Laser in-situ keratomileusis in patients with diabetes mellitus: a review of the literature

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Purpose: A growing number of diabetic patients request laser in situ keratomileusis (LASIK) for elective vision correction each year. While the United States Food and Drug Administration considers diabetes a relative contraindication to LASIK surgery, there are several reports in the literature of LASIK being performed safely in this patient population. The purpose of this review was to examine whether diabetes should still be considered a contraindication to LASIK surgery by reviewing the ocular and systemic complications of diabetes, and examining the existing data on the outcomes of LASIK in diabetic patients.

Methods: A literature review was conducted through PubMed, Medline, and Ovid to identify any study on LASIK surgery in patients with diabetes mellitus. This search was conducted without date restrictions. The search used the Medical Subject Headings (MeSH) term LASIK linked by the word “and” to the following MeSH and natural language terms: diabetes, diabetes mellitus, systemic disease, and contraindications. Abstracts for all studies meeting initial search criteria were reviewed for relevance. There were no prospective clinical studies identified. Three retrospective studies were identified. Key sources from these papers were identified, reviewed, and included as appropriate. An additional literature search was conducted to identify any study of ocular surgery on patients with diabetes using the MeSH terms refractive surgery, photorefractive keratectomy, radial keratotomy, cataract surgery, vitrectomy, and iridectomy linked by the word “and” to the following MeSH terms: diabetes, diabetes mellitus, and systemic disease. This search was conducted without date restrictions. Abstracts of studies meeting the initial search criteria were reviewed and articles deemed relevant to the subject were included in this review.

Conclusion: LASIK may be safe in diabetic patients with tight glycemic control and no ocular or systemic complications.

Keywords: diabetes mellitus, diabetic keratopathy, diabetic corneal neuropathy, refractive surgery, LASIK surgery

Introduction

Currently, an estimated 346 million people have diabetes, making it one of the most common medical conditions worldwide.1 Diabetic patients experience refractive visual complaints no less frequently than the general population, and with the popularity of laser vision correction procedures such as laser in situ keratomileusis (LASIK), a growing number of diabetic patients are requesting elective refractive surgery each year.

Currently the United States Food and Drug Administration (FDA) considers diabetes a relative contraindication to LASIK surgery.2 When this recommendation was issued in 2000, LASIK was a new procedure and limited data was available on its
safety and efficacy in diabetic patients. As such, the recommendation was primarily based on theoretical risk. Several concerns were expressed as justification for excluding diabetic patients from LASIK surgery. Diabetes is known to affect the eye in multiple ways, and there was concern that the ocular abnormalities seen in diabetic patients could result in surgical complications or poor refractive outcomes. The depressed immune response that is characteristic of diabetic patients was also a topic of discussion, and fueled worries that patients might experience a high rate of postoperative infections and/or impaired wound healing.

Despite the FDA recommendation, some diabetic patients do receive elective LASIK surgery each year. Research on the outcomes of LASIK in this patient population is limited; however, available studies indicate that LASIK may be safe in carefully screened diabetic patients with tight glycemic control and no systemic or ocular complications. In this review, the basis for the FDA recommendation against LASIK in the diabetic population is examined by reviewing the ocular and systemic complications of diabetes and examining the existing data on the outcomes of LASIK in diabetic patients. Guidelines, based on the available data, are suggested for the refractive surgeon performing LASIK in this patient population.

Epidemiology
In the United States, diabetes has a prevalence of 8.3%. There are currently 18.8 million diagnosed diabetic patients in the United States, another 7.0 million who are undiagnosed, and 79 million prediabetic patients. There are 1.9 million newly diagnosed patients each year.

LASIK surgery is a popular treatment for refractive vision correction. At its peak in 2007, 1.4 million patients in the United States received elective LASIK in 1 year. The unfavorable economic conditions of the past 4 years have reduced this rate to 700,000–900,000 surgeries annually. Given the prevalence of diabetes in the United States, diabetic patients make up a significant percentage of the patients who desire elective LASIK. The number of diabetic patients seeking LASIK is expected to increase in the next 10 years, as the 79 million prediabetic patients develop outright diabetes.

Ocular complications of diabetes
Diabetic retinopathy (DR)
DR is the most well-known ocular complication of diabetes. The pathophysiology of DR is strongly linked to chronic hyperglycemia. The Diabetes Control and Complications Trial discovered that diabetic patients who received insulin therapy to maintain hemoglobin A1c levels below 7.9% had a 76% decrease in the incidence of DR. Further, diabetic patients with A1c levels consistently below 7.5% were unlikely to develop retinopathy.

There are several mechanisms by which chronic hyperglycemia is thought to cause DR (Figure 1). Normally, blood flow to the retina is autoregulated to ensure consistent flow, even with moderate elevations in systemic mean arterial pressure. In patients with chronic hyperglycemia, this regulatory mechanism is defective. High flow volume through the retinal vessels leads to shear stress and increased vascular permeability, which ultimately allows fluid to build up in the retina, causing macular edema and ischemia. Chronic hyperglycemia also produces a surplus of advanced glycosylation end products (AGE), which are created when excess glucose combines with amino acids and tissue proteins. Interaction between AGE and their receptors within the retina leads to the release of reactive oxygen species, which increases retinal vascular inflammation and damage. As the disease progresses, retinal tissue becomes increasingly ischemic, triggering the release of vascular endothelial growth factor from neighboring retinal tissue. Neovascularization ensues, at which point the disease process is classified as proliferative retinopathy. Proliferative DR is associated with significant visual compromise and is the leading cause of blindness in the United States in patients aged 25–75 years.

Corneal complications
The corneal changes in diabetic patients may be less recognized than retinal complications, but they are equally important, especially in the context of LASIK surgery. Corneal complications include compromised corneal stability as well as corneal denervation, factors that may affect safety and outcomes in patients undergoing LASIK.

Diabetic keratopathy
Diabetes induces several changes to the cornea; these abnormalities have been termed diabetic keratopathy. Keratopathy manifests clinically in a wide variety of findings including persistent epithelial defects and superficial punctate keratopathy (Table 1 and Figure 2).

Studies have shown that despite the cornea’s avascular nature, diabetic corneas are directly exposed to high serum glucose levels. Thus, the etiology behind diabetic keratopathy is likely hyperglycemia, similar to that of DR. Hyperglycemia accelerates the normal aging process of the basement membrane, increasing cell turnover and resulting in the accumulation of turnover byproducts.
byproducts in the cornea leads to an abnormally thickened and discontinuous basement membrane. Newer research indicates AGE may also play a role in the development of diabetic keratopathy. Kaji et al found evidence of AGE in eight of eight diabetic corneas versus one of eight nondiabetic corneas, and hypothesized that AGE buildup within the laminin of the basement membrane may compromise the connective integrity of the basement membrane, resulting in an abnormal, dysfunctional membrane. Abnormalities in the basement membrane anchoring complex (anchoring fibrils, anchoring plaques, basal lamina, and hemidesmosomes) are also associated with the abnormal basement membranes seen in diabetic corneas. The anchoring complex is critical in the normal adherence of the basement membrane to the corneal stroma, and altered adherence is linked to delayed epithelial healing rates and epithelial instability.

Keratopathy is a common complication of diabetes, especially in those with evidence of retinopathy. Schultz et al found between 47%–64% of patients had evidence of corneal abnormalities on exam, and that this incidence increased with comorbid DR. Saini and Khandalavla demonstrated evidence of keratopathy in 84% of diabetic patients with a

**Figure 1** Pathophysiology of ocular complications of diabetes. 
*Abbreviations: AGE, advanced glycosylation end products; ROS, reactive oxygen species; VEGF, vascular endothelial growth factor.*

**Table 1** Clinical manifestations of diabetic keratopathy

<table>
<thead>
<tr>
<th>Epithelial</th>
<th>Endothelial</th>
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<tbody>
<tr>
<td>Chronic epitheliitis</td>
<td>Beaten silver appearance</td>
</tr>
<tr>
<td>Delayed healing</td>
<td>Pigmentation</td>
</tr>
<tr>
<td>Epithelial erosions</td>
<td>Unpigmented precipitates</td>
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<tr>
<td>Epithelial fragility</td>
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<td>Filamentary keratitis</td>
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<tr>
<td>Persistent epithelial defects</td>
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<tr>
<td>Microcystic edema and bleb formation</td>
<td></td>
</tr>
<tr>
<td>Superficial corneal ulcers</td>
<td></td>
</tr>
<tr>
<td>Superficial punctate keratopathy</td>
<td></td>
</tr>
<tr>
<td><strong>Descemet’s membrane</strong></td>
<td><strong>Wrinkles</strong></td>
</tr>
<tr>
<td><strong>Endothelial</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Beaten silver appearance</strong></td>
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**Figure 2** Confluent superficial punctate keratopathy in the eye of a diabetic patient.
Diabetes, infection, and wound healing

It is widely accepted that diabetics are at increased risk for infection and poor wound healing. Like most other complications of diabetes, the etiology is linked to chronic hyperglycemia. Research has demonstrated neutrophil chemotaxis, phagocytosis, and bactericidal activity are all depressed in diabetic patients with poor glycemic control.\textsuperscript{44,45} Infection risk is compounded by vascular insufficiency, a common comorbidity in diabetic patients. This is because ischemic tissue can serve as a harbor for bacteria, and it also limits the oxygen-dependent bactericidal activity of leukocytes.\textsuperscript{4,11}

Diabetes and surgical site infections

Due to the impaired immune response, diabetic patients are at risk for surgical-site infections.\textsuperscript{46,47} In a study of 1561 general and cardiothoracic surgery patients, glucose level was the most important risk factor for developing a surgical-site infection.\textsuperscript{46} The same study found a linear relationship between glucose level and infection risk; the higher the serum glucose at time of surgery, the greater the risk of infection.\textsuperscript{46} It follows that diabetic patients with a history of tight glycemic control and hemoglobin A1c levels at or below target levels do not appear to be at a greater risk than the general population.\textsuperscript{47}

Diabetes and ocular infections

While diabetes is a risk factor for several types of infection (notably skin and foot ulcers and urinary tract infections), there is no direct evidence to show that diabetic patients have an increased risk of ocular infection. A correlational relationship between diabetes and eye infections was reported in a case series from Romania, which found patients presenting with serious ocular infections were significantly more likely to have diabetes than the general population.\textsuperscript{48} Reports of a global increase in the risk of infection in the diabetic population suggest that diabetic patients with poor glycemic control are at increased risk for
eye infections; however, further investigation is needed to firmly establish this relationship.

**Wound healing in diabetic patients**

The combination of depressed immune system, neuropathy, and vascular insufficiency creates the ideal conditions for chronic wounds to develop.49 Neuropathic patients have a diminished ability to sense pain, meaning that tissue trauma, especially microtrauma, can go unrecognized.50 Tissue disruption allows bacteria access to the body, and without a robust immune response, infections may continue unchecked. Venous insufficiency compounds the problem by creating an avascular, relatively hypoxic environment for wounds to persist.51

**Corneal wound healing in diabetic patients**

Multiple animal models have determined that diabetic corneas heal more slowly than healthy corneas.52–55 Clinical and laboratory studies regarding the corneal wound healing process in human diabetic subjects are limited; however, there are several studies that validate the animal models. Chen et al found that 72.3% of diabetic eyes showed evidence of delayed wound healing at 1 month and 3 months after corneal epithelial debridement in diabetic vitrectomy. All defects resolved by 6 months postoperatively.27 Slow-healing neurotrophic corneal ulcers were reported by Hyndiuk et al, who showed an increased incidence of persistent corneal erosions in diabetic patients after vitrectomy cases in which the corneal epithelium was exposed, abraded, or removed.30 It is likely that the delayed healing seen in diabetic corneas is directly related to diminished corneal sensation resulting from diabetic corneal neuropathy. Rosenberg et al found a significant correlation between the diminished corneal sensation seen in diabetic corneal neuropathy and slow corneal wound healing. They also found that patients with diabetic corneal neuropathy eventually develop significant thinning of the epithelial layer, predisposing them to corneal damage.36 Sigelman and Friedenwald found that the corneal epithelial mitotic rate is significantly reduced in patients with reduced corneal innervation seen in diabetic corneal neuropathy, which further explains the impaired healing rate seen in these patients.27

**Ocular surgery in diabetic patients**

When the FDA made its recommendations about LASIK surgery in diabetic patients, the outcomes of diabetic patients during other ocular surgeries were cited for support. There is a significant collection of data in the literature documenting a correlation between diabetes and increased risk for postsurgical complications in these patients. In addition to the delayed corneal wound healing observed after diabetic vitrectomy noted in the two studies above, multiple studies have identified diabetes as a risk factor for corneal decompensation after argon laser photocoagulation.27,58–60 Diabetic patients tend to experience increased and extended inflammation after cataract extraction when compared to controls.61 Although rare, diabetic patients also have a higher incidence of both infectious and sterile endophthalmitis after cataract surgery when compared to the general population. The Endophthalmitis Vitrectomy Study found postsurgical infectious endophthalmitis in diabetic patients is more frequently associated with more virulent organisms and a higher percentage of Gram-negative isolates.62 Using data from the Endophthalmitis Vitrectomy Study, Doft et al discovered that although endophthalmitis in diabetic patients responds to aggressive treatment, postinfection outcomes in these patients tends to be worse, with only 56% of patients achieving 20/100 versus 77% of nondiabetic patients.63

**LASIK in diabetic patients**

Given that corneal complications, infection risk, and delayed wound healing are common among diabetic patients, understandable questions exist regarding the safety and efficacy of LASIK in this patient population. Unfortunately, limited data is currently available on this topic. To date, three retrospective analyses and a small selection of case reports of the outcomes of LASIK surgery in diabetic patients are available.

One of the earliest publications on this topic is a retrospective analysis of 30 diabetic eyes by Fraunfelder and Rich.3 They found that the rate of post-LASIK complications in diabetic patients was 47%, which was significantly higher than the rate of complications reported in control eyes (6.9%; $P < 0.001$). The most frequent complications were related to wound healing; nine of 17 eyes developed punctate epithelial erosions, and six of 17 developed persistent epithelial defects. Six eyes experienced delayed wound healing lasting longer than 1 month. The authors did not report any cases of postoperative infection. Refractive outcomes for the diabetic group were worse than in the control population. Postoperative uncorrected visual acuity (in logarithm of the minimal angle of resolution) in the diabetic population was $0.30 \pm 0.11$ compared to $0.17 \pm 0.89$ in the control group ($P = 0.18$). Unfortunately, this study did not offer any comment on the patients’ glycemic control, or whether the patients presented with comorbid ocular or systemic diabetic complications.3
Two more recent retrospective studies directly contradict Fraunfelder and Rich’s findings. In a study of 46 eyes, Halkiadakis et al reported a complication rate of 6.5% (three eyes). All three complications were epithelial defects. There was no incidence of postoperative infection in the diabetic population. Refractive outcomes for diabetic patients in this study were very good. The logarithm of the minimal angle of resolution of the diabetic cohort was 0.11 ± 0.16 and at last follow-up, 43 eyes (93.5%) were within 0.5 Diopters (D) of targeted spherical equivalent. Only patients with a history of well-controlled diabetes without systemic complications or evidence of keratopathy were included in this analysis. Two patients with mild, nonproliferative retinopathy were included; these eyes did not experience any complications or exacerbation of retinopathy.8

A study by Cobo-Soriano et al, of similar size (44 eyes) and patient characteristics to Halkiadakis et al, reported similar results. The complication rate in this study was slightly higher (9.1%). Reported complications included postoperative punctate keratopathy, mild epithelial ingrowth, and a peripheral interface reaction, all of which resolved without sequelae. Refractive outcomes were good, 92% of patients were within 0.5 D of intended spherical equivalent.64

An isolated case report by Ghanbari and Ahmadieh details the acute worsening of proliferative DR after LASIK. This patient had poorly controlled diabetes, with a hemoglobin A1c of 13.1% (upper limit of normal = 7.5%), and a long history of proliferative DR.65 Proposed theories on why LASIK aggravated the retinopathy in this patient include transient ischemia induced by increased intraocular pressure during the procedure and increased inflammatory response related to the patient’s uncontrolled hyperglycemia.65,66

Clearly, a significant difference exists between the complication rate reported by Fraunfelder and Rich and those reported by Cobo-Soriano et al and Halkiadakis et al (Table 2). The most obvious explanation for this discrepancy is that both Cobo-Soriano et al and Halkiadakis et al excluded diabetics with a history of poor glycemic control. In the two studies that eliminated patients with poor glucose control, the complication rate was minimal.8,28

Additionally, the sole case report of post-LASIK exacerbation of proliferative DR was in a patient with significantly elevated fasting glucose and glycosylated hemoglobin levels (glucose = 250 mg/dL and hemoglobin A1c = 13%).65 As noted earlier, the pathophysiology behind both ocular and systemic complications of diabetes is directly linked to hyperglycemia. The risk of developing ocular complications is significantly lower in diabetic patients with glucose levels within target range. Therefore, it may be reasonable to assume that diabetic patients with tight glycemic control may not be at increased risk for LASIK-related complications when compared to diabetic patients with poor disease control.

Further explanation of the difference in complication rates reported in these studies is the exclusion of patients with ocular complications of diabetes from two of the three studies. Diabetic keratopathy and corneal neuropathy were factors for exclusion from the two studies documenting favorable post-LASIK outcomes. As noted previously, corneal complications are an early complication of diabetes, and the presence of corneal disease is considered a marker for systemic complications and poor disease control. By excluding patients with evidence of diabetic keratopathy or corneal neuropathy, these studies likely screened out all diabetics with advanced disease, poor glycemic control, or systemic symptoms. As such, it appears that diabetic patients with no corneal or systemic complications may be suitable candidates for LASIK.

Halkiadakis et al did include two patients with mild, nonproliferative DR. These patients did not experience any postoperative complications or aggravation of their retinopathy.8 While this finding suggests that mild DR may not be a risk factor for complications, a sample size of two is not sufficient to support this assumption. DR is a clinical marker of systemic disease, and therefore still represents increased risk.

**Table 2** Comparison of results of three retrospective studies of laser-assisted in situ keratomileusis in diabetic patients

<table>
<thead>
<tr>
<th>Author</th>
<th>Year</th>
<th>Eyes</th>
<th>Complication rate</th>
<th>Complications</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fraunfelder and Rich8</td>
<td>2002</td>
<td>30</td>
<td>47%</td>
<td>Punctate epithelia erosions (9) Persistent epithelial defects (6) Flap edema (1) Flap stroma (1) Total = 17</td>
</tr>
<tr>
<td>Halkiadakis et al8</td>
<td>2005</td>
<td>46</td>
<td>6.5%</td>
<td>Epithelial defects (3) Total = 3</td>
</tr>
<tr>
<td>Cobo-Soriano et al8</td>
<td>2006</td>
<td>43</td>
<td>11%</td>
<td>Punctate epithelial erosions (2) Epithelial ingrowth (1) Intraoperative deepithelialization (1) Peripheral interface reaction (1) Total = 5</td>
</tr>
</tbody>
</table>

While this finding suggests that mild DR may not be a risk factor for complications, a sample size of two is not sufficient to support this assumption. DR is a clinical marker of systemic disease, and therefore still represents increased risk.
Femtosecond laser versus microkeratome

No studies are currently available on whether there is a difference in LASIK outcomes in diabetic patients between the femtosecond laser and the microkeratome. A major criticism of the microkeratome is the increased incidence of epithelial complications from the shear stress generated by the oscillating blade as it travels across the corneal stroma. The shearing force is believed to disrupt the adherence of the corneal epithelium to the basement membrane. The femtosecond laser eliminates this shear stress because the corneal flap is created with a laser, not a blade. A meta-analysis comparing outcomes of LASIK surgery with the microkeratome versus femtosecond laser found a statistically significant increase in the incidence of epithelial complications ($P = 0.04$) associated with the microkeratome. A study by Moshirfar et al comparing LASIK outcomes between the two techniques found that epithelial defects/sloughing were significantly more common in the microkeratome group ($P = 0.006$) compared to the femtosecond laser group. The previously cited studies report that diabetic patients tend to have both epithelial defects and sloughing (Figure 4). Some have theorized that since the femtosecond laser is associated with less epithelial complications, patients with preexisting epithelial abnormalities or irregularities of adhesion complexes may have better outcomes with the femtosecond laser. Further investigation into the potential benefits of using the femtosecond laser versus the microkeratome for flap creation in diabetic patients is warranted.

**Type I versus type II diabetes**

Only Halkiadakis et al examined the difference in complication rates between patients with type I and type II diabetes.

![Figure 4: Epithelial sloughing with mild dislocation of flap in the eye of a diabetic patient after laser-assisted in situ keratomileusis.](image)

Seven patients had type I diabetes and one of these patients experienced a complication, 17 patients were type II diabetics and two of these patients reported complications. The reported data is insufficient to determine a difference in complications between these two disease subtypes. Further investigation into the differences in outcomes between diabetes subtypes is necessary.

**Limitations**

There are significant limitations to this review. The current literature is devoid of prospective studies and only a small selection of retrospective studies is available on this topic. The three existing studies all have small sample sizes, making their results of questionable significance. However, as they represent the only available clinical data on this issue, they merit significant consideration. This review has identified a number of areas that require additional investigation including, but not limited to: prospective trials of LASIK in patients with well-controlled diabetic parameters, prospective...
or retrospective studies examining outcomes of LASIK surgery on diabetic patients with varying A1c levels, investigation into the use of microkeratome versus femtosecond laser, and the differences in complications between type I and type II diabetics.

**Recommendations and conclusion**

LASIK in patients with diabetes mellitus is currently a relative contraindication to refractive surgery. Reservations about performing refractive surgery in this population come from general observations on the pathologic changes identified in the diabetic cornea, the weakened immune response, and delayed wound healing seen in these patients. Investigation into the pathophysiology of the ocular and systemic complications of diabetes shows that these complications are tightly linked to hyperglycemia, and that diabetics with excellent glucose control are at significantly less risk for developing them. It is also clear that despite the recommendation against LASIK surgery in these patients, a significant number receive this procedure annually. Therefore, it is appropriate to offer recommendations on when LASIK is an acceptable procedure in these patients, despite the current paucity of clinical evidence that exists. Diabetic patients may be considered suitable candidates for LASIK only after a thorough preoperative assessment reveals evidence of excellent glucose control for at least 1 year prior to surgery, and confirms a lack of systemic complications (Table 3). Patients who do not satisfy these criteria should not be considered for LASIK.

In addition to the preoperative evaluation recommended, the importance of informed consent should also be emphasized. A complete informed consent should include an explanation of the risks and benefits of the procedure and an explicit conversation about the current recommendations regarding diabetic patients and LASIK surgery. Patients should be aware that despite the recent evidence indicating that LASIK is likely safe in diabetics, there is still additional risk.

Based on the available evidence, diabetic patients with excellent glucose control and no ocular or systemic complications can receive LASIK surgery safely with little risk of complications or poor refractive outcomes. Further investigation to establish safety parameters, patient outcomes, and otherwise inform best surgical practice in this patient population is encouraged.

**Disclosure**

The authors report no conflicts of interest in this work.


