Effect of dispersants of multi-walled carbon nanotubes on cellular uptake and biological responses

Hisao Haniu 1
Naoto Saito 2
Yoshikazu Matsuda 3
Yoong-Ahm Kim 4
Ki Chul Park 1
Tamotsu Tsukahara 5
Yuki Usui 6
Kaoru Aoki 7
Masayuki Shimizu 7
Nobuhide Ogihara 7
Kazuo Hara 7
Seiji Takanashi 7
Masanori Okamoto 7
Norio Ishigaki 7
Koichi Nakamura 7
Hiroyuki Kato 7

1 Institute of Carbon Science and Technology, Shinshu University, Matsumoto, Nagano, Japan; 2 Department of Applied Physical Therapy, Shinshu University School of Health Sciences, Matsumoto, Nagano, Japan; 3 Clinical Pharmacology Educational Center, Nihon Pharmaceutical University, Ina-machi, Saitama, Japan; 4 Faculty of Engineering, Shinshu University, Nagano-shi, Nagano, Japan; 5 Department of Integrative Physiology and Bio-System Control, Shinshu University School of Medicine, Matsumoto-shi, Nagano, Japan; 6 Research Center for Exotic Nanocarbons, Shinshu University, Matsumoto, Nagano, Japan; 7 Department of Orthopedic Surgery, Shinshu University School of Medicine, Matsumoto, Nagano, Japan

Abstract: Although there have been many reports about the cytotoxicity of multi-walled carbon nanotubes (MWCNTs), the results are still controversial. To investigate one possible reason, the authors investigated the influence of MWCNT dispersants on cellular uptake and cytotoxicity. Cytotoxicity was examined (measured by alamarBlue® assay), as well as intracellular MWCNT concentration and cytokine secretion (measured by flow cytometry) in human bronchial epithelial cells (BEAS-2B) exposed to a type of highly purified MWCNT vapor grown carbon fiber (VGCF®, Shōwa Denkō Kabushiki-gaisha, Tokyo, Japan) in three different dispersants (gelatin, carboxymethyl cellulose, and 1,2-dipalmitoyl-sn-glycero-3-phosphocholine). The authors also researched the relationship between the intracellular concentration of MWCNTs and cytotoxicity by using two cell lines, BEAS-2B and MESO-1 human malignant pleural mesothelioma cells. The intracellular concentration of VGCF was different for each of the three dispersants, and the levels of cytotoxicity and inflammatory response were correlated with the intracellular concentration of VGCF. A relationship between the intracellular concentration of VGCF and cytotoxic effects was observed in both cell lines. The results indicate that dispersants affect VGCF uptake into cells and that cytotoxicity depends on the intracellular concentration of VGCF, not on the exposed dosage. Thus, toxicity appears to depend on exposure time, even at low VGCF concentrations, because VGCF is biopersistent.

Keywords: multi-walled carbon nanotube, cytotoxicity, intracellular concentration, dispersant, cytokine secretion

Introduction

Because of their unique properties, multi-walled carbon nanotubes (MWCNTs) have applications in a wide variety of industries. Currently, hundreds of tons of MWCNTs are produced around the world.1 Because carbon nanotubes (CNTs) have an asbestos-like shape, their safety has been a hot topic. In vivo studies have shown that the intrapulmonary administration of CNTs causes transient inflammatory responses and the development of granuloma tissue and fibrosis.2–4 In 2008, Takagi et al5 and Poland et al6 reported that mice intraperitoneally injected with MWCNTs exhibited the same toxicological changes that are seen in mice exposed to asbestos. However, studies of inhalation exposure to MWCNTs have not been performed in rats.7,8

In vitro experiments have shown that CNTs can induce cytokine production or an oxidative stress reaction in lung epithelial cells, keratinocytes, and immune cells, such as macrophages.9–13 However, other studies have shown CNTs to cause no significant biological response.14–17 The conflicting results may result from differences in the type of CNTs used (diameter, length, single-walled, or multi-walled), exposure concentration, exposure period, impurities, and dispersion medium. Davoren et al18 and Herzog et al19...
separately studied the cytotoxic effects of 50 µg/mL single-walled CNTs (SWCNTs) in different dispersion conditions on A549 lung epithelial cells; the results of these two studies indicated that SWCNTs dispersed in medium containing serum did not exhibit cytotoxicity and that SWCNTs dispersed in medium containing serum and the dispersant 1,2-dipalmitoyl-sn-glycero-3-phosphocholine (DPPC) did exhibit cytotoxicity. However, although their experiments clarified the influence of the presence of a dispersant, neither study demonstrated differences between various dispersants. Moreover, the exact relationship between the dispersant and cytotoxicity was not clarified.

Recently, Kim et al.20 investigated the dispersion of CNTs in between the dispersant and cytotoxicity was not clarified. Between various dispersants. Moreover, the exact relationship between the dispersant and cytotoxicity was not clarified.

In the present study, we compared the cytotoxic responses of bronchial epithelial cells to MWCNTs in three different dispersants. We found that dispersants had a crucial effect on MWCNT uptake and that the amount of MWCNTs incorporated by the cells is an important factor in cytotoxicity. We also confirmed the universality of the results through experiments with malignant mesothelioma cells. We did not pay attention to whether dispersant contributes to CNT recognition and/or uptake by cells.

Materials and methods

Multi-walled carbon nanotubes

We used a commercial MWCNT material, vapor grown carbon fiber (VGCF®, Shōwa Denkō Kabushiki-gaisha, Tokyo, Japan), that is manufactured by a chemical vapor deposition method. The mean diameter and length of VGCF are reported by the company to be 150 nm and 8 µm, respectively. The carbon purity is approximately 99.9%, and the amount of the entrapped metal obtained by an inductively coupled plasma mass spectrometer is less than 20 ppm. Sterilization was performed at 121°C for 15 minutes in an autoclave.

Three dispersants were prepared. Gelatin (Nacalai Tesque Inc, Kyoto, Japan) and carboxymethyl cellulose (CMC; Wako Pure Chemical Industries Ltd, Osaka, Japan) were dissolved in phosphate buffered saline (PBS) and adjusted to a concentration of 0.1%, and 1,2-dipalmitoyl-sn-glycero-3-phosphocholine (DPPC; Sigma-Aldrich® Corp, St Louis, MO, USA) was dissolved in PBS containing 1 mg/mL glucose and 0.6 mg/mL bovine serum albumin (0.01 mg/mL).21 VGCF (10 mg/mL) was suspended in each of these three dispersants, vortexed for 1 minute, and sonicated for 30 minutes. The basic properties of VGCF dispersed in the three different dispersants were measured22,23 and are summarized in Table 1 and Supplementary 1–6. Dispersed VGCF in each dispersant was added to cell culture medium at 1/100 volume in the following experiments.

Table 1 Basic properties of vapor grown carbon fiber (VGCF®) in different dispersants

<table>
<thead>
<tr>
<th>Testing method</th>
<th>VGCF in gelatin</th>
<th>VGCF in CMC</th>
<th>VGCF in DPPC</th>
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<tbody>
<tr>
<td>Diameter (nm)</td>
<td>80–130</td>
<td>80–130</td>
<td>80–130</td>
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<tr>
<td>Length (µm)</td>
<td>7–10</td>
<td>7–10</td>
<td>7–10</td>
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<tr>
<td>d_{200} (Å)</td>
<td>3.385</td>
<td>3.385</td>
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<tr>
<td>R value (I/I₀)</td>
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<td>0.089</td>
<td>0.104</td>
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<tr>
<td>Specific surface area (m²/g)</td>
<td>15</td>
<td>17</td>
<td>17</td>
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<tr>
<td>Real density (g/cm³)</td>
<td>2.1</td>
<td>2.1</td>
<td>2.1</td>
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<tr>
<td>Zeta potential (mV)</td>
<td>1.18 ± 1.66</td>
<td>1.22 ± 1.72</td>
<td>1.09 ± 0.22</td>
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<tr>
<td>Agglomerate diameter (nm)</td>
<td>1.442</td>
<td>3.201</td>
<td>2.336</td>
</tr>
</tbody>
</table>

Notes: *R refers to the intensity of D band over the intensity of G band; †phase-analysis light scattering method; ‡dynamic light scattering technique.

Abbreviations: CMC, carboxymethyl cellulose; DPPC, 1,2-dipalmitoyl-sn-glycero-3-phosphocholine; FE-SEM, field emission scanning electron microscope.
24 hours at 37°C in culture medium containing VGCF or dispersant control (DC). Viable cells metabolized the dye, resulting in an increase of fluorescence by excitation/emission at 550/600 nm as measured by a fluorescence multiplate reader (PowerScan 4; DS Pharma Biomedical, Osaka, Japan). Cytotoxic activity was calculated as follows: percent cytotoxicity = 100 × experimental value/DC value. Test media were assayed eight times for each treatment condition.

**Imaging VGCF uptake**

BEAS-2B cells in a 12-well plate were incubated with or without VGCF in various DC for 24 hours in 5% CO₂. Cell images and movies were obtained using an IX71 light microscope (Olympus, Tokyo, Japan) without washing the cells, thereby allowing observation of VGCF movement in the culture medium. To visualize the volume and localization of internalized VGCF, BEAS-2B and MESO-1 cells were incubated with VGCF in a glass-bottomed dish for 24 hours, and the cell nucleus was stained with Hoechst 33342 (1 µg/mL; Dojindo Laboratories, Kumamoto, Japan) for 30 minutes. The dish was placed on the stage of a LSM 510 NLO laser-scanning confocal microscope (Carl Zeiss, Jena, Germany) using a ×20 objective and imaged with a 405 nm blue diode laser for excitation and a 420 nm long-pass filter to capture the blue emission.

**Cytokine measurement**

Cytokines in the culture supernatant were measured with a cytometric bead array flex set system (BD Biosciences, San Jose, CA, USA), according to the manufacturer’s protocol. Briefly, BEAS-2B cells in a 24-well plate were exposed to 10 µg/mL of VGCF in various DC for 24 hours; then, cytokine capture beads (for TNF-α, IL-1β, IL-6, and IL-8) were added to the samples or cytokine standards (20–5,000 pg/mL) in flow cytometry tubes. The mixtures were vortexed, and antibody for fluorescence detection was added to each tube. The samples were then incubated at room temperature for 2 hours. Following incubation, the beads were washed once and resuspended prior to reading with a FACSCalibur™ apparatus (BD Biosciences). Test media were assayed in triplicate for each treatment condition.

**Assessment of VGCF uptake by flow cytometry**

The assessment of VGCF uptake was determined by flow cytometry, using the method of Al-Jamal and Kostarelos with slight modifications. Briefly, the cells were grown in 24-well plates that were incubated for 1 hour and 24 hours at 37°C in the presence or absence of VGCF. The cells were washed with PBS to remove unbound VGCF, harvested with trypsin, and centrifuged at 400 × g for 5 minutes. The precipitated cells were suspended in PBS containing 10% fetal bovine serum and filtered thorough nylon mesh (67 µm pore size) to remove the agglutinated VGCF on the surface. Side scatter (SSC) in a light-scattering analysis was immediately measured up to 10,000 events using FACSCalibur™. Test media were assayed in triplicate for each treatment condition.

**Assessment of cell size**

Untreated BEAS-2B and MESO-1 cells in a 6 cm culture dish were washed once with PBS and then harvested with trypsin. The trypsinized cells were suspended in PBS, and the cell size was assayed using Multisizer™ 4 Coulter Counter® (Beckman Coulter Inc, Brea, CA) by counting 10,000 cells.

**Statistical analysis**

Data are presented as mean ± standard error (SE), the Student’s t-test was used for the data analysis and P < 0.05 was considered statistically significant.

**Results**

**Influence of dispersion medium**

The effect of VGCF (10 µg/mL) in three different dispersants on BEAS-2B cell viability was measured using alamarBlue® reagent (Figure 1). VGCF dispersed in gelatin decreased cell viability by 38%, and VGCF dispersed in DPPC decreased cell viability by 83%. In contrast, VGCF
dispersed in CMC increased cell viability compared with the control (114%).

VGCF was recorded in each dispersant at 1 hour (Movie S1–S3). Large amounts of VGCF had already settled on the bottom of the culture dish. VGCF in gelatin and in CMC showed movement resembling Brownian motion. Optical microscope images of cells treated with VGCF in the different dispersants at 24 hours are shown in Figure 2. Intracellular aggregation of VGCF is apparent when gelatin or DPPC is used as the dispersant. However, VGCF in CMC was well dispersed, compared with VGCF in gelatin or DPPC, and intracellular uptake was not seen for VGCF in CMC until 24 hours (Movie S4).

We subsequently analyzed the light scattering during flow cytometry to quantify the amount of intracellular VGCF (Figure 3B). Before flow cytometry, we confirmed that VGCF was not adhered to the cells; rather, most of the VGCF was internalized into the cells that were harvested by trypsin and filtered through the nylon mesh (Figure 3A). After 1-hour exposure to VGCF, the SSC was significantly increased in all dispersants as compared with the dispersant-only control. The relative rates compared with the control were 1.65 in gelatin and less than 1.1 in CMC or DPPC (Figure 3C). In contrast, after 24 hours, the relative rates were 2.40 and 1.88 in gelatin and DPPC, respectively, but there was almost no change for CMC (1.05). These data show that VGCF in CMC was not internalized by the cells.

We also examined the influence of intracellular VGCF on cytokine secretion in BEAS-2B cells. BEAS-2B cells exposed to VGCF in both DPPC and gelatin exhibited significantly...
increase in IL-6 and IL-8 secretion that were correlated with the intracellular VGCF concentration. In contrast, cells exposed to VGCF in CMC did not show any increase in secretion of either IL-6 or IL-8 (Figure 4A, B). Other cytokines, such as TNF-α and IL-1β, were not detected under any conditions.

Influence of internalized VGCF

Next, we evaluated the relationship between the intracellular concentration of VGCF and the viability of BEAS-2B and MESO-1 cells. In both cell lines, we observed a decrease in cell viability in a VGCF-dependent manner (Figure 5).

However, the half-maximal inhibitory concentration (IC$_{50}$) values differed; the decreased viability in BEAS-2B cells (IC$_{50}$ = 7 µg/mL) was greater than that in MESO-1 cells (IC$_{50}$ = 17 µg/mL).

In both cell lines, the relative uptake increased in a concentration-dependent manner (Figure 5). The slopes of the increase in VGCF uptake were different, with BEAS-2B cells having a greater slope than MESO-1 cells. However, the relative uptake value at the IC$_{50}$ concentration of VGCF was approximately 2.1 in both types of cells. In the microscopy evaluation, the absolute amounts of intercellular VGCF seemed to be different, but the ratio of VGCF amount...
to cell volume was almost identical in the two cell types (Supplementary 7).

The mean diameters of the BEAS-2B and MESO-1 cells were found to be 15.8 µm and 20.9 µm, respectively (Table 2). Their respective mean cell volumes were calculated to be 2,064 µm³ and 4,847 µm³.

**Discussion**

Because MWCNTs are hydrophobic, it is important to use dispersants when evaluating MWCNT safety. Therefore, we evaluated the influences of three different dispersants on the viability of cells exposed to a commercial MWCNT material. For dispersants, we selected gelatin and CMC, which are commonly used in pharmaceuticals and food products, as well as DPPC, which is used in experimental lung-exposure conditions.

Previous safety evaluations of CNTs have used direct suspensions in culture medium or commonly used dispersants (eg, Tween 80, dipalmitoyl lecithin, Arabic gum). However, the results of these evaluations were controversial and did not yield clear toxicological information regarding CNTs. It was initially thought that the differences in results were caused by the type of CNT (eg, single-walled versus multi-walled), the included impurities, or differences in cell lines, and little attention was paid to the type of dispersant. Recent reports have described the effects of dispersants on inhibiting the aggregation of CNTs, but the differences among the common dispersants are still unclear. No previous reports have compared the effects of different dispersants under the same conditions.

In the present study, we obtained contradictory results of increased and decreased cell viability with the same lot of CNTs and the same cell type; the only difference in experimental conditions was the type of dispersant. These results indicate the possibility that MWCNT safety evaluations are influenced by the type of dispersant. In general, dispersants are amphiphilic and exhibit hydrophilicity and hydrophobicity based on their inherent structure; they disperse hydrophobic substances. In all dispersants, VGCF was dispersed at similar levels after 1 hour, as seen by microscopic observation. At 24 hours, VGCF dispersed and CMC showed no change in agglomeration outside of the cells. By contrast, some of the VGCF dispersed with gelatin or DPPC agglomerated in the culture medium, and most of the single and agglomerated VGCF was internalized into the cells after 24 hours. Moreover, single VGCF fibers showed Brownian motion after 1 hour when dispersed with gelatin or CMC. These observations indicate that differences in dispersants might influence the in vitro response of cells to VGCF. Additionally, the VGCF suspended with PBS could not obtain sufficient suspension under these experimental conditions because of its light weight (results not shown). However, a small amount of agglomerated VGCF in PBS sank to the bottom of the culture dish and was endocytosed by the cells, leading us to surmise that VGCF has the surface characteristics needed for cellular uptake.

Noticeably, there was no distinctive change in the physical characteristics of VGCF dispersed in the three different dispersants, other than agglomerate diameter (Table 1). It has been reported that the zeta potential influences the cellular uptake and cytotoxicity of nanomaterials. However, those studies measured the zeta potential under different conditions from studies exposing the nanomaterials to cells. In our study, there was no difference in the zeta potential of VGCF dispersed with the three dispersants in culture medium. Moreover, there have been many reports that CNTs enter the cell, and the bioactivity of CNTs is well known. These facts indicate that other unknown factors, such as the relationship between CNT receptors on the cell membrane...
Dispersant effect on MWcNT uptake and dispersants, also contribute to the rate of endocytosis. Therefore, dispersants, such as CMC, that inhibit cellular uptake are not suitable for safety assessments of VGCF. However, VGCF with CMC could avoid acute and severe toxicity because of its excellent dispersion. If the mechanism by which CMC suppresses VGCF uptake is clarified and found to apply to the surface-chemical modification of CNT, highly biocompatible CNTs may be developed.26,39,40 Recently, many reports have described possible medical applications for surface-modified CNTs.41,42

We also examined the effect of the dispersion medium on the immune response, which is an index of the critical biological responses to VGCF. SWCNTs have been reported to decrease the proliferation of human lung epithelium in vitro.19 However, the cells used in that report do not internalize SWCNTs. Another study reported that a cytokine assay could not provide accurate measurements because SWCNTs adsorbed cytokines.18 However, BEAS-2B cells that internalized VGCF in gelatin or in DPPC exhibited increased IL-6 and IL-8 secretion, whereas cytokine secretion was not altered when the VGCF in CMC were not internalized. Cytokine secretion, which is an indicator of an inflammatory response, is increased in the bronchoalveolar lavage fluid of mice exposed to CNTs.43–45 Our results indicate that VGCF uptake is critical for cytokine secretion, which is consistent with other in vivo studies.

In the present study, the amount of VGCF uptake was represented as the relative ratio compared with the VGCF-free experimental condition. As a result, the uptake amounts of VGCF in gelatin were higher than those of VGCF in DPPC at 1 hour after VGCF exposure. At that time, the amounts of VGCF on the bottom of the culture dish were similar for all three dispersants. The dispersant might also affect the uptake rate of VGCF into the cells. The intracellular amount of VGCF in gelatin was increased, compared with other dispersants; consequently, the intracellular VGCF inhibited cell proliferation and decreased cell viability to less than 50%. The doubling times of BEAS-2B and MESO-1 cells are approximately 28 hours and 26 hours, respectively, and the cell count had almost doubled at the time of the alamarBlue® assay in this experiment. Thus, the cell viability of less than 50% represented the cytotoxic effect of VGCF. In the case of DPPC, we think that the cells were proliferating during VGCF uptake, and consequently, the concentration of VGCF per cell was reduced. Therefore, the cell viability was maintained at approximately 80% by VGCF in DPPC as a result of the relatively small VGCF uptake. In contrast, in the experiment with VGCF in gelatin and BEAS-2B cells,
the uptake ratio at 80% cell viability was approximately 1.8, which was similar to VGCF in DPPC. This result suggests that the cell viability of VGCF was related to the intracellular concentration of VGCF, not to the type of dispersant.

Moreover, the relationship between cell viability and cell differences might not be significant because the relative uptake ratio was approximately 2.1 at the IC$_{50}$ of VGCF in both types of cells. However, in the microscopic images, it seemed that the absolute amount of intracellular VGCF differed between BEAS-2B cells and MESO-1 cells. The relative ratio of VGCF seemed to represent an absolute quantity of VGCF versus the intracellular capacity, because the SSC of flow cytometry represents the inner complexity of the cells based on the shape of the nucleus, the amount and type of cytoplasmic granules, or the membrane roughness. In fact, the cell volume of MESO-1 cells was about 2.3 times larger than that of BEAS-2B cells. This value was almost the same as that of the IC$_{50}$ concentration.

We performed the AB assay and measured SSC simultaneously, under the same experimental conditions. When the starting cell density was changed, the SSC value and cell viability at one VGCF concentration was also altered, but the SSC value at IC$_{50}$ was constant.

In conclusion, we found that the biological response to VGCF in vitro is influenced by the dispersant. The variety of dispersant affects the uptake rate and cell proliferation rate. In contrast, VGCF induced cytotoxicity and inflammatory reactions based on the intracellular concentration of VGCF, not based on cell differences. More detailed studies are needed to elucidate the exact biological mechanisms involved.

**Acknowledgments**

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**Disclosure**

The authors have no conflicts of interest to declare in this work.

**References**


Supplementary materials

Scanning electron microscopy (SEM, JEOL JSM-6335Fs) observation was used to measure the diameter and length of MWCNTs, whereas X-ray powder diffraction measurement (JEOL-JDX3532, CuKα, λ = 1.54056 Å) was carried out to obtain the interlayer spacing by using the Scherrer equation and the X-ray pattern. On the basis of Raman spectra taken with a 532-nm laser line (a Kaiser HoloLab 5000 system), the R value (I_D/I_G) was calculated from the integrated intensity of the D-band divided by the intensity of the G-band. The specific surface area of the MWCNT sample was measured by determining nitrogen adsorption at 77 K (ASAP2020, Micromeritics, USA). The real density of the sample was measured by using a gas pycnometer (Accupyc 1330, Shimazu). The zeta potential and particle size were recorded on a NICOMP 380ZLS (Particle Sizing Systems, USA). The particle-size measurement was performed by using a dynamic light scattering (DLS) technique that is sensitive to the presence of agglomerate particles, and the zeta potentials were measured by employing a phase-shift analysis for electrophoretic light scattering.

Figure S1 Analytical methods for vapor grown carbon fiber (VGCF®) properties in Table 1.

Figure S2 Field emission-scanning electron microscopy image of vapor grown carbon fiber (VGCF®) in different dispersants.

Abbreviations: CMC, carboxymethyl cellulose; DPPC, 1,2-dipalmitoyl-sn-glycero-3-phosphocholine.
Figure S3 X-ray diffraction data for vapor grown carbon fiber (VGCF®) in different dispersants.
Abbreviations: CMC, carboxymethyl cellulose; DPPC, 1,2-dipalmitoylsn-glycero-3-phosphocholine.

Figure S4 Raman spectroscopy of vapor grown carbon fiber (VGCF®) in different dispersants.
Abbreviations: CMC, carboxymethyl cellulose; DPPC, 1,2-dipalmitoylsn-glycero-3-phosphocholine.

<table>
<thead>
<tr>
<th>Sample</th>
<th>Solution ID</th>
<th>Z-potential (mV)</th>
<th>Mean Z-potential ± Dev. (mV)</th>
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<tr>
<td>VGCF in gelatin sol</td>
<td>Gelatin1</td>
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Figure S5 Zeta potential of vapor grown carbon fiber (VGCF®) suspensions in three different dispersants.
Abbreviations: CMC, carboxymethyl cellulose; DPPC, 1,2-dipalmitoylsn-glycero-3-phosphocholine; Dev., deviation; sol, solution.
Figure S6 Agglomerate diameter of vapor grown carbon fiber (VGCF®) suspending in three different dispersants. 
**Abbreviations:** CMC, carboxymethyl cellulose; DPPC, 1,2-dipalmitoyl-sn-glycerol-3-phosphocholine.

**Figure S7** Images of cells exposed to vapor grown carbon fiber (VGCF®) at the approximate concentration of IC₅₀ for 24 hours. (A) BEAS-2B cells exposed to 5 µg/mL of VGCF and (B) MESA-1 cells exposed to 10 µg/mL of VGCF.

**Movie S1** Movie of BEAS-2B cells incubated with 10 µg/mL of vapor grown carbon fiber (VGCF®) in gelatin for 1 hour. Available here. 
**Notes:** Microscope was set for bright-field mode and magnified ×200. The images were recorded for 10 seconds.

**Movie S2** Movie of BEAS-2B cells incubated with 10 µg/mL of VGCF in CMC for 1 hour. Available here. 
**Notes:** Microscope was set for bright-field mode and magnified ×200. The images were recorded for 10 seconds. 
**Abbreviations:** CMC, carboxymethyl cellulose; VGCF, vapor grown carbon fiber (VGCF®).

**Movie S3** Movie of BEAS-2B cells incubated with 10 µg/mL of VGCF in DPPC for 1 hour. Available here. 
**Notes:** Microscope was set for bright-field mode and magnified ×200. The images were recorded for 10 seconds. 
**Abbreviations:** DPPC, 1,2-dipalmitoyl-sn-glycerol-3-phosphocholine; VGCF, vapor grown carbon fiber (VGCF®).
Movie S4 Movie of BEAS-2B cells incubated with 10 µg/mL of VGCF in CMC for 24 hours. Available here.
Notes: Microscope was set for bright-field mode and magnified ×200. The images were recorded for 10 seconds.
Abbreviations: CMC, carboxymethyl cellulose; VGCF, vapor grown carbon fiber (VGCF®).