Rheumatoid arthritis-associated interstitial lung disease

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Abstract: Rheumatoid arthritis (RA) is a systemic inflammatory disorder affecting 1% of the US population. Patients can have extra-articular manifestations of their disease and the lungs are commonly involved. RA can affect any compartment of the respiratory system and high resolution computed tomography (HRCT) of the lung is abnormal in over half of these patients. Interstitial lung disease is a dreaded complication of RA. It is more prevalent in smokers, males, and those with high antibody titers. The pathogenesis is unknown but data suggest an environmental insult in the setting of a genetic predisposition. Smoking may play a role in the pathogenesis of disease through citrullination of protein in the lung leading to the development of autoimmunity. Patients usually present in middle age with cough and dyspnea. Pulmonary function testing most commonly shows reduced diffusion capacity for carbon monoxide and HRCT reveals a combination of reticulation and ground glass abnormalities. The most common pattern on HRCT and histopathology is usual interstitial pneumonia (UIP), with nonspecific interstitial pneumonia seen less frequently. There are no large-scale well-controlled treatment trials. In severe or progressive cases, treatment usually consists of corticosteroids with or without a cytotoxic agent for 6 months or longer. RA interstitial lung disease is progressive; over half of patients show radiographic progression within 2 years. Patients with a UIP pattern on biopsy have a survival similar to idiopathic pulmonary fibrosis.

Keywords: rheumatoid arthritis, interstitial lung disease, nonspecific interstitial pneumonia, usual interstitial pneumonia, anti-CCP

RA background and review

Rheumatoid arthritis (RA) is a systemic autoimmune disorder characterized by destructive joint disease as well as extra-articular (ExRA) manifestations. The disease is common; it affects 1% of the US adult population and the likelihood of RA increases with age. It is three times more common in women and the prevalence varies by geographic location. RA has a heritability of greater than 50% and has been associated with more than 30 specific genetic regions. Smoking is the primary recognized environmental risk factor and doubles your likelihood of disease. RA is characterized by the presence of specific autoantibodies, rheumatoid factor (RF) and antibodies against citrullinated proteins (anti-CCP). Anti-CCP antibodies have a specificity of 95% and they can predate the development of clinical evidence of RA; up to 40% of patients have anti-CCP antibodies prior to developing symptomatic joint disease.

Survival in patients with RA is lower than that seen in the general population, with older age, male gender, and ExRA (including subcutaneous nodules, Sjögren’s syndrome, Keratoconjunctivitis sicca, and pulmonary fibrosis) being risk factors for early
mortality. ExRA are common, with a prevalence approaching 40%. Though cardiovascular disease and infection are responsible for the majority of deaths in RA, 10%–20% of deaths appear directly related to pulmonary disease and, in patients with RA and clinically significant pulmonary involvement, over 80% of deaths are due to their lung disease. Despite improvements in the management of RA, there have been no substantial improvements in overall mortality.

### Pulmonary manifestations of RA

Any of the anatomic compartments of the lung—airways (bronchiectasis, bronchiolitis), vasculature (pulmonary hypertension, vasculitis), pleura (pleuritis, effusions) or parenchyma (rheumatoid nodules, interstitial lung disease [ILD]) (Table 1) may be primarily or directly affected by RA. Patients are also at risk for secondary pulmonary complications, with drug toxicities during treatment and opportunistic infections from immunosuppressive therapy being the major concerns.

Respiratory symptoms such as breathlessness and cough are common in RA, reported in nearly half of patients, and, when present, correlate with pulmonary physiologic abnormalities. In asymptomatic or randomly selected patients, 27%–63% will have pulmonary function testing (PFT) abnormalities. Patterns include airflow limitation, restriction, or isolated reductions in diffusion capacity for carbon monoxide (DLCO). Despite the large number of patients with measurable physiologic impairment, most abnormalities remain clinically insignificant and asymptomatic patients with PFT abnormalities generally don’t show physiologic progression over 10 years. High resolution computed tomography (HRCT) abnormalities are even more common, with 50%–81% of unselected patients showing pathologic changes, particularly airways disease and interstitial disease. The likelihood of HRCT abnormalities depends upon the presence of respiratory symptoms; asymptomatic patients will have abnormalities in 48%–68% of HRCTs and symptomatic patients have abnormalities in up to 90%. HRCT abnormalities are even seen in healthy nonsmokers with early RA (<1 year), with evidence of airways disease most commonly seen. HRCT is also more sensitive than pulmonary physiology in detecting pulmonary abnormalities as PFTs are normal in 37% of patients with abnormal HRCT scans. Bronchoalveolar lavage (BAL) is abnormal in 40%–50% of patients with an increase in helper T lymphocytes and lower levels of macrophages, B lymphocytes, and suppressor T-cells (leading to an increased CD4/CD8 ratio).

Patients with RA may develop lung disease from the medications used to treat the joint disease, with reports of pulmonary toxicity from gold, penicillamine, bucillamine, leflunomide, methotrexate, sulfasalazine, infliximab, and tacrolimus. Methotrexate (MTX) use is associated with an incidence of interstitial pneumonitis of 1%–3%. There is an associated slight decline in forced expiratory volume in 1 second (FEV1) and forced vital capacity (FVC) in RA patients taking MTX but studies looking at PFTs and HRCTs in RA have had mixed results, with some showing declines in function and others showing no clinically significant effect. There have also been reports of an increased frequency of MTX pneumonitis after initiation of infliximab therapy. While rituximab has been linked to rare but severe infections in RA, most of these in the lung, the risk of developing infections seems to be comparable to that of other anti-TNF and biologics.

### RA-ILD

**History**

The first description of ILD in a patient with RA dates back to 1948 when Ellman and Ball described three RA patients with “reticulation” on chest radiographs, two of whom had interstitial fibrosis on postmortem exam. The first case of...
“rheumatoid lung” was reported in 1961, describing the clinical, radiographic, and spirometric characteristics of a single patient. Subsequent case reports and case studies sought to draw a connection between clinical RA and ILD but were limited at the time by the sensitivity of the detection methods (plain chest radiographs) and debate on the relationship between RA and ILD. When single breath diffusion studies were combined with chest radiographs, the reported incidence of disease increased (up to 40% in one study). In 1972, Popper and colleagues further solidified the relationship when they prospectively evaluated RA patients with chest radiographs and physiology and found abnormalities in 33%. Since then, numerous studies have found a strong association between RA and ILD.

Risk factors for RA-ILD
The lifetime risk for developing ILD in the setting of RA is approximately 8% compared to 1% in the general population. Multiple risk factors for its development have been identified (Table 2). Smoking is one of the strongest risk factors, and in a cohort of 336 patients with RA-ILD, smoking was the most consistent independent predictor of radiographic and physiologic abnormalities suggestive of ILD. Male gender has been associated with ILD but this relationship isn’t seen in all studies. Other documented risk factors include high-titer RF, high-titer anti-CCP, advanced age, genetic background, and the presence of clinically severe RA.

Prevalence
The literature reports a wide variance in the prevalence of ILD in RA. The prevalence depends on clinical phenotype (eg, the presence or absence of respiratory symptoms), gender (more men would likely favor more ILD), duration of disease, clinical severity of RA, percentage of smokers, autoantibody profile, history of treatment, and the methods of diagnosis. Early studies utilizing chest radiographs found a prevalence of ILD of 1%–5%. Subsequent studies screened patients irrespective of symptoms and have found a prevalence ranging from 19%–58%. In patients with a documented lack of symptoms of lung disease, the prevalence is lower at 44%. Lung biopsies performed on hospitalized patients with RA found interstitial changes in 80%, with half of these patients having no symptoms.

Pathogenesis
The pathogenesis of RA-ILD is unknown. The available data points to the presence of an environmental insult in the setting of a genetic predisposition. Though the data is limited, HLA-B54, HLA-DQB1*0601, HLA-B40, and HLA-DR4 as well as the site that encodes for the α1-protease inhibitor have all been linked to lung disease in RA. With this genetic predisposition, there is evidence of immune dysregulation with alterations in both T and B cells. Patients with RA-ILD have higher levels of CD4+ cells and CD54+ T cells in their lungs when compared to patients with one of the idiopathic interstitial pneumonias (IIPs), suggesting a maladaptive immune response and chronic T cell activation. B-cells are also involved, as patients with RA have higher levels of CD20 positive B-cells in peribroncholar lymphoid aggregates. Histopathologically, more germinal centers and lymphoplasmocytic cellular inflammation and fewer fibroblast foci are seen when compared to the IIPs. The cytokine milieu is also different in these patients. Patients with RA and established fibrosis have lower levels of IFN-γ and TGF-β2 than those with no ILD or mild ILD. In addition, patients with RA-ILD have higher levels of TNF-α and IL-6 production by macrophages when compared to healthy controls and PDGF levels are higher in BAL fluid. Finally, patients with RA-ILD have increased levels of high proliferative potential colony-forming cells in their peripheral blood compared to those without ILD and it is felt that these are possible progenitor cells for alveolar macrophages.

The role of smoking
Recent attention has been focused on the lungs as a possible site for initiation of the immune dysregulation that leads to clinical RA. Smoking is an established risk factor for the development of RA as well as the development of RA-ILD. There has been recent interest in the relationship between citrullinated protein, HLA-DR shared epitopes, smoking, and the development of RA. HLA-DR shared epitopes are the major genetic risk factors for the development of RA and it was found that smokers with HLA-DR shared epitopes have up to a 21-fold increase in
the risk of developing anti-CCP antibody positive RA.93
Smokers have citrullinated proteins in their lung lavage fluid81 and citrullinated proteins are seen in the synovium of patients with RA93 as well as rheumatoid nodules and the parenchyma of patients with RA-associated ILD.96 Smoking increases your likelihood of having anti-CCP antibodies at the onset of RA in a dose-dependent fashion77 and there is an increased prevalence of lung disease (predominately ILD) in patients with high-titer anti-CCP antibodies.72 This data suggests that, in certain patients with the appropriate genetic predisposition, the immune dysregulation that is seen in patients with RA could originate in the lungs and be related to tobacco smoke-induced citrullination of proteins, leading to the production of autoimmunity and the subsequent development of clinical arthritis. This does not explain all cases, as the development of RA-ILD is not dependent on a history of tobacco use.98

Clinical features
Patients usually present in their late 50s to 60s99–101 after an average of 10–12 years with RA.99,101 Among all comers with ILD, there is an even split between men and women100,101 though there seem to be gender differences among the various histopathologic subtypes of ILD (with men more commonly having usual interstitial pneumonia [UIP]17,101 and women more commonly having nonspecific interstitial pneumonia [NSIP]102). In two-thirds of patients, a confirmed diagnosis of RA precedes the diagnosis of ILD, though ILD can be occasionally precede the joint disease (up to 7 years in one study).103 Most patients with ILD will have symptoms such as cough or dyspnea103 though preclinical disease is commonly found when looked for by chest imaging or physiology.51 Crackles are commonly found on exam and are more frequent than in those RA patients without ILD.51,65,104 Finger clubbing is seen less commonly than in patients with idiopathic pulmonary fibrosis (IPF).66

Pulmonary function testing
Pulmonary physiologic screening in early RA (<2 years duration) irrespective of symptoms finds PFT abnormalities consistent with ILD in 22% of patients.33 A reduction in DLCO is the most common finding,33,65 may be the earliest physiologic change (those with RA and clinically silent ILD can have isolated reductions in DLCO33,31), and correlates with the extent of reticulation on HRCT.75,99 A reduction is seen in more than 50% of all patients screened and in 82% of those with documented ILD.65,108 It has also been found to be a highly specific predictor of disease progression in patients with UIP and RA.106 PFTs likely change later than HRCT in the course of disease as patients with preclinical disease can have an abnormal HRCT in the setting of normal PFTs.95

Chest imaging
In patients with RA-ILD, chest X-rays frequently show reticular, reticulonodular or honeycomb changes in the lung bases.107 The chest X-ray, however, is insensitive as greater than 50% of patients who have a normal plain chest radiograph will have abnormalities on their HRCT.33,65 Therefore, HRCT has replaced plain chest X-rays in the initial evaluation of the RA patient with potential lung disease. The incidence of ILD on HRCT depends on the clinical phenotype of the population evaluated. A study screening nonsmokers without respiratory symptoms found evidence of ILD on HRCT in 33%.9 Early RA (<2 years duration) patients have evidence of ILD on HRCT in a third of cases, though the extent of disease is mild.31 In both early and longstanding RA, HRCT changes can precede changes in PFTs.31,108 In looking at patients with clinically suspected ILD (symptoms, impaired lung function, or abnormal CXR), 92% have HRCT findings consistent with ILD.99

The most common abnormal findings are ground glass opacities (GGOs) and reticulation, seen in over 90% of patients,75 with reticulation seen in 65%–79% of HRCTs99,109 and seen in isolation in long-standing disease.99 GGOs are seen in 27% of patients, rarely seen in isolation (reported as the only HRCT abnormality in 0/49 HRCTs in one study), and are more common in patients with a shorter duration of disease.99 Less common findings include honeycombing, traction bronchiectasis, nodules, centrilobular branching lines, and consolidation.75,100 Patterns of involvement on HRCT correspond to patterns identified in the IIPs (Figures 1 and 2). In patients with RA referred to an interstitial lung disease center, patterns of ILD on HRCT include UIP (40%), NSIP (30%), bronchiolitis (17%), and organizing pneumonia (8%).75 Other studies have found similar percentages of UIP and NSIP.110 Occasionally, patients will have more than HRCT pattern.75

HRCT findings are well-correlated with underlying histopathology.75,109 Reticulation and honeycomb change are associated more frequently with pathologic UIP.75 GGOs can be found in both UIP and NSIP but are more pronounced and diffuse in the latter.75 Centrilobular branching is seen with bronchiolitis obliterans and consolidation correlates with organizing pneumonia.109 There are also associations between HRCT findings and physiology. The degree of
Patients with clinically significant RA-ILD have an increase in the total cellular concentration, increases in neutrophils, lymphocytes, and eosinophils, and a decreased CD4/CD8 ratio on bronchoalveolar lavage compared to those without ILD. Abnormal BAL findings can also be seen in patients with RA and subclinical ILD and elevated lymphocyte counts in these patients may help to distinguish them from those with normal physiology and chest radiographs. BAL findings have only a moderate correlation to the lesions found on HRCT, with a higher number of neutrophils found in patients with GGOs.

**Histopathology**

Studies of comparative histopathology in RA-ILD patients are complicated by a significant selection bias, as more severely impaired patients and those with unclear HRCT patterns tend to get surgical lung biopsies. Though NSIP is the most common histopathologic pattern seen in patients with other collagen-vascular associated ILDs, in patients with RA-ILD, UIP is more common than NSIP (60% vs 35%) (Figures 3 and 4). Patients with UIP are more likely to be male and current or former smokers when compared to NSIP, though the relationship between smoking and RA-ILD associated UIP is not seen in all studies. In RA-ILD associated UIP, fewer fibroblast foci, more inflammation, and a higher number of germinal centers are seen when compared to idiopathic UIP. Patients with RA-ILD will also have increased CD4+ T-cell infiltrates when compared to the IIPs. They often have concomitant lymphocytic bronchiolitis and well-developed bronchus-associated lymphoid tissue. Occasionally, biopsy specimens in these patients...
will have more than one histopathologic pattern. Other patterns in RA-ILD have been reported with lesser frequencies, including organizing pneumonia, diffuse alveolar damage, lymphocytic interstitial pneumonia, and desquamative interstitial pneumonia.

**Biomarkers**

Biologic fluid-based biomarkers have been looked at in BAL fluid. Patients with early asymptomatic RA-ILD have higher levels of the platelet-derived growth factor-AB and BB in BAL fluid and those with established UIP on biopsy have lower levels of IFN-γ and TGF-β2.51 Patients with progressive disease have higher levels of IFN-γ and TGF-β1.51 Levels of KL-6 are higher in patients with RA-ILD associated UIP118 as well as other subtypes of RA-ILD.17

**Treatment**

Rigorous treatment trials in patients with RA-ILD are lacking, and to date there has been no large-scale well-controlled treatment trial. There are limited reports of treatment with methotrexate,119 azathioprine,120 cyclosporine,121 mycophenolate mofetil,122 and TNF-α inhibitors.123,124 Current treatment regimens are variable and usually include corticosteroids with or without a cytotoxic agent such as azathioprine or mycophenolate mofetil. Treatment duration varies but is usually 6 months or longer.

There have been concerns about the anti-tumor necrosis factor agents and their possible association with the progression of ILD in patients with RA. There have been case reports of exacerbations of existing ILD or new interstitial pneumonitis in patients with RA taking infliximab.43,125,126 Etanercept has been linked to granulomatous lung disease and exacerbation of pre-existing lung disease in patients with RA.127,128 In spite of these reports, a recent review of 367 patients with RA-ILD treated with either anti-TNF agents or traditional RA treatments found no difference in mortality.129

**Outcome**

RA-ILD is progressive in the majority of patients; up to 57% of patients with early asymptomatic RA-ILD had progression on HRCT during a mean follow-up of 1.5 years and 60% of patients with established RA-ILD and a UIP histopathologic pattern had progression on HRCT during this time frame.53 Another study found that 34% of patients with RA and fibrosing alveolitis progressed radiographically over 24 months of follow-up.106 Reduced DLCO has been associated with progression of disease in those patients with a UIP histopathologic pattern, with a DLCO < 54% predicted demonstrating a 80% sensitivity and 93% specificity in predicting progressive disease.106 The use of MTX has also been suggested as a risk factor for progression.31 In some RA patients, pulmonary fibrosis is rapidly progressive (25% in one series)130 and patients with pulmonary fibrosis that leads to hospitalization have a median survival of 3.5 years.131

Yousem and colleagues were one of the first to report that among RA patients with ILD, those with a histologic pattern of UIP in surgical lung biopsy specimens had the worst prognosis.117 Results from subsequent studies have confirmed their findings.101,131 A recent study found 5-year survival rates of 36% in patients with UIP and 94% in NSIP17 (with other studies confirming the favorable outcome in NSIP and reporting no fatal cases of RA-ILD associated NSIP17,101). The prognosis of RA-ILD compared to IPF has long been debated with some studies finding similar outcomes132,133 and others suggesting that RA-ILD patients have a longer survival than IPF.104,134 Recent studies that have looked at RA patients with UIP on either HRCT or pathology have found a survival similar to that in IPF.100,114 In patients with RA-ILD with a UIP histopathologic pattern, the presence of traction bronchiectasis and honeycomb fibrosis, male gender, and a reduced DLCO are associated with a worse survival rate.100

**Workup and evaluation**

Rheumatologists should have a high index of suspicion for lung disease in all patients with RA. Symptoms such as cough and/or breathlessness and physical exam findings such as crackles significantly increase the likelihood of ILD, and other patient characteristics (such as active smoking, male
A response to therapy generally requires at least 3–6 months. Clinicians should follow the accepted monitoring schedule. Effects must be thoroughly discussed with the patients and documented lung disease.

**Anecdotal responses** as measured by stability of disease with cyclophosphamide, though with mixed results. Agent is often followed by a trial with the alternative cytotoxic or mycophenolate mofetil. Failure of response to the initial a corticosteroid and a cytotoxic agent such as azathioprine should generally be avoided in these patients. Adequate smoking patients should be encouraged to quit and MTX adequate testing for ILD requires an HRCT. Defining the underlying subtype of ILD by histopathologic pattern (NSIP, UIP) is helpful to stratify patients’ risk for disease progression and early mortality; however, HRCT patterns are highly predictive of the underlying histopathology and lung biopsy is reserved for cases with atypical clinical or chest imaging features.

Patients with ILD should be referred to a pulmonologist. Smoking patients should be encouraged to quit and MTX should generally be avoided in these patients. Adequate control of a patient’s joint symptoms should not be seen as a surrogate for control of the lung disease and does not obviate the need for close lung-specific follow-up for patients with documented lung disease.

It is our practice to treat patients with clinically significant symptoms, or physiologically or radiographically advanced disease on presentation or with evidence of symptomatic, physiologic, or radiographic progression. With a paucity of published data to guide our decisions, treatment at our tertiary referral center is based on clinical experience. Treatment incorporates the clinical importance of controlling joint symptoms and generally consists of a combination of a corticosteroid and a cytotoxic agent such as azathioprine or mycophenolate mofetil. Failure of response to the initial agent is often followed by a trial with the alternative cytotoxic agent. We have treated patients with life-threatening disease with cyclophosphamide, though with mixed results. Anecdotal responses as measured by stability of disease have been noted with rituximab. As with all treatments, side-effects must be thoroughly discussed with the patients and clinicians should follow the accepted monitoring schedule. A response to therapy generally requires at least 3–6 months of treatment with responses monitored by indices of symptoms, oxygenation, pulmonary physiology, and chest imaging with HRCTs. A response is defined as an improvement in one or more of these indices. Stabilization or a reduced rate of decline may also indicate a response. Other treatment such as comprehensive rehabilitation and maintenance of normoxia as well as a regular search for comorbidities such as silent reflux and obstructive sleep apnea should be considered.

**Summary**

Lung disease is common in RA and can involve all compartments of the lung. Up to 80% of HRCTs of the chest are abnormal, showing predominately airways disease and ILD. ILD is more common in men, advanced age, and those with high-titer CCP. Smoking appears to be involved as it citrullinates proteins in the lung, increases the likelihood of having anti-CCP antibodies, and increases the risk of both RA and its associated ILD. Patients present in their 50s and 60s with symptoms of cough and breathlessness. Infrequently, ILD can precede the development of joint disease. Pulmonary physiologic findings are variable and can show restriction, obstruction, or a reduction in the DLCO. HRCT reveals patterns similar to those seen in the IIPs. Pathology correlates well with findings on HRCT and most commonly reveals UIP (with one-third of patients having an NSIP pattern). UIP in RA differs from the idiopathic form with more germinal centers and fewer fibroblastic foci. There are no large-scale treatment trials for RA-ILD and the existing data comes from case reports and series with varying clinical phenotypes. Patient with UIP have the worst prognosis (5-year survival rate of 36%) and a survival that may be similar to those with IPF. Rheumatologists should have a high index of suspicion for lung disease in patients with RA and refer those with abnormal testing to a pulmonologist.

**Disclosure**

The authors report no conflicts of interest in this work.

**References**


