Cytochrome P450 3A4*22, PPAR-α, and ARNT polymorphisms and clopidogrel response

Abstract: Recent candidate gene studies using a human liver bank and in vivo validation in healthy volunteers identified polymorphisms in cytochrome P450 3A4 gene (CYP3A4*22), Ah-receptor nuclear translocator (ARNT), and peroxisome proliferator-activated receptor-α (PPAR-α) genes that are associated with the CYP3A4 phenotype. We hypothesized that the variants identified in these genes may be associated with altered clopidogrel response, since generation of clopidogrel active metabolite is, partially mediated by CYP3A activity. Blood samples from 211 subjects, of mixed racial background, with established coronary artery disease, who had received clopidogrel, were analyzed. Platelet aggregation was determined using light transmittance aggregometry (LTA). Genotyping for CYP2C19*2, CYP3A4*22, PPAR-α (rs4253728, rs4823613), and ARNT (rs2134688) variant alleles was performed using Taqman® assays. CYP2C19*2 genotype was associated with increased on-treatment platelet aggregation (adenosine diphosphate 20 μM; P=0.025). No significant difference in on-treatment platelet aggregation, as measured by LTA during therapy with clopidogrel, was demonstrated among the different genotypes of CYP3A4*22, PPAR-α, and ARNT. These findings suggest that clopidogrel platelet inhibition is not influenced by the genetic variants that have previously been associated with reduced CYP3A4 activity.

Keywords: clopidogrel, pharmacogenetics, CYP450, platelet aggregation

Introduction
Clopidogrel is a thienopyridine antiplatelet agent that bioactivates in a two-step process, with varying contributions to these steps from cytochrome P450 (CYP) 2C19, CYP1A2, CYP2C9, CYP3A4, CYP3A5, and CYP2B6 isoenzymes. Inactivation of the precursor, and metabolites, is dependent, predominantly, on hepatic carboxylesterase-1 enzyme. Diminished metabolic status of CYP2C19, due to drug interactions or variants in the CYP2C19 gene, results in lower active clopidogrel metabolite generation, and higher on-treatment platelet reactivity. However, CYP2C19 metabolic status alone was found to account for only 12% of the variability in clopidogrel response. Several lines of evidence suggest that the CYP3A4 and CYP3A5 enzymes may play a role in clopidogrel activation, although data are not uniform. CYP3A4*3 polymorphism has not been shown to significantly predict clopidogrel pharmacokinetics.

In humans, CYP3A isoenzymes comprise the majority of hepatic CYP450 proteins. CYP3A4 is the most abundant, and metabolizes approximately 50% of drugs which are cleared via metabolism. A high intersubject variability has been documented in the activity of CYP3A4, an enzyme that metabolizes a wide range of clinically important substrates. Despite estimates that suggested that up to 90% of functional CYP3A4...
variability is heritable, the genetic basis of CYP3A4 variable expression and activity is poorly understood. While functional variants of many CYP genes have been established, the few common exonic variants of the CYP3A4 gene that have been reported so far only marginally explain variations in CYP3A4 activity.

Recently, Klein et al, using a candidate gene approach, in a human liver bank, identified several single nucleotide polymorphisms (SNPs) that are associated with CYP3A4 activity phenotype. In that study, 40 candidate genes, including 334 SNPs, were examined for association with CYP3A4 protein expression and CYP3A4 activity, measured by atorvastatin 2-hydroxylation in hepatocyte supernatants from 159 liver samples. Validation was performed by measurement of atorvastatin hydroxylation in 56 healthy volunteers, after single-dose atorvastatin administration. Among the SNPs identified, CYP3A4*22 is a recently-identified intronic variant associated with reduced CYP3A4 activity. The CYP3A4*22 allele is characterized by a C>T substitution (NG_008421.1:g.20493C>T), and reduced CYP3A4 messenger (m)RNA expression has been linked to the minor T-allele. Other variants newly identified are located in the peroxisome proliferator-activated receptor-α (PPAR-α) (rs4253728, rs4823613) and Ah-receptor nuclear translocator (ARNT) (rs2134688) genes. Functional validation of these SNPs by Klein et al, measuring atorvastatin 2-hydroxylation in atorvastatin-treated volunteers, supported the in vivo contribution of PPAR-α and CYP3A4*22 variants toward reduced functional activity of CYP3A4. The ARNT (rs2134688) polymorphism was associated with decreased CYP3A4 expression and activity in vitro, but was not significantly associated with atorvastatin hydroxylation in vivo. In the case of CYP3A4*22, previous studies have shown improved simvastatin cholesterol lowering effects and increased tacrolimus levels in carriers of the variants. Atorvastatin hydroxylation, measured in vivo by the ratio of 2-OH-atorvastatin to atorvastatin, was reduced by 35% in carriers of CYP3A4*22. Clopidogrel bioactivation is, at least partially, mediated by CYP3A4, and some pharmacologic studies have suggested a contribution of CYP3A4 inhibition—by statins or calcium channel blockers—to clopidogrel response. Therefore, the variants described by Klein et al may also be associated with altered pharmacodynamic response to clopidogrel, due to diminished generation of active clopidogrel metabolite. In comparison, generation of active clopidogrel thiol metabolite, in CYP2C19-poor metabolizers, is reduced by 43%, as compared to extensive metabolizers.

We hypothesized that adenosine diphosphate-(ADP) induced platelet reactivity—a well-established pharmacodynamic measure of clopidogrel response—is increased in carriers of CYP3A4*22 and variants of PPAR-α and ARNT that previous studies have associated with reduced functional activity of CYP3A4.

Methods

Patients

The study’s protocols were approved by the Indiana University Institutional Review Board for research. Written informed consent was obtained from all subjects. Subjects were eligible to be enrolled if they had established coronary disease, and were on dual antiplatelet therapy with clopidogrel and aspirin (81–325 mg per day). Subjects were included in this analysis if either they had been taking clopidogrel (75 mg) for at least 5 days prior to enrollment, or had received a 600 mg loading dose of clopidogrel during a percutaneous coronary intervention (PCI), at least 6 hours prior to blood sampling. Subjects were excluded if their platelet counts were lower than 100,000 per mm², if they were taking warfarin, or if a glycoprotein IIb/IIIa antagonist was used during PCI.

Blood samples

To determine on-treatment platelet aggregation, peripheral venous blood samples were obtained from subjects after at least 5 days of clopidogrel treatment (75 mg daily), prior to the next dose of clopidogrel, or at least 6 hours after administration of a clopidogrel loading dose. The time points were chosen to allow for steady-state platelet inhibition during maintenance therapy and to achieve maximal inhibition for subjects who had been administered loading dose clopidogrel. All blood samples were directly transferred into vacutainer tubes containing 3.2% sodium citrate, and were analyzed within 2 hours.

Platelet aggregation studies

Ex vivo platelet function was assessed by light transmittance aggregometry (LTA) at 37°C using an optical lumia aggregometer (Model 700 with Aggro/Link 8 software; Chrono-log Corp, Havertown, PA, USA). Platelet-rich plasma (PRP) and platelet-poor plasma (PPP) were obtained by differential centrifugation, as previously described. Platelet aggregation in PRP was induced using arachidonic acid (AA), at 1 mM, and ADP at 5 µM, 10 µM, and 20 µM.
Genotyping

Genomic DNA was isolated from whole blood using Qiagen’s QIAamp® DNA Blood Midi Kit (Germantown, MD, USA). Subjects were genotyped for CYP2C19*2 (681G>A; rs4244285), CYP3A4*22 (rs35599367 C>T), PPAR-α (rs4253728 G>A and rs4823613 A>G), and ARNT (rs2134688 A>G) using a real-time polymerase chain reaction (PCR) system from Bio-Rad Laboratories (Hercules, CA, USA). Sequence-specific primers were used to amplify the alleles of interest, along with two allele-specific TaqMan® probes (Life Technologies, Carlsbad, CA, USA). Allelic discrimination was used to determine individual genotypes (iCycler 3.1 optical system software; Bio-Rad Laboratories).

Statistical analysis

Categorical variables were compared using the χ² test. Unpaired two-sided Student’s *t*-test was used to compare normally distributed continuous data between two groups, and for analysis of variance between multiple groups. An additive dose model was used in univariate linear regression analysis, which was performed using platelet aggregation as the outcome variable, and genetic variants of CYP3A4*22, PPAR-α, and ARNT genotypes, with adjustments for smoking status, diabetes mellitus, and CYP2C19*2 genotype, was performed using the additive model. The data analysis was conducted using SPSS statistical software version 21 (IBM Corp, Armonk, NY, USA). Statistical significance was defined as *P*<0.05.

Results

Baseline characteristics of the study subjects are described in Table 1. A total of 106 subjects on maintenance clopidogrel therapy for >5 days, and 105 subjects who had received 600 mg clopidogrel loading dose prior to PCI, were enrolled. The majority of subjects were Caucasian (72%), while the remainder was African American (27%) and a small minority of Asian descent (1%).

Genotype frequencies for the 211 patients included in this study are shown in Table 2. For all genotype distributions, no significant deviations from Hardy–Weinberg equilibrium were observed (*P*>0.05). We found that two out of 12 carriers of CYP3A4*22 alleles were African American (4% of all African Americans in our study).

<table>
<thead>
<tr>
<th>Genotype observed frequency (expected frequency)</th>
<th>GG</th>
<th>GA</th>
<th>AA</th>
</tr>
</thead>
<tbody>
<tr>
<td>PPAR-α (rs4253728)</td>
<td>131 (128.2) (62%)</td>
<td>67 (72.5) (32%)</td>
<td>13 (10.2) (6%)</td>
</tr>
<tr>
<td>PPAR-α (rs4823613)</td>
<td>22 (21.6) (10%)</td>
<td>91 (91.8) (43%)</td>
<td>98 (97.6) (46%)</td>
</tr>
<tr>
<td>ARNT (rs2134688)</td>
<td>3 (2.1) (1%)</td>
<td>36 (37.8) (17%)</td>
<td>172 (171.1) (82%)</td>
</tr>
<tr>
<td>CYP2C19*2</td>
<td>149 (147.4) (71%)</td>
<td>53 (56.3) (25%)</td>
<td>7 (5.4) (3%)</td>
</tr>
</tbody>
</table>

Notes: The absolute numbers in brackets show the expected frequency, the numbers before the bracket are the observed frequency, and the percentage in brackets is the observed percentage.

Abbreviations: CYP, cytochrome P450; ARNT, Ah-receptor nuclear translocator; PPAR, peroxisome proliferator-activated receptor.

Platelet aggregation induced by ADP demonstrated wide interindividual variability during therapy with clopidogrel, as previously documented (Figure 1) [24,25]. Only two out of 211 subjects were aspirin non-responders, as defined by >20% maximal platelet aggregation stimulated by AA. [26] There was no significant difference in on-treatment platelet aggregation.
between subjects on maintenance dose clopidogrel and those who had received a 600 mg loading dose (ADP 5 μM: 31±14% versus [vs] 34±18%; P=0.33). No significant difference in on-treatment platelet aggregation was demonstrated between Caucasian subjects and African Americans (ADP 10 μM: 40±16% vs 39±19%; P=0.8).

Carriers of CYP2C19*2 alleles had higher platelet aggregation (ie, lower platelet inhibition) than non-carriers (ADP 5 μM: 36±15% vs 31±15%; P=0.09) (ADP 10 μM: 44±16% vs 39±17%; P=0.034) (ADP 20 μM: 50±16% vs 44±17%; P=0.02) (Table 3). Carriers of CYP3A4*22 and PPAR-α (rs4253728, rs4823613) variants showed a nonsignificant trend toward lower platelet aggregation (ie, higher platelet inhibition) than non-carriers (Table 3). Carriers of the ARNT variant showed a nonsignificant trend toward higher platelet aggregation (ie, lower platelet inhibition) than non-carriers (Table 3). The nonsignificant association of the ARNT variant with platelet aggregation was not gene dose dependent, with

**Table 3** Comparison of MPA (measured by LTA), grouped according to non-carrier and carrier status of variants of CYP3A4*22, PPAR-α, ARNT, and CYP2C19*2

<table>
<thead>
<tr>
<th>Genotype</th>
<th>LTA 5 μM ADP (MPA%)</th>
<th>LTA 10 μM ADP (MPA%)</th>
<th>LTA 20 μM ADP (MPA%)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Non-carriers</td>
<td>Carriers</td>
<td>95% CI difference</td>
</tr>
<tr>
<td>CYP3A4*22</td>
<td>33±15</td>
<td>31±17</td>
<td>-11.3, 6.8</td>
</tr>
<tr>
<td>PPAR-α (rs4253728)</td>
<td>33±16</td>
<td>31±15</td>
<td>-6.3, 2.3</td>
</tr>
<tr>
<td>PPAR-α (rs4823613)</td>
<td>34±16</td>
<td>32±15</td>
<td>-6.2, 2.2</td>
</tr>
<tr>
<td>ARNT (rs2134688)</td>
<td>32±15</td>
<td>37±18</td>
<td>-0.9, 11.3</td>
</tr>
<tr>
<td>CYP2C19*2</td>
<td>31±15</td>
<td>36±15</td>
<td>-0.6, 8.7</td>
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</tbody>
</table>

**Abbreviations:** LTA, light transmittance aggregometry; ADP, adenosine diphosphate; MPA, maximal platelet aggregation; CI, confidence interval; CYP, cytochrome P450; ARNT, Ah-receptor nuclear translocator; PPAR, peroxisome proliferator-activated receptor.
lower platelet aggregation in homozygous individuals, compared with heterozygous carriers of the variant (ADP 10 μM; P=0.6) (Table 4 and Figure 1).

Among carriers of $CYP3A4^{*}22$ variants, four out of 12 subjects were also heterozygous for $CYP2C19^{*}2$, with no significant additive effect on maximal ADP-induced platelet aggregation, compared with $CYP2C19^{*}2$ carriers alone (ADP 10 μM: 33%±23% vs 45%±15%; P=0.16). After exclusion of carriers of $CYP2C19^{*}2$ variants, on-treatment platelet aggregation in carriers of $CYP3A4^{*}22$ variants remained nonsignificant, compared with wild type individuals (ADP 10 μM: 40%±17% vs 39%±15%; P=0.6). In the univariate linear regression analysis, only $CYP2C19^{*}2$ genotype (ADP 20 μM; P=0.025) (Table 3), current smoking (ADP 10 μM; P=0.01), and diabetes mellitus (ADP 10 μM; P=0.004) were significantly associated with ADP-induced platelet aggregation. These covariates with P<0.1 were included, and adjusted for, in multivariate regression analysis. Proton pump inhibitor and calcium channel blocker use were not significantly associated with platelet reactivity in this analysis (ADP 10 μM; P=0.38 and P=0.3, respectively).

$CYP3A4^{*}22$, $PPAR-\alpha$, and $ARNT$ genotypes were not associated with significant differences in ADP-induced platelet aggregation, neither in the univariate nor in the multivariate analyses (Table 4 and Figure 1). The use of a recessive, instead of an additive model did not significantly change the results of the regression analysis, with no significant association found between genotype and platelet aggregation for the $ARNT$ and $PPAR-\alpha$ variants studied (P>0.05, for all concentrations of ADP). When analysis was restricted to Caucasian subjects and African Americans separately, no significant differences were found between $CYP3A4^{*}22$ carriers and non-carriers (Caucasian ADP 10 μM: 37%±20% vs 40%±16%; P=0.6) (African American ADP 10 μM: 35%±6% vs 40%±20%; P=0.72).

Among Caucasian subjects, no significant differences were found between wild type, heterozygous, and homozygous genotypes of $PPAR-\alpha$ (rs4253728 ADP 10 μM: 42%±15% vs 37%±16% vs 41%±19%; P=0.16) (rs4823613 ADP 10 μM: 42%±15% vs 38%±16% vs 40%±19%; P=0.24), and $ARNT$ variants (ADP 10 μM: 40%±16% vs 42%±18% vs 40%±16%; P=0.68).

Among African Americans, no significant differences were found between wild type, heterozygous, and homozygous genotypes of $PPAR-\alpha$ (rs4253728 ADP 10 μM: 39%±19% vs 39%±25% vs 60%±[CI not available]; P=0.6) (rs4823613 ADP 10 μM: 37%±17% vs 39%±22% vs 44%±14%; P=0.67). Among African Americans, platelet aggregation was significantly different between wild type, heterozygous, and homozygous $ARNT$ genotypes (ADP 10 μM: 35%±18% vs 51%±18% vs 33%±21%; P=0.014). However, after adjustment for confounding variables (diabetes mellitus, smoking status, and $CYP2C19^{*}2$ genotype) in multivariate regression analysis, no significant association remained for $ARNT$ genotypes and platelet aggregation among African Americans (ADP 10 μM: $X_1$=6; P=0.22).

**Discussion**

The prevalence and frequency of the variants in our study are broadly comparable to findings from previous studies. We demonstrated the presence of the $CYP3A4^{*}22$ allele in African Americans, which had previously only been documented in subjects of European descent. This may be due to the relatively large number of African Americans included in our study, as well as to the mixed ancestral background of African Americans living in the United States.

Multiple enzymes participate in clopidogrel activation, which includes CYP3A4. The intronic variant $CYP3A4^{*}22$ is associated.

### Table 4 Linear regression analysis of platelet aggregation and genetic polymorphisms

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<th>LTA 5 μM ADP</th>
<th>LTA 10 μM ADP</th>
<th>LTA 20 μM ADP</th>
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<td></td>
<td>$X_1$</td>
<td>95% CI</td>
<td>P</td>
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<td>$CYP3A4^{*}22$ (univariate)</td>
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Table 4 Linear regression analysis of platelet aggregation and genetic polymorphisms

Notes: Univariate and multivariate linear regression analyses for $CYP3A4^{*}22$, $PPAR-\alpha$, $ARNT$, and $CYP2C19^{*}2$ genotypes, with on-treatment platelet aggregation as outcome variable, and wild type as reference. Multivariate linear regression analysis was adjusted for $CYP3A4^{*}22$ genotype, diabetes mellitus, and smoking.

Abbreviations: LTA, light transmittance aggregometry; ADP, adenosine diphosphate; $X_1$, regressor; CI, confidence interval; CYP, cytochrome P450; ARNT, Ah-receptor nuclear translocator; PPAR, peroxisome proliferator-activated receptor.
with reduced protein expression, by unknown mechanism, and thus, reduced activity. Therefore, it is expected that the proportion of clopidogrel activation via CYP3A4 is diminished. This led us to speculate that shunting of clopidogrel activation occurs in favor of other enzymes, such as CYP2C19, CYP2B6, and CYP1A2, when CYP3A4 activity is reduced. We measured ADP-induced platelet aggregation – a well-established pharmacodynamic measure of clopidogrel response that has been found to correlate well with active clopidogrel metabolite concentrations. In contrast to our hypothesis, our data suggest that the CYP3A4*22 allele is not associated with altered clopidogrel response. While our study included only a limited number of carriers of the CYP3A4*22 variant, it is unlikely that a significantly reduced clopidogrel response could be found in a larger cohort of carriers, since the trend observed is opposite to what would be expected with reduced CYP3A4 clopidogrel activation. Similarly to CYP3A4*22, none of the variants of PPAR-α and ARNT genes were associated with increased platelet reactivity, in patients treated with clopidogrel. There may be several reasons for the lack of influence on clopidogrel response of the CYP4A4*22, PPAR-α, and ARNT variants which have been demonstrated to be associated with CYP3A4 expression and activity. First, it has previously been shown that inhibition of CYP3A4 by competing drugs, such as atorvastatin, is not sufficient to reliably reduce clopidogrel platelet inhibition. This is possibly because CYP3A5 and CYP1A2 are alternative pathways for the first step in clopidogrel activation, and are sufficiently active to overcome a reduction in CYP3A4 activity associated with the variants. Second, it has not been established whether the variants in ARNT and PPAR-α, which are ligand-dependent nuclear receptors, could be associated with differential expression of other hepatic isoenzymes, which could balance the effects of reduced active clopidogrel metabolite formation by CYP3A4. PPAR-α is a well-known nuclear receptor with a key role in regulation of lipid homeostasis, as well as in immunomodulatory function and regulation of gene transcription. The ARNT gene encodes the aryl hydrocarbon receptor nuclear translocator protein, which is required for aryl hydrocarbon receptor function, and has been shown to be involved in enzyme induction related to xenobiotic metabolism. Interaction of this receptor with omeprazole metabolites has been documented. The ARNT variant (rs2134688) has also been previously linked to increased CYP1A2 expression, which potentially could compensate for reductions in CYP3A4 activity and clopidogrel metabolite generation. While the unadjusted regression effect of the ARNT variant is of nearly similar size to that seen with CYP2C19*2 (in our study), it appears not to be gene dose dependent, with better inhibition in homozygous individuals, and worse inhibition in heterozygous individuals.

The effect size of the ARNT variant was significantly reduced after multivariate adjustment, compared to the effect size of CYP2C19. Further studies of the effect of the ARNT variant and clopidogrel response may be warranted. In comparison to the small, nonsignificant effect sizes documented in our study for the novel variants in CYP3A4*22 and PPAR-α the CYP2C19*2 genotype was associated with a 15% difference in platelet inhibition between homozygous and wild type individuals in the study by Shuldiner et al. Thus, it is unlikely that the small nonsignificant differences in platelet aggregation observed with the CYP3A4*22 and PPAR-α variants in our study would be associated with altered clinical outcomes similar to those observed, in larger patient cohorts, for CYP2C19*2. In a study by Klein et al, C-reactive protein, sex, and bilirubin levels were significant covariates contributing to CYP3A4 activity, and were adjusted for in multivariate analysis. Elevated C-reactive protein, sex, and appearance of some cases of drug interaction related to xenobiotic metabolism, are not independent predictors of clopidogrel response, and therefore were not included as covariates in our analysis. The influence of bilirubin levels on clopidogrel response is unknown. However, none of the patients included in our study suffered from acute liver failure, and bilirubin levels were not routinely measured. While differences in clopidogrel dosing between individuals could have contributed to interindividual differences in platelet reactivity, theophylia, clopidogrel dosing protocols and inclusion criteria are comparable to previous studies. Also, dosing was not a significant predictor of clopidogrel response in our study. In addition, maximal platelet aggregation was generally achieved within 6 hours after a clopidogrel 600 mg loading dose and was comparable to on-treatment platelet aggregation during maintenance therapy. Limitations of our study include the relatively small number of subjects with variant alleles, and lack of pharmacokinetic analysis to detect differences in active metabolite concentrations.

Conclusion
In conclusion, our study suggests that variants of CYP3A4*22, PPAR-α, and ARNT that have been associated with reduced CYP3A4 function are not associated with altered platelet inhibition by clopidogrel. However, given the low frequency of these variants, these findings may require further validation in larger patient cohorts.

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of Medicine, Indiana University School of Medicine, and the Indiana University Health - Indiana University School of Medicine Strategic Research Initiative.

Disclosure

Enrollment of subjects occurred, in part, during the employment of Yan Jin by Indiana University School of Medicine. Yan Jin is currently an employee of Eli Lilly and Co., Indianapolis, IN, USA. The other authors have no conflicts of interest to declare.

References


