

# Antibacterial and antibiofilm properties of yttrium fluoride nanoparticles

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**Abstract:** Antibiotic resistance has prompted the search for new agents that can inhibit bacterial growth. Moreover, colonization of abiotic surfaces by microorganisms and the formation of biofilms is a major cause of infections associated with medical implants, resulting in prolonged hospitalization periods and patient mortality. In this study we describe a water-based synthesis of yttrium fluoride (YF<sub>3</sub>) nanoparticles (NPs) using sonochemistry. The sonochemical irradiation of an aqueous solution of yttrium (III) acetate tetrahydrate [Y(Ac)<sub>3</sub> · (H<sub>2</sub>O)<sub>4</sub>], containing acidic HF as the fluorine ion source, yielded nanocrystalline needle-shaped YF<sub>3</sub> particles. The obtained NPs were characterized by scanning electron microscopy and X-ray elemental analysis. NP crystallinity was confirmed by electron and powder X-ray diffractions. YF<sub>3</sub> NPs showed antibacterial properties against two common bacterial pathogens (*Escherichia coli* and *Staphylococcus aureus*) at a µg/mL range. We were also able to demonstrate that antimicrobial activity was dependent on NP size. In addition, catheters were surface modified with YF<sub>3</sub> NPs using a one-step synthesis and coating process. The coating procedure yielded a homogeneous YF<sub>3</sub> NP layer on the catheter, as analyzed by scanning electron microscopy and energy dispersive spectroscopy. These YF<sub>3</sub> NP-modified catheters were investigated for their ability to restrict bacterial biofilm formation. The YF<sub>3</sub> NP-coated catheters were able to significantly reduce bacterial colonization compared to the uncoated surface. Taken together, our results highlight the potential to further develop the concept of utilizing these metal fluoride NPs as novel antimicrobial and antibiofilm agents, taking advantage of their low solubility and providing extended protection.

**Keywords:** yttrium fluoride, nanoparticles, biofilms, antibacterial, catheter, sterile surfaces

The application of nano-scale materials and structures is an emerging area of material science and nanotechnology. Continuing advances in nanotechnology promise to be of great benefit for a wide variety of applications including various medicinal uses, such as therapeutics, diagnostic, or drug delivery.<sup>1-5</sup> The increased resistance of bacteria to traditional antibiotics has created a great need for the development of new antimicrobial agents.<sup>6,7</sup> The application of nanomaterials as new antimicrobials can provide novel modes of action and/or different cellular targets in comparison with existing antibiotics.<sup>8,9</sup> Nanomaterials often show unique and considerably changed physical, chemical, and biological properties compared to their macro-scale counterparts, and therefore it is desirable to develop methods for fabricating these nanostructures with properties that are tunable for specific applications. For example, ceramic powders of nano-sized metal oxides, such as ZnO,<sup>10</sup> MgO,<sup>11,12</sup> and CuO,<sup>13,14</sup> have been found to exhibit high antibacterial activity. Several studies have established that metal oxides

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can produce some species of oxyradicals that are generated on the oxide surface.<sup>15–17</sup>

Biofilms are bacterial communities encased in a self-produced hydrated polymeric matrix. An important characteristic of microbial biofilms is their innate resistance to immune systems and eradication due to antibiotics,<sup>18–21</sup> making microbial biofilms a common and difficult-to-treat cause of medical infections. A major contribution to this statistic arises from the fact that biofilms are a major cause of infections associated with medical implants. The current situation raises an urgent need to design surfaces that can restrict bacterial colonization and biofilm formation. Several studies have shown the “nano-functionalization” of surfaces to inhibit bacterial adhesion and biofilm formation. Examples include the functionalization of biomaterials with antibacterial properties by coating,<sup>22,23</sup> impregnation,<sup>24,25</sup> or embedding nanomaterials.<sup>26</sup>

Fluorides are well known for their antibacterial activity and act in multiple ways to affect the metabolism of bacteria.<sup>27</sup> F<sup>−</sup>/HF can bind directly to many enzymes, for example, heme-containing enzymes or other metalloenzymes, to modulate metabolism.<sup>27,28</sup> Fluoride is also able to form complexes with metals such as aluminum or beryllium, and the complexes, notably AlF<sub>4</sub><sup>−</sup> and BeF<sub>3</sub><sup>−</sup> · H<sub>2</sub>O, can mimic phosphate, with either positive or negative effects on a variety of enzymes and regulatory phosphatases.<sup>27,28</sup> The fluoride action that appears to be the most important for glycolytic inhibition derives from its weak acid properties and the capacity of HF to act as a transmembrane proton conductor.<sup>28</sup> Our group has recently demonstrated the antibacterial and antibiofilm properties of highly crystalline, 25 nm-sized magnesium fluoride (MgF<sub>2</sub>) nanoparticles (NPs) using different chemistries for their synthesis.<sup>29,30</sup> Antimicrobial activity of MgF<sub>2</sub> NPs was highly dependent on the size of the NP.<sup>30</sup> Our results revealed that NPs penetrate the cells, reduce the internal pH, cause disruption to the membrane potential, and enhance lipid peroxidation.<sup>29,30</sup> We utilized this new metal fluoride nanomaterial to coat glass slide coupons and showed that the coated surfaces can restrict bacterial colonization and biofilm formation for up to 7 days.<sup>30</sup> We also described the method for depositing MgF<sub>2</sub> NPs on latex-based catheters in a one-step process and for obtaining a long-lasting MgF<sub>2</sub> NP coating, even following exposure to various biological fluids, such as artificial urine and plasma.<sup>33</sup>

The objective of this study was to present a new nano-sized metal fluoride with a lower solubility compared to MgF<sub>2</sub> NPs. We hypothesized that reduction in solubility may result in improved or extended NP antimicrobial and antibiofilm efficacy. We utilized a simple and fast

sonochemical-based synthesis to obtain yttrium fluoride (YF<sub>3</sub>) NPs and characterized their antibacterial activity against two common nosocomial pathogens, *Escherichia coli* and *Staphylococcus aureus*. We also examined the antibiofilm properties of these NPs and the ability of NP-coated catheters to inhibit bacterial colonization and biofilm development. The results presented suggest that the nanometric YF<sub>3</sub> with a less soluble fluoride is responsible for the antimicrobial activity and the antibiofilm properties of NP-coated surfaces. We also provide preliminary results of a comparison between YF<sub>3</sub> and MgF<sub>2</sub> NPs and the influence of the solubility to the internalization of fluorine into the cells. These findings provide a new approach for the future development of self-sterilizing surface coatings based on metal fluoride NPs.

## Materials and methods

### YF<sub>3</sub> NP synthesis

Yttrium (III) acetate tetrahydrate ([Y(Ac)<sub>3</sub> · (H<sub>2</sub>O)<sub>4</sub>]), 99% purity; Sigma-Aldrich, St Louis, MO) and concentrated hydrofluoric acid (HF, 32% weight aqueous solution, American Chemical Society grade; BioLab, Auckland, New Zealand) were dissolved in double-distilled water (DDW, 100 mL) at a 1:2 equivalent ratio for all the prepared YF<sub>3</sub> NPs. More specifically, three YF<sub>3</sub> NP samples, varying in size of NPs, were prepared by decreasing HF concentration as follows: 0.02 M HF (YF<sub>3</sub>-1), 0.002 M HF (YF<sub>3</sub>-2), and 0.0002 M HF (YF<sub>3</sub>-3). The Y:F molar ratio was maintained as 1:3 for all three samples. During the NP fabrication, each separate mixture was irradiated with a high-intensity ultrasonic horn (Ti-horn [Sonics and Materials, Newton, CT], 20 kHz, 750 W × cm<sup>−2</sup>, 60% power modulation) under argon (60 minutes, room temperature). In all reactions, the temperature was maintained constant at 25°C by placing the reaction vessel in a water bath during sonochemical irradiation. The resulting precipitating products were washed thoroughly with DDH<sub>2</sub>O (3 × 10 mL), absolute EtOH (2 × 10 mL), and dried in a vacuum (10<sup>−2</sup> mmHg) in an inert glove box (O<sub>2</sub> < 1 ppm).

### YF<sub>3</sub> NP characterization

NP morphology was imaged by scanning electron microscopy (SEM, FEI, Inspect™ S, Hillsboro, OR), and the X-ray elemental spectra were collected by an EDAX (Mahwah, NJ) apparatus on the FEI-Inspect S. The length and width of the particles and the size distributions were determined from the measurement of the images obtained by the SEM measurements. The sizes were averaged over 100 NPs using the SCION Image software V2.0 (Scion Software Solutions, Hyderabad, India). X-ray diffraction (XRD) measurements were carried out on a Bruker D8 diffractometer (Bruker Analytical X-Ray Systems,

Madison, WI), using Cu K $\alpha$  radiation ( $\lambda = 1.5418 \text{ \AA}$ ). Peak fitting and lattice parameter refinement were computed using the EVA program (Bruker Analytical X-Ray Systems). Size of NPs was calculated also from the XRD pattern by employing the Debye–Scherrer equation.<sup>10</sup> The sizes and size distribution in the solution were determined by measuring the dynamic light scattering (Beckman Coulter N-4 particle size analyzer; Beckman Coulter, Nyon, Switzerland). The NP surface area was measured using a Micrometrics analyzer (Gemini 2375; Micrometrics, Norcross, GA) in the linear part of the Brunauer–Emmett–Teller (BET) plot of the N<sub>2</sub> adsorption/desorption isotherms of each separate YF<sub>3</sub> sample; all measurements were performed in triplicate. The crystallinity of the NPs was characterized using a high-resolution transmission electron microscopy (HR-TEM; JEOL-2010 HR-TEM apparatus, accelerating voltage 200 kV; JEOL Ltd, Tokyo, Japan). NP samples for HR-TEM analysis were prepared in absolute EtOH (ultrasonic dispersion), deposited onto a copper-coated grid (drop deposition), and then dried under vacuum ( $10^{-2}$  mmHg) before sample processing.

## Bacterial cultures and growth conditions

*Escherichia coli* 1313 (clinical isolate) and *Staphylococcus aureus* 8325 (clinical isolate) were grown at 37°C in tryptic soy broth (TSB; Difco™, BD, Franklin Lakes, NJ) and tryptic soy broth 66%, supplemented with glucose 0.2% (TSB-Glu; Difco) media, respectively. These media were chosen based on their ability to promote robust *E. coli* and *S. aureus* biofilm formation.<sup>34,35</sup>

## Statistical analysis

Data analyses were performed using a GraphPad Prism software program (V5.0, GraphPad Software Inc, San Diego, CA). The collected data were statistically analyzed by one-way analysis of variance to evaluate the differences. The threshold for the statistical significance was set at  $P < 0.05$ .

## Antibacterial assay

Antimicrobial activity of the YF<sub>3</sub> NPs was examined on logarithmic phase cultures by using a modified macrodilution assay. Briefly, overnight cultures of tested bacteria were diluted (1:100) in fresh TSB or TSB-Glu and grown for 4 hours at 37°C (shaking, 250 rpm) to allow the cells to reenter logarithmic phase. Following this the bacteria were diluted again to  $10^3$  colony forming units per mL (CFU/mL) in the appropriate growth media. One hundred microliters of the tested cell suspension was then added to each well of a 96-well plate, and YF<sub>3</sub> NP samples at various concentrations (0.0001 to 1.0 mg of YF<sub>3</sub>/mL) were also added. Cell growth was monitored by

measuring the absorbance for 24 hours at an optical density at 595 nm (OD<sub>595</sub>) by using a microplate reader (Synergy™ 2, BioTek Instruments Inc, Winooski, VT) at 37°C.

## Determination of the extracellular and intracellular concentrations of fluorine

Bacterial cultures of *E. coli* and *S. aureus* containing approximately  $1.0 \times 10^5$  CFU/mL (see bacterial cultures and growth conditions section) were exposed to MgF<sub>2</sub> and YF<sub>3</sub> NPs at a concentration of 0.01 mg/mL. Because of the very low fluorine concentrations, the ionic strength of examined solutions was fixed with a total ionic strength adjustment buffer (TISAB; 5.84 g NaCl, 5.75 mL glacial acetic acid, and 0.45 g trans-1,2-diamino-cyclohexane-N,N,N,N-tetraacetic acid monohydrate for a final volume of 100 mL; all reagents used as received from Sigma–Aldrich, St Louis, MO) before measurements. After 2 hours of incubation, the extracellular medium was removed from bacterial cells by centrifugation (16,000 relative centrifugal force [rcf], 5 minutes, 20°C). Aliquots (1 mL) of the extracellular medium were added to the TISAB (4 mL) solutions, and fluorine ( $[F^-]_{ex}$ ) was measured using an ion-sensitive electrode (F<sup>-</sup>-ISE) on a 781 pH/ion meter (Methrom AG, Herisau, Switzerland). The intracellular concentration of fluorine ( $[F^-]_{in}$ ) was determined after cell lysis (of the cells obtained after centrifugation, see above) using 10% ice-cold trichloroacetic acid (Sigma–Aldrich). The lysis mixture was centrifuged for 5 minutes at 16,000 rcf (Centrifuge 5418; Eppendorf, Harburg, Germany). Aliquots (1 mL) of the obtained supernatant were added to the TISAB (4 mL) solutions, and fluorine concentrations measured by F<sup>-</sup>-ISE. Untreated bacteria served as control.

## Static biofilm formation assay

Overnight cultures of tested bacteria were diluted 1:100 in fresh media and grown for 4 hours at 37°C with shaking (250 rpm). Water-insoluble compounds were assayed in a modified macrodilution broth format. Compounds (0.0001 to 1 mg/mL) were placed in sterile polypropylene tubes (Greiner Bio-One, Frickenhausen, Germany) to which the appropriate volume of a solution containing approximately  $1.0 \times 10^7$  CFU/mL of *E. coli* or *S. aureus* in media was added. One hundred microliters of the tested cell suspension was added to each well in a 96-well plate and was incubated for 24 hours at 37°C. Following incubation the wells were washed twice with DDW to remove nonattached cells and stained with 1% crystal violet (Sigma–Aldrich, St Louis, MO) for 15 minutes at room temperature. Stained wells were then washed five times with DDW, and the remaining crystal violet was eluted by the addition of absolute ethanol for 15 minutes. The biofilm

biomass was then determined by measuring the absorbance at OD<sub>595</sub>.

## Catheter-coating procedure and characterization

Five-centimeter-length segments of latex-based Foley catheter (Unomedical, Birkerød, Denmark) were coated by placing the catheter segments directly into the sonochemical reaction medium according to the methodology described in YF<sub>3</sub> NP synthesis. This one-step sonication in which the NPs are synthesized and subsequently “thrown” at the solid surface present in the sonication cell has been previously described.<sup>22,33</sup>

After completion of sonication, the sonochemically coated catheters were washed with DDW (3 × 10 mL) followed by absolute EtOH (2 × 10 mL) and allowed to dry in a vacuum (10<sup>-2</sup> mmHg). Next, the samples were coated with chromium and imaged by SEM (FEI-Inspect S, accelerating voltage 15 kV).

The amount of YF<sub>3</sub> NPs on the catheter surfaces was determined by soaking the catheter in 5 M HNO<sub>3</sub>; the yttrium concentration was then determined by inductively coupled plasma (ULTIMA 2; Horiba Ltd, Kyoto, Japan). Uncoated catheter segments served as a negative control. To evaluate the distribution of coating on the surface, we mapped the yttrium and fluorine elemental distributions on the wall by energy-dispersive spectroscopy (EDAX apparatus on the FEI-Inspect S). Elemental mapping was performed for both coated and uncoated samples at 15 keV and 0.58 nA with a resolution of 133 eV. Maps were created in most cases from 100 scan frames by using a dwell time of 100 μs and a pixel/frame resolution of 512 × 384. To exclude undesirable NP leaching from catheter walls, the segments were previously washed for 24 hours in sterile TSB or TSB-Glu before antibiofilm experiments.

## Antibiofilm assays on NP-coated catheters

We evaluated the antibiofilm properties of the coating using a continuous culture flow model.<sup>29,30</sup> A 5 cm catheter segment was inoculated with OD<sub>595</sub> = 0.3 (approximately 1.5 mL at a concentration of 3 × 10<sup>8</sup> CFU/mL) of an *E. coli* or *S. aureus* culture. The flow was initiated after 1 hour with a flow rate of 10 mL/hour. The system was incubated at 37°C for 24 hours. Following incubation the catheters were washed to remove free-living bacteria and then biofilm cells were extracted mechanically. The cells were diluted in 1% Luria-Bertani (Difco) and plated for viable counting. The reduction in colonization was determined by calculating

the CFU/mL of the culture. An uncoated catheter served as negative control.

## Results and discussion

### YF<sub>3</sub> NP synthesis and characterization

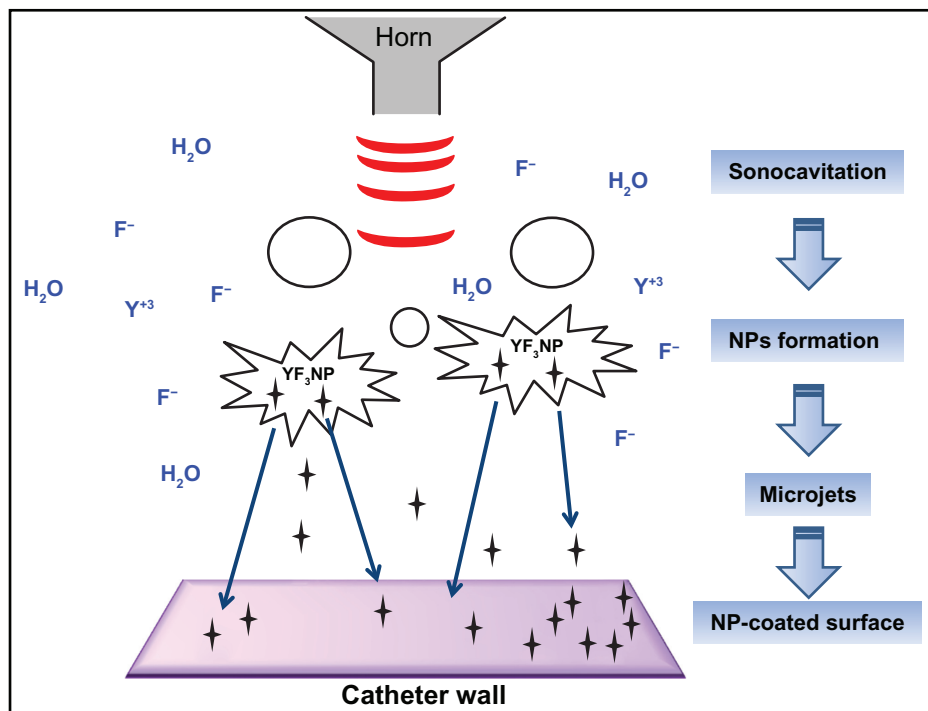
To obtain YF<sub>3</sub> NPs, we optimized a protocol previously described for the synthesis of MgF<sub>2</sub> NPs.<sup>30</sup> In brief, we utilized a sonication process containing an aqueous solution of [Y(Ac)<sub>3</sub> · (H<sub>2</sub>O)<sub>4</sub>] and acidic HF (Figure 1). The optimized set of reaction parameters (1 hour reaction time, 0.02 M HF, and 60% power modulation) afforded needle-shaped YF<sub>3</sub> nanostructure morphology (Figures 2A and 3B) with a length of 342 (±51) nm and a width of 52 (±12) nm (Figure 2C and D). NPs were also characterized by elemental analysis and revealed atomic percentages of ~25 At% and ~75 At% for fluorine and yttrium, respectively (Figure 2B). These results confirmed the 1:3 atomic ratios between fluorine and yttrium atoms in YF<sub>3</sub> NPs.

The powder XRD analysis of the NPs showed a clear crystalline pattern (Figure 3A). The XRD pattern matched well with the reflection peaks of the orthorhombic YF<sub>3</sub> phase (Joint Committee on Powder Diffraction Standards [JCPDS] card No 01-070-1935),<sup>31</sup> characterized by diffraction planes (101), (020), (111), (210), (121), (002), (221), (131), (301), (230), (112), (212), and (400) (Figure 3A). No additional diffraction peaks of any impurity were detected, demonstrating the high purity of the product. In addition, the average size of crystallites calculated by the Debye–Scherrer equation afforded a value of 358 nm, which is similar to the average length size measured by SEM (ie, 342 (±51) nm, Figure 2C). Characteristic lattice fringes of the crystalline phase were also revealed (Figure 3C). The measured interfringe distance of 3.6 Å and 3.1 Å perfectly matches the (101) and (111) interplanar distances (JCPDS card No 01-070-1935).<sup>32</sup> The diffraction planes were also confirmed by selected area electron diffraction; a polycrystalline pattern was observed, and a complete agreement with the XRD-diffraction planes could be calculated (Figure 3D).

### YF<sub>3</sub> NPs antibacterial and antibiofilm properties

To begin to characterize the antimicrobial activity of YF<sub>3</sub> NPs, we first examined the growth of two common bacterial pathogens, *E. coli* and *S. aureus*, in the presence of different concentrations of suspended YF<sub>3</sub> NPs. The results, as presented in Figure 4A and B, demonstrated that for both bacteria, YF<sub>3</sub> NPs caused a reduction in growth in a dose-

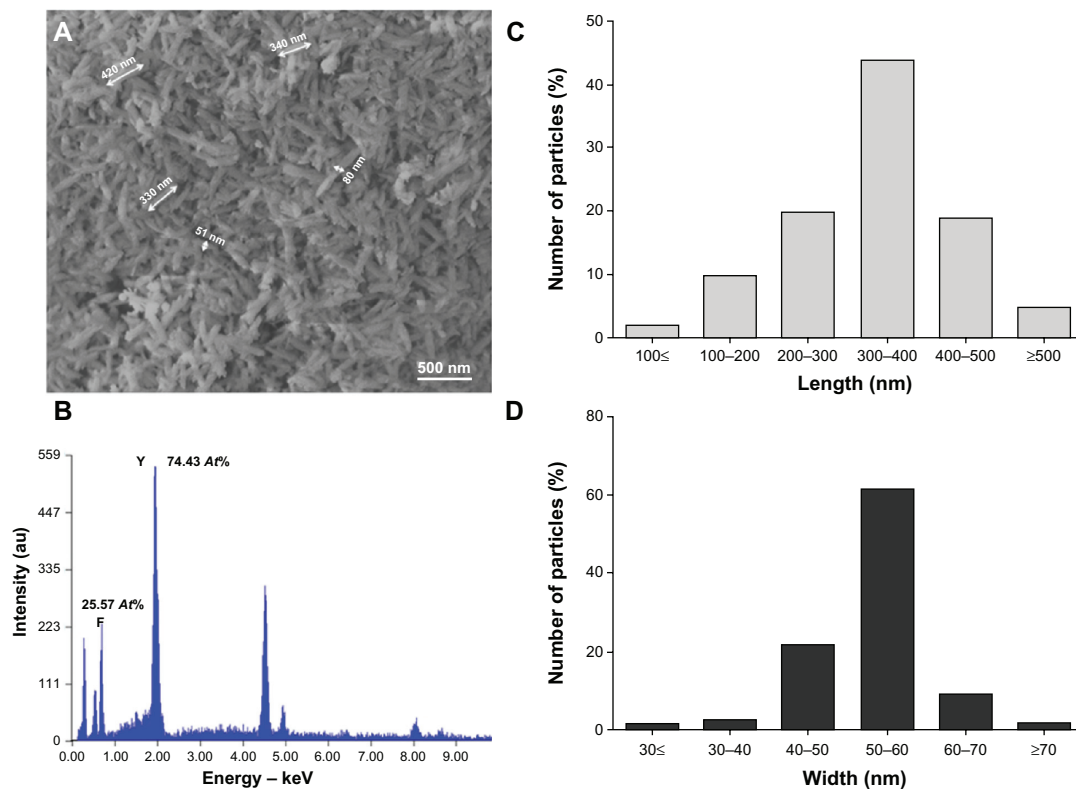




**Figure 1** General view of the synthesis of  $\text{YF}_3$  NPs and NP deposition on a surface by sonochemistry.

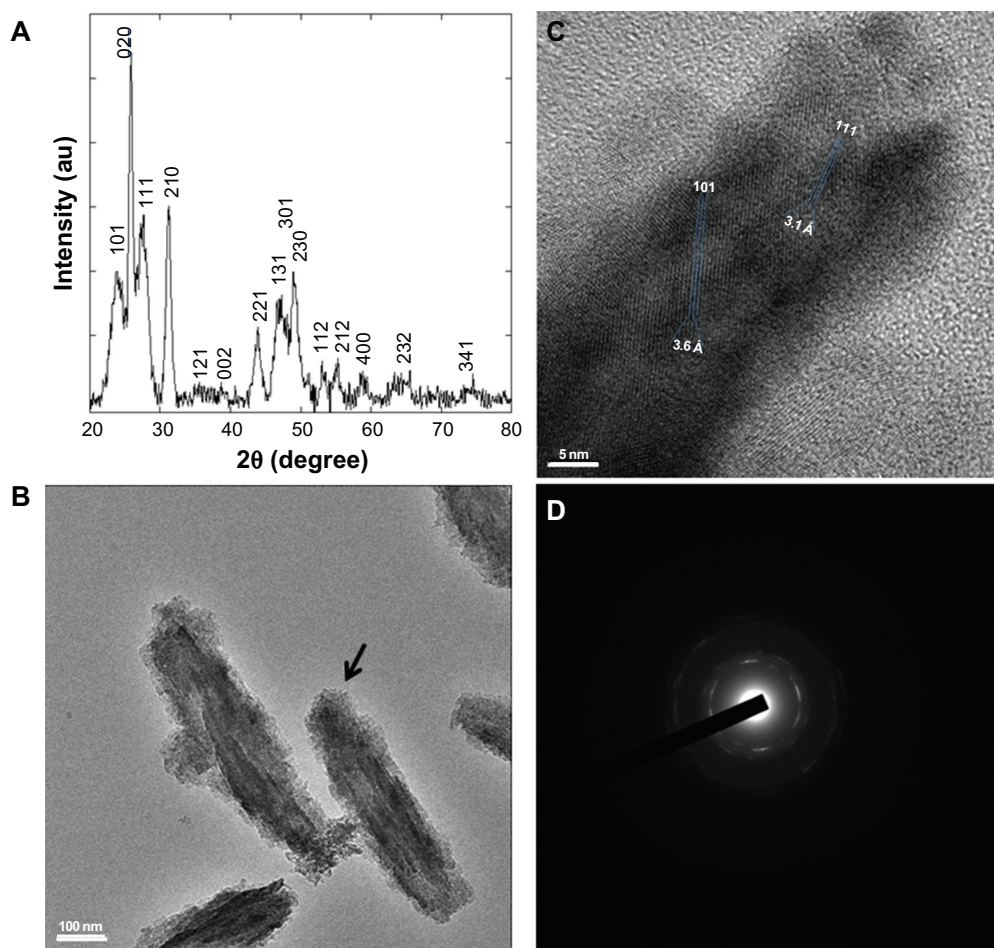
**Notes:**  $\text{YF}_3$  (black stars) is formed under ultrasonic irradiation (red waves). Microjets (blue arrows) are formed after the collapse of the acoustic bubble near the catheter wall and eject the NPs to create a  $\text{YF}_3$  NP coating.

**Abbreviations:** NP, nanoparticle;  $\text{YF}_3$ , yttrium fluoride.



**Figure 2**  $\text{YF}_3$  NP characterization. (A) SEM micrograph and (B) X-ray elemental spectra of  $\text{YF}_3$  NPs. Percentages indicated in (B) refer to the relative atomic ratio between fluor and yttrium atoms. (C and D) Nanoparticle length and width distributions.

**Abbreviations:** NP, nanoparticle; SEM, scanning electron microscope;  $\text{YF}_3$ , yttrium fluoride.



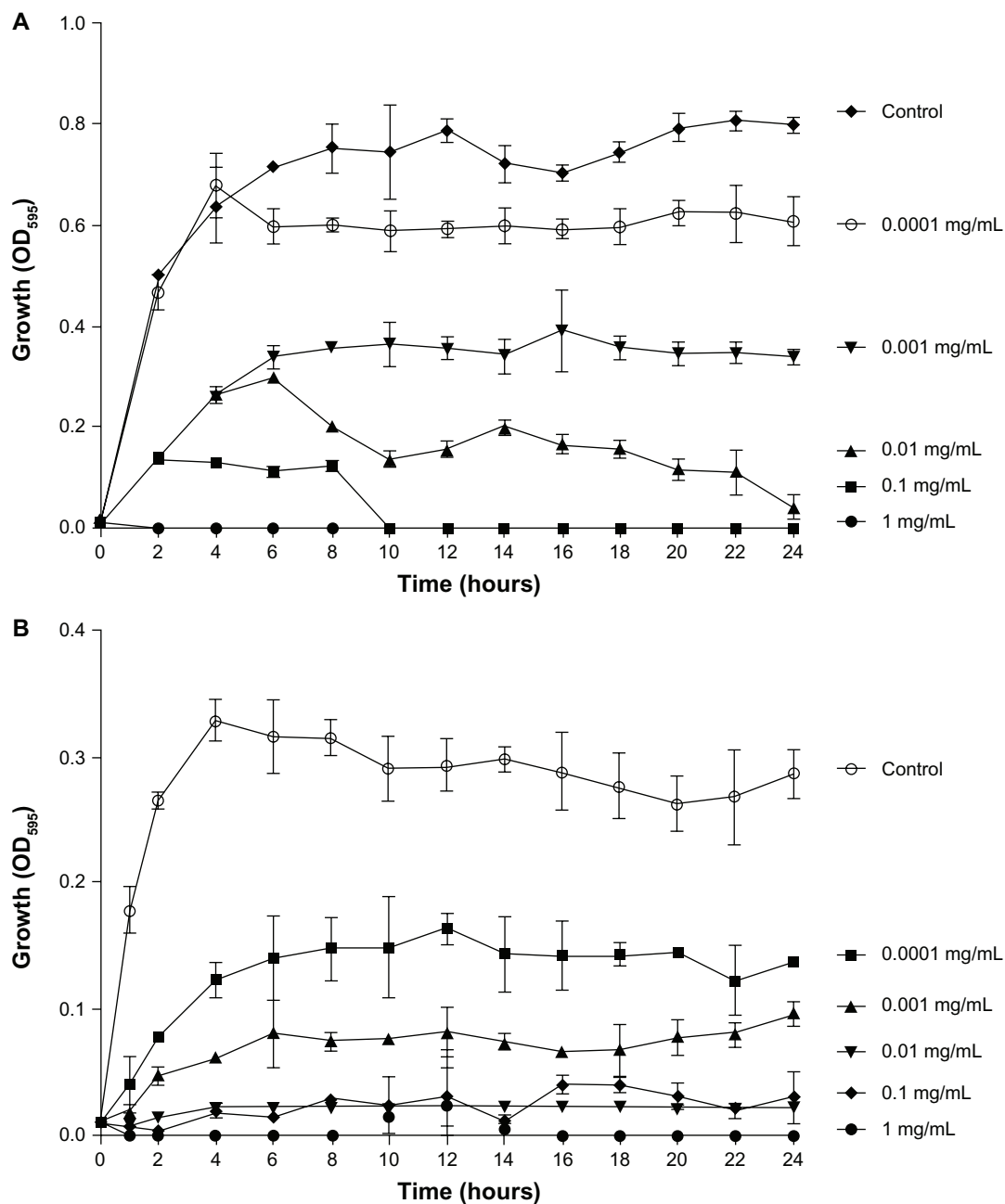
**Figure 3** YF<sub>3</sub> NP crystallinity characterization. **(A)** Powder XRD analysis of the crystalline NPs. The XRD pattern matched the reflection peaks and relative Miller indices of orthorhombic YF<sub>3</sub>. **(B)** HR-TEM micrographs of YF<sub>3</sub> NPs and **(C)** characteristic lattice fringes of the crystalline phases indicated by the black arrow in **(B)**. **(D)** Selected area diffraction patterns of polycrystalline YF<sub>3</sub> NPs shown in **(B)**.

**Abbreviations:** HR-TEM, high-resolution transmission microscope; NP, nanoparticle; XRD, X-ray diffraction; YF<sub>3</sub>, yttrium fluoride.

dependent manner. The minimal inhibitory concentration (MIC) was observed for *E. coli* at 0.01 mg/mL of NPs (Figure 4A). *Staphylococcus aureus* seemed to be less sensitive, and a concentration of 0.1 mg/mL inhibited its growth completely (Figure 4B).

To understand the impact of NP dissolution on antimicrobial activity, we compared the observed activity of YF<sub>3</sub> NPs with that of MgF<sub>2</sub> NPs described previously<sup>29–30,33</sup> (see Figures 4 and S2). It is well known that both salts, YF<sub>3</sub> and MgF<sub>2</sub>, have very different  $K_{sp}$  values ( $8.16 \times 10^{-21}$  and  $5.6 \times 10^{-14}$ , respectively).<sup>36</sup> One possible mechanism that can explain the difference in antimicrobial activity observed with the two NPs is differences in fluoride anion concentrations (internal and external). To test this we exposed bacteria for 2 hours to 0.01 mg/mL of each NP. Following incubation the F<sup>−</sup> molarities of surrounding media ( $[F^-]_{ex}$ ) was  $[F^-]_{ex} = 10^{-11}$  M for YF<sub>3</sub> and  $[F^-]_{ex} = 10^{-7}$  M for MgF<sub>2</sub>, values that are coherent with the theoretical dissolution values of

both salts (Figure 5). We also measured the internal concentration ( $[F^-]_{in}$ ). For *S. aureus* the internal concentration for MgF<sub>2</sub>-exposed cells was found to be 10<sup>3</sup>-fold higher ( $[F^-]_{in} = 10^{-5}$  M) than that obtained for YF<sub>3</sub>-exposed cells ( $[F^-]_{in} = 10^{-8}$  M) (Figure 5). The increase in fluoride intracellular concentration correlated with the enhanced antimicrobial activity observed for MgF<sub>2</sub> in *S. aureus* compared to YF<sub>3</sub>. Interestingly for *E. coli* the internal concentration was similar for both NP (around 10<sup>−9</sup> M), although the MIC was different between particles (Figure 5). Thus, the difference in solubility does not seem to influence the internalization of the fluorine ion into the *E. coli* cell. Furthermore, our results suggest that antibacterial activities mediated by both particles cannot simply be related to the fluoride concentration in the case of *E. coli*. It is most likely that additional parameters, such as the possible interaction of the NP with the cell membrane and their impact on the membrane integrity, can be a major factor. Additional parameters may include NP shape and



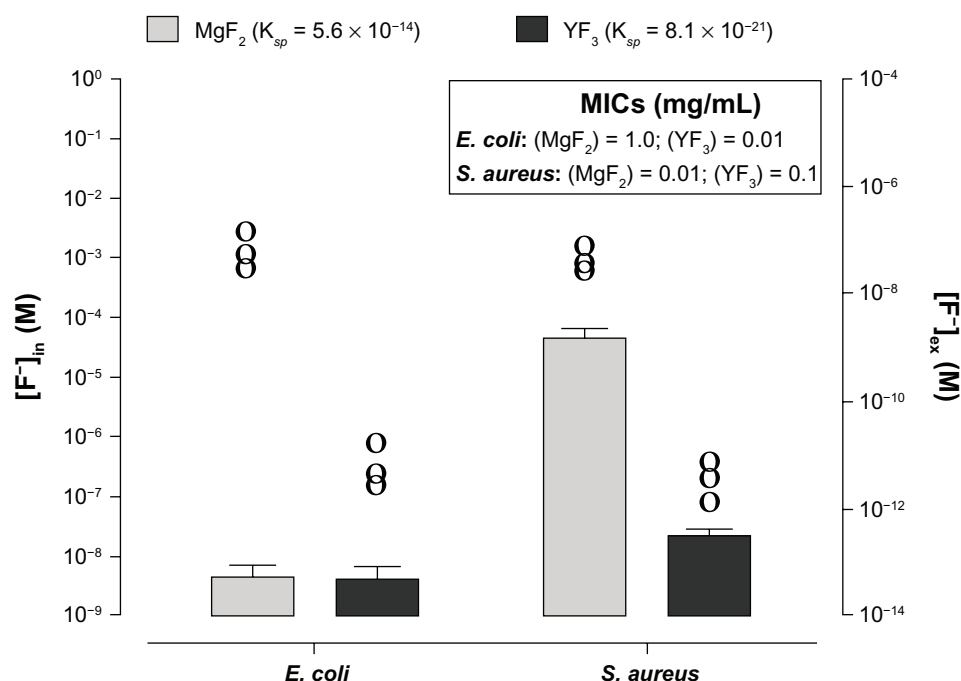
**Figure 4** Antimicrobial effect of  $\text{YF}_3$  NPs. Growth curves of (A) *Escherichia coli* and (B) *Staphylococcus aureus* exposed to variable concentrations (0.0001 to 1 mg/mL) of  $\text{YF}_3$  NP solutions for 24 hours at 37°C.

**Notes:** Untreated bacteria served as a control. Error bars represent the standard deviation of three independent experiments conducted in triplicate. The results were found to be statistically significant ( $P < 0.05$ ) between control versus NP-treated cells in all treatments.

**Abbreviations:** NP, nanoparticle;  $\text{OD}_{595}$ , optical density at 595 nm;  $\text{YF}_3$ , yttrium fluoride.

crystallinity. These physicochemical properties can play a critical role in the antibacterial mechanism of nano-sized materials. This was previously described for other materials, such as metal oxide NPs. For example, the ability of titania and ZnO to release reactive oxygen species directly depends on their crystalline lattice and shape.<sup>16,36</sup> Another parameter to consider is the difference in the physiological response. A recent study conducted by Baker et al<sup>37</sup> indicated that

*E. coli* exposed to toxic levels of fluorine use fluorine-sensing RNAs to control the expression of proteins that alleviate the deleterious effects of this anion. These fluorine-specific riboswitches and commonly associated proteins, such as camphor resistance CrcB protein, may represent an efficient system by which cells have dealt with toxic levels of this anion.<sup>37</sup> It is possible that each NP has a different influence on the activity of such regulatory cascades.



**Figure 5** Influence of solubility of  $\text{YF}_3$  and  $\text{MgF}_2$  NPs on the  $\text{F}^-$  intracellular and extracellular concentrations.

**Notes:** Fluorine intracellular ( $[\text{F}^-]_{\text{in}}$ , columns-left y axis) and extracellular (ie, fluorine concentration in the surrounding media, noted at  $[\text{F}^-]_{\text{ex}}$  and represented by circles-right y axis) concentrations of *Escherichia coli* and *Staphylococcus aureus* exposed to  $\text{MgF}_2$  and  $\text{YF}_3$  NPs at a concentration of 0.01 mg/mL for 2 hours at 37°C. Error bars represent the standard deviation of three independent experiments conducted in triplicate. Each circle represents the mean of one experiment conducted in triplicate. (Insert) The MICs of *E. coli* and *S. aureus* exposed, respectively, to  $\text{MgF}_2$  and  $\text{YF}_3$  NPs (taken from Figures 4 and S2).

**Abbreviations:**  $[\text{F}^-]_{\text{ex}}$ , fluorine extracellular concentration;  $[\text{F}^-]_{\text{in}}$ , fluorine intracellular concentration;  $\text{MgF}_2$ , magnesium fluoride; MICs, minimal inhibitory concentrations; NPs, nanoparticles;  $\text{YF}_3$ , yttrium fluoride.

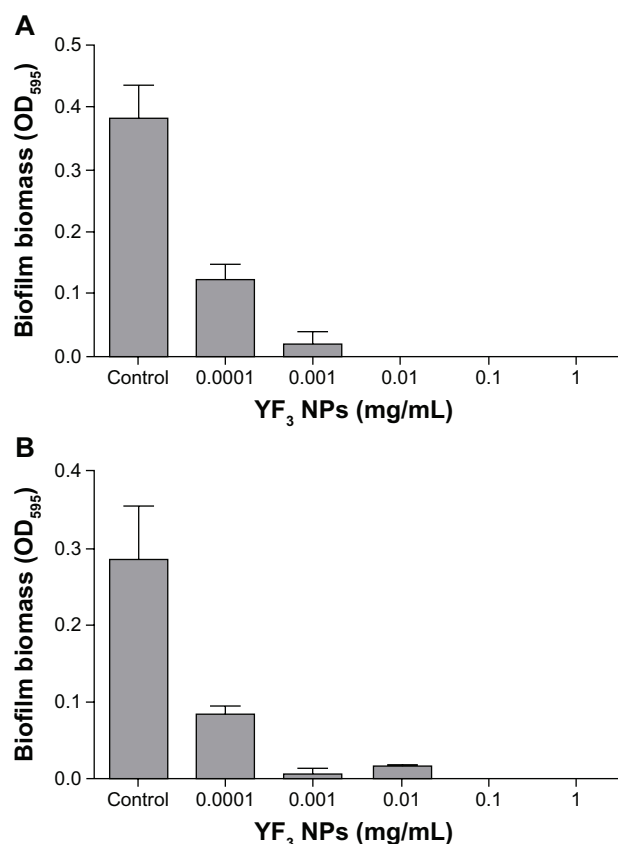
To test the antibiofilm activity of the  $\text{YF}_3$  NPs, we utilized a static biofilm assay using the same  $\text{YF}_3$  NP concentrations as used in our growth curve experiments. *Escherichia coli* biofilm formation decreased in a dose-dependent manner and resulted in an approximately 95% reduction in biofilm biomass at a  $\text{YF}_3$  concentration of 0.001 mg/mL (Figure 6A). A complete inhibition of *E. coli* biofilm formation was observed at a concentration of 0.01 mg/mL (Figure 6A). *Staphylococcus aureus* biofilm formation was completely inhibited at a  $\text{YF}_3$  NP concentration of 0.1 mg/mL (Figure 6B). These values correlate with the MIC concentrations; thus most likely the inhibition of biofilm formation by the suspended NPs is mediated by growth inhibition. To exclude the possibility that dissolved yttrium or fluorine ions released to the medium were responsible for the observed antibacterial activity, we conducted several control experiments. By dissolving separately two yttrium acetate and sodium fluoride precursor salts in TSB or TSB-Glu, we demonstrated that  $\text{Y}^{+3}_{(\text{aq})}$  or  $\text{F}^{-}_{(\text{aq})}$  at a concentration of more than  $10^3$  of the solubility of  $\text{YF}_3$  in water ( $K_{sp}$  with a  $10^{-21}$  range)<sup>38</sup> did not cause similar growth or biofilm inhibitory effects on the two bacteria tested (Figure S1). Taken together, these results strongly suggest that the nanometric

form of  $\text{YF}_3$  NPs plays an important factor in the observed antimicrobial activity (Figure S1).

## The effect of $\text{YF}_3$ NP size on antimicrobial activity

A major advantage of the sonochemical-based synthesis procedure is the ability to control the NP size.<sup>10,30</sup> This is achieved by varying the concentrations of both  $[\text{Y}(\text{Ac})_3 \cdot (\text{H}_2\text{O})_4]$  and HF components, while still maintaining their relative molar ratio at 1:3 during the ultrasonic process. Using this strategy we were able to synthesize three groups of  $\text{YF}_3$  NPs that varied in length. The size range was from 360 nm (marked as  $\text{YF}_3$ -1) to 150 nm (marked as  $\text{YF}_3$ -2) and further to 50 nm (marked as  $\text{YF}_3$ -3), as determined by the application of the Debye-Scherrer formula (Table 1). Dynamic light scattering measurements of the same products in EtOH afforded similar hydrodynamic diameters of  $365 \pm 30$  nm ( $\text{YF}_3$ -1),  $156 \pm 26$  nm ( $\text{YF}_3$ -2) and  $51 \pm 10$  nm ( $\text{YF}_3$ -3) (Table 1). As expected, the surface area obtained by the BET method substantiated the size dependence of the samples. The surface area increased with decreasing NP size from approximately 20 ( $\text{YF}_3$ -1) to 145 ( $\text{YF}_3$ -3)  $\text{m}^2/\text{g}$  (Table 1).





**Figure 6** Antibiofilm properties of YF<sub>3</sub> NPs.

**Notes:** Biofilm formation quantified after overnight incubation for (A) *Escherichia coli* and (B) *Staphylococcus aureus* exposed to variable concentrations (0.0001 to 1 mg/mL) of YF<sub>3</sub> NP solutions for 24 hours at 37°C.

**Notes:** Untreated bacteria served as a control. Error bars represent the standard deviation of three independent experiments. The results were found to be statistically significant ( $P < 0.05$ ) between control versus NP-treated cells in all treatments.

**Abbreviations:** NP, nanoparticle; OD<sub>595</sub>, optical density at 595 nm; YF<sub>3</sub>, yttrium fluoride.

Next, we examined how changes in NP size and surface area affected antimicrobial activity. The results presented in Figure 7 clearly show a reverse correlation between NP size and antimicrobial activity for both *E. coli* and *S. aureus* (tested concentration was 0.001 mg/mL), ie, the antimicrobial activity increased as NP size decreased. This phenomenon is not unique to the YF<sub>3</sub> NPs and has been demonstrated with other NPs, such as ZnO,<sup>10,39</sup> Ag,<sup>40,41</sup> MgO,<sup>12</sup> and MgF<sub>2</sub>.<sup>30</sup> The change in antibacterial properties of YF<sub>3</sub> NPs are most likely

due to increased surface area to volume ratio as we reduce the size of the NP. A particle with a high surface area has a greater number of reaction sites than a particle with a lower surface area and thus results in higher chemical reactivity. Moreover, a large surface reactive area of the smaller NPs enhances their interaction with the cells and may even improve the internalization of NPs in the bacteria.<sup>42,43</sup>

## Antibiofilm properties of YF<sub>3</sub> NP-coated catheters

The sonochemical method has been found to be an efficient method for coating NPs on a variety of substrates.<sup>44–47</sup> In sonochemistry the chemical reactions occur at 20 kHz as a result of collapse of the acoustic bubble (Figure 1).<sup>48,49</sup> This collapse creates very high temperatures and high pressures, conditions leading to the rupture of chemical bonds (Figure 1).<sup>48,49</sup> According to the interpretation suggested for the sonochemical coating process, microjets directed at a solid surface and moving at very high speed (>200 m/second) are formed after the collapse of the acoustic bubble. These microjets throw the newly formed NPs at the solid substrate at such a high speed that the NPs are able to penetrate and coat the surface (Figure 1).<sup>49</sup> This mode of coating is a one-step process since subsequent to the formation of NPs, the coating of the planar substrate takes place. As described in the experimental procedure, catheters were coated by adding segments directly into the chemical reaction medium, using the same reaction parameters described for the synthesis of the YF<sub>3</sub> NPs (see Materials and methods).

Our initial characterization measurements were aimed at determining the shape and size of the YF<sub>3</sub> NPs formed in the sonochemical reaction. The YF<sub>3</sub> NP-coated catheters were examined using SEM (Figure 8). The catheter surfaces were completely covered by YF<sub>3</sub> NPs having an average size of approximately 340 nm (Figure 8). The size and morphology were similar to the data measured by HR-TEM (Figure 3B) and XRD (Figure 3A) for the NPs formed under similar reaction conditions but without the catheters.

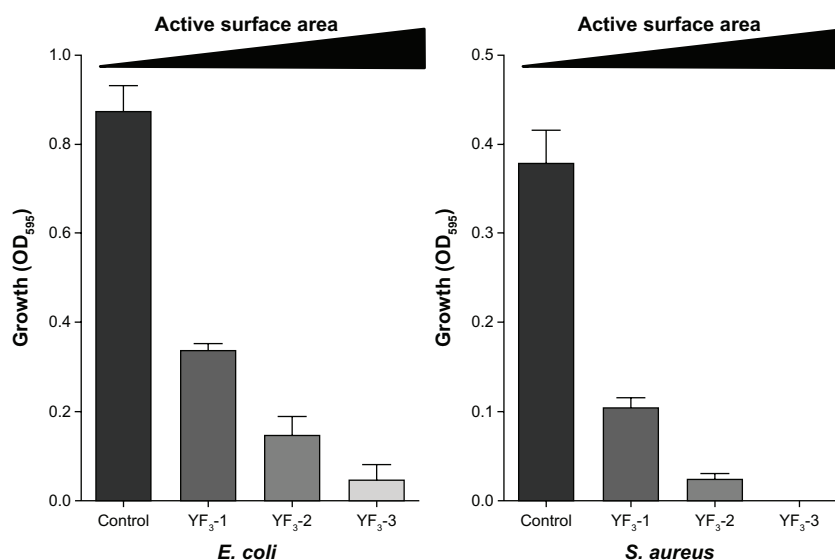
Quantification of the YF<sub>3</sub> NPs deposited during the synthesis was conducted, and the amount of NPs deposited was  $0.06 \pm 0.015$  mg/cm<sup>2</sup>. We also evaluated the homogeneity of the coating deposition by elemental mapping analysis of yttrium and fluorine using energy-dispersive spectroscopy (Figure 8). YF<sub>3</sub> NP-coated catheters present a large and homogeneous distribution of the signals emitted from yttrium and fluorine detection. We also scanned the uncoated catheters and could not find yttrium or fluorine.

**Table I** Characterization of YF<sub>3</sub> particles of different size

Sample	Debye–Scherrer (nm)	DLS (nm)	BET (m <sup>2</sup> /g)
YF <sub>3</sub> -1	358	365 ± 30	20.21
YF <sub>3</sub> -2	150	156 ± 26	97.01
YF <sub>3</sub> -3	49	51 ± 10	145.32

**Note:** Average particle sizes (Debye–Scherrer formula), DLS, and BET multipoint surface area measurements of YF<sub>3</sub> NP samples.

**Abbreviations:** BET, Brunauer–Emmett–Teller; DLS, dynamic light scattering; YF<sub>3</sub>, yttrium fluoride.



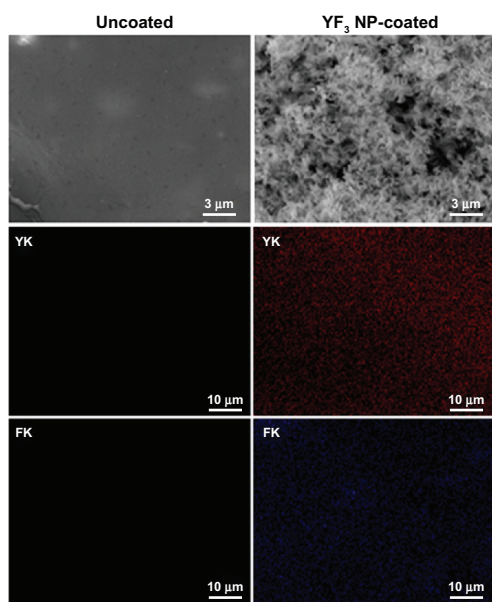
**Figure 7** Impact of size of YF<sub>3</sub> NPs on antimicrobial activity.

**Notes:** Growth yields of *Escherichia coli* and *Staphylococcus aureus* grown in the presence of YF<sub>3</sub> NP (0.001 mg/mL) suspension at different sizes (samples 1–3) for 24 hours at 37°C. Untreated bacteria served as a control. Error bars represent the standard deviation of three independent experiments. The results were found to be statistically significant ( $P < 0.05$ ) between control versus NP-treated cells in all treatments.

**Abbreviations:** NP, nanoparticle; OD<sub>595</sub>, optical density at 595 nm; YF<sub>3</sub>, yttrium fluoride.

The YF<sub>3</sub> NP-coated catheters were then tested for their ability to restrict the bacterial colonization of *E. coli* and *S. aureus*. Figure 9 presents the corresponding viable counts that depict biofilm development following 24 hours of bacterial exposure. The untreated surfaces supported massive biofilm formation

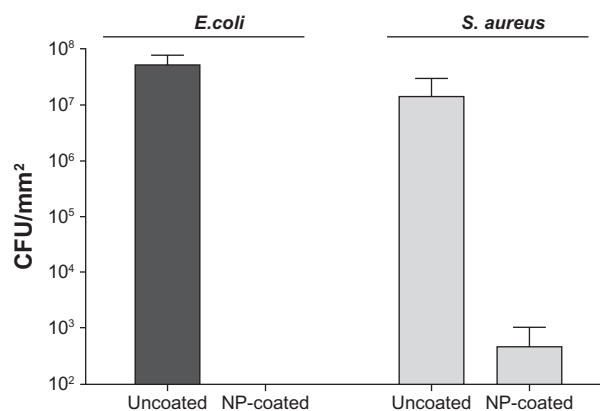
( $6.7 \times 10^7$  and  $3.8 \times 10^7$  CFU/cm<sup>2</sup> for *E. coli* and *S. aureus*, respectively) in comparison with YF<sub>3</sub> NP-coated catheters. The results suggest that the YF<sub>3</sub> NP-coated catheters effectively inhibited bacterial adhesion and biofilm formation. Similar to the results obtained with planktonic cultures, *S. aureus* seems to be less sensitive to the YF<sub>3</sub> NP-coated catheters in comparison with *E. coli*. No *E. coli* cells were observed on the NP-coated catheters, whereas a small number of *S. aureus* cells were detected



**Figure 8** Imaging and characterization of sonochemical YF<sub>3</sub> NP catheter coating.

**Notes:** Catheters were coated using a sonochemical procedure described in the experimental section. SEM images of the internal walls of uncoated and YF<sub>3</sub> NP-coated catheters are presented. The distribution of the YF<sub>3</sub> NP coating on the catheter's surface characterized by X-ray dot mapping of yttrium (red) and fluor (purple) atoms signals detected on the internal catheter wall.

**Abbreviations:** K, K line energy; NP, nanoparticle; SEM, scanning electron microscope; YF<sub>3</sub>, yttrium fluoride.



**Figure 9** Antibiofilm properties of the catheter coated with YF<sub>3</sub> NPs against formation of biofilms by *Escherichia coli* and *Staphylococcus aureus*.

**Notes:** Viable counts of the biofilm cells of *E. coli* and *S. aureus*, grown in TSB and TSB-Glu, on the internal wall of a YF<sub>3</sub> NP-coated catheter incubated for 24 hours at 37°C. Uncoated catheters served as the negative control. Bars represent the standard deviation of three independent experiments conducted in triplicate. The results were found to be statistically significant ( $P < 0.05$ ) between uncoated versus NP-coated catheters in all treatments.

**Abbreviations:** CFU, colony forming units; NP, nanoparticle; TSB, tryptic soy broth; TSB-Glu, tryptic soy broth supplemented with 0.2% glucose; YF<sub>3</sub>, yttrium fluoride.

on the surface. The exact mechanism by which the  $\text{YF}_3$  NPs mediated these processes is still unclear and requires additional study; however, similar results were seen with  $\text{MgF}_2$  NPs.<sup>30,33</sup>

## Conclusion

This study characterized the antibacterial and antibiofilm activities of crystalline  $\text{YF}_3$  NPs obtained by sonochemical synthesis against two common bacterial pathogens. Antimicrobial activity was observed at millimolar concentrations and was strongly dependent on particle size for both bacteria, with smaller sized NPs having more efficient antibacterial activity than larger NPs. We further utilized the sonochemical irradiation procedure to effectively coat catheter surfaces with  $\text{YF}_3$  NPs. Our results revealed that this procedure provides a stable and homogeneous coating. The coated catheters effectively restricted biofilm formation by the studied bacteria. The results of this study emphasize the potential use of  $\text{YF}_3$  NPs as a new approach for the design of sterile surface coatings that may be useful for various medical applications.

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## Disclosure

We confirm that there are no known conflicts of interest associated with this publication and there has been no significant financial support for this work that could have influenced its outcome.

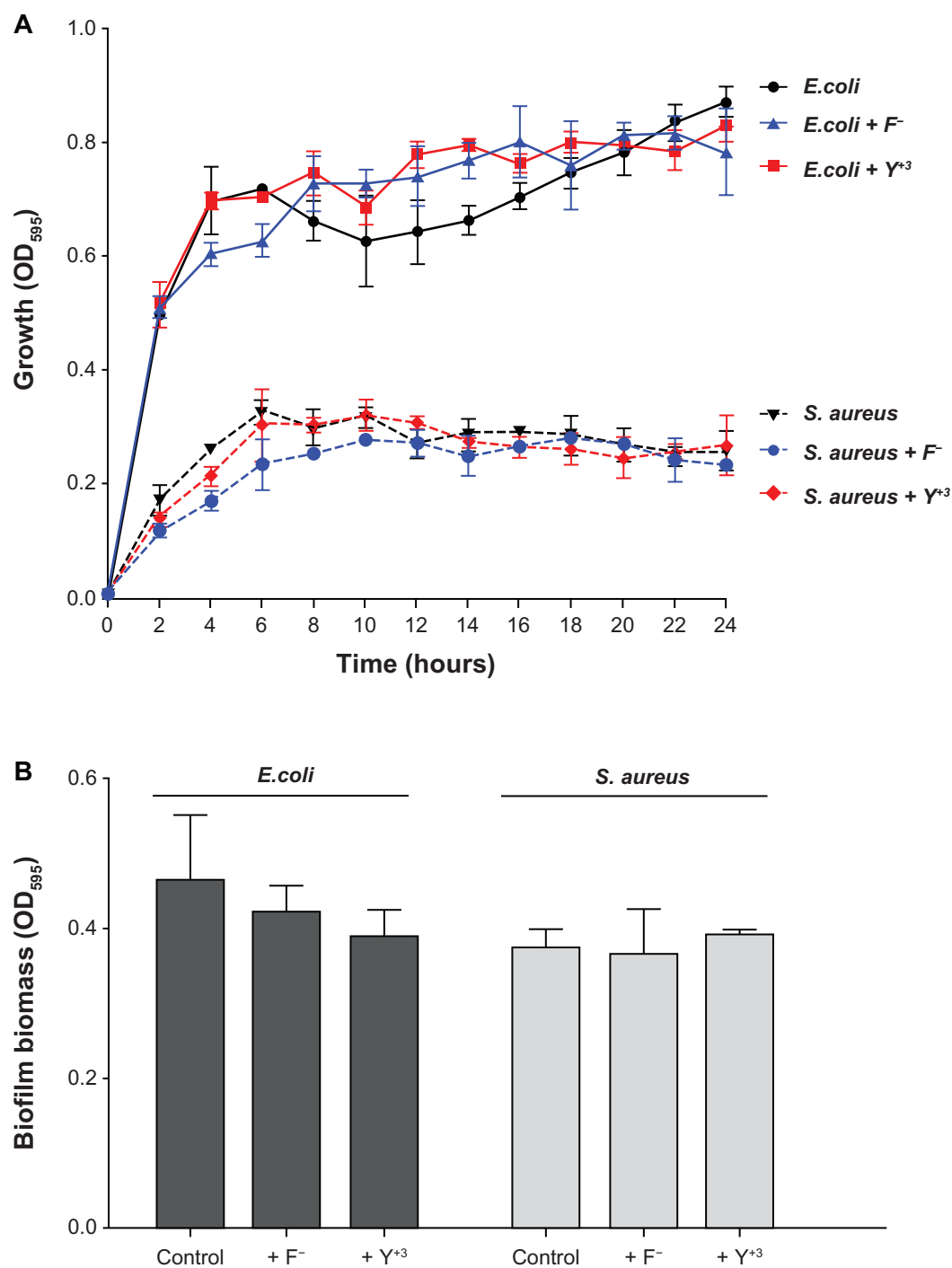
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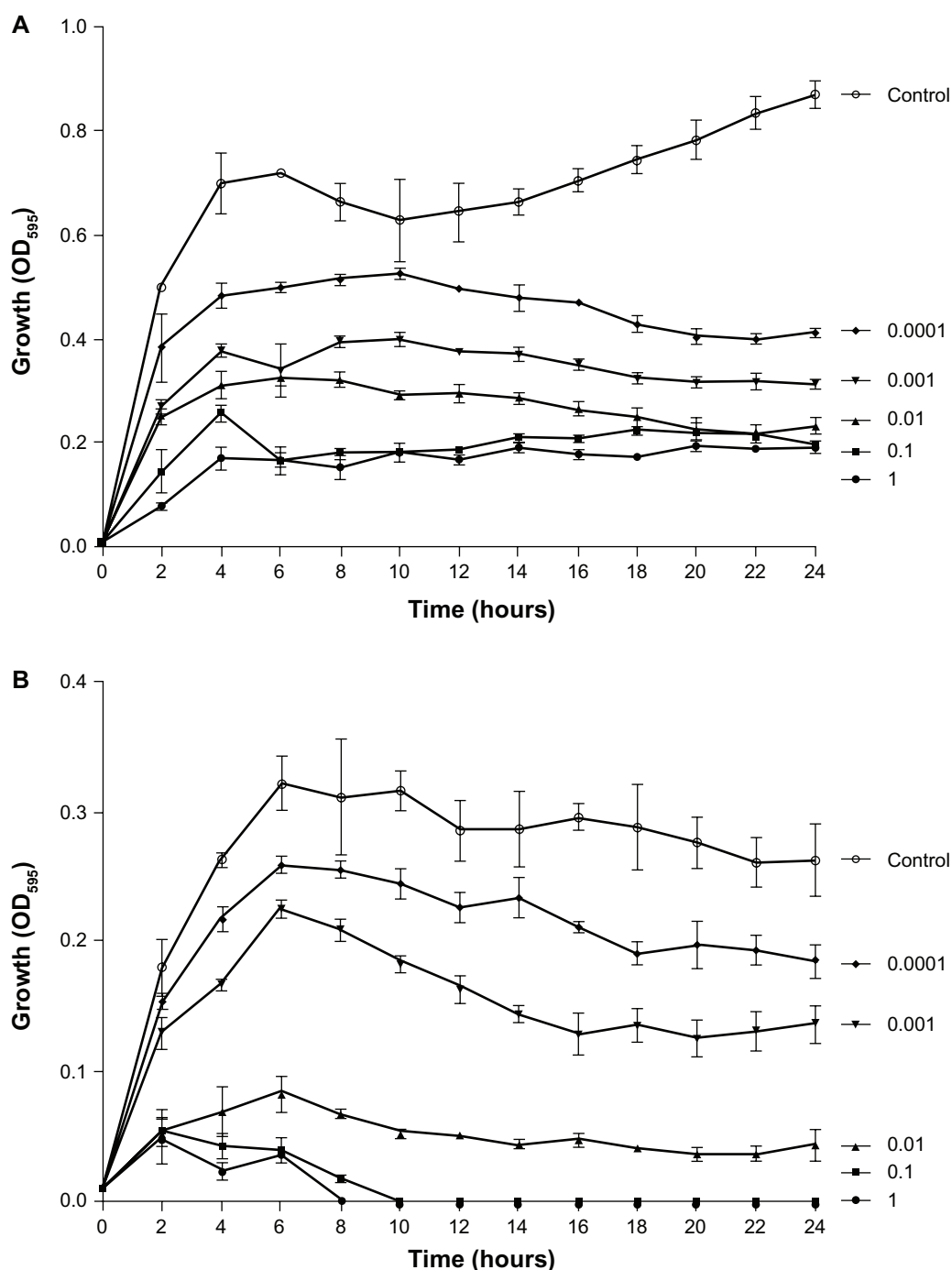
## Supplementary figures



**Figure S1** Growth curves (A) and biofilm formation (B) of *Escherichia coli* and *Staphylococcus aureus* exposed to fluorine (100 µg/mL) and yttrium ions (100 µg/mL) for 24 hours at 37°C.

**Notes:** Untreated bacteria served as a control. Error bars represent the standard deviation of three independent experiments conducted in triplicate.

**Abbreviation:** OD<sub>595</sub>, optical density at 595 nm.



**Figure S2** Antimicrobial effect of  $\text{MgF}_2$  NPs. Growth curves of (A) *Escherichia coli* and (B) *Staphylococcus aureus* exposed to variable concentrations (0.0001 to 1 mg/mL) of  $\text{MgF}_2$  NP solutions for 24 hours at 37°C.

**Notes:** Untreated bacteria served as a control. Error bars represent the standard deviation of three independent experiments conducted in triplicate.

**Abbreviations:**  $\text{MgF}_2$ , magnesium fluoride;  $\text{OD}_{595}$ , optical density at 595 nm; NPs, nanoparticles.