Epidemiological, humanistic, and economic burden of illness of lower limb spasticity in adults: a systematic review

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Background: The purpose of this study was to investigate the epidemiological, humanistic, and economic burden of illness associated with adult lower limb spasticity (LLS) and its complications.

Methods: A systematic search of MEDLINE and EMBASE identified 23 studies published between January 2002 and October 2012 that assessed the epidemiology, impact, and resource use associated with LLS. A hand-search of four neurology conferences identified abstracts published between 2010 and 2012.

Results: LLS was found to occur in one third of adults after stroke, half to two thirds with multiple sclerosis, and three quarters with cerebral palsy. LLS limits mobility and reduces quality of life. No clear association was found between LLS and occurrence of pain, development of contractures, or risk of falls.

Conclusion: The evidence on the burden of LLS and its complications is surprisingly limited given the condition’s high prevalence among adults with common disorders, such as stroke. Further research is needed to clarify the impact of LLS, including the likelihood of thrombosis in spastic lower limbs. The dearth of high-quality evidence for LLS suggests a lack of awareness of, and interest in, the problem, and therefore, the unmet need among patients and their carers.

Keywords: muscle spasticity, cost of illness, complications, quality of life

Introduction

Lower limb spasticity (LLS) results from a lack of upper motor neuron inhibitory control over spinal-reflex contraction of muscles around the hip, knee, ankle, and foot. Typically, the condition is found in patients with injury to the brain or spinal cord from cerebral palsy, stroke, or trauma, or where demyelinated upper motor neurons fail to function in multiple sclerosis.1,2 The incidence and prevalence of these conditions varies geographically, but various reviews of the literature and other data have led to estimates of the range of people likely to be affected, and these are summarized in Table 1.

Various factors have been associated with an increased likelihood of spasticity of any muscle, for example, more severe paralysis immediately after a stroke;2–6 younger age at time of stroke;2,6 and a history of smoking.2,3 However, we found no evidence that these or any other factors are specifically predictive of LLS.

Spasticity of the upper limbs can be particularly disabling by impairing manual dexterity, and has been the focus of much research. LLS, by adversely affecting mobility and balance, may also have a substantial negative impact on independence and add to the burden on carers. However, there is relatively little published research...
on the epidemiology and impact of LLS itself. For example, although various systematic reviews have investigated the incidence and prevalence of the underlying pathologies of LLS, some of which are cited in Table 1, we found only one review on the prevalence of LLS following stroke.\(^9\) This review found no consensus among the included studies regarding the proportion of patients developing LLS or the relationship between LLS and motor disabilities after stroke.

A second, recently published systematic review focused on the pathophysiology and onset of spasticity after stroke, and concluded that the association between spasticity and contractures was still unclear, and that a greater understanding of risk factors for spasticity after stroke was needed.\(^3\)

We have found no other systematic reviews on the prevalence of LLS specifically; on the epidemiology of LLS in conditions other than stroke; or on the impact of LLS on patients and carers. We therefore conducted this systematic review to identify studies specifically on the epidemiological, humanistic (eg, quality of life), and economic burden associated with adult LLS, with the aim of describing and quantifying the size of the problem and its impact on patients and carers.

### Methods

This systematic review was conducted in accordance with guidelines from the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA, reported at http://www.prisma-statement.org/statement.htm). We aimed to use a rigorous and transparent methodology to minimize bias in the selection of relevant studies, while also restricting the scope of the review to focus on the most recent evidence that best reflects current disease management and clinical relevance.

### Data sources

We systematically reviewed the literature indexed in MEDLINE and EMBASE and published in the last 10 years (October 2002 to October 2012) relating to the epidemiological, humanistic, and economic burden associated with LLS in adults. The search strategy used is reported in full in the Supplementary materials, and was constructed from search terms relating to quality of life, utilities, burden, and other outcomes; OR cost and resource use; OR incidence, prevalence, and unmet need; combined with terms for spasticity AND the lower limb AND the main underlying pathologies associated with LLS. The search was subsequently narrowed by excluding studies relevant only to children and narrative reviews.

We also manually searched the websites of the World Federation for Neurorehabilitation, the World Stroke Congress, the World Federation of Neurology, and the American Neurological Association/Association of British Neurologists for relevant conference abstracts published in 2010–2012.

### Study selection

The first 100 abstracts were screened independently by two researchers and any disagreements resolved by discussion. Each abstract was then screened by one researcher and included studies checked by another researcher. Inclusion criteria for study selection were primary research and systematic reviews relevant only to children and narrative reviews.

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The first 100 abstracts were screened independently by two researchers and any disagreements resolved by discussion. Each abstract was then screened by one researcher and included studies checked by another researcher. Inclusion criteria for study selection were primary research and systematic reviews relevant only to adults with LLS from any cause, reporting epidemiological, quality of life, or economic outcomes, and published in English between 2002 and 2012. Outcomes related to the incidence or prevalence of LLS; health or social problems and comorbidities associated with LLS; treatment patterns for LLS; costs and resource use for people with LLS; and burden on carers and society from LLS. The inclusion and exclusion criteria are reported in full in the Supplementary materials.

The full texts were retrieved for all studies that definitely or possibly met the inclusion criteria on abstract screening. Full-text screening used the same inclusion criteria as abstract screening, but focused more precisely on identifying studies with clinically relevant outcomes. Full-text screening was conducted independently by two researchers and disagreements were resolved by discussion.

### Table 1

<table>
<thead>
<tr>
<th>Condition</th>
<th>Annual incidence</th>
<th>Prevalence</th>
<th>References</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stroke</td>
<td>30–485 per 100,000</td>
<td>40–600 per 100,000</td>
<td>Benamer et al(^9), Saposnik and Del Brutto(^9), Hirtz et al(^1), Feigin et al(^2), Burke and Venketasubramanian(^3), Cristiano et al(^4), Cooper et al(^5), Poppe et al(^6), Hirtz et al(^7)</td>
</tr>
<tr>
<td>Multiple sclerosis</td>
<td>–</td>
<td>2–350 per 100,000</td>
<td>Yeagin-Allsopp et al(^8)</td>
</tr>
<tr>
<td>Cerebral palsy</td>
<td>–</td>
<td>240–360 per 100,000</td>
<td>Hirtz et al(^9), Tagliaferri et al(^10), Hagen et al(^11), Wyndaed et al(^12), Cripps et al(^13), Hirtz et al(^14), Devivo(^15)</td>
</tr>
<tr>
<td>Traumatic brain injury</td>
<td>100–235 per 100,000</td>
<td>–</td>
<td>Hirtz et al(^16)</td>
</tr>
<tr>
<td>Spinal cord injury</td>
<td>0.2–8 per 100,000</td>
<td>22–90 per 100,000</td>
<td>Hirtz et al(^17), Cripps et al(^18), Hirtz et al(^19)</td>
</tr>
</tbody>
</table>
Data extraction

Data from the included studies were extracted into a pre-agreed template in MS Word by one researcher and all data extraction tables were checked by a second researcher. No statistical analysis was planned but the results were synthesized narratively to identify common themes and gaps in the evidence.

Results

The database search of MEDLINE and EMBASE identified 3,106 citations, of which 912 were duplicates, leaving 2,194 unique citations for screening. We identified many studies that reported on spasticity in general, but the evidence base on LLS specifically was much smaller. A total of 52 articles were identified as potentially meeting the inclusion criteria and were retrieved as full texts, and 23 of these were subsequently included in the review. The search for gray literature yielded one additional study, making a total of 24 that were synthesized in the final review (Figure 1).

The 24 studies included in our systematic review all described primary research on the epidemiological, humanistic, and economic burden, including disease pathways and treatment patterns of LLS in adults. Most of these studies used observational cohort and cross-sectional methodologies and reported epidemiologic outcomes, with some reporting multiple outcomes. Most reported on the epidemiology of LLS, with only two reporting on quality of life and one on costs of treatment. The study characteristics are summarized in Table 2.

Instruments used to assess spasticity

There was considerable variation between studies on the instruments used to measure LLS. The Modified Ashworth Scale was the most widely used overall, but was applied only in patients with stroke and multiple sclerosis. The Ashworth Scale and the Tardieu Scale were the only other instruments reported as being used in more than one study.

Epidemiologic burden

Twelve studies reported on the prevalence of LLS in cohorts with a particular underlying disease. These are summarized in

![Flow of literature](https://www.dovepress.com/)

**Figure 1** Flow of literature.

**Abbreviation:** LLS, lower limb spasticity.
The prevalence of LLS in patients with multiple sclerosis was around 40%–50% in any muscle group studied, with around two thirds of patients having spasticity in any part of the lower limb. Bilateral spasticity may be more common than unilateral LLS, although this suggestion is based on data from one small study. No studies reported on the severity of spasticity in patients with multiple sclerosis.

Few data were identified on the prevalence of LLS associated with other upper motor neuron disorders. One in six people with traumatic brain injury had LLS, while 92% of a group with primary lateral sclerosis developed the condition. The prevalence of LLS was not reported in adults with cerebral palsy, but one study found that 25% of patients with cerebral palsy had mild, 56% had moderate, and 19% had severe spasticity in the lower limbs. These data on the prevalence of LLS in different underlying pathologies are summarized in Table 3.

These epidemiologic studies used different instruments to measure LLS, and tended to assess spasticity in different muscle groups, making it hard to generalize about the scale of the problem. However, it seems likely that LLS is a common problem in people with upper motor neuron disorders. The lack of a consistent approach to detecting and assessing LLS in the studies is of concern, as it suggests that such evaluation may not be a routine part of clinical management and, therefore, that patients with LLS may not be receiving appropriate treatment for their spasticity.

### Impairment in LLS

We identified 12 studies that reported on complications associated with LLS. These are summarized in Table 4. LLS was consistently associated with limited mobility and motor function in patients with multiple sclerosis, cerebral palsy, and stroke. It was significantly associated with impairment as measured by the Expanded Disability Status Scale score, with some limitations on driving ability in patients with...
Epidemiology and burden of illness of lower limb spasticity

multiple sclerosis,\textsuperscript{15} and with slower time to climb stairs in patients with stroke.\textsuperscript{22} LLS was also a reason for adults with cerebral palsy to stop walking.\textsuperscript{22}

The evidence was contradictory on whether LLS was associated with pain. Severe knee muscle spasticity was associated with hip pain in a study involving 77 patients with cerebral palsy, and was greater among those with more severe spasticity.\textsuperscript{21} A second, smaller study found no association between self-reported spasticity and pain in patients with spinal cord injury.\textsuperscript{24}

Complications of LLS

There is clearly an association between spasticity and the development of contractures. However, none of the four studies that reported on the occurrence of contractures in patients with LLS found that the two problems were independently associated after adjusting for other risk factors.\textsuperscript{20,25–27} Three of the four studies were conducted in Australia and one in France, and the included patients had LLS resulting from stroke or transient ischemic attack, or injuries to the brain or spinal cord. Based on this rather limited evidence, it seems possible that one or more other confounding factors may increase the likelihood of both spasticity and contracture, in particular, in people with spinal cord injury or stroke. For example, one of the studies found a significant association between dystonia and contractures after brain injury.\textsuperscript{20}

It would be interesting to determine whether effective early management of spasticity can prevent the development of contractures, regardless of the underlying causative mechanisms.

Table 4 Summary of risk of complications associated with lower limb spasticity in different underlying pathologies

<table>
<thead>
<tr>
<th>Underlying pathology</th>
<th>Contractures</th>
<th>Limited mobility</th>
<th>Falls</th>
<th>Deep vein thrombosis</th>
<th>Pain</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cerebral palsy</td>
<td>Increased risk\textsuperscript{22}</td>
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<td></td>
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<tr>
<td>Multiple sclerosis</td>
<td>No increased risk\textsuperscript{25}</td>
<td>Contradictory evidence on risk\textsuperscript{26,27}</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Spinal cord injury</td>
<td>No increased risk\textsuperscript{25}</td>
<td>Contradictory evidence on risk\textsuperscript{26,27}</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Stroke</td>
<td>Increased risk\textsuperscript{23}</td>
<td>Contradictory evidence on risk\textsuperscript{13,23}</td>
<td></td>
<td>Increased risk in spastic calf\textsuperscript{28}</td>
<td></td>
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<tr>
<td>Traumatic brain injury</td>
<td>No increased risk\textsuperscript{19}</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Abbreviations: MAS, Modified Ashworth Scale; TAS, Tone Assessment Scale; TASMAX, Tone Assessment Scale, Maximum score at any one joint; AS, Ashworth Scale; NR, not reported; LL, lower limb; LLS, lower limb spasticity; iSM, instrumented spasticity measure.
The association between LLS and likelihood of falling was inconsistent: one study of 100 patients with LLS after stroke found a significant increase in this risk with increasing severity of spasticity,\textsuperscript{13} while a study of 39 patients in Canada, also after stroke, found no such association.\textsuperscript{22}

Another potential complication of spasticity worthy of further research is deep vein thrombosis, which was found to be 28 times more common in the spastic leg than the normal limb in 296 patients undergoing rehabilitation after ischemic stroke in Japan.\textsuperscript{25}

Predictors of LLS
In one UK study of patients following stroke, greater impairment of daily functioning and early leg weakness were significant predictors of LLS at 12 months.\textsuperscript{3} A history of smoking was also suggested to be a risk factor for more severe spasticity in any muscle group in this study, but no statistical significance was reported for this finding. Overall, the evidence on predictors of spasticity specifically of the lower limb was sparse, and merits further investigation.

Treatment patterns for LLS
There have been few published reports on how LLS is currently managed. What evidence there is, however, suggests substantial variation across geographical regions and between individual clinicians as to when local therapies such as botulinum toxin injections are used, and which regimens are chosen.\textsuperscript{12,29–32} This review did not set out to determine the comparative effectiveness of interventions for LLS, and it remains unclear whether guidelines, such as those on the use of botulinum toxin, are being adhered to and whether there is significant unmet need from suboptimal care.

Humanistic burden
Although few studies have been published on humanistic burden (eg, quality of life) associated with LLS, there is a suggestion that the condition is associated with impaired physical and psychologic quality of life.\textsuperscript{24,33} The sparse evidence base means, however, that it is difficult to draw robust conclusions.

Economic burden
No studies were found on the direct or indirect health or social costs of LLS or on the resources needed to care for people with the condition. Only one study compared costs of treatment for LLS; this was not a randomized study, and the substantial baseline differences between the two treatment groups, which received different botulinum toxin preparations, mean that no useful conclusions can be drawn from this study.\textsuperscript{29}

Discussion
Previous systematic reviews on the prevalence and incidence of the conditions most associated with LLS (summarized in Table 1), combined with our current review which gives insight on the likelihood of LLS associated with these conditions (reported in Table 3), lead us to suggest the following international disease patterns:

- 30–500 per 100,000 population have had a stroke, of whom one third might have LLS
- 2–90 per 100,000 have multiple sclerosis, of whom half to two thirds might have LLS
- 250–360 per 100,000 have cerebral palsy, of whom three quarters might have LLS
- 100–230 per 100,000 each year experience traumatic brain injury, of whom one eighth might have LLS
- 22–180 per 100,000 have spinal cord injury, of whom an unknown proportion might have LLS.

The epidemiologic burden of LLS is therefore high, but may shift over time as the epidemiology of the underlying conditions changes. For example, there is evidence that the prevalence of stroke has fallen in developed countries\textsuperscript{14} but may increase again in the future with ageing of the population.\textsuperscript{35} In the developing world, the prevalence of stroke is increasing as lifestyle changes increase the risk for cardiovascular disease. Similarly, increasing use of motor vehicles in developing countries, such as the People’s Republic of China, may lead to an increase in people with LLS following traumatic brain or spinal cord injury, and ageing populations in the West may also be associated with a growing number of elderly patients who experience injuries after falls due to LLS.\textsuperscript{36,37} It is possible that improved survival after predisposing events such as stroke or traumatic brain injury might lead to higher prevalence of LLS in long-term survivors, but we identified no studies that assessed this possibility. Similarly, neurophysiologic and biomechanical parameters may play a changing role in the development of LLS, but assessing these relationships was beyond the scope of our review.

Given the likely high prevalence of LLS internationally, the scarcity of published research on the associated burden of illness is surprising. We have, for example, identified only two prior systematic reviews on the topic, both focusing on patients with stroke.\textsuperscript{2,8} Schinwelski and Slawek\textsuperscript{8} concluded that there was insufficient evidence from published studies to determine the likely prevalence of LLS after stroke. We found only four individual studies assessing this,\textsuperscript{3,6,12,13} but
all of these reported that approximately one third of patients developed LLS after stroke. The small number of studies is a concern, but the consistency of our prevalence findings gives some reassurance about the credibility of our conclusions.

Ward also found only a very limited evidence base on predictors of spasticity in any muscle group after stroke, identifying just two studies on the topic, one of which was included in our review. This review concluded that patients with more severe disability at baseline were more likely to develop spasticity after a stroke, but that there was uncertainty about the impact of other factors. We therefore echo that review’s call for more research to be done on this topic.

The studies identified in our systematic review generally focused on epidemiology in specific regions and disease groups, meaning that extrapolation to the international community could be difficult. Collection of data from patient registries or chart reviews from specialist centers would help to clarify the size of the problem, whether there are regional differences in the risk of LLS for each underlying condition, and how the epidemiology of LLS is changing over time.

The existing published evidence suggests that the severity of LLS seems to vary according to the underlying pathology. The limited data available suggest that most patients with stroke have mild spasticity, but that the severity of spasticity is likely to be much higher in cerebral palsy and multiple sclerosis. One study showed that spasticity is associated with pain, especially in patients with cerebral palsy, and suggests a substantial quality of life burden from LLS that needs be explored further.

The complications and impact of LLS are far from certain. There is little reason to doubt that LLS limits mobility regardless of the underlying cause and, therefore, that it has an adverse effect on independence and quality of life among patients and increases the burden on carers. However, there seems to be some evidence that spasticity itself may not be a cause of contractures around affected joints and unclear evidence as to whether or not LLS increases the likelihood of falling. One study of particular interest found a much higher incidence of deep vein thrombosis in the affected leg of patients with spasticity. Current prophylaxis and treatment of deep vein thrombosis focuses on systemic anticoagulation, and this approach obviously carries key bleeding risks in elderly and infirm patients, especially after a hemorrhagic stroke.

The economic burden of LLS is unknown. The limited data we found in this regard (one study) focused on comparison of the costs of two formulations of botulinum toxin type A. As this was not a randomized controlled trial, and there were substantial differences in the underlying diseases predisposing to the type of LLS being treated with the two formulations, no useful economic conclusions can be drawn from it. Again, further database or chart review studies on resources used for patients with LLS affecting different muscle groups and with different underlying pathologies could help clinicians and payers to better understand the potential economic implications of this condition and its treatment.

**Evidence gaps**

Our literature review found limited evidence on the burden of illness associated with LLS. This is in part because we focused on studies published in the past 10 years, to ensure that the review reflected current disease epidemiology and management. Further, we restricted our search to studies that specifically discussed LLS rather than spasticity of any muscle group. These are thus limitations to our systematic review. Substantial gaps in the present study need to be addressed to determine the prevalence and incidence of LLS, the condition’s humanistic (eg, quality of life) burden on patients and their carers, and the economic burden associated with LLS and its management. In particular, we did not identify any articles published in the last 10 years that had reported on the incidence of LLS, trends in the incidence or prevalence of LLS over time or across different geographical regions, the natural progression of LLS and its complications, treatment satisfaction among LLS patients, the burden on carers of LLS patients, access to care and unmet needs of LLS patients, or costs of illness associated with LLS.

**Conclusion**

The lack of robust data on the epidemiology and impact of adult LLS, patient-reported outcomes, and possible complications of LLS means that we cannot be sure of the overall burden of LLS in terms of morbidity, mortality, and quality of life. However, there are indications that a substantial proportion of adults with stroke, multiple sclerosis, cerebral palsy and other motor neuron disorders may have LLS, and that this may sometimes go unrecognized by health care professionals. We also found no substantive data on the economic burden of LLS, the level of unmet need related to treatment and support for patients or carers, or how the burden might be eased by widespread use of effective interventions. Further research on these areas is necessary to guide clinicians, payers, and patients on benefits that might arise from a greater understanding of the problem and its effective management.
Acknowledgments

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Disclosure

AM and SA are both employed by Evidera. HK and JD are employees of Ipsen. The authors report no other conflicts of interest in this work. Funding of this literature review was provided by Ipsen Pharma SAS.

References


Supplementary materials

Search strategy

Database searches

Gray literature search

We searched the gray literature (material that can be referenced but is not published in peer-reviewed, MEDLINE-indexed, or EMBASE-indexed medical journals) for any information pertaining to epidemiologic, quality of life, and economic outcomes of the burden of LLS. We manually searched the following websites for articles relevant to LLS, using the same inclusion criteria as for the database searches:

- World Federation for Neurorehabilitation (http://wfnr.co.uk)
- World Stroke Congress (http://www1.kenes.com/wsc)
- World Federation of Neurology (http://www.wfneurology.org)
- American Neurological Association/Association of British Neurologists (http://www.aneuroa.org)

Inclusion and exclusion criteria

Inclusion criteria

Patient population

- Patients older than 18 years of age with LLS, that could have resulted from any of the following underlying diseases:
  - Stroke
  - Multiple sclerosis
  - Traumatic brain injury
  - Cerebral palsy
  - Others, for example, Parkinson’s disease

- Studies reporting follow-up from childhood to adulthood
- Studies with more than 50% adult populations

Outcomes

- Epidemiologic outcomes
  - Prevalence and incidence of LLS due to different underlying pathologies, as listed above under population
  - Trends of LLS over time
  - Outcomes and prognosis of LLS
  - Health and social problems associated with LLS
  - Comorbidities associated with LLS
  - Treatment patterns with botulinum toxin or other interventions in adults with LLS

- Humanistic/quality of life outcomes
  - Utilities associated with LLS
  - Quality of life outcomes with LLS
  - Patient-reported outcomes
  - Patient satisfaction with treatment/care for LLS
  - Burden on carers of patients with LLS
  - Quality of life associated with efficacy/effectiveness of treatment for LLS
  - Access to care
  - Unmet needs

Table S1 Search string for MEDLINE-indexed publications

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<td>753,166</td>
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<tr>
<td>6. 4 AND 5</td>
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<td>7. NOT (child NOT (adult AND child))</td>
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Note: The limits for the MEDLINE search were: only items with abstracts, humans, published in the last 10 years (October 2002 to October 2012) and field: Title/Abstract.
Table S2 Search string for EMBASE-indexed publications

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Note: The limits for the EMBASE search were: only items with abstracts, humans, published in the last 10 years (October 2002 to October 2012) and field: Title/Abstract.

- Economic outcomes
  - Direct costs of LLS (health and social care)
  - Indirect costs of LLS (eg, productivity, employment for patients and carers)
  - Resource use by patients with LLS and carers, including costs and resource use associated with treatments for LLS (pharmacological or non-pharmacological)
  - Burden on society from LLS

Year of publication
- Studies published in the last 10 years (2002–2012)

Languages
- Only publications in English were considered

Study design
- Primary research including cohort studies, case-control studies, cross-sectional studies, case series, and randomized controlled trials (if available), and database studies

Exclusion criteria
Patient population
- Studies investigating patients without LLS
- Studies on adolescents and children younger than 18 years of age with LLS

Outcomes
- Articles without relevant data on any of the outcomes of interest
- Studies on genetic profiling
- Prevalence studies with fewer than ten participants
- Articles investigating the efficacy and/or effectiveness of botulinum toxin or any other investigation in the treatment of LLS
- Studies investigating the biomechanical factors associated with spasticity (eg, electromyogram findings, joint angle, foot position, muscle blood flow)
- Studies assessing the validity of instruments used to measure spasticity

Study design
- Letters to the editor
- Narrative reviews
- Editorials
- Expert opinions
- Case studies

Articles were not excluded based on the geographic location. Systematic review articles published in the past four years (October 2008–October 2012) and meeting abstracts from the last two years or last two conference meetings were also included.

Abbreviation: LLS, lower limb spasticity.