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ORIGINAL RESEARCH

Effect of molecular weight of polyethyleneimine on loading of CpG oligodeoxynucleotides onto flake-shell silica nanoparticles for enhanced TLR9-mediated induction of interferon- α

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Anna University, Chennai, India; ²Research Center for Materials Nanoarchitectonics, National Institute for Materials Science, Tsukuba, Ibarak, ³Graduate School of Life Science, Hokkaido University, Kita-ku, Sapporo, ⁴JSPS Research Fellow, Chiyoda-ku, Tokyo, ⁵JST and CREST, National Institute for Materials Science, Tsukuba, Ibaraki, Japan; ⁶Nanotechnology Innovation Station, National Institute for Materials Science, Tsukuba, Ibaraki, Japan

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Correspondence: Nobutaka Hanagata Nanotechnology Innovation Station, National Institute for Materials Science, I-2-1 Sengen, Tsukuba, Ibaraki 305-0047, Japan Tel +812 9860 4774 Fax +812 9859 2475 Email hanagata.nobutaka@nims.go.jp **Background:** Class B CpG oligodeoxynucleotides primarily interact with Toll-like receptor 9 (TLR9) in B cells and enhance the immune system through induction of various interleukins including interleukin-6 in these immune cells. Although free class B CpG oligodeoxynucleotides do not induce interferon (IFN)- α production, CpG oligodeoxynucleotide molecules have been reported to induce IFN- α when loaded onto nanoparticles. Here, we investigated the in vitro induction of IFN- α by a nanocarrier delivery system for class B CpG oligodeoxynucleotide molecules.

Methods: For improving the capacity to load CpG oligodeoxynucleotide molecules, flake-shell SiO_2 nanoparticles with a specific surface area approximately 83-fold higher than that of smooth-surfaced SiO_2 nanoparticles were prepared by coating SiO_2 nanoparticles with polyethyleneimine (PEI) of three different number-average molecular weights (Mns 600, 1800, and 10,000 Da).

Results: The capacity of the flake-shell SiO₂ nanoparticles to load CpG oligodeoxynucleotides was observed to be 5.8-fold to 6.7-fold higher than that of smooth-surfaced SiO₂ nanoparticles and was found to increase with an increase in the Mn of the PEI because the Mn contributed to the positive surface charge density of the nanoparticles. Further, the flake-shell SiO₂ nanoparticles showed much higher levels of IFN- α induction than the smooth-surfaced SiO₂ nanoparticles. The highest IFN- α induction potential was observed for CpG oligodeoxynucleotide molecules loaded onto flake-shell SiO₂ nanoparticles coated with PEI of Mn 600 Da, although the CpG oligodeoxynucleotide density was lower than that on flake-shell SiO₂ nanoparticles coated with PEI of Mns 1800 and 10,000 Da. Even with the same density of CpG oligodeoxynucleotides on flake-shell SiO₂ nanoparticles, PEI with an Mn of 600 Da caused a markedly higher level of IFN- α induction by CpG oligodeoxynucleotides on flake-shell SiO₂ nanoparticles coated with a PEI of Mn 600 Da is attributed to residence of the CpG oligodeoxynucleotide molecules in endolysosomes.

Keywords: CpG oligodeoxynucleotides, polyethyleneimine, Toll-like receptor 9, silica nanoparticles, delivery, interferon- α

Introduction

CpG oligodeoxynucleotides can be used in immunotherapy for various illnesses, such as cancer, allergies/asthma, and infectious diseases.¹⁻⁴ These molecules are effective because they elicit the immune system through their recognition by human Toll-like receptor 9 (TLR9), a molecule located in the endolysosomes of B cells and antigen-presenting cells,⁵ and thereby mediate innate and adaptive immune responses.⁶⁻⁸

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Synthetic CpG oligodeoxynucleotides can be divided into four classes on the basis of their structural features, which in turn are based on their sequences. Of these classes, class A and class B CpG oligodeoxynucleotides are well characterized. Class A CpG oligodeoxynucleotides form self-assembled higher-order structures because of an internal palindromic sequence and polyguanine sequences at the 5' and 3' ends.9,10 Class B CpG oligodeoxynucleotides are thought to have a linear structure because the characteristic sequences required to form higher-order structures are lacking in these molecules. Of importance, cytokine induction by CpG oligodeoxynucleotides is dependent on these class distinctions. In particular, class A CpG oligodeoxynucleotides primarily activate TLR9 in plasmacytoid dendritic cells and cause induction of interferon (IFN)- α ,^{11–13} whereas class B CpG oligodeoxynucleotides stimulate TLR9 in B cells to induce interleukin (IL)-6.12-16 Class A and class B CpG oligodeoxynucleotides have a phosphorothioate backbone to minimize degradation by nucleases. However, this phosphorothioate backbone is associated with various side effects.¹⁷⁻²⁰ Recently, we reported a nuclease-resistant CpG oligodeoxynucleotide that consists entirely of a natural phosphodiester backbone.²¹ This CpG oligodeoxynucleotide, referred to as CpG ODN2006x3-PD, does not have the potential to form higher-order structures and stimulates IL-6 induction.

Delivery of CpG oligodeoxynucleotide molecules using nanoparticles has been studied because this delivery system has several advantages relative to administration of free CpG oligodeoxynucleotide molecules, including protection of the CpG oligodeoxynucleotide molecules from degradation by nucleases,^{22,23} improvement of cellular uptake efficiency,^{24,25} and delivery to target tissues.^{26,27} Moreover, this delivery system has the potential to increase the number of cytokines that can be induced by different CpG oligodeoxynucleotides. For instance, the linear structured class B CpG ODN2007 molecules loaded onto the surface of cationic polystyrene nanoparticles with a diameter of 180 nm can stimulate IFN- α induction,9 whereas free CpG ODN2007 molecules have no such potential. This finding implies that nanoparticles can influence signal transduction through TLR9. In a preliminary experiment, CpG ODN2006x3-PD molecules loaded onto the surface of cationic polystyrene nanoparticles with a diameter of 500 nm also induced IFN-α. The capacity to load CpG oligodeoxynucleotide molecules onto the surface of nanoparticles is thought to be a crucial factor in the enhancement of IFN- α induction.

 SiO_2 nanoparticles are a candidate carrier for nucleic acid-based drugs because of their large surface area and

pore volume, biocompatibility, and ease of surface functionalization.²⁸⁻³⁰ Because SiO₂ nanoparticles possess a negative charge, prefunctionalization by addition of amino groups or by coating with polycations is required to bind negatively charged nucleic acid drugs. However, such surface prefunctionalization negates the advantages of the mesopores in mesoporous SiO₂ nanoparticles. Therefore, we developed novel SiO, nanoparticles, referred to as flakeshell SiO, nanoparticles, which possess a large surface area similar to that of mesoporous SiO₂ nanoparticles.³¹ To bind the CpG oligodeoxynucleotide molecules electrostatically to the flake-shell SiO₂ nanoparticles, we coated the surface of the flake-shell SiO₂ nanoparticles with the synthetic cationic polymer polyethyleneimine (PEI) of different number-average molecular weights (Mns). PEI is used alone as a vehicle for nucleic acid delivery, but it can also be used for surface coating of nanoparticles to bind negatively charged nucleic acid drugs.32-34 Surface-coated high molecular weight PEI has been reported to permit high gene transfection efficiency and gene knockdown efficiency in plasmid and siRNA delivery, respectively; however, high molecular weight PEI is also toxic.²⁸ In contrast, low molecular weight PEI is not toxic, but is ineffective for gene transfection and knockdown. Thus, the Mn of PEI is a critical factor in nucleic acid drug delivery.

This paper presents the advantages of flake-shell SiO_2 nanoparticles compared with smooth-surfaced SiO2 nanoparticles, and reports optimization of the surface coating of flake-shell SiO₂ nanoparticles by PEI for IFN- α induction through interaction between CpG ODN2006x3-PD molecules and TLR9.

Materials and methods Preparation and characterization of flake-shell SiO₂, nanoparticles

Flake-shell silica spheres were prepared using a dissolution-regrowth process.³¹ Typically, 500 ± 20 nm silica particles (150 mg) were dispersed in 5 mL of water and then heated to 75°C in the presence of NaBH₄ (0.5 g) in a 20 mL Teflon-lined autoclave for 24 hours. Subsequently, the samples were collected by centrifugation and rinsed with pure water. Smooth-surfaced SiO₂ nanoparticles with an average size of 500 nm were purchased from Polysciences Inc (Warrington, PA).

Field-emission scanning electron microscopy was performed using a Hitachi S-4800 operating at an acceleration voltage of 10 kV or 30 kV. Nitrogen adsorption and desorption isotherm measurements were performed on powdered samples with a micrometrics-accelerated surface area at 77 K. The specific surface area was calculated from the adsorption branch using the Brunauer-Emmett-Teller model. The surface charge of the nanoparticles was measured using a laser electrophoresis zeta-potential analyzer (LEZA-600, Otuka, Japan).

Preparation of PEI-coated SiO₂ nanoparticles

PEI with average Mns of 600, 1800, and 10,000 Da (PEI-600, PEI-1800, PEI-10,000) was purchased from Wako Pure Chemicals (Osaka, Japan) and diluted with ethanol to a concentration of 3% (v/v) prior to use. For surface coating, 1 mg of the SiO₂ nanoparticles was suspended in 1.5 mL of 3% PEI. The suspension was shaken at room temperature for 6 hours, and the PEI-coated SiO₂ nanoparticles were subsequently collected by centrifugation at 15,000 rpm for 15 minutes. After five washes with pure water, the PEIcoated SiO₂ nanoparticles were dried in an incubator at 37°C overnight. The powdered SiO₂ nanoparticles coated with PEI were resuspended in phosphate-buffered saline at a concentration of 1 mg/mL.

Scanning transmission electron microscopy was performed using a Hitachi S-4800 microscope operating at an accelerating voltage of 30 kV. Thermogravimetric analysis measurements were obtained on an SII TG/DTA 6200 system at a heating rate of 5°C per minute. Dynamic light scattering was performed on a Delsa[™] Nano analyzer. The Mn and polydispersity index of the PEI were measured on a Shodex GPC-101 system (Showa Denko, Tokyo, Japan) with a Shodex OHpak SB-810-HQ column and a RI-71S refractive index detector. The solvent was 0.3 M sodium acetate/acetic acid buffer (pH 4.4), and the flow rate was 0.8 mL/minute.

Preparation of CpG oligodeoxynucleotide-loaded SiO₂ nanoparticles

The 72-mer natural phosphodiester CpG ODN2006x3-PD (5'-TCGTCGTTTTGTCGTTTTGTCGTTTTGTCGTTTTGTCGTTTTGTCGTTTTGTCGTTTTGTCGTTTTGTCGTTTTGTCGTTTTGTCGTTTTGTCGTTTTGTCGTTTTGTCGTTTTGTCGTT3'; Fasmac Inc, Kanagawa, Japan) was diluted with sterilized water to concentrations of about 200 μ M. The CpG ODN2006x3-PD solution (6 μ L) was added to 40 μ L of 1 mg/mL PEI-coated SiO₂ nanoparticles and then shaken at room temperature for one hour. The mixture was centrifuged at 15,000 rpm for 15 minutes to collect SiO₂ nanoparticles loaded with CpG ODN2006x3-PD molecules. The loading capacity was calculated from the concentration of unloaded CpG oligodeoxynucleotide molecules in the supernatant

using a NanoDrop spectrophotometer (Thermo Fisher Scientific Inc, Waltham, MA).

Cytotoxicity assay

The cytotoxicity of PEI-coated SiO_2 nanoparticles was assessed using a Cell Counting Kit-8 (Dojindo, Kumamoto, Japan). Peripheral blood mononuclear cells (Cellular Technology Limited, Cleveland, OH) were seeded in a 96-well plate at a density of 5000 cells per well and exposed to PEI-coated SiO_2 nanoparticles at various concentrations (about 100 µg/mL). RPMI 1640 medium supplemented with 10% fetal bovine serum was used for the culture. After 48 hours, 10 µL of CCK-8 was added to each well and incubated for 2 hours. The absorbance at 450 nm was measured using a microplate reader (MTP-880 Lab, Corona Electric, Ibaraki, Japan) to evaluate formazan formation from water-soluble tetrazolium salt due to mitochondrial dehydrogenase activity.

Cytokine assay

Peripheral blood mononuclear cells were seeded in 190 μ L of RPMI 1640 medium supplemented with 10% fetal bovine serum, at a density of 5 × 10⁶ cells/mL. The cells were immediately stimulated with 10 μ L of 1 mg/mL SiO₂ nanoparticles loaded with CpG-ODN2006x3-PD molecules in a 96-well culture plate (Greiner Bio-One Co, Ltd, Tokyo, Japan). For the controls, free CpG ODN2116 and CpG ODN2006x3-PD molecules were added into the culture medium at elevated concentrations. After 48 hours of incubation at 37°C, the supernatants were collected, and the level of IFN- α in the medium was determined by enzyme-linked immunosorbent assay using the Human IFN-Module enzyme-linked immunosorbent assay set (eBiosciences, Vienna, Austria).

Results

Characterization of flake-shell SiO₂ nanoparticles for loading CpG oligodeoxynucleotides

Flake-shell SiO₂ nanoparticles were prepared using a dissolution-regrowth process.³¹ The diameter of the flake-shell SiO₂ nanoparticles was about 600 nm (Figure 1A), and the surface consisted of a network of thin flakes with a layer thickness of 60–80 nm (Figure 1B). The SiO₂ nanoparticles used in this study were found to have a Brunauer-Emmett-Teller-specific surface area of 656 m²/g (Figure 1C), which was significantly greater than the surface area (7.89 m²/g) of commercially available smooth-surfaced SiO₂ nanoparticles with a diameter of 500 nm.

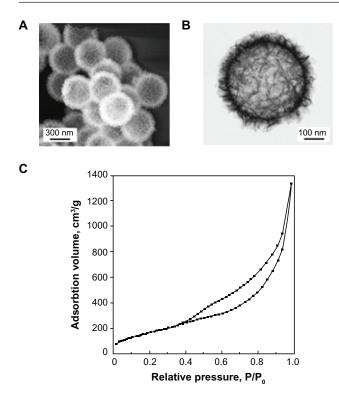


Figure I Characterization of flake-shell SiO₂ nanoparticles. (**A**) Field-emission scanning electron microscopy image. (**B**) Scanning transmission electron microscopic image. (**C**) Nitrogen adsorption-desorption isotherms.

For binding negatively charged CpG oligodeoxynucleotides to the SiO₂ nanoparticles, the surface of the SiO₂ nanoparticles was coated with PEI of three different Mns (600, 1800, and 10,000). The polydispersity indexes were 1.12, 1.15, and 1.41 for PEI-600, PEI-1800, and PEI-10,000, respectively. The surface charge of the flake-shell SiO₂ nanoparticles was observed to depend on the Mn of the PEI (Table 1), showing a higher positive charge density with an increase in Mn of the PEI.

The scanning transmission electron microscopic images of the flake-shell SiO, nanoparticles before and after being coated with PEI of different Mns showed no obvious changes in the shell morphology (Figure 2A). The thickness of the PEI on the surface was difficult to distinguish because of the rough and irregular shell network. However, by carefully comparing high-contrast images, we could find PEI that covered the surface of the SiO₂ nanoparticles. The size distribution of the SiO₂ nanoparticles lacking a PEI coating showed a maximum diameter at 601 nm and a polydispersity index of 0.26, as measured using dynamic light scattering (Figure 2B). The PEI coating on the surface may have resulted in partial aggregation of the flake-shell SiO₂ nanoparticles. The scattering profiles showed major peaks centered around 673 nm, 1080 nm, and 1330 nm for the SiO, nanoparticles coated with PEI-600, PEI-1800, and PEI-10,000, respectively (Figure 2B). Moreover, the polydispersity indexes were 0.36, 0.37, and 0.13 for the flake-shell SiO₂ nanoparticles coated with PEI-600, PEI-1800, and PEI-10,000, respectively. The amount of coverage by the PEI in the SiO, nanoparticles was determined on the basis of thermogravimetric analysis. The PEI/silica (w/w) coverage ratios were estimated to be 0.33, 0.30, and 0.51 for PEI-600, PEI-1800, and PEI-10,000, respectively (Figure 2C).

The N₂ adsorption-desorption isotherms showed that the BET-specific surface areas of the flake-shell SiO₂ nanoparticles after coating with PEI-600, PEI-1800, and PEI-10,000 were 79, 92, and 73 m²/g, respectively (Figure 2D). These specific surface areas were 11%–14% of those of naked flake-shell SiO₂ nanoparticles but were still 9.2–11.6 times higher than that of smooth-surfaced SiO₂ nanoparticles.

Cytotoxicity of flake-shell SiO₂ nanoparticles

We tested the cytotoxicity of PEI-coated SiO₂ nanoparticles in peripheral blood mononuclear cells using the water-soluble

Table 1 Surface charge and maximum loading capacity of CpG ODNs on SiO₂ nanoparticles coated with PEIs of different number-average molecular weights

	Zeta potential (mV)		Maximum loading capacity
	Before loading of CpG ODNs	After loading of CpG ODNs	for CpG ODNs (µg/mg nanoparticles)
Smooth-surfaced SiO, nanoparticles coated with 3% PEI and			
Mn = 600	$\textbf{6.9} \pm \textbf{1.8}$	-23.3 ± 3.7	17.9 ± 2.4
Mn = 1800	10.3 ± 1.2	-26.1 ± 4.9	31.5 ± 2.5
Mn = 10,000	17.8 ± 1.3	-27.4 ± 2.3	49.3 ± 1.3
Flake-shell SiO, nanoparticles coated with 3% PEIs with			
Mn = 600	$\textbf{5.4} \pm \textbf{0.7}$	-24.1 ± 3.4	97.7 ± 11.5
Mn = 1800	8.4 ± 1.0	-27.1 ± 4.4	180.7 ± 13.0
Mn = 10,000	11.9 ± 1.7	-31.2 ± 5.7	321.0 ± 12.0

Abbreviations: Mn, number-average molecular weight; SiO,, mesoporous silica; ODN, oligodeoxynucleotides; PEI, polyethyleneimine.

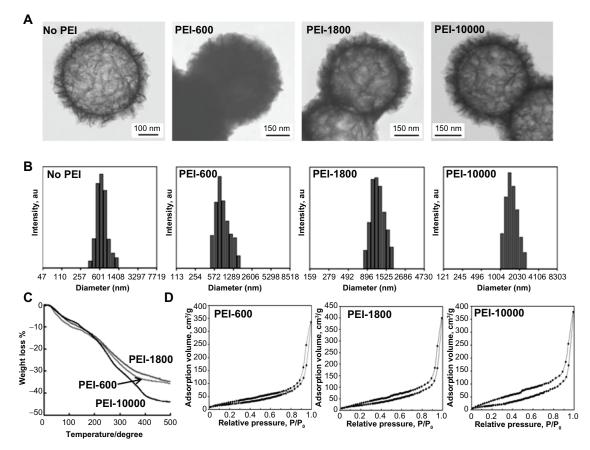


Figure 2 Characterization of flake-shell SiO₂ nanoparticles coated with PEI-600, PEI-1800, and PEI-10,000. (**A**) Scanning transmission electron microscopic images of flake-shell SiO₂ nanoparticles before and after coating with PEI. (**B**) Particle size distribution of flake-shell SiO₂ nanoparticles measured using dynamic light scattering. The polydispersity index was 0.26 for the SiO₂ nanoparticles without PEI. The polydispersity index was 0.36, 0.37, and 0.13 for PEI-600, PEI-1800, and PEI-10,000, respectively. (**C**) Thermogravimetric analysis of flake-shell SiO₂ nanoparticles coated with PEI. (**D**) N₂ adsorption-desorption isotherms of flake-shell SiO₂ nanoparticles after coating with PEI. **Abbreviation:** PEI, polyethyleneimine.

tetrazolium cell proliferation assay in which peripheral blood mononuclear cells were exposed to PEI-coated SiO₂ nanoparticles for 48 hours. No cytotoxicity was observed for smooth-surfaced SiO₂ nanoparticles coated with PEI of any Mn (Figure 3A). In contrast, when the cells were exposed to flake-shell SiO₂ nanoparticles coated with PEI at concentrations greater than 75 μ g/mL, the cell viability was less than 90% that of control cells (Figure 3B). Thus, flake-shell SiO₂ nanoparticles were safe at concentrations less than 50 μ g/mL. The Mn of PEI did not affect the cytotoxicity.

Capacity of SiO_2 nanoparticles to load CpG ODN2006x3-PD

Next, we examined the maximum capacity of PEI-coated SiO_2 nanoparticles to load CpG oligodeoxynucleotide molecules. As expected, the maximum capacity for loading CpG ODN2006x3-PD molecules increased with an increase in the Mn of PEI in both smooth-surfaced and flake-shell SiO₂ nanoparticles (Figure 4 and Table 1) because the SiO₂

nanoparticles coated with PEI of a higher Mn had a higher positive charge density (Table 1). The maximum capacity of flake-shell SiO, nanoparticles coated with PEI-600, PEI-1800, and PEI-10,000 to load CpG ODN2006x3-PD molecules was 97.7 ± 11.5 , 180.7 ± 13.0 , and $321 \pm 12.3 \,\mu$ g/mg nanoparticles, respectively (Table 1). These capacities were 5.8-6.7 times higher than those of smooth-surfaced SiO, nanoparticles. However, the specific surface area of the flakeshell SiO, nanoparticles was about 83-fold higher than that of the smooth-surfaced SiO₂ nanoparticles. This low capacity is thought to be the result of a decrease in the specific surface area caused by coating of the surface with PEI because this molecule can penetrate the open spaces between flakes. After the loading of CpG ODN2006x3-PD molecules onto SiO, nanoparticles coated with PEI, the amount of CpG ODN2006x3-PD molecules released from the SiO₂ nanoparticles was tested under acidic conditions corresponding to the physiological environment in the TLR9-localized endolvsosome. However, no CpG ODN2006x3-PD molecules were released from the SiO_2 nanoparticles (data not shown).

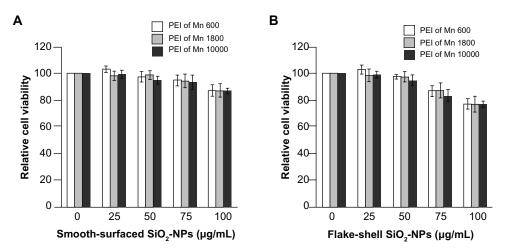


Figure 3 Cytotoxicity of PEI-coated SiO₂ nanoparticles. Relative cell viability of smooth-surfaced (**A**) and flake-shell (**B**) SiO₂ nanoparticles coated with PEI of Mns 600, 1800, and 10,000. Peripheral blood mononuclear cells were exposed to PEI-coated SiO₂ nanoparticles at various concentrations for 48 hours. **Abbreviations:** PEI, polyethyleneimine, NPs, nanoparticles; Mn, number-average molecular weight.

Stimulation of IFN- α induction

To investigate IFN-α induction by CpG ODN2006x3-PD molecules loaded onto the SiO, nanoparticles, 50 µg/mL of SiO₂ nanoparticles loaded with a maximum amount of CpG ODN2006x3-PD molecules were applied to peripheral blood mononuclear cells. Free CpG ODN2216 (class A CpG oligodeoxynucleotide) molecules stimulated IFN-α induction in a dose-dependent manner (Figure 5A). Although free CpG ODN2006x3-PD molecules did not induce IFN- α at any concentration (Figure 5A), the CpG oligodeoxynucleotide molecules on the SiO₂ nanoparticles induced IFN- α at all the Mns examined (Figure 5B). If all the CpG ODN2006x3-PD molecules were released from the SiO₂ nanoparticles into the culture medium, the concentrations of CpG ODN2006x3-PD would be predicted to be 196, 364, and 650 pmol/mL for the flake-shell SiO, nanoparticles coated with PEI-600, PEI-1800, and PEI-10,000, respectively. The CpG ODN2006x3-PD molecules on the flake-shell SiO, nanoparticles coated with

PEI-600 and PEI-1800 would have a much higher potential to stimulate IFN- α than the same concentration of free class A CpG ODN2216 molecules. Similarly, CpG ODN2006x3-PD molecules on smooth-surfaced SiO, nanoparticles would also be predicted to have a higher capacity to induce IFN- α than the same concentration of free class A CpG ODN2216 molecules because the concentrations of CpG ODN2006x3-PD molecules would be 33, 62, and 98 pmol/mL if all the CpG ODN2006x3-PD molecules were released from smoothsurfaced SiO, nanoparticles coated with PEI-600, PEI-1800, and PEI-10,000, respectively. When the PEI for the surface coating was examined at the same Mn, the IFN- α induction was higher for flake-shell SiO₂ nanoparticles than for smooth-surfaced SiO, nanoparticles, probably because of the higher capacity of flake-shell SiO, nanoparticles to load CpG ODN2006x3-PD molecules. However, among the flake-shell SiO₂ nanoparticles, the PEI-600-coated SiO₂ nanoparticles showed higher IFN- α induction than those coated with

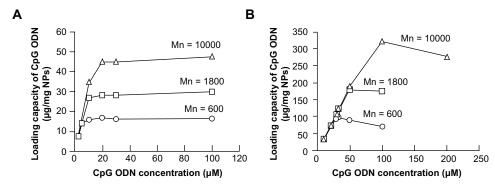


Figure 4 Loading capacity of CpG ODN2006x3-PD on SiO₂ nanoparticles. Loading capacity of CpG ODN2006x3-PD on smooth-surfaced SiO₂ nanoparticles (**A**) and flake-shell SiO₂ nanoparticles (**B**) coated with polyethyleneimine of Mns 600, 1800, and 10,000. CpG ODN2006x3-PD solutions (46 μ L) of various concentrations were incubated with 40 μ g of SiO₂ nanoparticles coated with polyethyleneimine.

Abbreviations: PEI, polyethyleneimine; NPs, nanoparticles; Mn, number-average molecular weight; ODN, oligodeoxynucleotides.

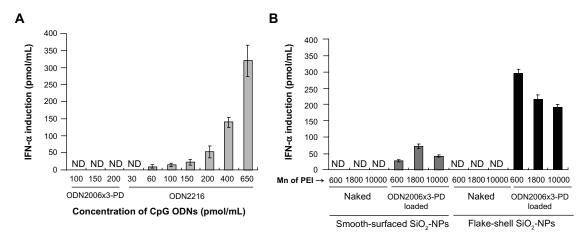


Figure 5 IFN- α induction by CpG ODNs in peripheral blood mononuclear cells. (**A**) IFN- α induction by free CpG ODN2006x3-PD and CpG ODN2216. Free class A CpG ODN2216 induced IFN- α in a dose-dependent manner, but free CpG ODN2006x3-PD did not induce IFN- α . (**B**) IFN- α induction by CpG ODN2006x3-PD loaded on SiO₂ nanoparticles. Naked smooth-surfaced and flake-shell SiO₂ nanoparticles did not induce IFN- α , but CpG ODN2006x3-PD loaded on smooth-surfaced and flake-shell SiO₂ nanoparticles loaded with CpG ODN2006x3-PD were applied to peripheral blood mononuclear cells at a concentration of 50 µg/mL. The concentrations of CpG ODN2006x3-PD on smooth-surfaced SiO₂ nanoparticles coated with PEI of Mns 600, 1800, and 10,000 were estimated to be 33, 62, and 98 pmol/mL medium, respectively, from the loading capacities. Similarly, the concentrations of CpG ODN2006x3-PD on flake-shell SiO₂ nanoparticles coated with PEI of Mns 600, 1800, and 10,000 were estimated to be 196, 364, and 650 pmol/mL medium, respectively. **Abbreviations:** PEI, polyethyleneimine, NPs, nanoparticles; Mn, number-average molecular weight; ODN, oligodeoxynucleotides; IFN- α , interferon alpha.

PEI-1800 and PEI-10,000, despite the lower capacity to load CpG ODN2006x3-PD molecules. This effect may be due to two possible reasons, ie, the higher density of CpG ODN2006x3-PD molecules on the SiO₂ nanoparticles coated with PEI-1800 and PEI-10,000 and the higher Mns of the PEI. To evaluate these possibilities, we prepared flake-shell SiO₂ nanoparticles coated with PEI at the three different Mns but loaded with the same density of CpG ODN2006x3-PD molecules (about 100 µg/mg nanoparticles, which corresponds to the maximum loading capacity of PEI-600), and examined the level of IFN-α induction. Consequently, the potential to induce IFN-α was significantly lower in PEI-1800 and PEI-10,000 (Figure 6), which suggests that the Mn of PEI but not the density of CpG ODN2006x3-PD molecules on flake-shell SiO₂ nanoparticles affects IFN-α induction.

To investigate further the cause of lower IFN- α induction by CpG ODN2006x3-PD molecules loaded onto flake-shell SiO₂ nanoparticles coated with PEI-1800 and PEI-10,000, we observed the cellular uptake and intracellular localization of CpG ODN2006x3-PD molecules delivered by flakeshell SiO₂ nanoparticles with confocal laser fluorescence microscopy. No apparent difference in the cellular uptake of CpG ODN2006x3-PD molecules was observed among flake-shell SiO₂ nanoparticles coated with PEI of the three different Mns (Figure 7), which suggests that a difference in cellular uptake is not responsible for the lower IFN- α induction in flake-shell SiO₂ nanoparticles coated with PEI-1800 and PEI-10,000. CpG ODN2006x3-PD molecules delivered by flake-shell SiO₂ nanoparticles coated with PEI-600 were localized in the cytosol (Figure 7). However, when the CpG oligodeoxynucleotide molecules were delivered by flake-shell SiO₂ nanoparticles coated with PEI-1800 and PEI-10,000, CpG ODN2006x3-PD molecules were observed in the nucleus in some cells, although the molecules were also occasionally localized in the cytosol (Figure 7). The localization of CpG ODN2006x3-PD molecules in the nucleus and cytosol

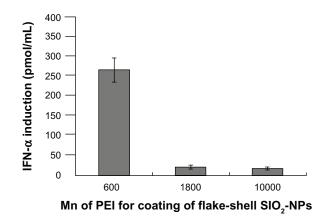
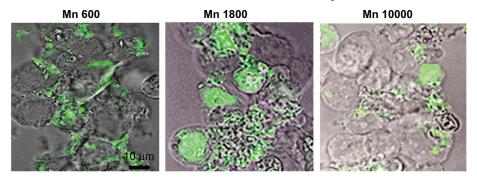


Figure 6 IFN- α induction by the same density of CpG ODN2006x3-PD on flake-shell SiO2 nanoparticles coated with PEI of Mns 600, 1800, and 10,000. The loading amount of CpG ODN2006x3-PD was about 100 µg/mg nanoparticles (200 pmol/mL medium), which is similar to the maximum loading capacity of flake-shell SiO₂ nanoparticles coated with PEI of Mn 600. The flake-shell SiO₂ nanoparticles loaded with CpG ODN2006x3-PD were applied to peripheral blood mononuclear cells at a concentration of 50 µg nanoparticles/mL. The SiO₂ nanoparticles coated with PEI of Mns 1800 and 10,000 showed a significantly lower level of IFN- α induction despite having the same density of CpG ODN2006x3-PD as the SiO₂ nanoparticles coated with PEI of Mn 600.

Abbreviations: PEI, polyethyleneimine, NPs, nanoparticles; Mn, number-average molecular weight; ODN, oligodeoxynucleotides; IFN- α , interferon alpha.



FITC labeled CpG ODN2006x3-PD loaded on flake-shell SiO₂-NPs coated with PEI of

Figure 7 Intracellular localization of CpG ODN2006x3-PD delivered by flake-shell SiO₂ nanoparticles coated with PEI of Mns 600, 1800, and 10,000. FITC-labeled CpG ODN2006x3-PD was loaded on the SiO₂ nanoparticles through PEI.

Notes: The loading amount of FITC-labeled CpG ODN2006x3-PD was approximately 100 μ g/mg nanoparticles, and the SiO₂ nanoparticles were applied to the cells at a concentration of 50 μ g/mL. Each image was obtained from a cross-section of cells using confocal laser fluorescence microscopy. Bar = 10 μ m.

Abbreviations: FITC, fluorescein isothiocyanate; PEI, polyethyleneimine, NPs, nanoparticles; Mn, number-average molecular weight; ODN, oligodeoxynucleotides.

is thought to be caused by the escape of CpG oligodeoxynucleotide molecules from endolysosomes. Because TLR9, which is a receptor for CpG oligodeoxynucleotide, is localized in the endoplasmic reticulum and transferred to endolysosomes, escape of CpG oligodeoxynucleotide molecules from endolysosomes is considered to reduce the opportunity for interaction with TLR9.

Discussion

The most characteristic feature of our flake-shell SiO₂ nanoparticles is the large specific surface area provided by the thin flake structure. The structure consists of a special sheet of networked flakes with a thickness of 60–80 nm. The specific surface area of the flake-shell SiO₂ nanoparticles was 83-fold higher than that of smooth-surfaced SiO₂ nanoparticles, and is similar to that of mesoporous SiO₂ nanoparticles with a similar diameter.^{22,35,36} Such a large surface area makes it possible to load a large amount of nucleic acid drugs on the surface of flake-shell SiO₂ nanoparticles.

We used PEI of three different Mns for the surface coating of SiO₂ nanoparticles in order to electrostatically bind CpG oligodeoxynucleotide molecules to the surface of the nanoparticles. The surface charge of the PEI-coated SiO₂ nanoparticles was positive, and the positive charge density increased as the Mn of PEI increased. This increase in positive charge density is thought to be the result of abundant amino groups in the high molecular weight PEI. Of note, the cationic charge of PEI has been reported to be responsible for cytotoxicity.²⁸ Mesoporous SiO₂ nanoparticles coated with 10 kDa PEI show significant cytotoxicity in PANC-1, BxPC3, and HEPA-1 cells at a concentration of 50 µg/mL.²⁸ However, no obvious cytotoxicity was observed for smooth-structured SiO₂ nanoparticles and our flake-shell SiO₂ nanoparticles

coated with PEI-10,000, when they were applied to peripheral blood mononuclear cells at a concentration of 50 μ g/mL. This difference may be attributable to differences in the sensitivity of various cell types to the cationic charge. We observed slightly higher cytotoxicity for PEI-coated flake-shell SiO₂ nanoparticles than PEI-coated smooth-surfaced SiO₂ nanoparticles at concentrations of 75 μ g/mL and 100 μ g/mL, which implies that the cytotoxicity is caused by the surface structure of the SiO₂ nanoparticles and not the PEI. Although the mechanism by which flake-shell SiO₂ nanoparticles show slightly higher toxicity at high concentrations than smooth-surface SiO₂ nanoparticles remains unknown, the large surface area of the flake structure may contribute to this difference.

The capacity to load CpG ODN2006x3-PD increased with an increase in the Mn of the PEI used for surface coating. This increase in loading capacity is thought to be attributable to a higher positive charge density. We also observed a higher PEI/silica coverage ratio for PEI-10,000 than for PEI-600 and PEI-1800. However, no differences were observed in the coverage ratios for PEI-600 and PEI-1800, although PEI-1800 had a significantly higher loading capacity than PEI-600. This suggests that the PEI/silica coverage ratio is not involved in the loading capacity of CpG ODN2006x3-PD molecules. The loading capacity of CpG ODN2006x3-PD molecules on flake-shell SiO, nanoparticles coated with PEI was only 5.8-6.7 times higher than that of smooth-surfaced SiO₂ nanoparticles coated with PEI, although the surface area of the flake-shell SiO, nanoparticles was 83-fold higher. This effect is thought to be caused by a decrease in the surface area because of the coating of the surface by PEI since the specific surface area after coating with PEI was 11%-14% that of naked flake-shell SiO, nanoparticles. This reduction in

surface area may be due to penetration of PEI into the open spaces between flakes. Zhu et al²² prepared prefunctionalized mesoporous SiO₂ nanoparticles with a size and specific surface area of about 500 nm and 423 m²/g, respectively. The maximum loading capacity of CpG oligodeoxynucleotide molecules was about 80 µg/mg nanoparticles. This group also reported that the loading capacity of CpG oligodeoxynucleotide molecules was 30-40 µg/mg nanoparticles for poly-L-lysine-coated mesoporous SiO, nanoparticles with a size and specific surface area of 400–500 nm and 680 m^2/g , respectively, but the capacity increased to about 100 µg/mg nanoparticles in a layer-by-layer assembly with CpG oligodeoxynucleotide molecules and poly-L-lysine.36 Although flake-shell SiO, nanoparticles coated with PEI-600 showed the lowest loading capacity (97.7 \pm 11.5 µg/mg nanoparticles) among the different Mns of PEI, the loading capacity was still comparable with or higher than that of mesoporous SiO, nanoparticles. Surface coating by polycations has limited usefulness for mesoporous SiO, nanoparticles because the polycations bury or cover the mesopores. As described above, PEI can penetrate the open space between flakes, which leads to a reduction in surface area for flake-shell SiO, nanoparticles. However, it is unlikely that the polycation layer completely buries or covers all the sheet-networked flake structures because the thickness of the flake-structured layer is 60-80 nm. Therefore, our flake-shell SiO, nanoparticles possess a likely advantage in the capacity for loading nucleic acid drugs relative to mesoporous SiO₂ nanoparticles when polycations are used for surface coating.

The CpG ODN2006x3-PD molecules on flake-shell SiO2 nanoparticles were stable at 4°C in phosphate-buffered saline, but the potential to induce IFN- α was decreased by about 50% after lyophilization (data not shown). This is thought to be due to unstable electrostatic binding after lyophilization.

Class A CpG ODN2216 molecules stimulated IFN- α induction in a dose-dependent manner. Although free CpG ODN2006x3-PD molecules did not induce IFN- α , the CpG oligodeoxynucleotide molecules loaded onto SiO₂ nanoparticles coated with PEI induced IFN- α . CpG ODN2006x3-PD molecules on flake-shell SiO₂ nanoparticles coated with PEI showed higher IFN- α induction than CpG ODN2006x3-PD on smooth-surfaced SiO₂ nanoparticles coated with PEI, probably because of the higher capacity of flake-shell SiO₂ nanoparticles to load the CpG oligodeoxynucleotide. However, CpG ODN2006x3-PD molecules on flake-shell SiO₂ nanoparticles coated with PEI-10,000 showed the lowest level of IFN- α induction among flake-shell SiO₂

nanoparticles coated with PEI with different Mns, although these particles had the highest loading capacity for CpG ODN2006x3-PD molecules. In contrast, the highest level of IFN- α production was observed for PEI-600, although the flake-shell SiO₂ nanoparticles coated with PEI-600 had the lowest loading capacity. In addition, flake-shell SiO, nanoparticles coated with PEI-600 showed a much higher level of IFN- α induction than those coated with PEI-1800 and PEI-10,000, even under conditions in which the density of the CpG ODN2006x3-PD molecules was equal among the flake-shell SiO, nanoparticles coated with PEI of three different Mns. These results suggest that the Mn of the PEI coated on the nanoparticles is a critical factor for IFN- α induction by CpG oligodeoxynucleotides. Furthermore, we found that the cellular uptake of CpG ODN2006x3-PD molecules loaded onto flake-shell SiO, nanoparticles was not significantly affected by the Mn of PEI. This observation also suggests that the hydrodynamic size of the flake-shell SiO₂-NP, as determined by the Mn of PEI, did not affect cellular uptake. Therefore, the Mn and not the uptake of PEI is thought to affect the induction of IFN- α .

The results of this study suggest that the Mn of PEI but not the Mn-dependent density of CpG oligodeoxynucleotide molecules on flake-shell SiO₂ nanoparticles affects IFN- α induction. PEI is one of the most studied polycations for gene delivery. PEI with a high Mn (>10 kDa) has a high transfection efficiency,^{37–39} whereas PEI with a low Mn (<5 kDa) has a low transfection efficiency.^{39,40} For expression of the gene delivered, the gene has to escape from the endosome and be transferred into the nucleus. The high transfection efficiency of high molecular weight PEI is thought to be caused by facilitation of endosomal escape by the proton sponge effect.41-45 In contrast with conventional gene delivery, maintaining the presence of CpG oligodeoxynucleotides in the endolysosomes is necessary for delivery of these molecules because TLR9 is localized in the endolysosomes. Therefore, endosomal escape is not required for CpG oligodeoxynucleotide delivery. Flake-shell SiO2 nanoparticles coated with PEI-1800 and PEI-10,000 showed a lower potential for IFN- α induction than those coated with PEI-600, despite having a higher loading capacity of CpG oligodeoxynucleotide molecules. We observed the presence of CpG ODN2006x3-PD molecules in the nucleus in some cells, but not in all cells, when the CpG oligodeoxynucleotide molecules were delivered by flake-shell SiO, nanoparticles coated with PEI-1800 and PEI-10,000. This finding suggests that destabilization of the endolysosome membrane by the proton sponge effect may be responsible for lower IFN- α production by nanoparticles coated with PEI-1800 and PEI-10,000. However, the CpG ODN2006x3-PD molecules were not localized in the nucleus in all cells, which may also have resulted in markedly lower IFN- α induction by nanoparticles coated with PEI-1800 and PEI-10,000.

Petersen et al⁴⁶ reported that complexes of DNA and PEI with a molecular weight of 800 Da form particles with a size of about 700 nm, while the sizes were about 450 nm and 90 nm for complexes of DNA and PEI with molecular weights of 2 kDa and 25 kDa, respectively. Weaker ethidium bromide fluorescence was also observed for complexes of DNA and 2 kDa PEI than for DNA and 800 Da PEI because DNA condensation makes it more difficult for ethidium bromide to intercalate with DNA complexed with 2 kDa PEI. These results suggest that PEI with a molecular weight of 800 Da cannot condense DNA efficiently.46 Furthermore, Sun et al47 reported that a high protonation ratio of the amino groups in PEI results in the formation of more stable complexes with DNA, but the degree of branching has lesser effect on DNA binding. The higher IFN- α production by flake-shell SiO₂ nanoparticles coated with PEI-600 is likely caused by increased affinity of CpG oligodeoxynucleotide molecules for TLR9, which results from the loose condensation of CpG oligodeoxynucleotide molecules. In contrast, PEI-1800 and PEI-10,000 bind CpG oligodeoxynucleotide molecules more tightly because of their higher positive charge density, which might make it difficult for CpG oligodeoxynucleotide molecules to interact with TLR9.

Conclusion

We prepared flake-shell SiO₂ nanoparticles with a specific surface area similar to that of mesoporous SiO₂ nanoparticles for class B CpG oligodeoxynucleotide delivery. For loading negatively charged CpG oligodeoxynucleotide molecules, the surface of the nanoparticles was coated with PEI of Mns 600, 1800, and 10,000. The loading capacity of the CpG oligodeoxynucleotide molecules depended on the Mn of PEI, which affected the positive charge density on the surface. Although the flake-shell SiO₂ nanoparticles coated with PEI of Mn 600 showed the lowest loading capacity, these flake-shell SiO₂ nanoparticles showed the highest IFN- α induction among the three different types of PEI used for surface coating. In addition, higher IFN- α production was observed for CpG oligodeoxynucleotide molecules on flake-shell SiO₂ nanoparticles coated with PEI of Mn 600, even when the density of CpG oligodeoxynucleotide molecules was the same among the nanoparticles coated with PEI of three different Mns. The flake-shell SiO, nanoparticles showed a higher potential for CpG oligodeoxynucleotide delivery than smooth-surfaced SiO₂ nanoparticles, and the use of PEI of Mn 600 for the surface coating to load CpG oligodeoxynucleotide molecules resulted in significantly increased IFN- α induction. This higher level of IFN- α induction is believed to be attributable to the residence of the CpG oligodeoxynucleotide molecules in endolysosome.

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Disclosure

The authors report no conflicts of interest in this work.

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