Sleep improvement for restless legs syndrome patients. Part III: effect of treatment assignment belief on sleep improvement in restless legs syndrome patients. A mediation analysis

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Purpose: Two parallel-design, randomized, sham-controlled clinical trials were conducted to study the safety and efficacy of vibratory stimulation (VS) on restless legs syndrome (RLS) patients (Part I of this series of articles). Pooled data from the two studies was retroactively analyzed to compare the relative effects of actual pad assignment with therapeutic pad assignment belief on sleep improvement for patients with RLS.

Patients and methods: One hundred fifty-eight patients with at least moderately severe RLS, as measured by a score of 15 points or greater on the International Restless Legs Syndrome Study Group rating scale (IRLS), were enrolled in the study. Patients were randomly assigned to treatment (patient-controlled vibration) or sham (patient-controlled sound or light-emitting) pads. Patients and clinicians were blinded to pad assignment. The pad was placed under the patient’s legs while in bed at night and activated during an RLS episode. Improvements in Medical Outcomes Study Sleep Problems Index II (MOS-II) scores from baseline to week 4 were examined as a function of pad assignment (independent variable) and therapeutic pad assignment belief held by each patient (mediator variable) through mediation analysis.

Results: Therapeutic pad assignment belief influenced change in MOS-II scores more than actual pad assignment. Patients who believed they had been assigned a therapeutic pad had substantially greater sleep improvement than those who concluded the opposite. When a patient believed that a therapeutic pad had been assigned, sleep improvement was comparable in magnitude, independent of the type of pad assigned (vibrating or sham). Patients assigned vibrating pads believed that they had been assigned a therapeutic pad 2.6 times more frequently than patients assigned sham pads. Consequently, vibrating pads were more efficient at improving sleep than sham pads. Similarity of sleep improvement for those who believed that they had been assigned a therapeutic pad among vibrating, sound, and light pad patients suggests a common counterstimulation therapeutic mechanism of action within the brain.

Conclusion: Therapeutic pad assignment belief influenced improvement in MOS-II scores more strongly than actual pad assignment. Therapeutic pad assignment belief was more commonly associated with vibrating pads than sham pads. These results may have implications for the type of shams used in future device studies.

Keywords: restless legs syndrome, placebo effect, mediator variable, sleep, counterstimulation

Introduction
Vibration stimulation (VS) has been shown to improve sleep in patients suffering from restless legs syndrome (RLS) as measured by (1) the Medical Outcomes Study Sleep Problems Index II (MOS-II) scale and by (2) clinician evaluation (Part I).
Furthermore, it has been demonstrated that sleep improvement from vibration treatment was comparable to sleep improvement from US Food and Drug Administration (FDA)-approved RLS drugs (Part II).²

The current study is a mediation analysis of the pooled clinical trial data described in Parts I and II of this series of articles.¹,² At the end of each of the two vibration trials, patients were asked whether they believed they had been assigned a therapeutic pad or a sham pad. Belief about pad assignment was a putative mediator variable.³,⁴ Mediators are intervening variables that develop after treatment begins but before outcome assessment is complete. Examination of a mediator variable can help to understand the mechanism by which treatment affects outcome and may lead to the development of more effective treatments.⁵ This mediation analysis will quantify the magnitude of the indirect effect of therapeutic pad assignment belief on sleep improvement.

Materials and methods
Double-blinding
Effective double-blinding is critical to understanding the role of therapeutic assignment belief on outcomes because patient-reported measurements such as the MOS-II sleep improvement scale are not objective measurements. In the two study arms described in Part I, patient and clinician blinding were optimized by excluding any patient who had prior RLS treatment with vibration and by not using a cross-over trial design.¹,⁶ Pads were randomly assigned to patients from a master randomization table created prior to patient enrollment. Pads were sent to the clinical centers in unmarked boxes opened by each patient when he or she got home.

Efficacy variable
Change from baseline of RLS-related sleep disturbances was measured with the MOS-II scale.⁷,⁸ The MOS-II scale has been shown to be reliable and valid for measuring sleep disturbance in RLS patients and proven to correlate with overall RLS quality-of-life scores and with RLS severity scores.⁹,¹⁰

Mediator variable
At the completion of the study, patients were asked if they believed they had been assigned a therapeutic pad. Belief about pad assignment defined the putative mediator variable.

Statistical analysis
The effects of actual pad assignment (the independent variable) and therapeutic pad assignment belief (the mediator variable) on the MOS-II change score (the dependent variable) were evaluated using the mediation package in the statistical computing language R (The R Project for Statistical Computing, http://www.r-project.org/) developed by Imai, Keele, and Tingley.¹¹,¹² Linear regression modeled pad assignment and therapeutic pad assignment belief. Individuals with missing data on the outcome or the belief variable were excluded from the model (5 out of 158 individuals). Probit regression was used to model therapeutic pad assignment belief compared to the actual pad assignment, based on the same set of data. These two models were then analyzed using the mediate function in the R mediation package to estimate the mediation effect, the direct effect, and the total effect of pad assignment on the outcome, along with parametric bootstrap confidence intervals based on 1000 simulations.

It should be noted that all mediation models are causal models. In the current study, therapeutic pad assignment belief is presumed to cause change in MOS-II scores and not vice versa. Mediation is not defined statistically; rather, statistics are used to evaluate a postulated mediation model.

A mediator variable can potentially account for none, some, or all of an observed relationship between an independent and a dependent variable. Full mediation occurs if inclusion of the mediation variable drops the direct relationship between the independent variable (actual pad assignment) and dependent variable (change in MOS-II scores) to zero. Partial mediation occurs when the mediating variable accounts for some, but not all, of the relationship between the independent variable and dependent variable. Partial mediation implies that in addition to a significant relationship between the mediator and the dependent variable, some direct relationship also exists between the independent and dependent variables.

Additional analyses were performed with SAS software version 9.3 (SAS Institute Inc, Cary, NC, USA). For all statistical tests, significance cut-off was at \( P \leq 0.05 \).

Results
Patient characteristics
One hundred fifty-eight RLS patients with at least moderately severe primary RLS were enrolled at five clinical sites. Patient characteristics (Table 1), randomization, test-taking compliance, blinding success, vibration safety, sham comparisons, and data poolability have been previously reported (Part I and Part II).¹,²

Double-blinding effectiveness
The results of Part I demonstrated that blinding success was adequate.¹ Neither patients nor clinicians accurately guessed
Mediation analysis of treatment assignment belief on RLS treatment

Therapeutic effects were comparable for patients who believed they were assigned a therapeutic pad

When patients believed that they had been assigned a therapeutic pad – regardless of which pad was actually assigned – their sleep improvement was substantial (Figure 2). Most patients who believed that they had been assigned a therapeutic pad had actually been assigned one (N = 51 [of 91], Figure 2). However, some of these patients had been assigned a sham pad (N = 15 [of 66], Figure 2). When these two groups were compared, no significant difference was observed between either the vibrating or sham pad to improve MOS-II scores from baseline (−17.8 and −19.5, respectively, P > 0.95, Figure 2). When a patient believed that a therapeutic pad had been assigned, comparable degrees of sleep improvement followed, independent of whether a treatment pad or a sham pad had been assigned.

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Table 1 Baseline patient characteristics

<table>
<thead>
<tr>
<th>Patient characteristic</th>
<th>Arm 1 (N = 77 (SD))</th>
<th>Arm 2 (N = 81 (SD))</th>
<th>P-value**</th>
<th>Vibrating pad, N = 90 (SD)</th>
<th>Sham pad, N = 67 (SD)</th>
<th>P-value**</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age</td>
<td>52.8 (15.4)</td>
<td>53.7 (14.6)</td>
<td>0.67</td>
<td>52.8 (14.8)</td>
<td>53.9 (15.1)</td>
<td>0.64</td>
</tr>
<tr>
<td>Height</td>
<td>66.0 (3.5)</td>
<td>66.4 (3.6)</td>
<td>0.52</td>
<td>66.2 (3.6)</td>
<td>66.2 (3.6)</td>
<td>0.94</td>
</tr>
<tr>
<td>Weight</td>
<td>183.8 (41.4)</td>
<td>179.3 (42.1)</td>
<td>0.50</td>
<td>182.7 (39.1)</td>
<td>179.9 (45.1)</td>
<td>0.67</td>
</tr>
<tr>
<td>IRLS baseline score</td>
<td>24.7 (5.3)</td>
<td>23.8 (5.2)</td>
<td>0.28</td>
<td>24.5 (4.9)</td>
<td>23.9 (5.7)</td>
<td>0.47</td>
</tr>
<tr>
<td>MOS-II baseline score</td>
<td>50.8 (15.8)</td>
<td>48.7 (16.5)</td>
<td>0.42</td>
<td>51.5 (15.3)</td>
<td>47.5 (17.2)</td>
<td>0.13</td>
</tr>
<tr>
<td>Age of onset (years)</td>
<td>32.8 (18.0)</td>
<td>34.6 (18.1)</td>
<td>0.55</td>
<td>33.4 (18.8)</td>
<td>34.2 (17.0)</td>
<td>0.78</td>
</tr>
<tr>
<td>Duration (years)</td>
<td>19.9 (15.6)</td>
<td>19.1 (17.0)</td>
<td>0.77</td>
<td>19.4 (16.7)</td>
<td>19.7 (15.8)</td>
<td>0.91</td>
</tr>
<tr>
<td>No current RLS drug use</td>
<td>57.1%</td>
<td>69.1%</td>
<td>0.18</td>
<td>65.9%</td>
<td>59.7%</td>
<td>0.50</td>
</tr>
<tr>
<td>Female gender</td>
<td>72.7%</td>
<td>63.0%</td>
<td>0.23</td>
<td>65.9%</td>
<td>70.2%</td>
<td>0.58</td>
</tr>
<tr>
<td>Only bedtime symptoms</td>
<td>79.2%</td>
<td>80.2%</td>
<td>0.99</td>
<td>23.1%</td>
<td>16.4%</td>
<td>0.30</td>
</tr>
<tr>
<td>Both legs affected</td>
<td>98.7%</td>
<td>100%</td>
<td>0.49</td>
<td>98.9%</td>
<td>100.0%</td>
<td>0.39</td>
</tr>
</tbody>
</table>

Notes: *In the general population, MOS-II scores average 25.8; **t-tests for continuous variables; Chi-square tests for dichotomous variables.

Abbreviations: IRLS, International Restless Legs Study Group rating scale; MOS-II, Medical Outcomes Study Sleep Problems Index II; RLS, Restless Legs Syndrome; SD, standard deviation.

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Mediation analysis

One hundred fifty-seven (99.4%) of the enrolled patients contributed data to the mediation analysis, which separated the total effect of pad assignment on sleep improvement into two components:

Total effect = Direct effect + Mediation effect

The analyses in Parts I and II focused entirely on total effect.1,2 In Part I, total effect was calculated as the raw difference in MOS-II change scores between patients assigned vibrating pads and patients assigned sham pads. In Part II, total effect was calculated as a standardized difference between treatment and control (sham) groups and expressed as a Hedges’ g statistic. In both analyses, assignment of a vibrating pad improved sleep significantly more than assignment of a sham pad.

Figure 1 and Table 2 summarize the mediation analysis. Total effect was significant at P ≤ 0.05, which is consistent with the findings of Parts I and II.1,2 The mediator variable, therapeutic pad assignment belief, caused 64.9% of the total effect (P ≤ 0.001, Table 2). The effect size of the mediator variable was in the medium range (partial correlation coefficient product = 0.11).13 Direct effect of actual pad assignment on MOS-II score improvement was 35.1% of total effect and was not statistically significant. However, it was not zero, implying that pad assignment belief was a partial mediator and that pad assignment had a separate, direct therapeutic effect on sleep improvement that was not mediated by pad assignment belief.
Conversely, when patients did not believe that they had been assigned a therapeutic pad, they showed little improvement in MOS-II change scores (−5.1 and −1.2, respectively, \( P > 0.45 \), for patients assigned vibrating and sham pads).

**Vibrating pads were more efficient at creating the belief that a therapeutic pad had been assigned**

Although assignment of either a vibrating pad or a sham pad could lead to the belief that a therapeutic pad had been assigned, they did not do so with equal efficiency. Fifty-four of the 91 patients (59.3%) assigned a vibrating pad believed that they had been assigned a therapeutic pad. Only 15 of the 66 patients (22.7%) assigned a sham pad believed that they had been assigned a therapeutic pad. These differences were significant; vibrating pad recipients believed that they had been assigned a therapeutic pad 2.6 times more frequently (Figure 3, \( P < 0.0001 \), Chi-square test).

Therapeutic efficacy was significantly higher for vibrating pads than for sham pads. The mediated or indirect therapeutic effect sequence for MOS-II score improvement appeared to be:

Sham pad assignment → 22.7% believed a therapeutic pad was assigned → 19.5 point sleep improvement on the MOS-II scale

Vibrating pad assignment → 59.3% believed a therapeutic pad was assigned → 17.8 point improvement on the MOS-II scale

**Discussion**

**The randomized clinical trial (RCT) and placebo effect**

The RCT has become the gold standard of medical research\(^\text{14,15}\) since it first appeared in the medical literature to describe a study of streptomycin treatment of pulmonary tuberculosis.\(^\text{16,17}\) The RCT is composed of three elements: (1) random patient assignment to different groups, (2) blinding of investigators and patients as to which group each patient was assigned, and (3) comparison of the outcome of the groups by statistical inference computations.

In its simplest form, the RCT creates two identically diseased populations: one treated with an active drug,
procedure, or device and the other treated with an inert therapy (a “placebo” or a “sham,” depending on the setting). Ideally, a placebo would have no effect on the treatment outcome. When there is some degree of placebo influence, it is called a “placebo effect.” (From this point of view, the term placebo effect is an oxymoron since something that was chosen to have no effect had an effect. The complexity of the placebo effect in many trials has led to the need of additional definitions like “true” and “perceived” and “usual” and “enhanced” placebo effects\(^{19–20}\)). When the effect is small, it is considered like bothersome noise in an electronic circuit and ignored. However, when the effect is large, as in RLS treatment trials, it might be better termed a “therapeutic effect not attributable to active treatment” and investigated further. Moreover, placebo effect size is not static, it varies by culture, can increase over time, and in some settings may be related to the magnitude of active treatment effect.\(^{21–24}\)

Placebo effect is not equal across different types of outcome variables. When the central nervous system has little or no control over the outcome variable, placebo effect is small. When the outcome variable measures a process that occurs within the brain – such as depression, anxiety, pain, or dysphoric leg sensations associated with RLS – placebo effect can be very large. A spectrum of placebo effect exists. Placebo effect appears to be smallest when a biochemical marker or an imaging study, such as glucose concentration or an x-ray (as in the streptomycin study), is the dependent variable; somewhat larger when a physiological parameter is measured, such as blood pressure or ventilation rate; larger still when outcomes are subjectively assessed by clinical observers; and largest when the endpoint is a patient-reported value, such as a number on a scale generated from a paper-and-pencil questionnaire like the MOS-II scale used in the current studies.\(^{24–26}\) Fulda and Wetter even demonstrate differences in placebo effect across various scales that subjectively evaluate RLS.\(^{27}\)

To be a success in an RCT, active treatment must be statistically superior to inert treatment. This requirement is based on two related assumptions: (1) that the putative inert treatment is truly inert and (2) when the active and placebo treatments have equal effects, both are considered ineffective instead of the opposite. For those unable to see that equal effects might represent trial success in one setting and trial failure in another, RCT methodology with its statistical complexity may have become more important than scientific understanding.\(^{28}\) For example, Figures 2 and 3 demonstrated that patients who believed that they had been assigned a therapeutic pad were able to benefit from the counterstimulation generated by their pad and experienced decreased sleep problems – regardless of whether the assigned pad was a vibrating pad, a sound sham pad, or a light sham pad. For these patients, were their comparable responses to therapy and sham pads all placebo effect? Or, might they all have been therapeutic effects? Might it lead to better understanding to recognize sound or light as an effective counterstimuli for a minority of RLS patients than to dismiss their benefit as simply a placebo effect? For example, a pad with a choice of patient-controlled light, sound, or vibration might benefit a wider group of RLS patients than pads with only one of these three sensory counterstimuli.

Until somewhat recently, placebo effect was attributed to poorly defined patient personality characteristics, like gullibility, suggestibility, weakness, or mystification, rather than described as a true physiological phenomenon.\(^{29–32}\) However, recent work has demonstrated that placebo effect is not the result of a faulty personality type, such as being a “placebo reactor.”\(^{29}\) Rather, it appears to be based on brain opioid and dopamine receptor neurobiology.\(^{33–36}\) From this point of view, does it matter if a vibrating pad, a humming pad, or a variable light pad elicited therapeutic neurobiology? It probably matters most how efficient a counterstimulus is.

Interestingly, like sham effect, the opiate and dopamine systems also appear to play a role in RLS brain pathology.\(^{37–43}\) Perhaps RLS pathology and placebo effect reside at a crossroad in the brain.

We were unable to construct a “perfect sham,” one which could not be distinguished by patients from the vibrating pad and yet was not, in itself, therapeutic. In the construction of two shams, we erred on the side of building shams that led to effective blinding. But in the service of effective
blinding, we constructed patient-controlled sound or light shams that appear to have been effective counterstimuli for a minority of patients. When we designed these sham pads, we speculated that neither sound nor light would be an effective counterstimulus during an RLS attack. We seem to have been wrong. For 15 of 66 (22.7%) patients, sham pads appeared to have been effective counterstimuli.

**Conclusion**

For the purpose of developing vibration treatment, RLS attacks were conceptualized as sensory hallucinations projected from the brain to the legs during times of drowsiness or sleep (Part I). A vibrating pad was constructed to treat RLS attacks by means of counterstimulation and was compared to non-vibrating, patient-controlled sound and light pads. Information collected at the completion of each study defined whether a patient believed that a therapeutic pad had been assigned.

As shown in Part I, randomization and double-blinding were effective, and all three pad types were safe. By clinician evaluation, 45.0% of patients assigned vibrating pads were improved compared with 17.2% for patients assigned sham pads. As shown in Part II, the magnitude of sleep improvement in patients with moderately severe RLS was comparable to sleep improvement seen with FDA-approved drugs, whether subjectively measured by the patient-reported MOS sleep inventory scores (MOS-II and Medical Outcomes Study Sleep Disturbance Scale [SLPD4] inventories) or objectively by all-night polysomnography. However, the wide range of worrisome side effects seen in RLS-drug treated patients were never observed in the two vibratory arms.

Actual pad assignment (the independent variable) and pad assignment belief (the mediator variable) both influenced improvement in MOS-II scores (Part I, Part II, and Part III; Figure 3); however, the mediator variable, pad assignment belief, was more influential. Most patients (59.3%) who were assigned a vibrating pad believed they were assigned a therapeutic pad and improved substantially. However, a minority of patients (22.7%) who were assigned a sham pad believed they had been assigned a therapeutic pad and also experienced substantial sleep improvement. Devices designed to perform as shams appear to have exerted primary therapeutic effects in some RLS patients. These findings may have broader significance because of their implications for how to develop effective shams in future device studies. It appears that in order to minimize the impact of the mediator variable (treatment assignment belief), sham devices should not have patient-controlled sensory inputs that could skew results in favor of the sham.

**Disclosure**

Financial support for the study was provided by Sensory Medical, Inc, San Clemente, CA, USA. The author is the Chief Executive Officer of Sensory Medical, Inc, and a minority shareholder. The author reports no other conflicts of interest.

**References**