#### **Core Evidence**

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#### REVIEW

Lapatinib plus chemotherapy or endocrine therapy (CET) versus CET alone in the treatment of HER-2-overexpressing locally advanced or metastatic breast cancer: systematic review and meta-analysis

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Correspondence: Tobias Engel Ayer Botrel Evidencias Scientific Information, Rua Tranquillo Prosperi, 143 Campinas, São Paulo, Brazil 13084-778 Tel +55 19 8149 5375 Fax +55 19 3287 8310 Email tobias.engel@evidencias.com.br **Background:** This paper reports a systematic review and meta-analysis of all randomized controlled trials comparing the efficacy of lapatinib plus chemotherapy or endocrine therapy (CET) versus CET alone in human epidermal growth factor receptor 2-overexpressing (HER-2+) locally advanced or metastatic breast cancer.

**Methods:** Several databases were searched, including MEDLINE, EMBASE, LILACS, and CENTRAL. The primary endpoints were progression-free survival and overall survival. The side effects of each treatment were analyzed. The data extracted from the studies were combined by using the hazard ratio or risk ratio with their corresponding 95% confidence interval (CI).

**Results:** A total of 113 references were identified and screened. The final analysis included four trials comprising 1,073 patients with HER-2+. The overall response rate was higher in patients who received the combination of CET plus lapatinib (risk ratio 0.78; 95% CI 0.71–0.85; P < 0.00001) but with significant heterogeneity ( $\chi^2 = 15.61$ , df = 3; P = 0.001; P = 81%). This result remained favorable to the use of lapatinib when a random-effects model analysis was performed (risk ratio 0.76; 95% CI 0.62–0.94; P = 0.01). Progression-free survival was also higher in patients who received CET plus lapatinib (hazard ratio 0.57; 95% CI 0.49–0.66; P < 0.00001) with no heterogeneity detected on this analysis ( $\chi^2 = 3.05$ ; df = 3; P = 0.38; P = 1%). Overall survival was significantly longer in patients who received CET plus lapatinib (hazard ratio 0.80; 95% CI 0.69–0.92; P = 0.002) without heterogeneity on this analysis ( $\chi^2 = 1.26$ ; df = 3; P = 0.74;  $I^2 = 0\%$ ). Regarding adverse events and severe toxicities (grade  $\geq 3$ ), the group receiving CET plus lapatinib had higher rates of neutropenia (risk ratio 2.08; 95% CI 1.64–2.62; P < 0.00001), diarrhea (risk ratio 4.82; 95% CI 3.14–7.41; P < 0.00001), and rash (risk ratio 8.03; 95% CI 2.46–26.23; P = 0.0006).

**Conclusion:** The combination of CET plus lapatinib increased the overall response rate, progression-free survival, and overall survival in patients with HER-2+ locally advanced or metastatic breast cancer.

Keywords: chemotherapy, lapatinib, breast cancer, meta-analysis

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Outcome measure	Evidence	Implications
Disease-oriented	The combination of CET plus lapatinib	The overall response rate was higher in patients
evidence	showed superiority to CET alone	who received the combination of CET plus lapatinib
Patient-oriented	The combination of CET plus lapatinib	Progression-free survival and overall survival were
evidence	showed superiority to CET alone	higher in patients who received CET plus lapatinib
Economic evidence	Neither a cost effectiveness nor a budgetary impact	Neither a cost effectiveness nor a budgetary impact
	analysis were performed	analysis were performed

## Background

Breast cancer is the most common cancer among women worldwide.1 Each year, about 1.4 million new cases of breast cancer are diagnosed worldwide, and over 450,000 women will die of the disease annually.1 Women have a one in nine lifetime risk of developing breast cancer.<sup>2</sup> The incidence of breast cancer increases with age, doubling every 10 years until menopause, after which the rate of increase slows down.<sup>2</sup> Advanced or metastatic breast cancer is defined as a clinical stage that corresponds to cancer stage III and IV, based on the tumor itself, on lymph node involvement, and on metastases. Approximately 16%-20% of women with breast cancer have advanced or metastatic breast cancer and 50% of early-stage breast cancers ultimately develop into metastatic breast cancer.<sup>3</sup> The human epidermal growth factor receptor 2 gene (ErbB2, usually cited as HER-2) appears to be amplified in around 15%–22% of breast cancer patients,<sup>3,4</sup> and this carries a bad prognosis.4-6

On March 13, 2007, the US Food and Drug Administration (FDA) approved lapatinib, an oral, small molecule, dual tyrosine kinase inhibitor of ErbB-2 and ErbB-1, for use in combination with chemotherapy (capecitabine) in the treatment of patients with human epidermal growth factor receptor 2-overexpressing (HER-2+) metastatic breast cancer who had received prior therapy including anthracy-cline, a taxane, and trastuzumab. This approval was based on a randomized Phase III trial published by Geyer et al<sup>7</sup> showing a longer time to progression in favor of the group receiving lapatinib.

On January 29, 2010, the FDA granted accelerated approval to lapatinib for use in combination with endocrine therapy (letrozole) for the treatment of postmenopausal women with HER-2+ metastatic breast cancer and for whom hormonal therapy is indicated. The approval was based on a clinically meaningful increase in progressionfree survival observed in a single trial.<sup>8,9</sup> Until then, there was no randomized controlled trial (RCT) demonstrating gains in overall survival.<sup>10</sup> Recently, Guan et al<sup>11</sup> published the first RCT demonstrating benefits in overall survival of patients who used lapatinib with chemotherapy versus chemotherapy alone. The objective of this research was to analyze all published RCTs comparing the efficacy of lapatinib plus chemotherapy or endocrine therapy (CET) versus CET alone in the treatment of patients with HER-2+ locally advanced (a T4 primary tumor and stage IIIB or IIIC disease) or metastatic breast cancer.

# Materials and methods Study selection criteria

RCTs with a parallel design comparing use of CET regimens associated with lapatinib against others without lapatinib were included. Patients with locally advanced or metastatic breast cancer and with HER-2+ (immunohistochemistry 3+/fluorescence in situ hybridization-positive or chromogenic in situ hybridization-positive for HER-2).<sup>12</sup>

# Search strategy for identification of studies

A wide search of the main computerized databases of interest was conducted, including EMBASE, LILACS, MEDLINE, SCI, CENTRAL, The National Cancer Institute Clinical Trials service, and The Clinical Trials Register of Trials Central. In addition, abstracts published in the proceedings of the American Society of Clinical Oncology, the European Society for Medical Oncology, and San Antonio Breast Cancer Symposium were also searched.

For MEDLINE, we used the search strategy methodology for RCT<sup>13</sup> recommended by the Cochrane Collaboration.<sup>14</sup> For EMBASE, adaptations of this same strategy were used,<sup>13</sup> and for LILACS, we used the search strategy methodology reported by Castro et al.<sup>15</sup> An additional search of the Science Citation Index (SCI) database was performed, looking for studies cited on the included RCTs. The specific terms pertinent to this review were added to the overall search strategy methodology for each database.

The overall search strategy was as follows: #1, "lapatinib" (Supplementary Concept) OR "lapatinib" (All Fields); #2, "breast neoplasms" (MeSH Terms) OR "breast cancer" (All Fields); #3, "Randomized Controlled Trial" (Publication Type). Searches of electronic databases combined the terms #1 AND #2 AND #3.

# Critical evaluation of selected studies

All the references retrieved by the search strategies had their title and abstract evaluated by two of the researchers. Every reference with the least indication of fulfilling the inclusion criteria was listed as preselected. The complete articles of all preselected references were retrieved and analyzed by two different researchers, and later included or excluded according to the criteria reported previously. The excluded trials and the reason for their exclusion are listed in this paper. Data were extracted from all the trials included.

Details regarding the main methodology characteristics empirically linked to bias<sup>16</sup> were extracted, with the methodologic validity of each selected trial assessed by two reviewers (TEAB and OACC). Particular attention was given to some items, including the generation and concealment of the sequence of randomization, blinding, application of intention-to-treat analysis, sample size predefinition, loss of follow-up description, adverse events reports, and whether the trial was multicenter and/or sponsored.

### Data extraction

The data were extracted by two independent reviewers. The name of the first author and year of publication were used to identify the study. All data were extracted directly from the text or calculated from the available information when necessary. The data from all trials were based on the intention-to-treat principle, so they compared all patients allocated to one treatment with all those allocated to another.

The primary endpoints were progression-free survival and overall survival. The definition of progression-free survival adopted was time from randomization to either death or disease progression (whichever occurs first). If data on progression-free survival were not available, data on time to progression or event-free survival were assessed.

Other clinical outcomes were evaluated: overall response rate (complete response and partial response) and more frequent adverse hematologic events (anemia and neutropenia) and nonhematologic events (headache, diarrhea, vomiting, rash, nausea, hand-foot syndrome, fatigue, dyspnea, myalgia, and cardiac toxicity). Cardiac events were defined as a symptomatic decline in left ventricular ejection fraction or, if asymptomatic, as a 20% decrease in left ventricular ejection fraction relative to baseline that was less than the institution's lower normal limit.

# Analysis and presentation of results

Data were analyzed using the Review Manager 5.0.24 statistical package Cochrane Collaboration Software, Copenhagen, Denmark. Dichotomous clinical outcomes are reported as the risk ratio (RR) and survival data as the hazard ratio (HR).<sup>17</sup> The corresponding 95% confidence interval (CI) was calculated, considering *P*-values less than 5% (P < 0.05). A statistic for measuring heterogeneity was calculated using the  $I^2$  method (25% was considered low-level heterogeneity, 25%–50% moderate-level heterogeneity, and >50% high-level heterogeneity).<sup>18,19</sup>

To estimate the absolute gains in progression-free survival and overall survival, we calculated the meta-analytic survival curves as suggested by Parmar et al.<sup>17</sup> A pooled estimate of the HR was computed using a fixed-effect model according to the inverse-variance method.<sup>20</sup> Thus, for effectiveness or side effects, an HR or RR >1 favors the standard arm (control), whereas an HR or RR <1 favors treatment with lapatinib.

If statistical heterogeneity was found in the meta-analysis, an additional analysis was performed, using the randomeffects model described by DerSimonian and Laird,<sup>21</sup> that provides a more conservative analysis.

To assess the possibility of publication bias, a funnel plot test as described by Egger et al<sup>22</sup> was performed. When the pooled results were significant, the number of patients needed to treat or needed to harm (NNT or NNH, respectively) to cause or to prevent one event was calculated by pooling absolute risk differences in the trials that were included in this meta-analysis.<sup>23-25</sup> For all analyses, a forest plot was generated to display the results.

In the analysis of efficacy, a subgroup analysis was planned to evaluate the influence of the use of CET plus lapatinib only in first-line treatment, according to the type of systemic therapy (ie, lapatinib plus chemotherapy or endocrine therapy, or chemotherapy alone).

# Results

Figure 1 represents the flow of identification and inclusion of trials, as recommended by the Preferred Reporting Items for Systematic reviews and Meta-Analyses (PRISMA) statement.<sup>26</sup> Overall, 113 references were identified and screened. Nine studies were selected and retrieved for full-text analysis. Of these, five studies were excluded for various reasons (not randomized, adjuvant treatment, HER-2(–), and lapatinib in both arms).

# Characteristics of included studies

The final analysis included four trials comprising 1,073 patients with HER-2+.<sup>7,9,11,27–30</sup> All results from these studies were analyzed on an intention-to-treat principle. Lapatinib was associated with chemotherapy in three tri-

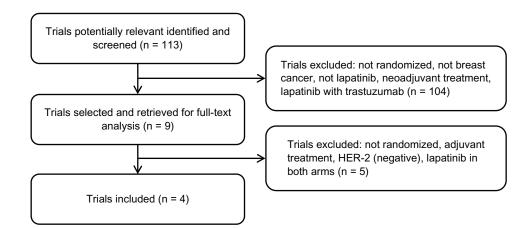


Figure I Trial selection flow. Abbreviation: HER-2, human epidermal growth factor-2.

als,<sup>7,11,27–29</sup> with paclitaxel in two,<sup>11,29</sup> and with capecitabine in one.<sup>7,27,28</sup> One study<sup>9,30</sup> associated lapatinib with endocrine therapy (letrozole; Table 1). The different schemes used for CET and lapatinib are detailed in Table 2.

Overall survival was the primary endpoint of the Guan et al<sup>11</sup> study, whereas progression-free survival was the primary endpoint of the study reported by Johnston et al.<sup>9,30</sup> In another two studies,<sup>7,27,28</sup> the primary endpoint was time to progression, defined as time from randomization to disease progression or death resulting from breast cancer (Table 1).

Two of the eligible studies allowed patients in the "no lapatinib" arm to cross over to lapatinib at disease progression, while the other trials did not permit<sup>9</sup> or did not mention<sup>29</sup> cross over. Data were extracted from updates for some studies, including those reported by Geyer et al and Cameron et al,<sup>7,27,28</sup> and by Johnston et al and Schwartzberg et al.<sup>9,30</sup> With the exception of only one study,<sup>7,27,28</sup> the overall response rate was significantly higher in the groups receiving lapatinib.

Progression-free survival was favorable to the association of CET with lapatinib in all studies singly, while overall survival was significantly superior in favor of lapatinib in only one recently published study<sup>11</sup> (Table 2). The toxicity profile for the HER-2+ subpopulation was described in three trials or in their updates.<sup>7,9,11,27,28,30</sup>

#### Meta-analyses

The overall response rate was higher in patients who received the combination of CET plus lapatinib (RR 0.78; 95% CI 0.71–0.85; P < 0.00001; NNT = 7), but with significant heterogeneity ( $\chi^2 = 15.61$ ; df = 3; P = 0.001;  $I^2 = 81\%$ ; Figure 2).

As planned, a random-effects model analysis was performed to explore this heterogeneity further. In this analysis, the result remained favorable to the use of CET plus lapatinib (RR 0.76; 95% CI 0.62–0.94; P = 0.01, Figure 3).

Progression-free survival was also longer in patients who received CET plus lapatinib (HR 0.57; 95% CI 0.49–0.66;

Study	Design	n	Patients	Analysis	Primary
		HER-2+			end point
Chemotherapy with	or without lapatinib				
Guan et al <sup>11</sup>	Randomized, double-blind,	444	Metastatic breast cancer	ITT	OS
	placebo-controlled, multicenter				
Di Leo et al <sup>29</sup>	Randomized, double-blind,	86	Locally advanced or	ITT	PFS
	placebo-controlled, multicenter		metastatic breast cancer		
Geyer et al <sup>7</sup>	Randomized, nonblinded,	324	Locally advanced or	ITT	PFS
Cameron et al <sup>27,28</sup>	open-label, multicenter		metastatic breast cancer		
Endocrine therapy w	ith or without lapatinib				
Johnston et al <sup>9</sup>	Randomized, double-blind,	219	Locally advanced or	ITT	TTP
Schwartzberg et al <sup>30</sup>	placebo-controlled, multicenter		metastatic breast cancer		

 Table I Characteristics of studies that evaluated different schemes of CET in patients with HER-2+ locally advanced or metastatic breast cancer

Abbreviations: ITT, intention-to-treat; OS, overall survival; PFS, progression free survival; TTP, time to progression; HER-2, human epidermal growth factor receptor-2; CET, chemotherapy or endocrine therapy.

Study	Line of	n	Interventions	ORR	PFS	OS
	treatment	HER-2+		n (%)	HR, 95% CI	HR, 95% CI
Chemotherapy with	or without lapa	tinib				
Guan et al <sup>11,*</sup>	First-line	222	Lapatinib + paclitaxel	154 (69%)	9.7 months	27.8 months
		222	Placebo + paclitaxel	110 (50%)	6.5 months	20.5 months
			·		HR 0.52 (0.42-0.64)	HR 0.74 (0.58–0.94)
Di Leo et al <sup>29,**</sup>	First-line	49	Lapatinib + paclitaxel	31 (63.3%)	8.8 months	26.2 months
		37	Paclitaxel + placebo	14 (37.8%)	5.5 months	20.6 months
			·		HR 0.52 (0.31–0.86)	HR 0.74 (0.40-1.40)
Geyer et al <sup>7,***</sup>	At least	163	Lapatinib + capecitabine	36 (22%)	8.4 months	18.8 months
Cameron et al <sup>27,28,***</sup>	Second-line	161	Capecitabine	23 (14%)	4.4 months	16.2 months
					HR 0.55 (0.40-0.74)	HR 0.87 (0.71–1.08)
Endocrine therapy w	ith or without	apatinib				
Johnston et al <sup>9,§</sup>	First-line	111	Lapatinib + letrozole	31 (28%)	8.2 months	33.3 months
Schwartzberg et al <sup>30,§</sup>		108	Placebo + letrozole	16 (15%)	3.0 months	32.3 months
					HR 0.71 (0.53–0.96)	HR 0.74 (0.50–1.10)

 Table 2 Characteristics and results of randomized studies that evaluated different schemes of CET in patients with HER-2+ locally advanced or metastatic breast cancer

Notes: \*Experimental group received paclitaxel (80 mg/m<sup>2</sup> intravenously once per week for 3 weeks every 4 weeks) and lapatinib (1,500 mg once per day), and control group received paclitaxel (80 mg/m<sup>2</sup> intravenously once per week for 3 weeks every 4 weeks) and placebo (once per day); \*\*experimental group received paclitaxel (175 mg/m<sup>2</sup> intravenously over 3 hours on day 1, every 3 weeks) with lapatinib (1,500 mg per day once daily) and control group received paclitaxel (175 mg/m<sup>2</sup> intravenously over 3 hours on day 1, every 3 weeks) with lapatinib (1,500 mg per day once daily) and control group received paclitaxel (175 mg/m<sup>2</sup> intravenously over 3 hours on day 1, every 3 weeks) plus placebo once daily; \*\*experimental group received capecitabine at a dose of 2,000 mm/m<sup>2</sup> in two divided doses on days 1 through 14 of a 21-day cycle; fexperimental group received letrozole 2.5 mg daily and the control group received capecitabine at a dose of 2,000 mm/m<sup>2</sup> in two divided doses on days 1 through 14 of a 21-day cycle; fexperimental group received letrozole 2.5 mg daily daily plus lapatinib 1,500 mg orally, and the control group received letrozole 2.5 mg daily with matching lapatinib placebo pill.

Abbreviations: ORR, overall response rate; OS, overall survival; PFS, progression free survival; HR, hazard ratio; CET, chemotherapy or endocrine therapy; HER-2, human epiderman growth factor-2.

P < 0.00001; NNT = 2), with no heterogeneity detected in this analysis ( $\chi^2 = 3.05$ ; df = 3, P = 0.38;  $l^2 = 1\%$ , Figure 4). Overall survival was significantly longer in patients who received CET plus lapatinib (HR 0.80; 95% CI 0.69–0.92; P = 0.002; NNT = 5), without heterogeneity in this analysis ( $\chi^2 = 1.26$ ; df = 3; P = 0.74;  $l^2 = 0\%$ , Figure 5).

Regarding overall adverse events (toxicities of any grade), patients receiving CET plus lapatinib had higher rates

of neutropenia (RR 1.63; 95% CI 1.39–1.91; P < 0.00001; NNH = 12), and anemia (RR 1.55; 95% CI 1.2–1.99; P = 0.0007; NNH = 17, Figure 6), and diarrhea (RR 2.44; 95% CI 2.15–2.78; P < 0.00001; NNH = 2), nausea (RR 1.23; 95% CI 1.06–1.43; P = 0.006; NNH = 14), vomiting (RR 1.50; 95% CI 1.22–1.85; P = 0.0001; NNH = 12), and rash (RR 2.4; 95% CI 2.03–2.83; P < 0.00001; NNH = 4, Figure 7). The proportion of patients with cardiac events

	CET with lapatin		CET al			Risk ratio (non-event)	Risk ratio (non-eve	,
Study or subgroup				Total	Weight	M–H, fixed, 95% Cl	M–H, fixed, 95%	CI
1.2.1 Endocrine thera	py with or without	t lapa	tinib					
Johnston et al <sup>9</sup> Subtotal (95% Cl)	31	111 <b>111</b>	16	108 <b>108</b>	25.2% <b>25.2%</b>	0.85 [0.74, 0.97] <b>0.85 [0.74, 0.97]</b>	•	
Total events	31		16					
Heterogeneity: not app	licable							
Test for overall effect:								
1.2.2 Chemotherapy	with or without lap	atinik	)					
Cameron et al <sup>27, 28</sup>	36	163	23	161	37.5%	0.91 [0.82, 1.01]		
Di Leo et al <sup>29</sup>	31	49	14	37	7.1%	0.59 [0.38, 0.92]		
Guan et al <sup>11</sup>	154	222	110	222	30.2%	0.61 [0.48, 0.77]	-	
Subtotal (95% CI)		434		420	74.8%	0.76 [0.68, 0.84]	•	
Total events	221		147					
Heterogeneity: chi <sup>2</sup> = 1	6.60, df = 2 (P = 0.0	0002)	; <i>I</i> <sup>2</sup> = 88%	6				
Test for overall effect:	Z = 4 (P < 0.00001)							
Total (95% CI)		545		528	100.0%	0.78 [0.71, 0.85]	•	
Total events	252		163					
Heterogeneity: chi <sup>2</sup> = 1	5.61, $df = 3 (P = 0.0)$	001);	l <sup>2</sup> = 81%			F		
Test for overall effect:	<i>'</i>	,,				0.01	0.1 1	10 100
Test for subgroup diffe	rences: chi <sup>2</sup> = 1.51,	df = `	1 ( <i>P</i> = 0.2	22); <i>I</i> ² =	= 33.8%	Fa	avor lapatinib Favor	control

Figure 2 Comparison of objective response rates on CET with lapatinib versus CET alone.

Abbreviations: CET, chemotherapy or endocrine therapy; CI, confidence interval; M-H, Mantel-Haenszel.

	CET with lapati	nib	CET al	one		Risk ratio (non-event)	Risk ratio (non-event)
Study or subgroup	Events	Total E	Events	Total	Weight	M–H, random, 95% Cl	M–H, random, 95% Cl
1.2.1 Endocrine thera	apy with or withou	ut lapati	inib				
Johnston et al <sup>9</sup> Subtotal (95% CI)	31	111 <b>111</b>	16	108 <b>108</b>	30.1% <b>30.1%</b>	0.85 [0.74, 0.97] <b>0.85 [0.74, 0.97]</b>	•
Total events	31		16				
Heterogeneity: not app							
Test for overall effect:	Z = 2.34 (P = 0.02)	)					
1.2.2 Chemotherapy	with or without la	patinib					
Cameron et al <sup>27, 28</sup>	36	163	23	161	32.0%	0.91 [0.82, 1.01]	
Di Leo et al <sup>29</sup>	31	49	14	37	13.7%	0.59 [0.38, 0.92]	
Guan et al <sup>11</sup>	154	222	110	222	24.2%	0.61 [0.48, 0.77]	-
Subtotal (95% CI)		434		420	69.9%	0.71 [0.48, 1.04]	$\blacklozenge$
Total events	221		147				
Heterogeneity: tau <sup>2</sup> = 0 Test for overall effect:			P = 0.00	02); <i>I</i> ²	= 88%		
Total (95% CI)		, 545		528	100.0%	0.76 [0.62, 0.94]	
. ,	050	545	400	520	100.0 %	0.70 [0.02, 0.94]	•
Total events	252		163		<b></b>		
Heterogeneity: tau <sup>2</sup> =	, , ,	```	= 0.00	1); <i>I</i> <sup>2</sup> =	81%		0.1 1 10 10
Test for overall effect:	· · ·	,				0.01	
Test for subgroup diffe	erences: chi <sup>2</sup> = 0.74	l, <i>df</i> = 1	(P = 0.3)	39); <i>I</i> ² =	= 0%	F	Favor lapatinib Favor control

Figure 3 Comparison of objective response rates on CET with lapatinib versus CET alone (random-effects model analysis). Abbreviations: CET, chemotherapy or endocrine therapy; CI, confidence interval; M–H, Mantel–Haenszel.

was similar in both groups (RR 1.6; 95% CI 0.92–2.80; P = 0.10, Figure 7).

Concerning severe toxicities (of grade  $\geq$ 3), patients receiving CET plus lapatinib had higher rates of neutropenia (RR 2.08; 95% CI 1.64–2.62; *P* < 0.00001; NNH = 9), diarrhea (RR 4.82; 95% CI 3.14–7.41; *P* < 0.00001; NNH = 8), and rash (RR 8.03; 95% CI 2.46–26.23; *P* = 0.0006; NNH = 33).

Because there was significant heterogeneity in adverse events, we undertook an analysis with and without inclusion of the study reported by Di Leo et al,<sup>29</sup> given that this study reported toxicity in patients with HER-2+ and HER-2- disease. There was no difference in the results. As planned, we also performed a random-effects model analysis to explore this heterogeneity further and the result remained favorable to the control group. According to funnel plot<sup>22</sup> analysis, the possibility of publication bias was low for all endpoints.

#### Subgroup analysis

According to the type of systemic therapy (CET), lapatinib associated only with chemotherapy was more effective than the use of CET alone, showing a better overall response rate (RR 0.76; 95% CI 0.68–0.84; P < 0.00001; NNT = 6), longer progression-free survival (HR 0.53; 95% CI 0.45–0.62; P < 0.00001; NNT = 2), and longer overall

Study or subgroup	Log[hazard ratio]		Weight	Hazard ratio IV, fixed, 95% CI	Hazard IV, fixed,	
1.1.1 Endocrine thera		•				
Johnston et al <sup>9</sup>	-0.34249031	0.14918027		0.71 [0.53, 0.95]	<b></b>	
Subtotal (95% CI)			24.8%	0.71 [0.53, 0.95]	•	
Heterogeneity: not app	olicable					
Test for overall effect:	Z = 2.30 (P = 0.02)					
1.1.2 Chemotherapy	with or without lapat	tinib				
Cameron et al <sup>27, 28</sup>	-0.597837	0.16247938	20.9%	0.55 [0.40, 0.76]	+	
Di Leo et al <sup>29</sup>	-0.65392647	0.26391123	7.9%	0.52 [0.31, 0.87]		
Guan al <sup>11</sup>	-0.65392647	0.10896838	46.4%	0.52 [0.42, 0.64]		
Subtotal (95% CI)			75.2%	0.53 [0.45, 0.62]	♦	
Heterogeneity: chi <sup>2</sup> = 0	0.09, df = 2 (P = 0.96);	; $I^2 = 0\%$				
Test for overall effect:	Z = 7.46 ( <i>P</i> < 0.00001	1)				
Total (95% CI)			100.0%	0.57 [0.49, 0.66]	•	
Heterogeneity: chi <sup>2</sup> = 3	8.05, df = 3 (P = 0.38)	; <i>I</i> <sup>2</sup> = 1%			· · · · · · · · · · · · · · · · · · ·	
Test for overall effect:				(	0.01 0.1 1	10 100
Test for subgroup diffe	erences: chi² = 2.96, d	f = 1 ( <i>P</i> = 0.09	); <i>I</i> <sup>2</sup> = 66.	2%	Favor lapatinib	Favor control

Figure 4 Comparison of progression-free survival on CET with lapatinib versus CET alone.

Abbreviations: CET, chemotherapy or endocrine therapy; CI, confidence interval; SE, standard error; IV, inverse variance.

Study or subgroup	Log[hazard ratio]		Weight	Hazard ratio IV, fixed, 95% CI	Hazaro IV, fixed	
1.4.1 Endocrine thera	apy with or without la	apatinib				
Johnston et al <sup>9</sup> Subtotal (95% CI)	-0.30110509	0.20002515		0.74 [0.50, 1.10] <b>0.74 [0.50, 1.10]</b>	•	
Heterogeneity: not app	olicable					
Test for overall effect:	Z = 1.51 ( <i>P</i> = 0.13)					
1.4.2 Chemotherapy	with or without lapat	inib				
Cameron et al <sup>27, 28</sup>	-0.13926207	0.10368978	48.2%	0.87 [0.71, 1.07]		
Di Leo et al <sup>29</sup>	-0.30110509	0.31387599	5.3%	0.74 [0.40, 1.37]		-
Guan et al <sup>11</sup>	-0.30110509	0.12429926	33.6%	0.74 [0.58, 0.94]	=	
Subtotal (95% CI)			87.0%	0.81 [0.70, 0.94]	•	
Heterogeneity: chi <sup>2</sup> = 1	.09, df = 2 (P = 0.58);	$I^2 = 0\%$				
Test for overall effect:	Z = 2.74 (P = 0.006)					
Total (95% CI)			100.0%	0.80 [0.69, 0.92]	•	
Heterogeneity: chi <sup>2</sup> = 1	.26, $df = 3 (P = 0.74)$ ;	$I^2 = 0\%$			<b>⊢</b> + → +	
Test for overall effect:	$Z = 3.10 (P = 0.002)^{-1}$			C	0.01 0.1 1	10 100
Test for subgroup diffe	rences: chi <sup>2</sup> = 0.17, d	f = 1 ( <i>P</i> = 0.68	); <i>I</i> <sup>2</sup> = 0%		Favor lapatinib	Favor control

Figure 5 Comparison of overall survival on CET with lapatinib versus CET alone.

Abbreviations: CET, chemotherapy or endocrine therapy; CI, confidence interval; SE, standard error; IV, inverse variance.

survival (HR 0.81; 95% CI 0.70–0.94; P = 0.006; NNT = 5). However, no statistically significant interaction was found between type of lapatinib combination (endocrine or chemotherapy) and the endpoints analyzed.

In accordance with the line of treatment, use of lapatinib plus CET only as first-line treatment also remained superior to the control group in relation to the overall response rate (RR 0.70; 95% CI 0.61–0.80; P < 0.00001; NNT = 6), progression-free survival (HR 0.57; 95% CI 0.49–0.68; P < 0.00001; NNT = 2), and overall survival (HR 0.74; 95% CI 0.61–0.90; P = 0.003; NNT = 3).

#### Discussion

Anti-HER-2 agents have been widely investigated as a strategy for improving survival in advanced or metastatic breast cancer. Trastuzumab, a recombinant humanized monoclonal antibody, was the first molecular targeted agent, and was approved by the FDA for treatment of HER-2+ breast cancer in 1998.<sup>31</sup>

It is known that not all metastatic breast cancer and HER-2+ patients respond to treatment with trastuzumab, and even in those who do respond, the response is transient and rarely exceeds one year.<sup>31,32</sup> The benefit of continued

	CET with lapa	atinib	CET al	one		Risk ratio	Risk ratio
Study or subgroup	Events	Total	Events	Total	Weight	M–H, fixed, 95% Cl	M–H, fixed, 95% Cl
3.2.1 Neutropenia							
Cameron et al <sup>27, 28</sup>	0	164	0	152		Not estimable	
Di Leo et al <sup>29</sup>	0	293	0	286		Not estimable	
Guan et al <sup>11</sup>	170	222	104	221	100.0%	1.63 [1.39, 1.91]	
Johnston et al <sup>9</sup> Subtotal (95% Cl)	0	113 <b>792</b>	0	106 <b>765</b>	100.0%	Not estimable 1.63 [1.39, 1.91]	•
Total events	170		104				
Heterogeneity: not app	olicable						
Test for overall effect:	Z = 6.05 (P < 0.0	0001)					
3.2.2 Anemia							
Cameron et al <sup>27, 28</sup>	0	164	0	152		Not estimable	
Di Leo et al <sup>29</sup>	76	293	58	286	72.7%	1.28 [0.95, 1.73]	<b>—</b>
Guan et al11	50	222	22	221	27.3%	2.26 [1.42, 3.60]	
Johnston et al <sup>9</sup> Subtotal (95% Cl)	0	113 <b>792</b>	0	106 <b>765</b>	100.0%	Not estimable 1.55 [1.20, 1.99]	•
Total events	126		80			• • •	
Heterogeneity: chi <sup>2</sup> = 4		).04): /² =	- 76%				
Test for overall effect:	, ,		-				
Test for subgroup diffe		,	(P = 0.7)	(4): $I^2 =$	0%		
. cot ici cabgroup and		, .,	. (. 0.1	.,, ,	• • •	⊢	
						0.01	0.1 1 10 1
						F	avor lapatinib Favor control

Figure 6 Comparison of hematologic toxicity (any grade) on CET with lapatinib versus CET alone. Abbreviations: CET, chemotherapy or endocrine therapy; CI, confidence interval; M–H, Mantel–Haenszel.

tudy or subgroup	CET with lapatinib Events	Total	Events	CET alone Total	Weight	Risk ratio M–H, fixed, 95% Cl	Risk ratio M–H, fixed, 95% C
1.1 Headache ameron et al <sup>27, 28</sup>	15	164	20	152	62.6%	0.70 [0.37, 1.31]	
ameron et al <sup>29</sup>	0	293	20	286	02.070	Not estimable	
uan et al <sup>11</sup>	0	222	221	0		Not estimable	
ohnston et al <sup>9</sup> ubtotal (95% CI)	16	113 <b>792</b>	12	106 <b>544</b>	37.4% 100.0%	1.25 [0.62, 2.52] 0.90 [0.57, 1.44]	
otal events	31	152	253	044	100.070	0.00 [0.07, 1.44]	Ť
eterogeneity: $chi^2 = 1.49$ , $df = 1$ ( $P = 0.22$ est for overall effect: $Z = 0.43$ ( $P = 0.67$ )							
1.2 Diarrhea							
ameron et al <sup>27, 28</sup>	98	164	60	152	29.9%	1.51 [1.20, 1.91]	-
i Leo et al <sup>29</sup>	171	293	73	286	35.4%	2.29 [1.83, 2.85]	-
uan et al <sup>11</sup>	172	222	64	221	30.8%	2.68 [2.15, 3.33]	•
ohnston et al <sup>9</sup> ubtotal (95% Cl)	77	113 <b>792</b>	8	106 <b>765</b>	4.0% 100.0%	9.03 [4.58, 17.79] 2.44 [2.15, 2.78]	•
tal events	518		205		1001070		•
eterogeneity: chi <sup>2</sup> = 31.41, <i>df</i> = 3 ( <i>P</i> < 0.0 est for overall effect: Z = 13.70 ( <i>P</i> < 0.000							
1.3 Vomiting							
ameron et al <sup>27, 28</sup>	43	164	37	152	31.9%	1.08 [0.74, 1.58]	+
i Leo et al <sup>29</sup>	74	293	48	286	40.4%	1.50 [1.09, 2.08]	-
uan et al <sup>11</sup> bhnston et al <sup>9</sup>	48	222 113	26 7	221 106	21.7% 6.0%	1.84 [1.18, 2.85]	
ubtotal (95% CI)	19	792	1	765	6.0% 100.0%	2.55 [1.12, 5.81] 1.50 [1.22, 1.85]	•
otal events	184		118			- · ·	ľ
eterogeneity: $chi^2 = 5.32$ , $df = 3$ ( $P = 0.15$ est for overall effect: $Z = 3.85$ ( $P = 0.0001$							
1.4 Rash							
ameron et al <sup>27, 28</sup>	45	164	23	152	16.5%	1.81 [1.15, 2.85]	_ <b>_</b> _
i Leo et al <sup>29</sup>	126	293	60	286	41.9%	2.05 [1.58, 2.66]	-
uan et al <sup>11</sup>	130	222	52	221	35.9%	2.49 [1.91, 3.23]	
ohnston et al <sup>9</sup> ubtotal (95% CI)	52	113 <b>792</b>	8	106 765	5.7% 100.0%	6.10 [3.04, 12.22] 2.40 [2.03, 2.83]	•
1.5 Nausea							•
ameron et al <sup>27, 28</sup>	72	164	64	152	31.2%	1.04 [0.81, 1.34]	+
i Leo et al <sup>29</sup>	100	293	85	286	40.4%	1.15 [0.90, 1.46]	<b>†</b>
uan et al <sup>11</sup> bhnston et al <sup>9</sup>	66 30	222 113	41 19	221 106	19.3% 9.2%	1.60 [1.14, 2.26] 1.48 [0.89, 2.47]	-
ubtotal (95% CI)	50	792	19	765	100.0%	1.23 [1.06, 1.43]	•
otal events	268		209			-	
eterogeneity: $chi^2 = 4.76$ , $df = 3$ ( $P = 0.19$ est for overall effect: $Z = 2.76$ ( $P = 0.006$ )	); <i>I</i> <sup>z</sup> = 37%						
1.6 Hand-foot syndrome							
ameron et al <sup>27, 28</sup>	80	164	74	152	100.0%	1.00 [0.80, 1.26]	
i Leo et al <sup>29</sup>	0	293	0	286		Not estimable	T
uan et al <sup>11</sup>	0	222	0	221		Not estimable	
ohnston et al <sup>9</sup> ubtotal (95% CI)	0	113 <b>792</b>	0	106 765	100.0%	Not estimable 1.00 [0.80, 1.26]	
otal events	80		74				Ĭ
eterogeneity: not applicable							
est for overall effect: $Z = 0.02$ ( $P = 0.99$ )							
1.7 Fatigue		463		4=0			
ameron et al <sup>27, 28</sup> i Leo et al <sup>29</sup>	29 0	164 293	41 0	152 286	45.7%	0.66 [0.43, 1.00] Not estimable	-
uan et al <sup>11</sup>	48	293	35	286	37.7%	1.37 [0.92, 2.02]	<b> _</b> -
ohnston et al <sup>9</sup>	25	113	15	106	16.6%	1.56 [0.87, 2.80]	
ubtotal (95% CI)	400	792	04	765	100.0%	1.07 [0.83, 1.38]	•
otal events eterogeneity: chi <sup>2</sup> = 8.30, <i>df</i> = 2 ( <i>P</i> = 0.02	102 ): /² = 76%		91				
est for overall effect: $Z = 0.55$ ( $P = 0.58$ )	,,						
1.8 Dyspnea							
ameron et al <sup>27, 28</sup>	18	164	10	152	47.8%	1.67 [0.80, 3.50]	<b>†</b> ∎−
i Leo et al <sup>29</sup> uan et al <sup>11</sup>	0	293 222	0	286 221		Not estimable Not estimable	
ohnston et al <sup>9</sup>	10	113	11	106	52.2%	0.85 [0.38, 1.93]	_ <mark></mark>
ubtotal (95% CI)		792		765	100.0%	1.24 [0.72, 2.13]	►
otal events	28		21				
eterogeneity: $chi^2 = 1.43$ , $df = 1$ ( $P = 0.23$ est for overall effect: $Z = 0.79$ ( $P = 0.43$ )	); <i>I</i> <sup>2</sup> = 30%						
1.9 Myalgia							
ameron et al <sup>27, 28</sup>	0	164	0	152		Not estimable	
i Leo et al <sup>29</sup>	94	293	74	286	93.6%	1.24 [0.96, 1.60]	
uan et al <sup>11</sup>	0	222	0	221	o	Not estimable	$\top$
ohnston et al <sup>9</sup> ubtotal (95% CI)	11	113 <b>792</b>	5	106 <b>765</b>	6.4% 100.0%	2.06 [0.74, 5.74] 1.29 [1.01, 1.66]	
otal events	105		79		//		<b>▼</b>
eterogeneity: chi <sup>2</sup> = 0.90, $df$ = 1 ( $P$ = 0.34 est for overall effect: $Z$ = 2.01 ( $P$ = 0.04)			-				
1.10 Cardiac event ameron et al <sup>27, 28</sup>	Α	164	4	150	E #0/	3 71 [0 40 20 00]	
ameron et al <sup>27, 28</sup> i Leo et al <sup>29</sup>	4 6	164 293	1 6	152 286	5.4% 31.7%	3.71 [0.42, 32.80] 0.98 [0.32, 2.99]	
uan et al <sup>11</sup>	20	222	11	221	57.5%	1.81 [0.89, 3.69]	+∎-
phnston et al <sup>9</sup>	1	113	1	106	5.4%	0.94 [0.06, 14.81]	
ubtotal (95% CI) otal events	31	792	19	765	100.0%	1.60 [0.92, 2.80]	
eterogeneity: $chi^2 = 1.58$ , $df = 3$ ( $P = 0.66$			13				
est for overall effect: $Z = 1.65 (P = 0.10)$							
est for subgroup differences: chi2 = 111.9	3, df = 9 (P < 0.00001); l <sup>2</sup> =	92.0%				L	

Figure 7 Comparative effect non-hematologic toxicities (any grade) of chemo- or endocrine therapy (CET) with Lapatinib versus CET alone. Abbreviations: CET, chemotherapy or endocrine therapy; CI, confidence interval; M–H, Mantel–Haenszel. use of trastuzumab beyond disease progression remains controversial.<sup>33</sup> Geyer et al<sup>7</sup> published the first study demonstrating the benefits of another anti-HER-2 agent, ie, lapatinib, for patients with trastuzumab-refractory metastatic breast cancer.

As has been shown, studies with this drug in firstline treatment were published subsequently. Based on studies showing a gain in progression-free survival, international guidelines<sup>10,34</sup> recommend use of the CET plus lapatinib combination in patients with stage IIIB, inoperable stage IIIC, stage IV, recurrent, or metastatic breast cancer. So far, there are no studies directly comparing the two drugs.

Two other previously published meta-analyses have indicated the benefits of using lapatinib plus CET for metastatic and HER-2+ breast cancer.31,35 The present meta-analysis incorporated the results of another published RCT<sup>11</sup> and confirmed the benefits of lapatinib plus CET regardless of the treatment line and the efficacy endpoints evaluated, including overall survival. The fact that benefits in overall survival were observed even while some trials allowed cross over from "no lapatinib" to "lapatinib" arms reinforces the activity and effectiveness of this drug. Although no survival benefit was observed in lapatinib combined with endocrine therapy in the only trial that analyzed this combination, it is important to note that the absence of a statistically significant interaction between the lapatinib combination therapy subgroups (CET) and overall survival suggests that other factors, such as cross over, may have accounted for this result.

There was heterogeneity in the overall response rate. This heterogeneity can be attributed to different somatic tumor characteristics. Genomic variants in patients may influence the response to drug treatment. As reported in the following two references, alterations in the estrogen receptor, PI3K-PTEN-Akt signaling cascade, and downstream FOXO3a and FOXM1 are poor prognostic predictors of clinical response.<sup>36,37</sup> In addition to HER-2 expression and amplification, other genomic variants should be considered in patients to be treated with lapatinib plus CET.

The group receiving CET plus lapatinib had higher rates of adverse hematologic events (neutropenia and anemia), adverse gastrointestinal events (diarrhea, nausea, and vomiting), and rash. The proportions of headache, hand-foot syndrome, fatigue, dyspnea, and myalgia were similar. The proportions of cardiac events were also similar. The majority of these cardiac events were grade 1 or 2, asymptomatic, transient, and reversible.<sup>7,9,11,29</sup>

## Conclusion

The combination of CET plus lapatinib increased the overall response, progression-free survival, and overall survival rates in patients with HER-2+ locally advanced or metastatic breast cancer. Side effects resulting from the combination were mild and transient.

## **Author contributions**

All the authors of this research paper participated directly in its planning, execution, or analysis. All authors read and approved the final version submitted.

# Disclosure

The authors report no conflicts of interest in this work.

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