Dapagliflozin: an evidence-based review of its potential in the treatment of type-2 diabetes

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Abstract: Dapagliflozin is a sodium-glucose co-transporter-2 inhibitor that lowers plasma glucose by decreasing its renal reabsorption. The resulting excretion of glucose in the urine (glucosuria) has transformed what was once solely regarded as an adverse facet of diabetes into a potential novel therapeutic strategy. Glucosuria leads to weight loss, due to a reduction in calories, which is thought to rehabilitate insulin sensitivity, at least partially. By acting independently of insulin action or secretion, dapagliflozin appears to avert or minimize two key barriers to optimal glycemic control: hypoglycemia and weight gain. From the clinical studies conducted thus far in patients with type 2 diabetes, dapagliflozin significantly decreases HbA1c (by ~0.5%–1%, from a baseline of 8%–9%), as well as body weight (~2–3 kg), without increased risk of hypoglycemia. Dapagliflozin thus represents a paradigm shift in the treatment of diabetes. While long-term data on safety and efficacy are forthcoming, the results published to date suggest that this agent has the potential to be another option in the treatment of diabetes treatments. This article examines the evidence currently available on the efficacy and safety of dapagliflozin.

Keywords: dapagliflozin, SGLT2 inhibitors, type 2 diabetes mellitus, kidney

Core evidence clinical impact summary for dapagliflozin in the treatment of type-2 diabetes

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Introduction

Although eleven distinct classes of diabetes medications are currently available, approximately two-thirds of patients with diabetes are not meeting their glycemic goals.¹
The number of individuals with diabetes mellitus continues to escalate at epidemic rates. According to the International Diabetes Federation’s latest estimates in 2011, 366.2 million persons worldwide have diabetes; with three new cases diagnosed around the world every ten seconds, this figure is projected to soar by 51% by 2030.2 In the USA, 25.8 million individuals are presently living with diabetes.3

Varying degrees of relative insulin deficiency and insulin resistance comprise the central defects in patients with type 2 diabetes (T2DM). Excessive gluconeogenesis by the liver, along with diminished glucose uptake by target tissues, lead to fasting and postprandial hyperglycemia. This chronic hyperglycemia can facilitate β-cell failure in the pancreas and worsen insulin resistance, thus triggering a cycle of impaired metabolism and glucose toxicity that defines diabetes.4

Aside from multiple pathophysiological defects, other factors that impede efforts to attain glycemic goals include adverse effects of the currently available agents for T2DM. For instance, metformin can cause gastrointestinal effects, such as diarrhea and nausea, and, rarely, lactic acidosis; insulin or sulfonylureas may produce hypoglycemia, as well as weight gain; and thiazolidinedione use is also associated with weight gain and edema.5 The incretin mimetics may cause nausea, vomiting, and diarrhea.6 As most current diabetes agents address insulin secretion or insulin action, with time, as the disease progresses, endogenous insulin production becomes insufficient. Exogenous insulin or another medication, if added, could result in further unwanted adverse effects. Consequently, the quest to develop novel therapeutic agents, without these side effects, continues.

The investigations carried out thus far on sodium-glucose co-transporter-2 (SGLT2) inhibitors have elucidated new perspectives not only on the mechanism of diabetes, but also on potential therapeutic applications of this knowledge. Historically, glucosuria – glucose excretion in the urine – has been viewed as a marker of metabolic decompensation and an adverse clinical consequence in the natural history of diabetes. The kidney plays a pivotal role in glucose homeostasis by regulating the reabsorption of glucose back into the plasma after filtration of the blood. In individuals with diabetes, what was once an adaptive process becomes damaging, as glucose reabsorption may increase up to 20% and perpetuate continued elevation in serum glucose levels. Blocking this process and, thus, facilitating glucose to be excreted in the urine, is being examined as a potential new therapeutic target in diabetes. Thus, effecting glucosuria for treating diabetes is a paradigm shift. As SGLT2 inhibitors target the renal handling of glucose and would not be expected to cause hypoglycemia – thus, acting independently of insulin resistance and insulin secretion – they represent potentially promising novel agents in the treatment of diabetes.

By decreasing renal glucose reabsorption due to enhancing urinary glucose excretion, SGLT2 inhibitors decrease the hyperglycemia that contributes to insulin resistance and diminished insulin secretion. Blockade of SGLT2 also appears to ameliorate pathophysiological defects underlying T2DM other than hyperglycemia, including factors such as weight gain, blood pressure, and lipids. This article provides a brief overview of the history of the development and the mechanism of the action of SGLT2 inhibitors, and it will focus on clinical studies of dapagliflozin.

Renal glucose handling and SGLT2

The role of the kidney in glucose balance has been insufficiently appreciated; however, it is no less crucial. Together with the liver, the kidney provides glucose during periods of fasting. The kidney not only contributes to gluconeogenesis (approximately 15–55 g per day, or 20%–25% of the glucose released into the circulation after an overnight fast), but also reabsorbs glucose (Figure 1).7

In individuals without diabetes, in the setting of a plasma glucose concentration of ~90 mg/dL, essentially
all of the ∼180 g of glucose that is filtered per day by the glomeruli is reabsorbed. Sodium-glucose co-transporters (SGLTs) are the specific mediators of renal glucose reabsorption, with 90% of this reabsorption being facilitated by the isoform termed SGLT2, and the remainder by SGLT1 (Figure 2). Found mainly in the S1 segment of the proximal convoluted tubule (PCT) of the kidney, SGLT2 is expressed almost entirely in the kidney; it is a high-capacity, low-affinity transporter. Both expression and function of SGLT2 are increased in patients with T2DM. SGLT1 is a low-capacity, high-affinity co-transporter located more distally, in the PCT’s S2 and S3 segments.

As this filtrate passes through the proximal tubule of the kidney, SGLT2 transporters located on the luminal surface combine active transport of glucose (against a concentration gradient) with that of sodium (Figure 3). Glucose transporters (specifically, GLUT2) carry glucose into the basolateral aspect, or the blood, by passive transport (with a concentration gradient).

As glucose increases, reabsorption by the kidney continues, without any glucose being excreted, until a theoretical threshold is reached (approximately 11 mmol/L or 200 mg/dL) (Figure 4). As this threshold is approached, the SGLTs reach saturation; once exceeded, glucose begins to appear in the urine. The actual threshold is somewhat lower, due to both anatomical and physiological variations among individual nephrons, such as the observation that not all nephrons exhibit the same threshold for reabsorption.
and excretion. This difference between the theoretical and actual thresholds is termed “splay,” and it is depicted as the curvilinear slope for both the reabsorption and excretion curves. Inhibition of SGLT is due to lowering of the $T_{\text{reat}}$, or decreasing the excretion threshold, or both.

**Familial renal glucosuria**

Mutations in the gene encoding SGLT2 result in an autosomal genetic disorder, familial renal glucosuria (FRG). The transmission of this rare disease is thought to be co-dominant with incomplete penetrance. Patients have excreted as much as 170 g of glucose per day, are asymptomatic, and have no known abnormalities of glucose or renal function; have not demonstrated an increased incidence of diabetes, chronic kidney disease, or urinary tract infection; and have normal life expectancy. Some have suggested that FRG serves as a model for SGLT2 inhibition. The two may not be completely similar, as there are immunity abnormalities that are found in T2DM patients, but not in those with FRG. Such impaired immunity may explain the potential for increased urinary tract and genital fungal infections in patients with T2DM (discussed later in this review).

**Development of early SGLT inhibitors – phlorizin**

The Greek physician Aretaeus of Cappadocia, in the second century AD, suggested that diabetes was due to a derangement in the kidneys, and he postulated that polyuria was a compensatory mechanism. The kidney’s role in glucose homeostasis had been less recognized until relatively recently. In 1835, phlorizin was isolated from the root bark of the apple tree by French chemists. In a landmark study, phlorizin was demonstrated to reverse insulin resistance and beta-cell dysfunction. Diabetes was induced in rats that had undergone partial pancreatectomies. Phlorizin administration increased urinary glucose excretion, normalized both fasting plasma glucose, and completely reversed glucotoxicity. Once phlorizin was discontinued, diabetes and its markers were restored. This and subsequent investigations established the concept that hyperglycemia contributes to insulin resistance and, thus, to the development of diabetes.

Phlorizin could not be used clinically, as its O-glycoside linkage rendered it susceptible to rapid degradation, and thus, low bioavailability. This compound also was a nonselective SGLT inhibitor; that is, it blocked both SGLT1 and SGLT2. SGLT1, predominantly expressed in the small intestine and other regions, such as the kidney, transports both glucose and galactose. Diminished absorption of glucose and galactose leads to potentially severe dehydration and diarrhea. Phloretin is a breakdown product of phlorizin, and it inhibits multiple GLUTs, with the consequence of impairment of glucose transport.

Dapagliflozin (BMS-512148; AstraZeneca, Bristol–Myers Squibb) is the SGLT2 inhibitor that has progressed the furthest in development. This agent has a C-glycoside linkage that confers greater stability than its predecessor compounds, allowing once-daily dosing. The half-life is approximately 17 hours, and maximal plasma concentration is reached in about two hours. Dapagliflozin is 1200-fold more specific for SGLT2 than for SGLT1.

**Improved plasma glucose and HbA\textsubscript{1c}**

Dapagliflozin has been shown, in multiple clinical studies, to reduce both HbA\textsubscript{1c} and fasting plasma glucose. Subjects with T2DM exhibited blockade of glucose reabsorption that was dose-dependent for 5, 25, and 100 mg of dapagliflozin, which ranged from 20% to 44% over 14 days; glucosuria was observed to be up to 70 g/day, which is equivalent to approximately 280 cal. Patients with diabetes uncontrolled with oral diabetes agents for six weeks or more – metformin $\geq$ 1,000 mg and/or pioglitazone $\geq$ 30 mg or rosiglitazone 4 mg – and on at least 12 weeks of insulin and at least 6 weeks of a stable insulin dose at $\approx$ 50 units daily demonstrated mean changes in HbA\textsubscript{1c} of $\sim$ 0.70% for dapagliflozin 10 mg and $\sim$ 0.78% for dapagliflozin 20 mg at twelve weeks.

Dapagliflozin administration led to significant placebo-adjusted reductions in HbA\textsubscript{1c} of $\sim$ 0.58%, $\sim$ 0.77%, and $\sim$ 0.89% in 485 newly diagnosed, treatment-naive T2DM patients controlled by diet and exercise administered 2.5, 5, and 10 mg of dapagliflozin, respectively. The HbA\textsubscript{1c} change in the placebo group was $\sim$ 0.23%. Dapagliflozin 5 and 10 mg daily administered to a subgroup of 74 subjects with HbA\textsubscript{1c} between 10.1% and 12.0% lowered this measure by 2.88% and 2.66%, respectively. When added to metformin, HbA\textsubscript{1c} decreased $\sim$ 0.54% in subjects on dapagliflozin. The first large clinical trial of dapagliflozin examined 534 patients with T2DM, inadequately controlled on metformin. At week 24, dapagliflozin in doses of 2.5, 5, and 10 mg per day yielded a decline in the mean HbA\textsubscript{1c} of $\sim$ 0.67%, $\sim$ 0.70%, and $\sim$ 0.84%; the reduction was $\sim$ 0.30% in the placebo group. A 24-week trial of 597 patients with T2DM uncontrolled on sulfonylurea monotherapy revealed decreases in HbA\textsubscript{1c} across all dose groups; placebo: $\sim$ 0.13%; 2.5 mg: $\sim$ 0.58%; 5 mg: $\sim$ 0.63%; and 10 mg: $\sim$ 0.82%. Dapagliflozin was demonstrated to be noninferior to glipizide, as an add-on agent to metformin;
both groups’ HbA1c declined by −0.52% at 52 weeks.24 What was notable was the path taken – the glipizide + metformin group declined more sharply, but it gradually increased during the maintenance period. The dapagliflozin + metformin cohort experienced a slower and less steep, though sustained, decline. A trial compared 151 subjects with diabetes of one year duration (early stage) with 58 subjects with diabetes for a mean of 11.1 years (late stage).25 These patients were randomized into groups of dapagliflozin 10 or 20 mg daily for 12 weeks. The HbA1c in the late stage group decreased 0.5%–0.7%, from 8.4%, and the early stage cohort declined 0.6%–0.8%, from 7.6%. The similar degree of reduction in HbA1c is due to the insulin-independent mechanism of action of dapagliflozin.

A 24-week clinical trial was the first to investigate dapagliflozin as initial monotherapy and in combination with metformin in treatment-naïve T2DM patients.26 Two randomized trials compared dapagliflozin plus metformin, dapagliflozin alone, and metformin alone. Study 1 dosed dapagliflozin at 5 mg; study 2, at 10 mg. Significantly greater reductions in HbA1c were seen with combination therapy compared with monotherapy in both studies: in study 1: −2.05% for dapagliflozin + metformin; −1.19% for dapagliflozin; and −1.35% for metformin. Study 2 demonstrated −1.98% for dapagliflozin + metformin, −1.45% for dapagliflozin, and −1.44% for metformin.

Wilding et al examined the effect of dapagliflozin on glycemic control in patients with T2DM uncontrolled on insulin, with or without oral antidiabetic medications.27 These subjects, and patients previously taking pioglitazone ≥30 mg, were subsequently randomized into groups of dapagliflozin 5 mg, dapagliflozin 10 mg daily, or placebo daily, along with open-label pioglitazone. The mean decrease in HbA1c from baseline was −0.82% and −0.97% for the dapagliflozin 5 mg and 10 mg groups, respectively. The decline in those on placebo was −0.42%.

T2DM patients who were treatment-naïve, or those on metformin, sulfonylurea, or a thiazolidinedione, were administered pioglitazone for ten weeks.28 In subjects administered dapagliflozin 2.5 mg daily, mean HbA1c decreased by −0.79% to −0.96%; by −0.49% for those on 5 mg daily; and −0.57% for the 10 mg group (the reduction for the placebo cohort was −0.39%).

Reduced total body weight
Dapagliflozin, whether given as monotherapy or when added to other agents, has resulted in statistically significant weight loss. As monotherapy, dapagliflozin caused weight loss from −2.7 to −3.2 kg at 24 weeks.29 Statistically significant, dose-dependent reductions were observed on day 13 of a two-week study of 47 patients with T2DM: −18.8, −28.8, and −38.7 mg/dL for the 5 mg (−11.7%), 25 mg (−13.3%), and 100 mg (−21.8%) doses, respectively, as compared with the placebo group.18 When administered along with metformin, weight loss persisted over two years: −2.8 kg compared with −0.7 kg for the placebo. When added to subjects who were suboptimally controlled on high doses of insulin and oral antidiabetic agents, the mean changes in total body weight were −4.5 kg for those on 10 mg of dapagliflozin and −4.3 kg for those on 20 mg.20 The change for the placebo group was −1.9 kg. Bailey et al found −0.9 kg for the subjects on placebo, −2.2 kg for dapagliflozin 2.5 mg, −3.0 kg for 5 mg, and −2.9 kg for 10 mg.21 In the Nauck et al study, dapagliflozin led to weight loss of −3.2 kg with dapagliflozin 2.5 mg versus weight gain (1.2 kg; P < 0.0001) with glipizide 5 mg.24 Strojek et al detected body weight reductions in the placebo, 2.5 mg, 5 mg, and 10 mg groups of −0.72 kg, −1.18 kg, −1.56 kg, and −2.26 kg, respectively.23 A study of 182 patients with T2DM suboptimally controlled on metformin examined the effect of dapagliflozin 10 mg versus placebo on total body weight. At week 24, the placebo-corrected change in TBW was −2.08 kg [95% confidence interval (CI): −2.84 to −1.31; P < 0.0001].29 The 24-week study comparing dapagliflozin, metformin XR, or both, as initial therapy, revealed −2.66 kg, −2.61 kg, −1.29 kg in the dapagliflozin + metformin, dapagliflozin, and metformin groups, respectively in study 1. Study 2 reductions were −3.33 kg, −2.73 kg, and −1.36 kg, respectively.26 In the clinical trial by Wilding et al of patients on insulin, body weight decreased by −0.92 to −1.61 kg with dapagliflozin and increased by 0.43 kg with the placebo in the 2.5 mg group, −1.42 kg in the 5 mg group, and −2.04 kg in the 10 mg group.22 The study by Ferrannini et al31 was an exception, in that the mean body weight reductions did not reach statistical significance, although they were higher than with the placebo at all doses. The subjects in this study were treatment-naive, and their hyperglycemia was not controlled by lifestyle-only changes, which is a key difference from most of the other clinical trials on dapagliflozin to date. The Zhang et al25 and Henry et al26 studies are exceptions.

Reduced fasting glucose
Dose-dependent decreases in fasting plasma glucose (FPG) have been observed. Mean changes in FPG from baseline FPG were −18.8, −28.8, and −38.7 mg/dL in the 5 mg, 25 mg, and 100 mg dose groups, respectively. In another study, they
were +17.8, +2.4, and −9.6 mg/dL (placebo, 10 mg dapagliflozin, and 20 mg dapagliflozin, respectively). Ferrannini et al found FPG reductions of −15.2, −24.1, −28.8, and −4.1 mg/dL for doses of 2.5 mg, 5 mg, 10 mg, and placebo, respectively. In the study by Strojek et al, FPG decreased by −2.0, −16.8, −21.3, and −28.5 mg/dL in the placebo and dapagliflozin 2.5 mg, 5 mg, and 10 mg dose groups, respectively. FPG was not a primary or secondary endpoint for the Nauck et al trial. In the Henry et al study 1 cohort, FPG decreased by −61.1, −42.0, −33.5 mg/dL in the dapagliflozin + metformin, dapagliflozin, and metformin groups, respectively. In study 2, the reductions in FPG were −60.4, −46.5, and −34.8 mg/dL, respectively.

### Effect on fat mass and regional adipose tissue distribution

Bolinder et al also examined the secondary endpoints of waist circumference, which decreased −1.52 cm. Fat mass declined −1.48 kg; the visceral adipose tissue (VAT) decreased −258.4 cm³, and the subcutaneous adipose tissue (SAT) reduced by 184.9 cm³.

### Safety

While no long-term data on adverse effects with dapagliflozin have yet been published, adverse events were generally balanced across treatment groups and were usually minor. No severe hypoglycemic events have been observed thus far; the small number of instances of hypoglycemia noted were self-limiting and mild. Glucosuria can potentially result in increased risk of genital fungal and urinary tract infections. Vulvovaginal infections in females and balanitis in males have occurred in increased numbers in subjects on dapagliflozin (−8%–10%) compared with those on placebo (−3%–5%). Most of these infections were mild to moderate in intensity, and they either responded to medication or spontaneously resolved; a number of these infections were self-reported and could not be confirmed by microbiological culture testing. These adverse events rarely led to discontinuation of dapagliflozin. Various clinical trials have noted a slight increase in the rate of UTI, up to 13% of subjects with T2DM who were treatment-naïve or who were suboptimally controlled on metformin, compared with 1.3% and 5% in those two groups, respectively.

Systolic blood pressure declined by 3–5 mmHg and diastolic blood pressure by −2 mmHg with 10 mg/day dose of dapagliflozin. These reductions are in accord with the diuretic effect of this agent, and they were unaccompanied by greater instances of orthostatic hypotension. Data thus far have not shown an increased risk of cardiovascular disease. As both glucose and sodium are co-transported, and thus are both inhibited, dapagliflozin may cause an elevation in urinary excretion of sodium. Although such transient increases in urine sodium have been reported, there have been no clinically significant changes in serum sodium. Studies have documented slight increases in serum magnesium, phosphorus, hematocrit, and blood urea nitrogen (BUN). The elevated hematocrit is also consistent with the diuresis that is a property of dapagliflozin. Serum creatinine did not change. Small declines in serum uric acid and high-sensitivity C-reactive protein have been seen. The implications of such findings are not yet certain; for instance, there is an association with increased serum uric acid and DM, renal dysfunction, and cardiovascular disease, although no etiologic link has been established.

By a vote of nine to six, on July 19, 2011, an FDA advisory committee recommended against approval of dapagliflozin. The panel cited concerns over reported cases of bladder cancer and breast cancer, as well as potential effects on the liver. Out of 4310 individuals who were administered dapagliflozin, nine total cases of bladder cancer were detected, while one of 1962 subjects had bladder cancer in the control group. (Two of the nine cases of bladder cancer in the dapagliflozin group and the one in the control group were reported after the 4-month Safety Update cutoff date.) Before randomization, three subjects on dapagliflozin had microscopic hematuria, and one had trace hematuria.

Nine of 4287 patients in the dapagliflozin group were reported to have breast cancer; none of 1941 placebo subjects were found to have this cancer. Subjects were on dapagliflozin for a shorter duration (<1 year) than the average of more than 5 years suggested as sufficient for the detection of breast cancer.

Of five patients taking dapagliflozin who met the criteria for Hy’s Law (defined as an aspartate aminotransferase [AST] or alanine transaminase [ALT] greater than three times the upper limit of normal, and an increase in total bilirubin greater than two times the upper limit of normal), one was considered a “probable diagnosis of mild to moderately severe dapagliflozin-induced liver injury.” Two of those five subjects had transaminitis – an AST or ALT greater than three times the upper limit of normal – that may have been due to drug-induced injury. On January 19, 2012, the FDA did not approve dapagliflozin. The FDA sent Complete Response Letters to BMS and AstraZeneca, requesting “additional clinical data to allow a better assessment of the benefit–risk profile.” Detection bias has been proposed as a possible explanation; for instance, for the bladder cancer cases, there may have been a higher number of urinalyses conducted in the study...
subjects. These cancer signals could indicate that neoplasms were developing before dapagliflozin treatment had begun. The number of cases does not allow one to reach conclusions about whether this agent is the cause of the hepatic and cancer events. While approval of dapagliflozin at a later date remains to be determined, it is clear that these signals raised concerns, and further studies will possibly be undertaken.

Unanswered questions

Although dapagliflozin has been studied in over 5,000 patients in 19 clinical trials, unresolved questions remain. Dapagliflozin is thought to be less effective in patients with existing compromised renal function: moderate impairment has been defined as an estimated glomerular filtration rate $\geq 30$ and $<60 \text{ mL/min}$. These subjects had the greatest number of adverse events of renal impairment or renal failure. How this agent would impact renal function in the long term is uncertain. Would patients and their physicians be hesitant to start a medication with the potential for fungal infections and UTI?

The literature, to date, suggests that dapagliflozin could serve as either monotherapy or as an add-on to metformin, sulfonylureas, and insulin. Dapagliflozin’s place in the treatment of diabetes remains to be clarified. Studies have been conducted only in patients with type 2 diabetes; effects on those with type 1 diabetes are unknown.

Dapagliflozin causes increased urinary glucose excretion, which leads to weight loss. Whether this reduction is attributable to fluid loss secondary to osmotic diuresis, or to decreased body fat due to a deficit in calories, or both, has been unclear. The study by Bolinder et al revealed that dapagliflozin significantly lowered both DEXA fat mass and total body weight. Approximately two-thirds of the weight decline with dapagliflozin subjects was due to fat mass reduction, compared with half of such a loss with those randomized to placebo. These findings were associated with sustained elevations in urinary glucose excretion, thus lending support to caloric deficit as the main source of weight loss. The initial rapid decline in TBW may have been largely a result of fluid loss. The issue can still be considered unresolved, as spot urinary glucose excretion, and not 24-hour excretion, was measured in this study; in addition, food and fluid intake were not controlled.

How the FDA’s decision could impact the development of this class is uncertain. As dapagliflozin is a first-in-class agent, the companies developing other SGLT2 inhibitors, such as canagliflozin, may face similar concerns, and will possibly be able to anticipate the safety concerns and provide data.

Conclusion

Dapagliflozin employs a novel, insulin-independent mechanism of action to promote glucosuria and, thus, loss of calories. This weight loss is thought to ameliorate insulin resistance and consequent glucotoxicity. Therefore, while this agent and other SGLT2 inhibitors do not directly affect insulin secretion or sensitivity, the impact is indirect, due to effects on reducing hyperglycemia. While long-term efficacy and safety data are pending, and questions have been raised from the FDA’s recent decision on dapagliflozin’s approval status, data from studies thus far suggest a potential role for this agent. The kidney is being viewed differently than it has been traditionally, and it is thus being utilized as a potential novel target for therapy.

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Disclosure

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