From inhaler to lung: clinical implications of the formulations of ciclesonide and other inhaled corticosteroids

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Abstract: Asthma continues to be a global health problem and currently available treatments such as corticosteroids can cause unwanted side effects. Inhaled corticosteroids (ICS) are recommended as first-line therapy for reducing airway inflammation and have a distinct advantage over oral preparations as they provide a direct route of delivery to the lungs. However, local deposition of ICS in the oropharynx can lead to oral candidiasis, dysphonia, and pharyngitis. The pharmaceutical quality is a primary concern of any ICS asthma treatment, with a higher quality product resulting in improved efficacy and safety profiles. The particle size distribution and the spray force velocity of an ICS may directly influence lung deposition, and the spray duration of a device is another important factor when coordinating inhalation. Recent advances in ICS device and formulation technology have resulted in significant improvements in the efficacy of available asthma treatments. In particular, hydrofluoroalkane (HFA) solution technology and the development of smaller particle sizes have resulted in the production of new ICS formulations that have the ability to directly target drug delivery to the site of airway inflammation. Both the ICS formulation and the pressurized metered-dose inhaler device used to administer ciclesonide (CIC) HFA have been developed to treat the underlying chronic inflammation associated with asthma. CIC is administered as a prodrug which is activated in the lungs, leading to minimal oropharyngeal deposition. The small particle size of CIC results in the delivery of a high fraction of respirable particles to the small airways of the lungs, resulting in high lung deposition and continual dose consistency. This review summarizes how CIC administered as an HFA formulation is an effective treatment for asthma.

Keywords: ciclesonide, asthma, small airways, lung deposition

Introduction

Asthma is a chronic inflammatory disorder of the airways that continues to be a serious global health problem affecting an estimated 300 million people worldwide.¹ Oral treatments (in particular oral steroids) act systemically and can cause unwanted side effects. Inhaled corticosteroids (ICS) are recommended as first-line therapy for reducing airway inflammation and have a distinct advantage over oral preparations because they provide a direct route of delivery to the lungs.^{2,3} However, their use may result in systemic side effects such as decreased bone mineral density, skin atrophy and bruising, cataracts, and impaired growth in children.^{3,4} The Global Initiative for Asthma guidelines recommend ICS treatment for all patients with persistent asthma regardless of severity.¹

Several different types of inhaler are commercially available for the delivery of ICS. The pressurized metered-dose inhaler (pMDI) is a widely available device

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which uses hydrofluoroalkane (HFA) as a propellant. It requires coordination between actuation and inhalation when used without a spacer or a breath-activated inhaler. Dry-powder inhalers (DPIs) are an alternative type of device usually activated by inhalation. This removes the need for patients to master hand-breath coordination.⁵ A DPI requires energy from the inspiratory effort of the patient to dis-aggregate the powder into particles which are small enough to be inhaled.⁶

Understanding the clinical pharmacokinetic and pharmacodynamic properties of an ICS can be used to improve drug delivery as both directly impact efficacy and safety profiles of the ICS.⁷ Pharmaceutical quality is the primary concern of any ICS asthma treatment, with a higher quality product resulting in improved lung function and safety profiles.²

As well as having a high-quality ICS formulation, there are a number of desirable properties of an inhaler device which may aid optimal drug delivery. It is essential that the device is robust and reliable and can work well over a broad range of temperatures, providing an accurate and uniform dose. Additionally, portability and ease of use are also important features to consider (Table 1).

The continual development of ICS formulations has resulted in significant advancements in aerosol therapy. This review explores the innovative formulation and pharmacological profile of one such ICS, ciclesonide (CIC), which is available as an HFA-MDI, and discusses in detail the properties of CIC; in particular, its small particle size allows the delivery of a high fraction of respirable particles to the small airways, resulting in relatively higher lung deposition and continual dose consistency when compared with other ICS.

Table 1 Desirable characteristics of an effective asthma ICS device

Robustness of the device	Under standard-use scenarios the device works well over a broad range of temperatures
Ease of use	The device is ready to use without any prior preparation such as shaking or priming when in constant use' The device is small, portable and easy to handle by all patients within the indication; children, elderly and severely ill
Stability of formulation	Ideally the device will provide a formulation and container-closure-system ensuring physical and chemical stability over the shelf-life of the product
Cost efficiency	Low cost per dose to patient
Delivered dose	Accuracy and uniformity of each delivered dose is consistent over a range (slow or fast) of inspiration times

Abbreviation: ICS, inhaled corticosteroids.

Formulation and dose consistency

The formulation of an ICS can directly affect the efficacy and safety of the drug. Ease of administration and accurate dose delivery are two highly desirable characteristics of any ICS formulation and delivery device. The following studies outline how CIC exhibits these qualities with regards to bioequivalence at different concentrations and consistent dose delivery over a range of temperatures.

Drug formulations used in MDIs are developed as either a solution or a suspension. A suspension pMDI is one in which the solid drug particles are suspended in a liquid propellant, and they therefore require shaking to ensure dose uniformity prior to inhalation.⁸ A solution pMDI does not require any shaking before use as the drug has been dissolved in the propellant/co-solvent formulation during manufacture, which may improve the ease of use for the patient and/or dose delivery of ICS solutions over suspensions.

One in vitro study compared the dose delivered from CIC and beclomethasone dipropionate (BDP) pMDIs (chlorofluorocarbon [CFC]-free) containing clear drug solutions, fluticasone propionate (FP) containing a CFC-free suspension, and budesonide (BUD) containing a suspension in a mixture of CFC propellants. The delivered dose of CIC, BDP, and BUD remained constant over the lifespan of the pMDI, whereas the delivered dose of two FP dose strengths decreased.⁹ The consistency of delivered CIC doses has indirectly been demonstrated in a pharmacokinetic study investigating the bioequivalence of two CIC dose strengths: a total amount of 640 µg was administered via pMDIs to healthy subjects as four puffs of 160 µg or 16 puffs of 40 µg. Despite the use of 16 puffs within a few minutes, the rate and extent of absorption of the drug was very similar.¹⁰

In terms of robustness of the inhaler device, data show that the dose of CIC does not vary considerably with temperature (Table 2).

Table 2 Effect of temperature on fine particle dose of CIC 160 µg inhalers (Takeda batch # 4BG009)

Temperature	Fine particle dose* (µg/shot)			
	40°C	25°C	4°C	-10°C
Mean	102	101	100	103
Range (min-max)	90-119	87-108	82-107	82-116

Notes: *Fine particle dose: total cumulative drug mass (ciclesonide) collected on the filter to stage 3 of the ACI. The effect of a range of temperatures (-10°C to 40°C) upon the fine particle dose was determined by ACI testing. The mass of CIC < 5 µm did not vary considerably in the temperature range examined. Nycomed: a Takeda company, data on file 2001.

Abbreviations: CIC, ciclesonide; ACI, Andersen cascade impactor.

Particle size and distribution

ICS particle size plays an important role in aerosol therapy as it influences the extent, distribution, and site of inhaled drug deposition within the airways. In this section we review the beneficial effects of using ICS with smaller particle sizes, and discuss how particle size distribution (PSD) can directly affect the deposition of an ICS within the respiratory tract.

HFA solution technology has resulted in significant improvements in the delivery of ICS treatments, as the smaller particle size in HFA solution aerosols allows for a smaller spray orifice diameter. This reduces the primary aerosol droplet size of the device, resulting in the creation of smaller drug particles after evaporation of the propellant.⁸ These smaller drug particles can reach and reduce inflammation in the small airways of the lung. The small airways are defined as those that have an internal diameter of less than 2 mm and include the terminal bronchioles, respiratory bronchioles, alveolar ducts, and sacs.¹¹ They have been described as a “quiet zone,” primarily due to the perception that they have a limited contribution to total airway resistance of the bronchial tree. However, recent evidence indicates that this is not the case; inflammation in the small airways contributes significantly to asthma pathology.^{12,13} The small airways account for 98.8% (approximately 4500 mL) of the total lung volume, whereas the lung volume of the large airways amounts to 50 mL.¹⁴

There is substantial evidence of the involvement of small airways in the development of asthma symptoms.¹⁵ In order to treat asthma symptoms effectively, it is imperative that the ICS can reach the small airways. Understanding the role of the small airways in asthma symptoms has aided the development of novel asthma treatments and ways to optimize deposition in the lung periphery. This has led to the development of ICS treatments with smaller particles which directly target the site where asthma symptoms develop, thus improving drug delivery.

The introduction of HFA solution technology has led to the production of smaller optimal sized particles, with new drugs engineered to have an average particle size of $<2\ \mu\text{m}$ for pMDI inhalers. This has resulted in lung deposition values greater than 50%, marking a turning point in the development of new ICS treatments.⁸

PSD is directly related to the mass of the therapeutically active pharmaceutical ingredient available in the lungs. Particle aerodynamic size, known as the mass median aerodynamic diameter (MMAD), which is the diameter at which 50% of particles by mass are larger and 50% are smaller, can reflect the

distribution pattern and therefore the likely deposition location of the active component of a drug in the respiratory tract.¹⁶

An Andersen cascade impactor is a device used to determine the PSD of aerosols from pMDIs. Thus the aerodynamic characteristics (fine particle dose and MMAD) of a dose can provide in vitro data about its potential for deposition in the lungs.

Comparing different ICS formulations, it is clear that FP (delivered via a DPI) particles have the largest MMAD,¹² followed by BUD (delivered via DPI), while CIC and BDP (delivered via HFA-MDI) have the smallest particles with an MMAD $< 2\ \mu\text{m}$ (Figure 1).¹² The relationship between particle size and penetration into the airways is presented in Table 3.¹⁷ The PSD of CIC 160 μg (batch number 4BGA001 as used by Newman et al)¹⁸ is presented in Figure 2. The highest proportion of particles of CIC ranged between 1.1 and 2.1 μm .¹⁸

De Vries et al compared the PSD of HFA-FP, BUD (a suspension of CFC propellants), HFA-BDP, and HFA-CIC using laser diffraction analysis. Lifespan, flow rate, and air humidity had no significant influence on PSD, and a significant difference in median PSD was observed between the four ICS pMDIs. De Vries et al concluded that CIC and BDP were the most suitable ICS for pediatric use due to their small particle size.⁹

Maximizing lung deposition, minimizing oropharyngeal deposition

An ideal ICS has minimal deposition in the oropharynx and maximal deposition in the lungs. A number of studies in vivo have provided direct evidence that ICS with smaller particle sizes such as CIC have greater lung deposition.

Figure 1 Comparison of the reported MMAD of inhaled corticosteroids for the treatment of asthma.

Note: Data from^{12,17,19–21,50}

Abbreviations: DPI, dry powder inhaler; HFA, hydrofluoroalkane; MMAD, mass median aerodynamic diameters; pMDI, pressurized metered dose inhaler.

Table 3 The relationship between particle size and particle penetration into the airways and stages of the Andersen cascade impactor (operated at 28 L/minute)

Stage	Particle size (μm)	Deposition in respiratory tract
0	9.00–10.00	
1	5.80–9.00	
2	4.70–5.80	Pharynx
3	3.30–4.70	Trachea and primary bronchi
4	2.10–3.30	Secondary bronchi
5	1.10–2.10	Terminal bronchi
6	0.65–1.10	Alveoli
7	0.43–0.65	Alveoli
Filter	<0.43	

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Lung deposition and peripheral distribution can vary considerably between DPIs and pMDIs.^{22,23} To investigate in vivo pulmonary deposition, radiolabelled formulations can be used with 2D and 3D imaging techniques. In a randomized study in patients with asthma, the deposition of inhaled technetium-99m-labelled monodisperse albuterol of 1.5, 3, and 6 μm MMAD was assessed.²² The smaller particles achieved greater total lung deposition than the larger particles (1.5 μm [56%], 3 μm [50%], and 6 μm [46%]) (Figure 3). Oropharyngeal deposition was highest for particles of 3 μm and 6 μm , which were more prone to inertial impaction due to their relatively larger size.²² Similarly in a study using healthy subjects, the lung deposition of radiolabelled HFA-BDP was compared with CFC-FP and CFC-BDP; deposition values were related to the PSD of each ICS, with the smaller particles of HFA-BDP

providing the greatest lung deposition and the least oropharyngeal deposition.²⁴

In two studies that investigated lung and oropharyngeal deposition of technetium-99m-labelled CIC inhaled via an HFA-MDI, both 2D and 3D imaging showed a high lung deposition (52%), with greater distribution throughout the peripheral regions of the lung compared with oropharyngeal deposition (32.9%).²¹ Newman et al observed during an analysis of the distribution of ^{99m}Tc-CIC 160 μg in each of the six ‘shells’ of the right lung that >29% of the deposited dose reached the outermost peripheral region.¹⁸

Local deposition of ICS in the oropharynx may cause side effects such as oral candidiasis, dysphonia, and pharyngitis,²⁵ and so should be minimized. The small proportion of CIC deposited in the oropharynx and its administration as an inactive prodrug contribute to the reduced incidence of localized side effects. After inhalation, the inactive CIC is converted by esterases in the airways to the active metabolite desisobutyl-CIC (des-CIC),^{26,27} an approach which restricts the anti-inflammatory activity of CIC to the desired site of action. Activation of CIC has been confirmed in vivo by Nave et al in a lung deposition study, in patients undergoing elective lung surgery for malignant pulmonary lesions. After inhalation of one dose of CIC at various time points prior to undergoing lung tissue resection, the pharmacologically active des-CIC was detected in the resected central and peripheral lung tissues.²⁸

Investigations into the oropharyngeal deposition of ICS using mouth-rinsing studies have shown that the oropharyngeal deposition of CIC is lower compared with that of FP and BUD.^{29,30} Additionally, little activation of CIC to its active

Figure 2 Aerodynamic particle size distribution of CIC pMDI, Batch No 4BGA001 (MMAD = 1.52 μm , fine particle dose = 121 μg) (Nycomed: a Takeda company, data on file 2001). **Abbreviations:** CIC, ciclesonide; MMAD, mass median aerodynamic diameters; pMDI, pressurized metered dose inhaler.

Figure 3 Regional lung deposition of inhaled technetium-99m-labeled monodisperse albuterol aerosols. (A) 5×8 matrix was closely fitted to the krypton ventilation-scan lung boundary and superimposed on the posterior thorax aerosol deposition images, which was partitioned into a central zone, 2×3 matrix centered on the lung hilum; an intermediate zone, 3×5 matrix excluding the central zone; and a peripheral zone defined as the remaining lung field within the lung outline. (B) 1.5 μm , (C) 3 μm , and (D) 6 μm MMAD.

Note: Red areas indicate regions of highest radioactivity, and black of least radioactivity.

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Abbreviation: MMAD, mass median aerodynamic diameters.

metabolite des-CIC occurs in the oropharynx, suggesting a lower likelihood of CIC-associated oropharyngeal side effects.^{18,29,30}

Improvement of small airway function using ICS

When ICS are administered in HFA-MDI solution formulations, a number of clinical benefits such as a reduction in small airway inflammation have been observed. This section identifies several studies that highlight the potential benefits of using CIC to improve small airway function.

In a randomized study with asthma patients, Thongngarm et al compared the efficacy of CFC-FP (a suspension) and HFA-BDP (a solution) and found that HFA-BDP had a greater effect on reducing small airway inflammation due to its smaller particle size.³¹

A comparative study by Hoshino et al showed that CIC could improve small airway function and inflammation in patients with mild asthma. After pre-treatment with a large-particle FP DPI for 8 weeks, patients were randomized to receive either HFA-pMDI-CIC or FP-DPI and their small airway function assessed by impulse oscillometry and the percentage of eosinophils in induced sputum. When compared with baseline, HFA-pMDI-CIC was found to significantly improve resistance in the small airways, distal reactance, and reactance area, and also decreased late-phase sputum eosinophil level ($P < 0.01$); in contrast, FP-DPI did not significantly improve small airway function, and asthma control (compared using the Asthma Control Test [ACT]) improved in patients who received CIC but not with FP.³² These data collectively indicate that CIC may exhibit high lung deposition, small airway distribution, and minimal deposition in the oropharynx, which is largely due to its small particle size.^{18,21,29,30}

Systemic availability of ICS in healthy subjects and asthma patients

Systemically, ICS can be delivered via the pulmonary or oral routes. There are numerous clinical safety aspects to consider when using ICS in aerosols designed to treat asthma, and the potential for long-term side effects from ICS relates to systemic absorption.³³

Extra-fine particles (smaller than 0.65 μm) may reach the systemic circulation and therefore achieve high maximum plasma concentration values (C_{max}). CIC is a prodrug and so ideally C_{max} values of des-CIC (rather than CIC) should be compared. Nave et al compared the pharmacokinetics of des-CIC to BUD, and observed that much higher C_{max} values were achieved with BUD than des-CIC.³⁴ The pharmacokinetics of CIC and its active metabolite, des-CIC, indicate that the drug has negligible oral bioavailability, and the pulmonary bioavailability of des-CIC has been found to be more than 60%.^{2,41} Several studies have shown that the resulting systemic exposure after treatment with some ICS is different in asthma patients when compared with healthy subjects.³⁵ Systemic availability of FP is substantially less in patients with moderate-to-severe asthma when compared with healthy subjects, suggesting lower lung deposition and indicating that concentrations of ICS should not be assessed in healthy subjects, but in patients receiving doses appropriate for their disease severity.³⁶

Harrison et al also found greater systemic availability of FP in healthy subjects when compared with asthmatic subjects. FP was found to have a greater effect on the hypothalamic-pituitary-adrenal axis in healthy subjects than in subjects with asthma, but this was not true for BUD 1600 $\mu\text{g/day}$.³³ Interestingly, Nave et al showed that when

a group of healthy subjects and asthma patients were given CIC, the pharmacokinetic parameter estimates for des-CIC were equivalent between the two groups, suggesting comparable lung deposition and activation of CIC in both healthy and mild-to-moderate asthmatic subjects. They concluded that there was no effect of asthma on the pharmacokinetic properties of CIC.³⁷

ICS deposited in the oropharynx will be swallowed and may enter the systemic circulation depending on the oral bioavailability of the drug. The extent of oral absorption and bioavailability of CIC referenced to an intravenous infusion was investigated using radiolabelled CIC. It was observed that CIC was undetectable in serum after oral administration of a high dose (6.9 mg CIC) and that the systemic bioavailability for des-CIC was <1%.³⁸ These results show that any CIC swallowed after oral inhalation does not contribute to the systemic availability. For other ICS like BUD and BDP, oral bioavailabilities of 11% and up to 41% for B-17-MP are reported, respectively.^{39,40} Therefore for some ICS the oral bioavailability has to be considered, as the swallowed drug will enter the systemic circulation without being effective in the lung.

Spray force, plume velocity and dose

Spray force and plume velocity are important aerosol characteristics that can influence lung deposition of an ICS. The time period over which the aerosol is released (spray duration) is another important factor to be considered when coordinating inhalation.⁴² The type of propellant used can affect spray force with CFC-containing pMDIs producing extremely forceful and cold plumes. Comparatively, several HFA-containing solution pMDIs produce much softer and warmer plumes.⁴³ Another factor that affects spray force is the actuator orifice diameter. Data obtained from marketed products and experimental inhalers show that pMDIs that have a low spray force also have low throat deposition (Figure 3).⁴³ HFA propellants have been observed to have a much lower spray force velocity than for CFC aerosols. In a study in healthy subjects which compared the lung deposition of HFA-134a BDP with CFC-FP, HFA-BDP was found to be emitted at a much lower spray force (approximately 35 mN) when compared with CFC-FP or HFA-FP and CFC-BDP (100 to 120 mN).²⁴

Spray plumes can vary considerably between HFA inhalers. The spray plumes emitted from a CIC HFA-solution pMDI and FP administered as an HFA-suspension pMDA are visually compared in Figure 4. A relatively low spray

Figure 4 Visual comparison of the spray plumes emitted from a CIC HFA-solution pMDI and FP administered as an HFA-suspension pMDI.

Note: Nycomed: a Takeda company, data on file, 2012.

Abbreviations: CIC, ciclesonide; FP, fluticasone propionate; HFA, hydrofluoroalkane; pMDI, pressurized metered dose inhaler.

velocity can reduce inertial impaction in the oropharynx. The ex-actuator velocity profile of the spray plume has been calculated at various time points using high-speed photography to capture the image of the plume as a function of time (Figure 4). For HFA-CIC the initial mean plume velocity was approximately 9 m/second at a distance of 30 mm from the MDI mouthpiece which was reduced to around 6 m/second at a 50 mm distance point.

Optimizing the use of inhaler devices

When considering the spray properties of an ICS inhaler device, factors such as the PSD, volume, speed, and concentration of the spray directly affect the amount of ICS that reaches the small airways on inhalation. Ideally, each actuation results in a dose that is uniform in terms of concentration and volume. As well as the design of the formulation of the ICS, the correct use of these devices can have a major influence on their overall effectiveness, in that poor inhaler technique can result in a reduced amount of drug being absorbed into the lung and can therefore negatively impact drug efficacy.

The required inhaler technique is dependent on the type of device used. Good technique with pMDIs requires inhalation from the functional residual capacity or residual volume timed to coincide with the device actuation, at a reasonably slow and steady inspiratory flow rate. A breath-hold of 5–10 seconds after inhalation may increase drug deposition but may not be essential for efficacy.⁴⁴ In order to produce optimal results when using pMDIs, patient education is required and it is imperative that patients are educated on correct pMDI handling for optimum results.⁴⁵ Importantly the patient's ability to

prepare and actuate a device should be assessed; in particular, the patient should be observed to see whether they are able to take an adequate inspiration and coordinate actuation of a pMDI with inspiration.

DPIs are flow-dependent devices, and coordination between inhalation and actuation is not required. However, there is still the potential for this type of inhaler to be used incorrectly. Some of the most common errors made when using DPIs include failure to exhale before actuation, and incorrect positioning of the inhaler. Some patients (the elderly or those with severe asthma) may be unable to execute a forceful and deep inhalation, resulting in failure to properly activate these devices.⁴⁵

The use of spacer devices has been implemented to help patients using pMDIs. Patients with poor inhaler technique (particularly children or the elderly) can use spacer devices in combination with the pMDI which help to reduce difficulties with actuation-inhalation. However, Drollmann et al observed that the pharmacokinetic properties of the active metabolite des-CIC were comparable after inhalation with and without an AeroChamber Plus® (Trudell Medical International, London, Ontario, Canada) spacer,⁴⁶ suggesting that CIC-HFA pMDI does not require a spacer for optimal delivery. The results from a pediatric study that enrolled children (aged 6–11 years) indicated that the use of a spacer resulted in a slight increase in the systemic exposure of des-CIC and also showed that inhaled CIC (80 µg and 160 µg) with spacer led to dose proportional systemic exposure.⁴⁷ There is some evidence which suggests the use of a spacer device enhances pMDI therapy by reducing the amount of drug deposited in the oropharyngeal region, leading to fewer instances of local and systemic side effects. In addition, spacer devices allow a higher dose of drug to reach the deep lung, which may permit the use of lower dosage regimens with increased therapeutic efficacy.⁴⁸ In the pediatric RAINBOW study,⁴⁹ children (aged 6–11 years) with persistent asthma were randomized to receive either placebo or CIC at 40 µg, 80 µg, or 160 µg once daily. All doses were found to be equally effective, and the efficacy and safety of CIC were unaffected by the use of a spacer. It was also noted that cortisol levels were comparable between all treatment groups.⁴⁹

Summary

Due to the prevalence of asthma, there has been a requirement for a novel, high-quality asthma treatment that is robust, easy to use, chemically and physically stable, cost efficient, and has the ability to consistently deliver an accurate dose that can directly target inflammation in the small airways.

The use of HFA in ICS formulations was the first step in the development of such asthma treatments, allowing smaller particles to be used in the ICS formulations which could reach the small airways.

There is a large quantity of evidence that supports HFA-CIC pMDI as a high-quality product, since both the ICS formulation and inhaler device have been designed as an effective asthma treatment. In particular, CIC is an ICS administered as a prodrug and is activated in the respiratory tract. High protein-binding lowers the systemic level of the pharmacologically active metabolite des-CIC, minimizing the potential for systemic side effects by reducing the amount of freely circulating protein-unbound des-CIC in the systemic circulation.²⁶

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Disclosure

The authors are employees of Nycomed: a Takeda Company, Nycomed GmbH, Konstanz, Germany.

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