) Benth	Papilionaceae	β-carbolines	Ghosal et al <sup>56</sup>	
21	Prosopis juliflora (Sw.) DC	Papilionaceae	Juliflorine	Choudhary et al <sup>57</sup>	
22	Sarcococca saligna (D. Don)	Buxaceae	Salignenamides-C, -E and -F, axillarine- C, saligcinnamide, vaganine-A, 5,6-dehydrosarconidine, 2-hydroxysalignarine-E, salignamine,	Khalid et al <sup>58</sup>	
			2-hydroxysalignamine-E, epipachysamine-D, dictyophlebine, iso-N- formylchonemorphine and axillaridine-A		
23	Narcissus pseudonarcissus L.	Amaryllidaceae	Lycorine	Acqua <sup>59</sup>	
24	Cocculus pendulus (J. R. and G. Frost.) Diels	Menispermaceae	kurramine-2′-β-N-oxide and kurramine- 2′-α-N-oxide	Atta-Ur-Rahman <sup>60</sup>	
25	Otostegia limbata (Benth.)	Lamiaceae	<i>ci</i> s-clerodane	Ahmad et al <sup>61</sup>	
26	Cynanchum atratum Bunge.	Asclepiadaceae	Cynatroside B	Lee et al <sup>62</sup>	
27	Buxus papillosa C.K. Schneider	Buxaceae	Buxamines B and C	Khalid et al <sup>63</sup>	
28	Tabernaemontana australis (Müell. Arg)	Apocynaceae	Coronaridine, voacangine, voacangine hydroxyindolenine and rupicoline	Andrade et al <sup>64</sup>	
29	Evodia rutaecarpa Juss.	Rutaceae	Dehydroevodiamine	Park et al <sup>65</sup>	
30	Origanum majorana L.	Lamiaceae	Ursolic acid	Chung et al <sup>66</sup>	
31	Lycopodium clavatum L.	Lycopodiaceae	α-onocerin	Orhan et al <sup>67</sup>	
32	Citrus junos Siebold ex. Tanaka	Rutaceae	Naringenin	Heo et al <sup>68</sup>	

Abbreviation: AChE, acetylcholinesterase.

ethanolic and water extracts) showed inhibitory effect on A $\beta$  fibril formation and also promoted defibrillation of preformed A $\beta$  fibrils in a concentration dependent manner. In addition to that, it has been revealed that the inhibition exhibited by *P. suffruticosa* is independent on the presence of distinct amino acids present in the C-terminal region, as it displayed its inhibitory activity against both A $\beta$  1-40 and A $\beta$  1-42.<sup>70</sup> Papandreou et al<sup>71</sup> studied the anti-aggregation potential of *Crocus sativus* L. (Iridaceae) stigmas extract and

the results suggest that the extract significantly inhibited the formation of amyloid fibrils in a concentration- and timedependent manner. Salvianolic acid B, derived from *Salvia miltiorrhiza* Bunge. (Lamiaceae) and a Chinese herbal medicine commonly used for the treatment of cardiovascular and cerebrovascular disorders, has been evaluated for its effect on A $\beta$  fibrillation and disaggregation of fibrils. The outcome of the study suggests that salvianolic acid B inhibits fibril aggregation and destabilizes the A $\beta$  fibril, dose-dependently.<sup>72</sup>

Another study led by Kang et al<sup>73</sup> verified that the butanolic extract of Ecklonia cava Kjellman (Lessoniaceae) reduces the production of toxic A $\beta$  peptide from APP with Swedish mutation. The results of the study also suggest that the extract inhibited the formation of mid-size oligomers from the soluble monomers. More interestingly, the extract has been found to inhibit fibril formation from insoluble oligomers, which suggests that the butanolic extract of E. cava ameliorates Aβ-mediated neuronal death. Curcumin (diferuloylmethane), a low molecular weight compound, has been found to exhibit brilliant antioxidant and anti-inflammatory activities when checked with various in vivo and in vitro model systems. Yang et al<sup>74</sup> evaluated the effect of curcumin on the aggregation and disaggregation of A $\beta$  peptide using in vitro and in vivo systems. The results of the study revealed that curcumin directly binds to small AB peptide to block aggregation and fibril formation. In addition, curcumin, when given in a low dose, promotes the disaggregation of preformed fibrils. Apart from the abovementioned herbal medicines, several edible food constituents have also been verified for their antiamyloidogenic activities including ellagic acid, garlic acid, and mulberry leaf extract. The results of the study suggest that they induce anti-aggregation and defibrillation activities when checked in various model systems.75-77

#### Anti-inflammatory activities of medicinal plants

Although A $\beta$  accumulation and aggregation of hyperphosphorylated tau in different neuronal compartments are reported to be associated with neuronal loss and synaptic dysfunction in AD, the impact of neuroinflammation has also been implicated in the pathogenesis of AD.78 In addition, accumulation of activated microglial cells (the predominant macrophage species within the brain) has been correlated with decline in cognition in AD.<sup>79</sup> A $\beta$  induces the execution of the inflammatory process through enhanced cytokine production and increased release of TNF- $\alpha$  and IL-1 $\beta$ . In addition, the induction of such an inflammatory response has been apparently observed in the brains of AD patients.79 Several studies have shown that the use of nonsteroidal anti-inflammatory drugs (NSAIDs) may reduce the risk of developing AD, and that patients with rheumatoid arthritis, who often use NSAIDs, have a lower incidence of developing AD.<sup>80-85</sup> However, prolonged usage of chemical NSAIDs can cause damage to several organs. Hence, targeting the inflammation cascade with natural products might act as a potent therapeutic option for AD.

Recent researchers have started focusing on herbal medicines to verify the presence of anti-inflammatory activity

compounds in them. Dipsacus asper Wall (Dipsacaceae) is a perennial herb, which was used traditionally in China for the treatment of rheumatic arthritis, traumatic hematoma, and bone fractures, and to threaten abortion. Akebia Saponin D (ASD), a typical bioactive triterpenoid saponin isolated from the rhizome of D. asper, was assessed for its antiinflammatory effect on AB 1-42 administered rat animal models. The results suggest that ASD inhibited the expression of TNF- $\alpha$ , IL-1 $\beta$ , and cyclooxygenase 2 (COX-2) in rat brain. In addition, the saponin also inhibited Akt and nuclear factor kappa-light-chain-enhancer of activated B cells, suggesting that ASD possesses potential anti-inflammatory activity.86 Ginger extract isolated from Zingiber officinale inhibited lipopolysaccharides (LPS), cytokine, and amyloid A $\beta$ -peptide-induced expression of the proinflammatory genes TNF- $\alpha$ , IL-1 $\beta$ , COX-2, macrophage inflammatory protein alpha, monocyte chemotactic protein-1, and interferon gamma-induced protein 10. The study gives experimental evidence that ginger can inhibit the activation of human monocytic THP-1 cells by different proinflammatory stimuli and reduce the expression of a wide range of inflammationrelated genes in the microglial-like cells studied.87

The defective clearance of A $\beta$  peptide by the innate immune system is related to the brain amyloidosis in patients with AD. Certain phenolic compounds, like curcuminoids, downregulate the expression of inflammatory enzymes apart from increasing the phagocytosis of A $\beta$ peptide in macrophages of nearly 50% of AD patients.<sup>88</sup> In THP-1 monocytic cells, curcumin isolated from *Curcuma longa* inhibited A $\beta$ -induced expression of Egr-1 protein and Egr-1 DNA-binding activity. Egr-1 has been shown to have a role in amyloid-peptide-induced cytochemokine gene expression in monocytes. Hence, curcumin can reduce inflammation in monocytes through inhibition of Erg-1 and further the chemotaxis of monocytes, which can occur in response to chemokines from activated microglia and astrocytes in the brain.<sup>89,90</sup>

Numerous other medicinal plants have been screened for anti-inflammatory activities. The anti-inflammatory activity of *Kalanchoe pinnata* (Lam.) Pers. (Crassulaceae) and *Sarcostemma secamone* L. Bennet (Asclepiadaceae) was evaluated using carrageenan-induced paw-edema-bearing rats. The results showed that the ethanolic extract of both medicinal plants exhibited a significant anti-inflammatory activity in paw-edema-bearing rats.<sup>91,92</sup> Leal et al<sup>93</sup> assessed the anti-inflammatory activities of five traditionally used Brazilian medicinal plants, including *Torresea cearensis* Allemão (Fabaceae), *Justicia pectoralis* Jacq. (Acanthaceae),

Eclipta alba L. (Asteraceae), Pterodon polygaliflorus Benth. (Pteranodontidae), and Hybanthus ipecacuanha L. Baill (Violaceae Batsch). Among them, the hydroalcoholic extract of T. cearensis alone showed excellent anti-inflammatory activity in carrageenan-induced paw-edema-bearing rats. Other plant extracts exhibit anti-inflammatory activity intraperitoneally. A similar study was carried out to validate the anti-inflammatory activity of 14 Chinese medicinal plants by measuring the inhibition of the production of nitric oxide and TNF- $\alpha$  in LPS- and interferon-gamma-activated RAW 264.7 macrophages. The outcome of the study suggested that out of 14 plants, only five plant extracts showed significant anti-inflammatory activity, which includes the plant extracts of Scutellaria baicalensis Georgi (Lamiaceae), Taxillus chinensis Danser (Loranthaceae), Sophora japonica L. Schott (Fabaceae), Mahonia fortunei (Lindl.) Fedde (Berberidaceae), and Sophora flavescens Aiton (Fabaceae).<sup>94</sup> Sharififar et al<sup>95</sup> studied the anti-inflammatory effect of four Iranian medicinal plants Trigonella foenumgraecum L. (Fabaceae), Zhumeria majdae Rech. (Nepetoidae), Achillea wilhelsii C. Koch (Asteraceae), and Viola tricolor L. (Violaceae).

Screening of these anti-inflammatory plants further against  $A\beta$ -induced inflammatory reaction could help in the identification of new agents for preventing  $A\beta$ -peptide-induced inflammation in AD.

#### Plants as $\beta$ -secretase inhibitors

β-site APP cleaving enzyme 1 (BACE-1), also known as β-secretase 1, a transmembrane aspartic protease is secreted in all tissues, but is present in higher amount in neurons of the brain. It is the key enzyme which cleaves the APP to form N-terminus of Aβ peptide followed by γ-secretase, which cleaves the C-99 fragment to generate C-terminus of Aβ and the mature peptide (Figure 1).<sup>96</sup> Compared to γ-secretase, β-secretase has become the most promising target for pharmaceutical research on AD therapeutics since γ-secretase has a potential side effect of inhibiting another important target, the Notch protein. Thus if γ-secretase is inhibited, neuronal development will be affected as Notch has multiple other substrates and also serves important functions in neuronal development.<sup>97</sup>

Several plant extracts and plant-derived compounds have been found to have influence on the pathways of A $\beta$  production, in particular by interacting with brain enzymes like  $\beta$ - and  $\gamma$ -secretases.<sup>98</sup> Kwak et al<sup>96</sup> identified the presence of two  $\beta$ -secretase inhibitors (ellagic acid and punicalagin) from the husk of pomegranate, *Punica granatum* L. (Lythraceae). Both of these compounds were found to be more specific in inhibiting  $\beta$ -secretase as they exhibited lesser inhibitory effect against  $\alpha$ -secretase activity. Another study led by Hwang et al<sup>99</sup> showed that the lipophilic alkylated flavanones from S. flavescens Aiton. (Fabaceae) possess an excellent BACE-1 inhibiting effect, verified by using transfected human embryonic kidney (HEK-293) cells. The mode of inhibition exhibited by the flavonones was a noncompetitive type. Recent research on the dried rhizomes of Smilax china L. (Smilacaceae) suggests that the ethyl acetate soluble fraction of the rhizome possesses potent inhibitory activity against BACE-1. The active components identified from the fractions were trans/cis-resveratrol mixture, oxyresveratrol, veraphenol, and cis-scirpusin A.<sup>100</sup> It has also been demonstrated that green and black tea polyphenols exhibit potential BACE-1 inhibitory activity, thereby reducing the progression of AD.101,102

Even with BACE-1 inhibition, the major concern is the inhibition of non-APP substrates like P-selectin glycoprotein ligand-1 or low-density lipoprotein receptor-related protein. Hence, drugs which bind to APP rather than BACE-1, known as APP selective BACE inhibitors (ASBI) are desired. An attempt was made by Descamps et al<sup>97</sup> to screen nearly 448 clinical compounds in an ASBI assay (a high throughput assay developed for screening for BACE inhibitors), in which the bioflavonoid rutin (a citrus flavonoid glycoside) and galangin (a bioflavonoid from the galangal rhizome) were identified as potent ASBIs. Before these drugs are taken up for clinical trial as ASBIs, further research on pharmacokinetics and improving their potency is required.

### Protective effect of natural compounds against BBB breakdown

Cerebrovascular lesion has been found to impart major effects in AD. In addition, A $\beta$  protein degenerates the larger perforating arterial vessels and cerebral capillaries of the BBB.<sup>103</sup> As the alteration in BBB profoundly contributes to AD pathology, researchers have started focusing on the development of compounds from natural sources that combat these abnormalities. Curcumin, a phenolic compound from the rhizome of *C. longa* Linn. (Zingiberaceae), has been reported to protect the BBB integrity.<sup>104</sup> Several reports have also demonstrated that flavonoids affords protection to endothelial cells against ROS and inflammatory insults mediated BBB breakdown and related neuronal dysfunction.<sup>105–108</sup>

It has been verified that brain endothelial cells play a crucial role as structural and functional components of the BBB.

Abnormal increases in the BBB disruption are associated with neuroinflammation and, in turn, trigger the expression of matrix metalloproteinase expression.<sup>109</sup> In view of this, Tahanian et al<sup>110</sup> verified the protective effect of non-glycosidic flavonoids against major inflammatory markers like matrix metalloproteinase 9 and COX-2 proteins. Flavonoids like fisetin, apigenin, and luteolin were found to inhibit inflammatory biomarkers like matrix metalloproteinase 9 and COX-2 expression in a dose-dependent manner.

#### Plants as $\gamma$ -secretase inhibitors

 $\gamma$ - secretase is the enzyme that cleaves  $\beta$ -APP in the transmembrane domain and generates the toxic A $\beta$  peptides. In addition to the  $\beta$ -APP processing,  $\gamma$ -secretase plays a crucial role in the cleavage of the Notch family of cell surface receptors, a protein required for the transcriptional regulation during development.<sup>111</sup> Therefore, the use of γ-secretase inhibitors has provided insights into proteolytic activity and suggests that such inhibition might be a useful therapeutic strategy.<sup>112</sup> Lee et al<sup>113</sup> demonstrated that the green tea polyphenol epigallocatechin-3-gallate inhibited LPS-induced elevation of A $\beta$  levels through the attenuation of LPS-induced  $\beta$ - and  $\gamma$ -secretase activities. A novel triterpene isolated from the extract of Actaea racemosa reduces A $\beta$ -induced toxicity through the modulation of  $\gamma$ -secretase activity. It has also been suggested that the compound may bind to the y-secretase complex or the y-secretase-APP complex, modulating the cleavage of APP and thereby reducing the formation of A $\beta$  peptides.<sup>114</sup>

### Pharmacokinetic properties of the natural products targeted against AD

AD is a complex neurodegenerative disorder, which is characterized by several pathological hallmarks including oxidative stress, increase in AChE activity, mitochondrial dysfunction, neuroinflammation, etc. Due to this complex molecular pathogenicity, many of the effective therapeutic strategies for AD remain ineffective in treating the disorder. Henceforth, a compound that is able to interact with multiple molecular targets involved in the neurodegeneration cascade might be more successful to treat AD efficiently. The advent of defined high-throughput screening assays facilitates the consistent profiling of large number of compounds against a panel of targets and the verification of the multifunctional nature of existing natural compounds and drug leads. However, this can be achieved only by the rapid screening of pharmacologically active compounds against their prospective targets using a pharmacokinetics approach.<sup>115</sup>

One of the major problems in studying the complex mixtures found in botanicals is measuring the absorption, distribution, and elimination of different components. Certain secondary metabolites with potential bioactivity would not be found in the presumed target tissue, which will end up in a poor understanding of the drug action. Therefore, pharmacokinetic studies facilitate a better understanding of the drug action, including its positive and negative effects.<sup>116</sup> Pharmacokinetics involves the study of the fate of a drug administered externally to an organism. It attempts to evaluate the fate of a drug from the moment that it is administered up to the point at which it is completely eliminated from the body. Pharmacokinetics describes how the body affects a specific drug after administration through the mechanisms of absorption and distribution and the chemical changes of the substance in the body and the effects and routes of excretion of the metabolites of the drug. One such study was undertaken to determine the kinetics and tissue distribution of different grape polyphenolic fractions using the <sup>14</sup>C labelling technique. By this method, <sup>14</sup>C-labelled grape polyphenols were produced in tissue culture and fractionated into 23 fractions and administered orally to rats. The polyphenols were tracked using several advanced techniques like mass spectrometry, microdialysis, and conventional liquid scintillation counting techniques.<sup>116</sup> Since natural compounds remain a promising source for drug development against AD, several researchers have started focusing on pharmacokinetic properties for an in-depth understanding of the fate of the drug inside living systems.

The pharmacodynamic profile of the drug galantamine (a recently approved ChE inhibitor), suggests that it has a clinically significant dual mechanism of action, which is the reversible competitive inhibition of AChE and allosteric modulation of the nicotinic receptor.<sup>117</sup> In general, the nicotinic receptor plays a vital role in cognition, as it controls the release of several neurotransmitters from the presynaptic terminal.<sup>118</sup> Galantamine appears to bind to an allosteric site on the nicotinic receptor, causing a conformational change, resulting in an increased release of ACh, which increases the cholinergic functions.<sup>119</sup> On the other hand, galantamine inhibits AChE reversible inhibition, thereby increasing the availability of ACh in the brain. The pharmacokinetic profile describes that galantamine gets absorbed and extensively distributed throughout the tissues of the body. Galantamine is metabolized rapidly by cytochrome P450 isoenzymes and no single elimination pathway seems to be predominant, as multiple metabolic pathways are involved.117

Huperzine A, another potent and more specific AChE inhibitor, which crosses the BBB smoothly, has been approved as a drug for treatment of AD in China. It induces significant improvement in the memory of elderly people with AD and vascular dementia. Although huperzine A is currently in clinical trials, very little information is available on its pharmacokinetics and pharmacodynamics profiles. Pharmacokinetic studies on huperzine A reveal that it exhibits a rapid and nearly complete oral absorption and that the relative bioavailability was moderate.<sup>120</sup> Physostigmine (the oldest known ChE inhibitor) inhibits both AChE and BuChE by acting as a pseudosubstrate and transferring a carbamate residue to the active site of the enzyme. It is a tertiary amine, which is lipid soluble with a pKa value of 7.9 and around 75% of the drug gets ionized at the pH of blood and brain. Pharmacokinetic studies show that physostigmine is eliminated completely through the metabolic pathways. Following intravenous administration, the distribution pattern in the brain was analyzed and the results showed that physostigmine deposition was highest in the striatum, moderate in the cortex and hippocampal region, and lowest in the cerebellum. Physostigmine has been found to be eliminated entirely through metabolic pathways and the liver is the most important dispositional pathway for the drug.121

In addition to the direct exploitation of natural compounds and their derivatives for drug development, designing novel drugs (drug-like molecules) from natural lead compounds has become more interesting. These drug-like molecules are lower molecular weight substances unlike the hard-core natural derivatives. Moreover, these lead compounds remain an important paradigm in drug discovery, because as they get orally absorbed, they are easily transported to their desired target sites, are not strongly affected by metabolizing enzymes (which form toxic metabolic products), and are easily eliminated from the body without causing adverse side-effects.<sup>122</sup>

#### Efficacy and safety of botanical AD drugs

The efficacies of several natural product leads have been evaluated and the leads are under clinical development in hopes of treating AD. Table 2 illustrates the list of some of the plant-derived therapeutic compounds against AD. Among the FDA-approved drugs for the treatment of AD, rivastigmine and galantamine are derived from medicinal plants, which exhibit potential AChE activity. Huperzine A, curcumin, and resveratrol (derived from medicinal plants) also possess excellent anti-Alzheimer activity and they are in Phase III clinical trials.

Several drugs are currently in use for the treatment of AD targeting various pathological events. As mentioned earlier, some of the drugs are in clinical trials and therefore it is necessary to assess the safety aspects of the drug before it is prescribed to patients globally. During the advanced clinical trials, all the adverse effects must be fully documented. Clinical observations need to be supplemented with suitable laboratory tests, and care should be taken regarding the dosage acceptance of the patients, as patients in early stages of AD may experience different effects than patients in advanced stages. Once the drug is administered, special attention should be given to the patients for the observed neurological, psychiatric, and cardiovascular adverse effects both in the long-term and short-term clinical trials.<sup>130</sup> For

Drugs	Brand name	Plant source	FDA- approved	Clinical trials	Targets	Reference
Rivastigmine	Exelon	Physostigma venensosum Balf. (Leguminosae)	2000	_	Inhibits AChE in the cortex and hippocampal region	Polinsky <sup>123</sup>
Galantamine	Razadyne	Lycoris radiata (L'Hér.) Herb (Amaryllidaceae) and Galanthus nivalis L.	2001	-	Reversible inhibition of AChE and allosteric potentiation of nicotinic ACh receptors	Razay and Wilcock; <sup>124</sup> Maelicke et al <sup>125</sup>
Huperzine A	-	Huperzia serrata (Thumb) Trevis (Lycopodiaceae)	-	Phase III	Restores cognitive deficits by reversibly inhibiting AChE	Ha et al <sup>126</sup>
Curcumin	Longvida®	Curcuma longa L. (Zingiberaceae)	_	Phase II	Anti-amyloidogenic, anti- inflammatory, anti-ChE, anti- β-secretase	Rajakrishnan et al <sup>127</sup>
Resveratrol	-	Vitis vinifera L. (Vitaceae)	-	Phase III	Prevents cognitive impairments and associated oxidative stress by reducing plaque formation	Karuppagounder et al; <sup>128</sup> Williams et al <sup>129</sup>

Table 2 List of plant-derived compounds that are FDA-approved and in clinical trials against AD

Notes: Exelon (Novartis Pharmaceutical Corporation, East Hanover, NJ, USA); Razadyne (Janssen pharmaceuticals, Inc., Titusville, NJ, USA); Longvida® (Verdure Sciences, Noblesville, IN, USA).

Abbreviations: ACh, acetylcholine; AChE, acetylcholinesterase; AD, Alzheimer's disease; ChE, cholinesterase; FDA, Food and Drug Administration.

instance, the clinical safety of rivastigmine at its highest dose (6-12 mg/day) was evaluated and the results suggest that the drug did not induce serious problems like hepatic, renal, hematologic, and biochemical changes. However, a small group of patients experienced adverse gastrointestinal effects.<sup>131</sup> In addition, on behalf of the B303 Exelon study group, Rösler et al<sup>132</sup> assessed the safety and efficacy of rivastigmine in AD patients and observed milder effects during dose escalation. Another study led by Yang et al<sup>133</sup> demonstrated that during clinical trials, the administration of huperzine A to AD patients had mild adverse events like nausea, anorexia, dizziness, vomiting, constipation, insomnia, excitability, nasal obstruction, diarrhea, and edema. Farlow<sup>117</sup> suggested that the FDA-approved drug galantamine was well-tolerated in long-term and short-term studies of patients with AD. A 4-week dose escalation of galantamine showed predominantly mild to moderate gastrointestinal problems. Nausea was found to be the most adverse event and it decreased to baseline soon after increasing the dosage. The author also added that AD patients with moderate hepatic impairment need slower dose-escalation schedules than the patients with normal hepatic and renal functions. Similarly, the administration of increased doses of physostigmine also caused adverse effects, which included excessive sweating, salivation, nausea, vomiting, sinus bradycardia, hypotension, muscle weakness, and paralysis.<sup>121</sup> Therefore, patients are now administered with a varying range of anti-Alzheimer drugs, purely based on the response toward the drugs by the individual.

### Acceptability of herbal drugs by AD patients

Among the various natural-product-derived compounds under clinical trials against AD, huperzine A has been found to be more specific for AChE and has prolonged action in the brains of AD patients than the synthetic drug donepezil.<sup>134</sup> Memantine, which was approved recently, has moderate effects when compared to other drugs, but has better tolerability in AD patients than other drugs.<sup>135</sup> Phosphatidylserine, derived from lecithin, has been found to protect the neurons in a better way, as it increases the cell membrane integrity and fluidity and also increases the levels of ACh and other neurotransmitters maintaining the balanced levels in AD patients.<sup>136,137</sup> The constituents of Gingko biloba leaf extract such as quercitin, kaempferol, and isohamnetin have been found to possess significant antioxidant effects. In addition, G. biloba's neuroprotective effect has been greatly attributed to its anti-inflammatory mechanism by competitively inhibiting the platelet activating factor.<sup>138</sup>Another compound named vinpocetine (derived from *Vinca minor* L. belonging to the family Apocynaceae), a potent vasodilator, has been found to improve the cognitive effects in AD patients.<sup>139</sup> Zhang et al<sup>88</sup> demonstrated that treatment with curcuminoids (a derivative of a curcumin with different chemical groups) enhances the uptake of A $\beta$  peptide by macrophages by crucially inducing intracellular phagocytosis in an AD patient's brain. The results also suggest that patients in earlier stages of AD respond better to the curcuminoid treatment than patients in advanced stages.

### Plant-derived and non-plant-derived compounds for AD

The AChE inhibitors were the first approved drugs for the treatment of AD. In 1993 tacrine became the first FDAapproved synthetic drug, which is an AChE inhibitor for the treatment of cognitive loss in AD patients.<sup>140</sup> Tacrine was found to exhibit reversible noncompetitive inhibition against AChE. However, hepatotoxicity was the most adverse effect that occurred in the patients treated with the drug.<sup>141</sup> Therefore, the use of tacrine was discontinued in several countries. In 1996, the FDA approved the synthetic drug donepezil, a selective mixed competitive and noncompetitive AChE inhibitor. The predominant adverse effects of donepezil occur in the gastrointestinal tract, resulting in nausea, vomiting, and diarrhea.142 Later, several plant-derived AChE inhibitors were approved by the FDA including rivastigmine (2000) and galantamine (2001). Although these plant-derived drugs were also found to exhibit mild to moderate side effects in AD patients, these effects were decreased to baseline soon after increasing the dosage.<sup>124,132</sup> In addition to these ChE inhibitors, cholinergic and nicotinic agonists have been proposed to increase ACh release in nerve terminals, but they are less effective than the ChE inhibitors in action.<sup>140</sup> Memantine, an N-methyl-D-aspartate receptor agonist was approved by the FDA for the treatment of AD in 2004. Memantine exerts its neuroprotective effect by the inhibition of excitotoxicity, which leads to neuronal injury.<sup>143</sup> A study by Reisberg et al<sup>144</sup> showed that memantine treated patients showed significantly less deterioration in their functional AD stage. In addition, memantine exerts mild to moderate adverse effects during treatment and the cause of the events was also not attributed directly to the medication. Apart from these plant-derived drugs, certain plant extracts and other secondary metabolites have been found to exhibit neuroprotective effects against AD. Cryptotanshinone (from the roots of S. miltiorrhiza Bunge), and L-3-n-Butylphthalide (Apium graveolen L.

[Apiaceae]) have been found to increase  $\alpha$ -secretase activity, thereby increasing the production of  $\alpha$ -secretase-derived soluble amyloid precursor protein alpha fragments.<sup>145,146</sup> Furanocoumarins isolated from *Angelica dahurica* (Fisch.) Benth. Et Hooker (Umbelliferae), and protoberberine alkaloids from *Coptis chinensis* Franch (Ranunculaceae) have been found to inhibit  $\beta$ -secretase activity, thereby reducing the production of the toxic form of A $\beta$  peptides.<sup>147,148</sup> In addition to these phytochemicals, several plant-derived compounds with excellent therapeutic potentials like curcumin, resveratrol, and epigallocatechin are still in clinical trials that may benefit AD patients with lesser side effects.<sup>113,127–129</sup>

### Challenges in using plant-derived compounds

There has been a growing interest in alternative therapies, especially in the use of plant-derived natural products. This is due to various facts, including inefficiency of conventional medicines and abusive use of synthetic drugs resulting in side effects. However, certain plants are widely used for various abnormalities and diseases without knowing their toxic effects. Toxicity may result from highly concentrated doses or from the state of conservation of plants and the method of usage.<sup>149</sup> In addition to the unknown toxic effects, the screening of natural product extract libraries is problematic due to the complex mixtures of predominantly uncharacterized compounds, which can mask the activity of other potential compounds and show false results. Therefore, various sensitive and robust assays have to be employed, which is intricate and complex.<sup>150</sup>

Despite the presence of several compounds in developmental stages, most of the natural-product-derived neurodegenerative compounds still remain on the bench-top, serving as an example of traditional uses of plants. The major problem haunting the development of natural products into clinical pharmaceuticals is the lack of statistically significant clinical efficacy. Most of the reports that are published on neuroprotective effects of natural products are based on in vitro cell-based studies. These in vitro studies should be confirmed in vivo for a complete understanding of the biological activities of these compounds as anti-AD agents. Another important consideration for therapeutic efficacy is bioavailability.<sup>151</sup> The bioactive compounds should reach the brain in sufficient concentration and should be in the biologically active form to exert their beneficial effects. One of the most of important challenges in developing therapeutic agents from natural products is obtaining the active compounds in large quantities. In addition, compounds that act against a wider range of targets that are associated with the pathology of AD are complicated. Although AChE inhibitors ameliorate the cognitive functions, they neither halt nor delay the disease progression. Hence, the development of single drug that works against crucial targets like A $\beta$  production, fibrillation, A $\beta$ -mediated oxidative stress, and inflammation could be a productive approach to identifying therapeutics against AD.<sup>140</sup>

#### Conclusion

To date, there is an insufficiency in understanding the exact pathophysiology of AD and it is a great challenge to find the appropriate treatment for this complex, devastating disease. Natural products have been considered as an important medical reservoir holding enormous potential. Several natural products were found to be effective as a whole molecule or as a lead compound for the treatment of AD. The majority of the compounds with direct relevance to AD are primarily from plants rather than from microbial sources. The development of plant-based anti-ChE drugs has been considered as a successful effort, which resulted in the advent of novel and potential drugs for the treatment of AD. Moreover, these natural products can be exploited further by chemical derivatization and analog synthesis for a better pharmacokinetic approach and enhanced efficacies. Therefore, natural products have emerged as a promising hope in the field of drug discovery against AD with more emphasis to be made on their safety and clinical aspects.

#### Disclosure

The authors report no conflicts of interest in this work.

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