Keratoconus: current perspectives

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Abstract: Keratoconus is characterized by progressive corneal protrusion and thinning, leading to irregular astigmatism and impairment in visual function. The etiology and pathogenesis of the condition are not fully understood. However, significant strides have been made in early clinical detection of the disease, as well as towards providing optimal optical and surgical correction for improving the quality of vision in affected patients. The past two decades, in particular, have seen exciting new developments promising to alter the natural history of keratoconus in a favorable way for the first time. This comprehensive review focuses on analyzing the role of advanced imaging techniques in the diagnosis and treatment of keratoconus and evaluating the evidence supporting or refuting the efficacy of therapeutic advances for keratoconus, such as newer contact lens designs, collagen cross-linking, deep anterior lamellar keratoplasty, intracorneal ring segments, photorefractive keratectomy, and phakic intraocular lenses.

Keywords: keratoconus, corneal topography, hydrops, collagen cross-linking, keratoplasty, contact lenses

Introduction

Descriptions of a conical cornea have existed in the literature for close to three centuries. In a recent review, Grzybowski and McGhee have meticulously traced observations of various authors through the 18th and 19th centuries, including what is probably the earliest written description of keratoconus by Benedict Duddell. John Nottingham, however, is credited with providing the first comprehensive understanding of this condition through his landmark treatise published in 1854. The insights provided by Sir William Bowman and the subsequent evolution of ophthalmic literature on keratoconus have been documented in another review. The arrival of sophisticated tools for mapping the contours of the cornea and advances in treatment, promising structural and functional betterment of the keratoconic eye, have resulted in an overwhelming interest in the condition in recent years. This is reflected in the explosion of scientific literature on the subject in the past two decades.

Nomenclature

Keratoconus is characterized by progressive corneal protrusion and thinning, leading to irregular astigmatism and impairment of visual function (Figure 1). In its most obvious form, it is easy to recognize, and a diagnosis of clinically evident keratoconus is rarely disputed. There exists considerable confusion, however, about appropriate labeling of eyes with subtle signs suggestive of ectasia apparent only on corneal topography. Keratoconus is essentially a bilateral condition, though presentation...
may be markedly asymmetric. It may take years after the initial diagnosis of keratoconus in one eye for the condition to become apparent in the fellow eye.\textsuperscript{4} It has been suggested that the term “forme fruste keratoconus” be used for such less affected fellow eyes that display no clinical findings except certain topographic changes. In contrast, the term “keratoconus suspect” should be reserved for eyes with suspicious topographic patterns, wherein the fellow eye of the individual does not have keratoconus.\textsuperscript{5}

**Epidemiology, genetics, and etiology**

Epidemiologic data on keratoconus is derived mostly from hospital-based studies. It is a bilateral disease, with no gender predominance. The reported incidence ranges from 1.3 to 25 per 100,000 per year across different populations, and a prevalence of 8.8–229 per 100,000.\textsuperscript{3,6–10} Two of these studies reported a significantly higher incidence and prevalence in Asians compared with whites, suggesting the influence of ethnicity on the disease.\textsuperscript{8,9} The onset of keratoconus is generally during puberty, with a variable amount of progression, which may last until the third or fourth decade of life, when the corneal shape generally becomes stable. The Collaborative Longitudinal Evaluation of Keratoconus study prospectively studied 1,209 patients with keratoconus, with annual examinations for 8 years. The authors found a decrease in high-contrast and low-contrast visual acuity and progressive steepening of the cornea in the study subjects. The incidence of corneal scarring was 20%.\textsuperscript{11}

Disease associations include atopy, vernal keratoconjunctivitis (Figure 2), retinitis pigmentosa, Leber congenital amaurosis, eye rubbing, hard contact lens wear, mitral valve prolapse, Down syndrome, and noninflammatory connective tissue disorders, such as Ehlers–Danlos syndrome, and osteogenesis imperfecta.\textsuperscript{3,7,12–22} A cause and effect relationship is often difficult to establish. Some associations may point towards a common genetically determined cause; others may potentially cause corneal ectasia by recurrent mechanical trauma. It does, however, make sense to look for evidence of systemic atopic disease or signs of ocular allergy in patients with keratoconus. Conversely, patients with a diagnosis of Down syndrome or noninflammatory connective tissue disease coming for an eye examination should be carefully examined for signs of keratoconus.

A genetic basis for keratoconus has been suspected due to clustering of cases within families as well as high concordance in monozygotic twins. A number of linkage studies and a few association studies have been performed to investigate several candidate genes, including those coding for different types of collagen and proteinase inhibitors as well as antioxidant genes and genes belonging to the homeobox family.\textsuperscript{23} Multiple studies have rarely identified the same loci as being related to keratoconus. Again, no mutations in any genes have been identified in the multiple loci mapped in familial keratoconus.\textsuperscript{24} The multitude of loci implicated in keratoconus suggests that more than simple Mendelian genetics may be involved.
Keratoconus has conventionally been held to be a noninflammatory condition. Studies on tear film and serum cytokines, enzyme levels, and tear proteomics have challenged this view in recent years. Jun et al studied levels of multiple cytokines in the tear film and sera of subjects with keratoconus as well as controls. They found no difference in serum levels of cytokines between keratoconus and control subjects, confirming the belief that keratoconus is not associated with major systemic inflammation. Levels of interleukin (IL)-6 and IL-17 were increased, while those of IL-12, tumor necrosis factor alpha, CCL5, and IL-13 were decreased in keratoconus compared with control tear fluids. The authors suggest that, rather than a global increase in proinflammatory cytokines in eyes with keratoconus, it is a complex imbalance between proinflammatory and anti-inflammatory molecules that disrupts the corneal homeostasis.

Balasubramanian et al, in a recent review, concluded that levels of matrix metalloproteinases are altered in keratoconic corneas. The same group also studied tear film proteomics, and found decreased levels of total protein, lactoferrin, and secretory IgA in keratoconus compared with control tears.

The consensus thus far is that keratoconus is likely to be a multifactorial, multigenic disorder with complex inheritance patterns, and environmental factors probably play an equally important role in disease causation. A “two-hit” hypothesis is favored by many, postulating that an environmental insult (such as eye-rubbing) may be needed in addition to a genetic susceptibility to the disease.

Clinical features
A typical patient with keratoconus presents in the teens or early twenties with complaints of blurring or distortion in vision and having to change glasses frequently due to changes in refractive error. Retinoscopy usually shows irregular myopic astigmatism. A scissoring reflex and an “oil-droplet” reflex (Charleux sign) are highly suggestive of keratoconus. Prominent corneal nerves on slit-lamp examination should prompt a search for other signs of keratoconus. Corneal ectasia is accompanied by thinning, which is generally greatest at the apex of the cone (Figures 1 and 3). Subepithelial and anterior stromal scars may be present, secondary to breaks in Bowman’s membrane. Vogt’s striae are fine parallel lines seen in the posterior stroma (Figure 4); these disappear on application of pressure on the globe. Fleischer’s ring is formed due to deposition of hemosiderin, found around the base of the cone and best appreciated through a cobalt blue filter (Figure 5). Gross clinical signs in advanced keratoconus include V-shaped distortion of the lower eyelid in down gaze due to an excessively protuberant conical cornea, known as Munson’s sign, and a sharply focused light beam near the nasal limbus produced by lateral corneal illumination (Rizzuti’s sign). Acute hydrops is a specific presentation of keratoconus caused by sudden breaks in Descemet’s membrane (DM), (Figure 2). A sudden decrease in vision is accompanied by corneal clouding. Corneal edema with or without intrastromal clefts or blebs is seen overlying the break.

Imaging
Keratometry using a manual keratometer (Javal-Schiotz or Bausch and Lomb type) may show a steep cornea, high astigmatism, and/or distorted mires in keratoconus. This can be used as a simple, inexpensive imaging device. Computerized videokeratography for diagnosis of keratoconus was first introduced in the 1980s. Early systems relied on analysis of Placido disk images to compute anterior corneal curvature. A zone of increased corneal power surrounded by zones of decreasing corneal power, inferior-superior asymmetry in corneal power, and skewing of the steepest radial axes above and below the horizontal meridian were established as characteristics of keratoconus on videokeratography maps. A variety of indices were developed for discriminating...
keratoconus from normal eyes as well as other conditions. Some of the popular ones included the keratoconus prediction index from the Klyce–Maeda group and the KISA index by the Rabinowitz group. These indices have been utilized to detect subtle anomalies and follow disease progression in clinically normal appearing eyes of unaffected relatives of patients with keratoconus as well as in fellow eyes of apparently unilateral keratoconus patients.

The advent of refractive surgery in the 1990s and the coincident risk of iatrogenic ectasia or unmasking of keratoconus spurred the development of newer diagnostic devices aimed at early detection of subclinical keratoconus. The Orbscan (Bausch and Lomb, Rochester, NY, USA) utilized slit scanning technology to provide wide-field pachymetry and anterior and posterior elevation as well as keratometry maps. A later iteration, the Orbscan II, combines slit scanning with Placido-based topography analysis. This has been shown to be more sensitive than earlier devices for detection of keratoconus. Maximum posterior elevation compared with the best fit sphere (BFS), irregularity in the central 3 mm and 5 mm zones as well as pachymetry have been found to be useful in discriminating keratoconus suspects from normal subjects. Increase in apex elevation, displacement of the corneal apex, decrease in thinnest-point pachymetry, and an increase in the mean simulated keratometry minimum value have been documented on serial analysis in progressive keratoconus (Figure 6).

The Scheimpflug principle has been exploited in corneal tomographers such as the Pentacam (Oculus, Wetzlar, Germany) to provide three-dimensional mapping of the cornea, including direct measurement of anterior and posterior corneal surfaces, pachymetry, as well as anterior chamber angle characterization. A much touted feature of the Pentacam is the Belin/Ambrosio-enhanced ectasia display, which excludes a 4 mm zone centered on the thinnest portion of the cornea from the reference shape calculation. The resulting “enhanced BFS” is supposed to approximate a normal cornea closely, making subtle elevations more pronounced and possibly aiding in detection of early or subclinical keratoconus. Various indices in normal eyes, keratoconus suspects, as well as established keratoconus have been measured, although definite superiority over earlier devices is yet to be proven.

Recent interest has focused on characterization of aberrometry profiles as well as understanding of corneal biomechanics in keratoconus using instruments such as the Ocular Response Analyzer (Reichert Inc, Depew, NY, USA). Compared with controls, keratoconic eyes have been found to have excessive higher order aberrations and lower values of corneal hysteresis and corneal resistance factor.

Pathology
Numerous studies have investigated the pathologic changes in keratoconic corneas. Sherwin et al have summarized the changes in different layers of the cornea in keratoconus. The epithelium shows central thinning, with irregular or thickened basement membrane and defects in Bowman’s layer. Stromal scarring and cells have been identified in proximity to these breaks, with evidence of apoptosis. In vivo confocal microscopy has demonstrated decreased sub-basal nerve density correlating with decreased corneal sensation, and basal epithelial density. There is loss of stromal collagen lamellae and altered collagen fibril orientation. Decreased keratocyte density, particularly in the central anterior stroma, has been reported. DM and endothelium are generally unaffected, except in cases with hydrops. Endothelial changes reported in a small percentage of cases include pleomorphism and elongation of cells. Features of corneal hydrops seen on histopathology, and more recently using anterior segment optical coherence tomography, include epithelial and stromal edema, intrastromal fluid clefts, and changes in the DM (Figure 2). The DM can show detachment from the stroma with or without breaks and flat or rolled ends.

Management
Optical correction
In early stages, the refractive error in keratoconus can be managed by spectacle use. As the disease advances, the changes in corneal shape and consequent irregular astigmatism result in suboptimal visual quality with spectacles, necessitating use of contact lenses. Early on, soft or soft toric contact lenses made from materials such as hydrogel or silicone hydrogel may be adequate for providing clear vision. Advantages are
Figure 6 Tomography maps (Orbscan IIz, Bausch and Lomb, Rochester, NY, USA) before (A) and 9 months after (B) collagen crosslinking showing flattening of corneal surface. Maximum and minimum simulated keratometry values show a decrease of 2.8 and 1.5 diopters, respectively.
good comfort and lower cost. By and large, however, rigid gas permeable lenses are required, and are the most commonly used contact lens type in keratoconus. In two large, prospective, observational studies on keratoconus conducted in the US and Scotland, rates of rigid gas permeable contact lens use were 65% and 91%, respectively.11,56 Multiple lens designs and fitting options are available, and achieving a satisfactory fit is often a painstaking process. Rigid gas permeable lenses mask the underlying corneal shape abnormality and provide good tear exchange, but may be uncomfortable to wear. A popular design is the Rose K lens (Menicon Co, Ltd, Nagoya, Japan). Moderate keratoconus may require the use of intralimbal rigid gas permeable lenses or miniscleral lenses. Very advanced cases with large, decentered cones, dry eye, or discomfort with conventional lenses may be corrected with the use of scleral lenses. Other options include piggyback lenses and hybrid lenses. The logistics and cost associated with use of these lenses often makes them an impractical choice.29,57

Collagen crosslinking

As understanding of the pathology and pathogenesis of keratoconus has evolved, so have efforts to favorably modify the biology of the keratoconic cornea. Spoerl et al first tested the effects of a combination of riboflavin used as a photosensitizer and ultraviolet light to crosslink corneal collagen in laboratory experiments. Increase in corneal rigidity and Young’s modulus was reported in vitro in both porcine and human corneas.58,59 This was followed by the results of the first pilot clinical trial carried out by Wollensak et al in Dresden. At a follow-up ranging from 3 to 47 months, progression of keratoconus was stopped in all 23 treated eyes. A mean reduction of 2.01 diopters in maximal keratometry and 1.14 diopters in refraction was observed in the 16 eyes that showed regression.60 The procedure is claimed to be the only one capable of altering the natural history of keratoconus.

Subsequently, collagen crosslinking has been enthusiastically incorporated into practices worldwide. Published reports consist mostly of case series. Results indicate that some degree of flattening or stabilization of the corneal surface, as measured by topography, occurs in a majority of eyes (Figure 6A and B). Change in refractive error is less impressive, and does not correspond to the change in keratometric values.61-64

Surprisingly, even a decade after the initial reports, well designed randomized controlled trials on collagen crosslinking are scarce. Wittig-Silva et al reported preliminary results, showing a halt in keratoconus progression at 12 months in treated eyes. However, less than a third of enrolled eyes completed the 12-month follow-up.65 Hersh et al used a somewhat complicated study design in a trial conducted to obtain approval for collagen crosslinking from the US Food and Drug Administration. Eyes in the sham treatment group were used as controls only for 3 months, after which they crossed over to the treatment group and underwent crosslinking. Another fellow-eye control group ranged from eyes with frank keratoconus to eyes with no evidence of disease. At one year, there was a 2-diopter decrease in maximum keratometry and a 1.5-diopter decrease in average keratometry in treated eyes with keratoconus. Interestingly, there were no changes in visual acuity, keratometry, or refraction in the control group eyes at one year, indicating no progression of disease.66

O’Brart et al reported 18-month postoperative results in 22 treated eyes, using fellow-eyes for comparison. No eyes in the treatment group and three eyes in the control group showed evidence of progression.67 The advanced age of the patients studied, use of refraction as a criterion to assess disease progression, a questionable difference in the progression rates in the two groups, and lack of sample size calculation are some of the limitations of this study.68

Reported adverse effects with collagen crosslinking include bacterial, fungal, acanthamoeba, and sterile keratitis.69-75 Kymonis et al reported significant endothelial cell loss after crosslinking in thin corneas.76 Spoerl et al have described safety-related guidelines to be followed during the crosslinking procedure. These include epithelial removal, application of 0.1% riboflavin solution for 30 minutes before ultraviolet exposure, a homogenous ultraviolet irradiance of 3 mW/cm² with a wavelength of 370 nm, and a minimal corneal stromal thickness of 400 µm. Damage to the endothelium, lens, or retina is not expected if these criteria are fulfilled.77 Of great concern are reports of persistent corneal edema and corneal decompensation, along with signs of damage to anterior segment structures following crosslinking in concordance with established protocols and guidelines.78-80 Modifications to the original Dresden protocol include use of hypo-osmolar riboflavin to swell thin corneas artificially, transepithelial crosslinking using different compounds designed to improve riboflavin penetration or using iontophoresis, and attempts to reduce overall duration of the procedure by increasing ultraviolet radiance.76,81-86 The safety and efficacy of these modifications is unproven.

In summary, collagen crosslinking as a means for halting keratoconus progression is definitely backed by a degree of evidence from laboratory and clinical studies. Better designed, prospective, randomized clinical trials with
Keratoconus is amongst the best indications for doing a penetrating keratoplasty. Unlike penetrating keratoplasty, DALK is not a full-thickness corneal replacement procedure. The epithelium and stroma of the host cornea, preferably up to the DM, are removed, thereby preserving native endothelium. This can be achieved either by manual dissection or by a “big bubble” technique, which uses an air bubble to dissect the plane between corneal stroma and the DM. A donor cornea with the DM stripped off is then sutured on like a penetrating keratoplasty. DALK has the advantages of essentially being an extracapsular procedure and retaining the host endothelium, thereby obviating the risk of endothelial graft rejection and probably improving graft survival. The surgery is technically challenging to perform, has a significant learning curve, and demands greater operating time compared with penetrating keratoplasty. Complications include intraoperative DM perforation, which may necessitate conversion to penetrating keratoplasty, postoperative DM detachment, and interface haze. Also, baring of the DM may not be possible in cases with deep stromal scarring or prior hydrops, resulting in suboptimal visual outcomes due to retained stroma. Nonetheless, pre-descemetic DALK may be a good alternative to penetrating keratoplasty in such cases.

Although very long-term follow-up for DALK is unavailable at present, comparisons indicate that outcomes are similar to penetrating keratoplasty in terms of visual
acuity and astigmatism, with about 80% of patients achieving a best-corrected visual acuity of 20/40 or greater in most series. Endothelial cell loss is markedly lower.\textsuperscript{102–107} A technology assessment by the American Academy of Ophthalmology concluded that DALK is equivalent to penetrating keratoplasty in terms of refractive error, and is superior for preservation of endothelial cell density.\textsuperscript{108} We believe that wherever feasible, DALK should be performed as the standard of care surgery when keratoplasty is required in keratoconus.

Other surgeries
Symptomatic treatment of acute corneal hydrops is with patching or bandage contact lenses, topical cycloplegic agents, and hypertonic saline. Intracameral perfluoropropane (C\textsubscript{3}F\textsubscript{8}) gas in a nonexpansile concentration of 14% has been found to significantly reduce the time to resolution of hydrops.\textsuperscript{109} However, the final visual acuity is not affected. The main complication is pupillary block, which is usually reversible.\textsuperscript{109} Imaging may help in deciding which cases are best suited for this procedure, with very large DM breaks visualized on anterior segment optical coherence tomography being a relative contraindication.\textsuperscript{35,110}

Intracorneal ring segments such as Intacs (Addition Technologies, Sunnyvale, CA, USA) and Ferrara rings (Mediphacos, Belo Horizonte, Brazil) are segments made of inert material such as polymethyl methacrylate and acrylic polymers. Originally designed for myopia correction, they are now mainly used in mild to moderate keratoconus to improve contact lens tolerance. Prerequisites include nonprogressive keratoconus, an absence of significant central corneal scarring, and a minimum pachymetry of 450 \( \mu \text{m} \) at the site of implantation. They are inserted deep into the corneal stroma to achieve central flattening of the surface, using channels created mechanically or by means of a femtosecond laser. Most clinical studies report an improvement in best-corrected visual acuity, with a flattening of the cornea by 2–3 diopters. Thus, the clinical spectrum within which these devices are useful is limited. Quality of vision may not be improved much in early cases or in those with a good contact lens fit, and their utility in advanced keratoconus cases is doubtful. Major complications include corneal perforation, erosion, infection, corneal haze, neovascularization, melting, and loss of visual acuity.\textsuperscript{111,112}

Spherical as well as toric implantable collamer lenses (ICLs) have been used in cases of nonprogressive keratoconus to decrease the spherical and cylindrical refractive error, leading to an improvement in uncorrected visual acuity. Angle closure disease and diseased corneal endothelium are absolute contraindications to the use of ICLs. Eyes with high irregular astigmatism may not be suitable for toric ICL implantation, as calculation of axis of placement is difficult, and the induced higher order aberrations may lead to unpredictable results. Reports indicate that, for well chosen cases, ICLs are safe and effective for improving uncorrected visual acuity.\textsuperscript{113–116} Other designs that have been utilized include iris supported and iris-claw phakic intraocular lenses.\textsuperscript{117–119} Major concerns include potential for glaucoma, iris, and cataract formation.

Cataract surgery in eyes with keratoconus can be challenging, due to difficulty in accurate intraocular lens (IOL) power calculation and correction of astigmatism. The SRK-II formula has been found to provide predictable IOL power calculation compared with other formulae in mild keratoconus. For moderate and advanced keratoconus, predictability in IOL power calculation is much less, and no particular formula seems to provide an advantage over another.\textsuperscript{120} Due to irregular corneal shape and decentered apex in keratoconus, use of keratometry readings based on topography or ray-tracing technology as well as optical measurement of axial length have been recommended.\textsuperscript{121} Toric IOLs may be used to provide good uncorrected postoperative visual acuity after cataract surgery in an eye with keratoconus and high regular corneal astigmatism.\textsuperscript{122,123} It seems prudent to avoid cases with irregular astigmatism, because the induced higher order aberrations may severely compromise the quality of vision with toric IOLs.

Evidence of corneal ectasia is conventionally held to be a contraindication for excimer laser-based refractive surgery. Recently, there has been a surge of interest in photorefractive keratectomy for refractive error correction in keratoconus. Studies have reported good outcomes of photorefractive keratectomy in keratoconus suspect eyes, with excellent uncorrected visual acuity and predictable refractive outcome in a majority of eyes. One study has also examined the results of photorefractive keratectomy in eyes with keratoconus. None of these studies report progression of ectasia after the procedure.\textsuperscript{124–127} Results need to be interpreted with caution, because not all included cases seem to be representative of keratoconus. It is suggested that topography-guided ablation may be the best choice for such cases.\textsuperscript{128} Topography-guided conductive keratoplasty has been proposed as a means of reshaping the cornea in advanced cases of keratoconus.\textsuperscript{129} The study design and methodology have been questioned, and larger series are needed to confirm the utility of this modality.\textsuperscript{130}
In recent times, a spate of combinations of procedures, such as collagen crosslinking, intracorneal rings, ICLs, and photorefractive keratectomy have been reported. Maximum interest seems to be focused on combining collagen crosslinking with photorefractive keratectomy. Same day collagen crosslinking combined with topography-guided photorefractive keratectomy has been found to be superior to sequential procedures for visual rehabilitation. Similar reports have documented a significant decrease in refractive error as well as refractive stability after collagen crosslinking combined with photorefractive keratectomy. It is important to note that the aim of these procedures is not complete correction of refractive error, but reduction in its magnitude. Also, anticipating a flattening effect of collagen crosslinking, undercorrection with photorefractive keratectomy by about 30% in both sphere and cylinder is targeted. Other approaches tried for visual improvement include two-step procedures, such as toric ICL implantation 6–12 months after collagen crosslinking in progressive keratoconus, and toric ICL implantation 6–10 months after Intacs implantation in keratoconic eyes with extreme myopia. An inherent problem with combining different procedures is trying to judge the relative effect of each on the eye being treated, particularly given insufficient interval between procedures.

Conclusion

Centuries after the earliest descriptions of keratoconus, much about the etiology and pathogenesis of the condition remains an enigma. Significant strides have been made in early detection of the disease, as well as towards providing optimal optical and surgical correction for improving the quality of vision in affected patients. The past two decades, in particular, have seen exciting new developments promising to favorably alter the natural history of keratoconus for the first time. Scientific interest in the condition is bound to remain high in the foreseeable future for two major reasons. First, the impending threat of iatrogenic ectasia or unmasking of subclinical keratoconus dangles like the sword of Damocles over all refractive surgeons. Secondly, recent advancements have expanded the therapeutic options and exposed the potential for further innovations. Hopefully, this will also translate to safer and more effective treatments for patients.

Disclosure

The author reports no conflicts of interest in this work.

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