Enhanced oral bioavailability of docetaxel by lecithin nanoparticles: preparation, in vitro, and in vivo evaluation

Kaili Hu¹
Shan Cao¹,²
Fuqiang Hu³
Jianfang Feng¹

¹Murad Research Center for Modernized Chinese Medicine, Shanghai University of Traditional Chinese Medicine, Shanghai, ²Pharmacy Department, Baoshan Central Hospital, Shanghai, ³School of Pharmacy, Zhejiang University, Hangzhou, China

Abstract: The aim of this research work was to investigate the potential of lecithin nanoparticles (LNs) in improving the oral bioavailability of docetaxel. Docetaxel-loaded LNs (DTX-LNs) were prepared from oil-in-water emulsions and characterized in terms of morphology, size, zeta potential, and encapsulation efficiency. The in vitro release of docetaxel from the nanoparticles was studied by using dialysis bag method. Caco-2 cell monolayer was used for the in vitro permeation study of DTX-LNs. Bioavailability studies were conducted in rats and different pharmacokinetic parameters were evaluated after oral administration of DTX-LNs. The results showed that DTX-LNs had a mean diameter of 360 ± 8 nm and exhibited spherical shape with smooth surface under transmission electron microscopy. The DTX-LNs showed a sustained-release profile, with about 80% of docetaxel released within 72 hours. The apical to basolateral transport of docetaxel across the Caco-2 cell monolayer from the DTX-LNs was 2.14 times compared to that of the docetaxel solution (0.15 × 10⁻⁵ ± 0.016 × 10⁻⁵ cm/second versus 0.07 × 10⁻⁵ ± 0.003 × 10⁻⁵ cm/second). The oral bioavailability of the DTX-LNs was 3.65 times that of docetaxel solution (8.75% versus 2.40%). These results indicate that DTX-LNs were valuable as an oral drug delivery system to enhance the absorption of docetaxel.

Keywords: lecithin nanoparticles, oral delivery, docetaxel, bioavailability

Introduction

Docetaxel, a second-generation taxoid which was synthesized from 10-deacetylbaccatin III (a noncytotoxic constituent of European yew needles), has been considered one of the most important antitumor drugs in clinical use for cancer.¹ It was registered for anthracycline-resistant breast cancer, second-line treatment of nonsmall cell lung cancer, and first-line treatment of advanced nonsmall cell lung cancer in combination with cisplatin. However, due to its poor solubility in water, the development of suitable formulations for anticancer chemotherapy was greatly hindered. The marketed Taxotere® for intravenous infusion contained Tween® 80 (polysorbate 80) and ethanol to enhance the solubility of docetaxel.² The Tween 80 with high viscosity caused hemolysis, so patients were often subjected to hypersensitivity after administration.³ Due to this adverse effect, premedication was frequently required. To avoid these disadvantages, enhance patient’s convenience, and facilitate the use of more chronic treatment regimens, many studies have been directed towards developing new oral formulations of docetaxel.¹⁻⁶

Oral delivery was the preferred route for systemic delivery of drugs because it afforded easy handling, high patient compliance, less stringent production conditions, and lower costs.⁷ The major problem for oral delivery of docetaxel is its
low bioavailability, which in part is caused by the excretion effect of P-glycoprotein. Recently, nanoscale drug delivery systems have offered new promise for the rational delivery of chemotherapeutic drugs in the treatment of cancer. It could improve pharmacokinetic properties, enable controlled and sustained drug release, and, more importantly, lower systemic toxicity.\(^7\) Maincent et al were the first to demonstrate that nanoparticles could improve the oral bioavailability of drugs in 1984.\(^8\) After oral administration, the nanoparticles could control the release of drugs, reduce gastrointestinal mucosal irritation, and ensure their stability in the gastrointestinal tract.\(^9\) Lecithin nanoparticles (LNs) were reported to be able to increase antitumor effects of docetaxel after intravenous injection with good biocompatibility.\(^10\) Lecithin is a combination of acetone-insoluble phosphatides that combines with various amounts of other substances such as triglycerides and fatty acids. It is a component of cell membranes and is consumed as a normal part of the diet. Therefore, it has been used in a wide variety of pharmaceutical applications as a dispersing, emulsifying, and stabilizing agent and has been included in intramuscular and intravenous injectables, parenteral nutrition formulations, and topical products.\(^11\)

The aim of this study was to investigate the potential of LNs in improving the oral bioavailability of docetaxel. Docetaxel-loaded LNs (DTX-LNs) were prepared from oil-in-water emulsions. The formulations were characterized in encapsulation efficiency, size, zeta potential, and in vitro release. The permeation of docetaxel through the Caco-2 cell monolayer and bioavailability of docetaxel after oral administration of DTX-LNs to rats were compared to both docetaxel solution and docetaxel solution with cyclosporine A (CsA).

## Materials and methods

### Materials

Docetaxel was purchased from Shanghai Techwell Biopharmaceutical Co, Ltd (Shanghai, China). Lecithin (Lipoid S 100) was obtained from Lipoid GmbH (Ludwigshafen, Germany). Docetaxel injection was from Shanghai Lipoid S 100 (Ludwigshafen, Germany) using a centrifugal filter unit (Amicon Ultra; Millipore). Docetaxel-loaded LNs (DTX-LNs) were prepared from oil-in-water emulsions with lecithin as the oil phase and Tween 20 as the surfactant.\(^12\) Briefly, 40 mg lecithin was added into 1 mL chloroform containing 2 mg docetaxel. After the chloroform was evaporated by stirring, 10 mL deionized warm water (filtered through 0.2 µm membrane) was added and the solution was heated to 65°C with stirring until a milky dispersion was formed. Then, Tween 20 was added dropwise to a final concentration of 1% weight/volume (w/v). The system was stirred until it became less opaque but not clear, and then it was cooled down to room temperature. Free docetaxel was separated from the DTX-LNs by ultracentrifugation at 8000 rpm for 1 hour at 4°C (5810 R; Eppendorf, Hamburg, Germany) using a centrifugal filter unit (Amicon Ultra; Millipore).

### Physicochemical characterization of DTX-LNs

The surface morphology of DTX-LNs was observed under transmission electron microscope (CM-200 FEG; Philips, Eindhoven, Netherlands) after negative staining with phosphotungstic acid solution (2%, w/v).

The size and zeta potential of the DTX-LNs were measured by the light scattering method. The analyses...
were performed with a helium–neon laser (632.8 nm) at a scattering angle of 90 degrees at 25°C using a Nicomp™ 380 ZLS (Particle Sizing Systems, Port Richey, FL). The samples of nanoparticles were diluted to the appropriate concentration using deionized water before measurement.

Drug encapsulation efficiency and drug loading
The drug content of DTX-LNs was detected by high-performance liquid chromatography (HPLC) after being dissolved in an appropriate volume of methanol. HPLC analysis was performed using a Hypersil™ ODS-2 column (4.6 mm × 250 mm, 5-µm particle size; Elite Analytical Instruments Co, Ltd, Dalian China) on a Shimadzu® HPLC system (LC-20A; Shimadzu Corporation, Tokyo, Japan) with an ultraviolet detector at room temperature. The wavelength of the ultraviolet detector was set at 230 nm. Acetonitrile and water (55:45 volume/volume [v/v]) was used as the mobile phase at a flow rate of 1 mL/minute. The accurate weight of nanoparticles was detected after lyophilization. The drug encapsulation efficiency and drug loading was calculated by the following equations:

\[ \text{Entrapment efficiency (%) } = \frac{W_{DTX}}{W_{Total}} \]

\[ \text{Drug loading (%) } = \frac{W_{DTX}}{W_{DTX-LNs}} \]

\( W_{DTX} \) represents the amount of docetaxel loaded in the DTX-LNs, \( W_{Total} \) represents the total docetaxel amount added during preparation of the DTX-LNs, and \( W_{DTX-LNs} \) represents the weight of the DTX-LNs.

In vitro release studies
The in vitro release of docetaxel from the nanoparticles was determined by the dialysis bag method. The DTX-LNs were dispersed in 1 mL of phosphate buffered saline (PBS; pH 7.4; final docetaxel concentration 100 µg/mL) and placed into cellulose ester dialysis bags. The dialysis bags were immersed in 100 mL release medium (pH 7.4 PBS containing 0.5% w/v sodium dodecyl sulfate) or release medium with 5 mL plasma (to investigate the effect of plasma protein on the release of docetaxel from the nanoparticles) at 37°C with horizontal shaking at 50 rpm. Docetaxel solution (100 µg/mL; dissolved in PBS containing 100 µg/mL Tween 80 and 6.5% ethanol) was also subjected to the release study to make sure that the diffusion of the docetaxel molecules across the membrane was not limited by the dialysis bag. At predetermined time points, 1 mL dissolution media was withdrawn and the samples were properly diluted by methanol and centrifuged at 10,000 rpm for 5 minutes. The supernatant (10 µL) was then directly injected into the HPLC system and analyzed for the released docetaxel. The release profiles were plotted and fitted using different in vitro release models.

Permeation of docetaxel through the Caco-2 cell monolayer
Caco-2 cell monolayer was used for the in vitro permeation study of DTX-LNs. Caco-2 cells were grown in Dulbecco’s modified Eagle medium containing 10% fetal bovine serum, 1% nonessential amino acid solution, 100 U/mL penicillin, and 0.1 mg/mL streptomycin at 37°C in an atmosphere of 5% carbon dioxide. Before the experiment, the cells were seeded onto the apical side of collagen-coated Transwell-COL inserts in 24-well Transwell culture plate at a concentration of 1.2–1.5 × 10^5 cells/well. Culture medium was added to the apical (0.4 mL) and basolateral (0.6 mL) side, and was replaced every other day for the first week and daily thereafter. Cells were incubated for 21–25 days until the transepithelial electrical resistance (EVOM voltohmmeter; World Precision Instruments Inc, Sarasota, FL) increased to 600 Ω/cm^2.

Before the permeation study, the monolayer was washed three times with preheated 37°C transport media, which was Hanks’ balanced salt solution containing 5 mM D-glucose and 5 mM 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid (pH 7.4). For the apical to basolateral (A to B) transport study, 0.4 mL DTX-LN suspension or docetaxel solution (containing 10 µM CsA and 0.1% v/v dimethyl sulfoxide) with a docetaxel concentration of 40 µg/mL were added to the apical side, while 0.4 mL of docetaxel solution (40 µg/mL) in transport medium containing 0.1% v/v dimethyl sulfoxide was added as the control; 0.6 mL transport medium was added in the basolateral side. At predetermined time intervals, 0.3 mL of medium in basolateral side was withdrawn and replaced with fresh medium. For the basolateral to apical (B to A) transport study, 0.6 mL DTX-LN suspension or docetaxel solution (containing 10 µM CsA and 0.1% v/v dimethyl sulfoxide) with a docetaxel concentration of 40 µg/mL was added to the apical side, while 0.6 mL of docetaxel solution (40 µg/mL) in transport medium containing 0.1% v/v dimethyl sulfoxide was added as the control; 0.4 mL transport medium was added in the apical side. At predetermined time intervals, 0.3 mL medium was taken from the apical side and replaced with fresh medium. The concentration of docetaxel
in the medium was determined by a validated HPLC method, and the cumulative permeated amount of docetaxel was plotted as a function of time. The apparent permeability coefficient was determined from the linear slope of the plot using the following equation:

\[ P_{app} = \frac{dQ}{dt} \frac{1}{A \cdot C_0} \]

\( P_{app} \) represents the apparent permeability coefficient (cm/second), \( \frac{dQ}{dt} \) represents the steady state flux, \( A \) indicates the surface area of membrane (cm\(^2\)), and \( C_0 \) was the initial concentration of docetaxel in the apical (for A to B transport) or basolateral (for B to A transport) side.

**Pharmacokinetic studies**

Before the experiment, the rats were fasted overnight with free access to water and randomly divided into four groups. The diluted docetaxel injection (2 mg/mL with 20% v/v ethanol) was administered intravenously at doses of 20 mg/kg. The DTX-LN suspension, docetaxel solution, and docetaxel with CsA solution (with 2.25 mg/mL CsA, 25% w/v Tween 80, and 9.75% v/v ethanol) were diluted with physiological saline to a docetaxel concentration of 3 mg/mL and administered orally at a dose of 20 mg/kg. Whole blood samples were collected by retro-orbital puncture into heparinized tubes at 0.5, 1, 2, 4, 8, and 12 hours following oral administration and at 2, 5, and 15 minutes and 0.5, 1, 2, 4, and 8 hours following intravenous administration. The plasma samples obtained were immediately centrifuged at 10,000 rpm for 5 minutes, and 100 µL of the supernatant was transferred to new glass tubes and stored at −20°C.

The plasma samples were extracted twice with diethyl ether before HPLC analysis. Briefly, 100 µL plasma was mixed with 10 µL paclitaxel (2 µg/mL) as the internal standard. The samples were then extracted with 1 mL diethyl ether by vigorous mixing for 5 minutes. The organic phase was collected after centrifugation at 10,000 rpm for 5 minutes, combined after repeating the above extraction procedure, and then dried under nitrogen gas stream at 40°C. The residue was then dissolved with 200 µL acetonitrile and mixed for 5 minutes. The solution was centrifuged for 5 minutes at 10,000 rpm, and 10 µL of the supernatant was injected into the HPLC system.

The pharmacokinetic parameters of each formulation were calculated by the noncompartmental method. The area under the curve and the mean residence time were determined by standard methods applying the linear trapezoidal rule. The maximum plasma concentration and time taken to reach the maximum plasma concentration were determined by a visual inspection of the experimental data. The absolute bioavailability of docetaxel after oral administration compared to the intravenous administration was calculated as follows:

\[ F(\%) = \frac{\text{AUC}_{oral}}{\text{AUC}_{IV}} \times \frac{\text{IV dose}}{\text{Oral dose}} \times 100 \]

\( F \) represents the absolute bioavailability, \( \text{AUC}_{oral} \) represents the area under the curve, and IV stands for intravenous.

**Statistical analysis**

All mean values were presented with their standard deviations. A two-tailed unpaired Student’s \( t \)-test was performed at \( P < 0.05 \).

**Results and discussion**

**Characterization of DTX-LNs**

Transmission electron microscope imaging results showed that the DTX-LNs had spherical and uniform shapes (Figure 1). Light scattering analysis indicated the size of the nanoparticles was 360 ± 8 nm (polydispersity index: 0.198 ± 0.04) with a zeta potential of −14.2 ± 0.25 mV. After suspension in 0.01 M PBS, DTX-LN particle size was stable for at least 72 hours. It was observed that the particle size of nanoparticles affected the cellular uptake of the nanoparticles. The permeability of the particles through...
the intestinal mucosa decreases with increasing the particle size, reaching a cutoff at 500 nm. The prepared DTX-LNs were 300–400 nm in diameter, which is in the size range favoring the intestinal uptake of the nanoparticles. The calculated entrapment efficiency and drug loading of the DTX-LNs was 94.6% ± 1.8% and 1.13% ± 0.02%, respectively. In preliminary experiments, attempts were made to maximize drug loading of the DTX-LNs by adjusting the amount of docetaxel added in preparation. The results showed that 2 mg docetaxel in the formulation resulted in the best entrapment efficiency and drug loading. Further increasing the docetaxel amount did not improve drug loading, which was consistent with public research showing that after achieving the best entrapment efficiency of lecithin nanoparticles, the increase of docetaxel content would only enhance the docetaxel content in the micelle fractions. These results demonstrate that the prepared lecithin nanoparticles had a sound particle size and relative high encapsulation efficiency which was favorable for oral delivery.

In vitro release of docetaxel from DTX-LNs

In the in vitro release study, dynamic dialysis was chosen for separation of free docetaxel from the DTX-LNs. To provide a sink condition, 0.5% w/v sodium dodecyl sulfate was added to the release medium. The DTX-LNs showed biphasic release profiles in 0.01 M PBS and plasma, with a slightly slower release in the plasma (Figure 2). Within 24 hours, 64.4% and 59.4% of docetaxel was released in 0.01 M PBS and plasma, respectively. The first 24 hours was a fast-release phase, which could be related to the release of the drug encapsulated near the particle surface. After this phase, a constant slow release of 60%–80% of the loaded docetaxel was observed, showing a typical sustained and prolonged drug release which may be dependent on drug diffusion and matrix erosion mechanisms. The docetaxel solution released about 85% in the first 8 hours and reached a total release after 72 hours, which demonstrated that the diffusion of docetaxel across the semipermeable dialysis membrane was not a rate-limiting process. The release profiles in both medium best fitted into the Weibull equation (Table 1). The sustained-release property of DTX-LNs might enhance the permeation of docetaxel in vitro as well as the absorption of docetaxel in vivo.

Permeation through the Caco-2 cell monolayer

The Caco-2 cell monolayer is a well-characterized, enterocyte-like, easily maintained human colon adenocarcinoma cell line that was prevalently used for the assessment of drug permeability, transport, and metabolism. In preliminary studies, a less than 90% viability of Caco-2 cells was found when docetaxel concentration was above 50 µg/mL and when each group was contacted with the cells for more than 4 hours by cell counting kit-8 assay (data not shown). Thus, in the following experiments, 4-hour permeation studies were conducted at the highest docetaxel concentration (40 µg/mL). The A to B and B to A transport of docetaxel through the Caco-2 cell monolayer in each group are shown in Figure 3. The apparent permeability values of docetaxel were compared and the results are shown in Table 2. Docetaxel was reported as a P-glycoprotein substrate whose oral absorption is in part affected by P-glycoprotein efflux. The permeability coefficient of the DTX-LNs was higher than that of the

Figure 2 The in vitro release of the docetaxel-loaded lecithin nanoparticles in 0.01 M phosphate buffered saline with and without plasma.

Note: The values represent mean ± standard deviation (n = 3).

Abbreviations: DTX, docetaxel; DTX-LNs, docetaxel-loaded lecithin nanoparticles; PBS, phosphate buffered saline.
The apparent permeability of docetaxel across the Caco-2 cell monolayer from each group

<table>
<thead>
<tr>
<th>Group</th>
<th>Permeability coefficient $P_{app} \times 10^{-5}$ cm/second</th>
</tr>
</thead>
<tbody>
<tr>
<td>A to B</td>
<td>B to A</td>
</tr>
<tr>
<td>DTX-LNs</td>
<td>0.15 ± 0.016*</td>
</tr>
<tr>
<td>DTX solution</td>
<td>0.07 ± 0.003</td>
</tr>
<tr>
<td>DTX solution with CsA</td>
<td>0.07 ± 0.001</td>
</tr>
</tbody>
</table>

Notes: The values represent mean ± standard deviation (n = 4); *P < 0.05 compared with docetaxel solution.

Abbreviations: A to B, apical to basolateral transport; B to A, basolateral to apical transport; CsA, cyclosporine A; DTX, docetaxel; DTX-LNs, docetaxel-loaded lecithin nanoparticles; $P_{app}$, apparent permeability.

Table 1 In vitro release kinetics of docetaxel-loaded lecithin nanoparticles in 0.01 M phosphate buffered saline and plasma

<table>
<thead>
<tr>
<th>Release kinetics</th>
<th>PBS</th>
<th>Plasma</th>
</tr>
</thead>
<tbody>
<tr>
<td>Zero order</td>
<td>0.7690</td>
<td>0.6559</td>
</tr>
<tr>
<td>First order</td>
<td>0.9147</td>
<td>0.7381</td>
</tr>
<tr>
<td>Higuchi</td>
<td>0.9377</td>
<td>0.8690</td>
</tr>
<tr>
<td>Ritger–Peppas</td>
<td>0.9778</td>
<td>0.9732</td>
</tr>
<tr>
<td>Weibull</td>
<td>0.9790</td>
<td>0.9762</td>
</tr>
</tbody>
</table>

Abbreviations: PBS, phosphate buffered saline; $r^2$, correlation coefficient.

docetaxel solutions or docetaxel with CsA solutions in the A to B transport. In particular, the apparent permeability value of docetaxel from DTX-LNs was 2.14 times higher than that from docetaxel solutions (0.15 × 10^{-5} ± 0.016 × 10^{-5} cm/second versus 0.07 × 10^{-5} ± 0.003 × 10^{-5} cm/second). The B to A permeability coefficient of the docetaxel solution was higher than that of the A to B value indicating that docetaxel is a substrate of P-glycoprotein. After the addition of CsA, the B to A apparent permeability values of docetaxel slightly decreased, which suggests a certain effect of P-glycoprotein suppression. In the DTX-LN group, the apparent permeability value of docetaxel from A to B was higher than that of B to A, although the B to A permeability coefficient also increased.

The drug concentration inside the cells was the outcome of competition between the active export of drugs by P-glycoprotein and the passive permeation of drugs across the plasma membrane. Thus, increasing docetaxel permeation could occur either by inhibition of the efflux pumps or by acceleration of the drug permeation.

Nanoparticles with their submicron size and large specific surface area could favor their oral absorption. Enhanced uptake and prolonged retention of drug were also observed with nanoparticle-based formulations in P-glycoprotein-overexpressing cells. It was proved that the nanoparticle delivery system was able to bypass or inhibit P-glycoprotein-mediated efflux and increase the internalization of drug into cells. In addition, Tween 20 was also able to modulate multidrug resistance by inhibition of P-glycoprotein-mediated efflux. Therefore, the enhanced permeation of DTX-LNs may be the collective effects of both Tween 20 and nanoparticles. Nanoparticles are usually transported through the cell by one of these endocytic mechanisms: pinocytosis, macropinocytosis, or clathrin-mediated endocytosis. Clathrin-coated vesicles can internalize particles smaller than 150 nm, while during phagocytosis and macropinocytosis particles up to 3–4 μm can be internalized. According to the particle size of the DTX-LNs, the phagocytosis and macropinocytosis mechanism might be possible; however, the specific mechanism should be further studied.

Pharmacokinetic studies

The plasma concentration-time profiles of docetaxel after oral administration of docetaxel solution, DTX-LNs, and docetaxel solution with CsA to rats are shown in Figure 4 and the pharmacokinetic parameters are summarized in Table 3. The area under the curve of docetaxel in DTX-LNs was 3.6-fold that of docetaxel solution ($P < 0.01$) and 3.1-fold that of docetaxel solution with CsA ($P < 0.01$).
The maximum plasma concentration of DTX-LNs was four-fold that of docetaxel solution \((P < 0.01)\) and 3.1-fold that of docetaxel solution with CsA \((P < 0.05)\). The relatively slower time to maximum plasma concentration of DTX-LNs suggests a sustained-release profile of nanoparticles in vivo, which was consistent with the results of the in vitro release study. The increased area under the curve and maximum plasma concentration of DTX-LNs demonstrates an increased oral absorption, which correlates with the Caco-2 cell monolayer permeation results. Moreover, DTX-LNs showed a significantly higher absolute oral bioavailability (8.75%) compared to that of orally administered docetaxel solution (2.40%) and docetaxel solution with CsA (3.97%).

The improved oral bioavailability of docetaxel in the nanoparticles might be attributed to the combination of the following effects. First, the uptake of DTX-LNs may occur in the gastrointestinal tract, the mechanisms of which include the diffusion of particles through mucus and accessibility to the enterocyte surface, epithelial interaction and cellular trafficking, and exocytosis and systemic dissemination. The particle size played a dominant role in the nanoparticle absorption rate.\(^\text{14}\) DTX-LN particle size under 500 nm allows efficient uptake in the intestine, particularly in the lymphoid sections, where they could bypass the liver first-pass metabolism.\(^\text{27,28}\) Second, the sustained-release property of the nanoparticles increased the circulation time of docetaxel, which prolonged the drug residence time in systematic circulation and resulted in better bioavailability.\(^\text{27}\) Third, the encapsulation of docetaxel into LNs might protect docetaxel from degradation and together with the effect of Tween 20 protect docetaxel from being recognized by the efflux transporters on the membrane of the small intestine. In addition, the lecithin materials might exert some effect on the permeation of docetaxel through...
the intestine membrane, improve the affinity between the nanoparticles and the intestinal membrane, and may also exhibit bioadhesion to the gastrointestinal tract wall.29,30 However, the specific mechanism will need to be elucidated by further studies.

Conclusion
In this paper, a novel oral delivery system of docetaxel (DTX-LNs) was prepared to improve the oral bioavailability of docetaxel. The prepared nanoparticles had a diameter of 360 ± 8 nm with an encapsulation efficiency of 94.6% ± 1.8%. The in vitro release studies demonstrated the sustained-release properties of DTX-LNs. The Caco-2 monolayer transport study and pharmacokinetics study results suggest increased permeation of DTX-LNs in vitro and increased absorption in vivo compared with docetaxel solution. In addition, the absolute bioavailability of DTX-LNs was 3.65 times that of docetaxel solution. These results suggest DTX-LNs are valuable as an oral drug delivery system to enhance the absorption of docetaxel.

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Disclosure
The authors report no conflicts of interest in this work.

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