Comparative efficacy of indacaterol 150 µg and 300 µg versus fixed-dose combinations of formoterol + budesonide or salmeterol + fluticasone for the treatment of chronic obstructive pulmonary disease – a network meta-analysis

Shannon Cope¹

Gorana Capkun-Niggli²

Rupert Gale³

José R Jardim⁴

Jeroen P Jansen¹

¹Mapi Values, Boston, MA, USA;

²Health Economics and Outcomes Research, Novartis Pharma AG, Basel, Switzerland;

³Novartis Horsham Research Centre, Horsham, UK;

⁴Respiratory Division, Federal University of São Paulo, Brazil

Objective: To compare efficacy of indacaterol to that of fixed-dose combination (FDC) formoterol and budesonide (FOR/BUD) and FDC salmeterol and fluticasone (SAL/FP) for the treatment of chronic obstructive pulmonary disease (COPD) based on the available randomized clinical trials (RCTs).

Methods: Fifteen placebo-controlled RCTs were included that evaluated: indacaterol 150 µg (n = 5 studies), indacaterol 300 µg (n = 4), FOR/BUD 9/160 µg (n = 2), FOR/BUD 9/320 µg (n = 3), SAL/FP 50/500 µg (n = 5), and SAL/FP 50/250 µg (n = 1). Outcomes of interest were trough forced expiratory volume in 1 second (FEV₁), total scores for St. George's Respiratory Questionnaire (SGRQ), and transition dyspnea index (TDI). All trials were analyzed simultaneously using a Bayesian network meta-analysis and relative treatment effects between all regimens were obtained. Treatment-by-covariate interactions were included where possible to improve the similarity of the trials.

Results: Indacaterol 150 µg resulted in a higher change from baseline (CFB) in FEV₁ at 12 weeks compared to FOR/BUD 9/160 µg (difference in CFB 0.11 L [95% credible intervals: 0.08, 0.13]) and FOR/BUD 9/320 µg (0.09 L [0.06, 0.11]) and was comparable to SAL/FP 50/250 µg (0.02 L [-0.04, 0.08]) and SAL/FP 50/500 µg (0.03 L [0.00, 0.06]). Similar results were observed for indacaterol 300 µg at 12 weeks and indacaterol 150/300 µg at 6 months. Indacaterol 150 µg demonstrated comparable improvement in SGRQ total score at 6 months versus FOR/BUD (both doses), and SAL/FP 50/500 µg (-2.16 point improvement [-4.96, 0.95]). Indacaterol 150 and 300 µg demonstrated comparable TDI scores versus SAL/FP 50/250 µg (0.21 points [-0.57, 0.99]; 0.39 [-0.39, 1.17], respectively) and SAL/FP 50/500 µg at 6 months.

Conclusion: Indacaterol monotherapy is expected to be at least as good as FOR/BUD (9/320 and 9/160 µg) and comparable to SAL/FP (50/250 and 50/500 µg) in terms of lung function. Indacaterol is also expected to be comparable to FOR/BUD (9/320 and 9/160 µg) and SAL/FP 50/500 µg in terms of health status and to SAL/FP (50/250 and 50/500 µg) in terms of breathlessness.

Keywords: COPD, network meta-analysis, indacaterol

Correspondence: Jeroen Jansen
Mapi Values, 133 Portland Street, Boston,
MA 02114, USA
Tel +1 617 720 0001
Fax +1 617 720 0004
Email jeroen.jansen@mapivalues.com

Introduction

Chronic obstructive pulmonary disease (COPD) is a disorder characterized by the progressive development of airway obstruction, which manifests as an

accelerated decline in lung function, with symptoms such as breathlessness on physical exertion, deteriorating health status, and exacerbations.¹

Treatments aim to prevent and control symptoms, reduce exacerbations, improve health status, and increase exercise tolerance. Currently, the Global Initiative for Chronic Obstructive Lung Disease recommend initiation with a short-acting bronchodilator followed by the addition of long-acting bronchodilators as the disease progresses.¹ Commonly used bronchodilators include inhaled long-acting β_2 -agonists (LABAs) (eg, formoterol or salmeterol), the inhaled long-acting anticholinergic tiotropium, and oral methylxanthines.¹ If a patient with severe disease experiences repeated exacerbations, an inhaled steroid may be added and fixed-dose combinations (FDC) of LABA plus an inhaled steroid, including formoterol/budesonide (FOR/BUD) or salmeterol/fluticasone propionate (SAL/FP), may be prescribed.¹ Despite recommendations, it has been found that a high percentage of patients receive FDCs as a first-line treatment.²

Indacaterol is a novel once-daily inhaled LABA indicated for maintenance bronchodilator treatment of airflow obstruction in adult patients with COPD. The recommended dose is one 150 microgram (μ g) capsule once a day, using the Onbrez® Breezhaler® (Novartis) inhaler, increased on medical advice to a maximum dose of one 300 μ g capsule once a day.³ In an extensive phase III clinical trial program indacaterol demonstrated superior lung function to LABA monotherapies and was at least as good as LABAs with respect to other outcomes.^{4–7} Given these findings, and the knowledge of the early use of FDCs, a comparison of indacaterol to FDCs is a relevant clinical question.

In the absence of a head-to-head randomized controlled trial (RCT) for the comparison of interest, the objective of the current study was to indirectly compare the efficacy of indacaterol 150 μ g, indacaterol 300 μ g, fixed-dose FOR/BUD, and fixed-dose SAL/FP for the treatment of COPD patients based on the currently available RCT evidence by means of a network meta-analysis. Outcomes of interest were lung function measured by trough forced expiratory volume in 1 second (FEV_1), health status measured by the St. George's Respiratory Questionnaire (SGRQ) total score, and breathlessness as assessed by transition dyspnea index (TDI) total score.

Methods

Identification and selection of studies

A systematic literature search was performed using a pre-defined search strategy in MEDLINE® and EMBASE®; study

documents for indacaterol studies were provided by Novartis. Search terms included a combination of free-text and thesaurus terms relevant to COPD, indacaterol, salmeterol, formoterol, and RCTs (see Appendix for search strategy). The search strategy was initially performed for the period 1989–2009 and a supplementary search was undertaken for the period 2009–2010 in order to capture the most recent literature.

Two reviewers independently evaluated each identified study against the following predetermined criteria:

- *Population of interest:* adults with COPD.
- *Interventions:* indacaterol 150 μ g or 300 μ g, fixed dose combinations of FOR/BUD and SAL/FP.
- *Comparators:* comparators included any of the interventions or placebo. Studies that solely evaluated different components of the fixed dose combination separately were excluded.
- *Outcomes:* outcomes of interest included trough FEV_1 (reported predose values) at 12 weeks and 6 months, SGRQ total score at 6 months, and TDI total score at 6 months.
- *Study design:* RCTs.

For the studies identified that met the selection criteria, details were extracted on study design, population characteristics, interventions, and the outcomes trough FEV_1 at 12 weeks and 6 months, SGRQ total score at 6 months, and TDI total score at 6 months. Only outcomes that were within 2 weeks of the time point of interest were extracted. For each outcome the difference in the change from baseline (CFB) (or difference at follow-up adjusted for baseline) was extracted where reported. In cases where the difference in CFB was not reported, it was calculated by subtracting the CFB in the placebo from the CFB in the active treatment (or the adjusted CFB values). If the CFB values per treatment were not reported they were extracted from figures using the software DigitizIt version 1.5.8. The standard error of the difference in CFB was extracted where available or calculated based on the uncertainty or variation reported (eg, 95% confidence interval or standard deviation). If there was insufficient information to calculate the standard error of the difference, an average standard deviation was calculated from the studies included in each specific analysis and combined with the study-specific sample size to derive the standard error.

Analysis

Bayesian network meta-analysis models were used^{8–10} to analyze the created data set for the CFB in FEV_1 at 12 weeks and

at 6 months, the CFB in SGRQ total score at 6 months, and the TDI total score at 6 months, to simultaneously synthesize the results of the included studies and to obtain differences for indacaterol 150 and 300 µg versus FOR/BUD, SAL/FP, and placebo.

Network meta-analyses within the Bayesian framework involve data, a likelihood distribution, a model with parameters, and prior distributions.¹⁰ The model relates the data from the individual studies to basic parameters reflecting the (pooled) relative treatment effect of each intervention compared to an overall reference treatment, eg, placebo. Based on these basic parameters, the relative efficacy between each of the competing interventions was obtained. For all endpoints a regression model with a normal likelihood distribution was used.^{9,10} For each outcome, a fixed and a random effects model was evaluated. The fixed effects model assumes that the differences in true relative treatment effects across studies in the network of evidence are caused only by the differences in treatment comparisons. The random effects model assumes that differences in observed treatment effects across the studies in the network are not only caused by the different treatment comparisons, but that there is also heterogeneity in the relative effects for a particular type of comparison caused by factors that modify that relative treatment effect. A comparison of the fit of the fixed and random effects model to the data based on the residual deviance was used to select a fixed or random effects model.¹¹

With a network meta-analysis, randomization only holds within a trial and not across trials. As a result, there is the risk that patients who were studied in different comparisons are not similar, which leads to consistency violations. In order to minimize confounding bias, treatment by covariate interactions were incorporated in the models.¹² Covariates potentially causing bias were selected based on clinical expertise and evaluation of whether these covariates were effect modifiers of any of the treatments under evaluation in individual studies analyzed. The following covariates were included simultaneously where possible and otherwise in separate models where insufficient data were available: 1) Proportion of patients who are current smokers (as opposed to ex-smokers); and 2) Proportion of patients with severe or very severe COPD (as opposed to mild or moderate COPD). Additional analyses were also performed, including study level covariates for age, and sex; which were not presented given the limited impact of the treatment by covariate interactions.

The results of the network meta-analysis provide relative treatment effects of each treatment versus a competing intervention, eg, *differences* in TDI or the differences in

the CFB for FEV₁ or SGRQ. In order to transform these relative estimates into absolute expected results with each treatment (eg, TDI or CFB in FEV₁ or SGRQ), the relative treatment effects of each regimen relative to placebo were combined with absolute average treatment effect for placebo as a reference.

The Bayesian approach involves a formal combination of a prior probability distribution, with a likelihood distribution for the model parameters to obtain a posterior probability distribution for the estimates of the basic parameters. In order to avoid prior beliefs influencing the results of the model, noninformative prior distributions were used. Prior distributions of the relative treatment effects were normal distributions with mean 0 and a variance of 10⁶. A uniform distribution with range of 0 to 2 was used for the prior distribution of heterogeneity for the random effects models. The posterior distribution can be interpreted in terms of probabilities and permits calculation of the probability that each treatment is best out of those compared given the data at hand; this gives the Bayesian approach an advantage over the frequentist approach.

WinBUGS 1.4.1 statistical software was used for the analyses.¹³ Summary statistics are presented for the expected absolute and relative treatment effects. In addition to point estimates reflecting the most likely value, 95% credible intervals (95% CrI) reflecting the range of true underlying effects with 95% probability are presented. Furthermore, for each of the endpoints, the probability that indacaterol is better than a certain regimen is presented. Results are presented without adjustment for covariates for the CFB in FEV₁ at 12 weeks and 6 months, CFB in SGRQ total score at 6 months, and TDI total score at 6 months. Results with adjustment are discussed for FEV₁ at 12 weeks. The inclusion of covariates was explored for SGRQ and TDI, but was not always feasible given the data limitations.

Results

Study selection and characteristics

The literature search identified 411 potentially relevant studies (Figure 1). The first review excluded 375 (91%) of these abstracts because of the trial design (117, 28%), intervention (107, 26%), trial duration (60, 15%), duplication (47, 11%), comparator (24, 6%), and population (20, 5%). The full text review of 36 remaining studies excluded 25 (69%) studies, largely because of study design. Overall, 11 studies were identified from the search^{4,6,14–22} and 4 relevant RCTs for indacaterol were added from its clinical trial program (Novartis studies B2335S,²³ B2336,²⁴ B1302,²⁵ and B2333²⁶).

Figure 1 Flow diagram of study selection.

Abbreviations: CSR, complete study reports; FDC, fixed-dose combinations; PICOS, patients, interventions, comparators, outcomes, and study design.

Data on file were used for studies B2334²⁷ and B2346,²⁸ which corresponded to publications by Dahl et al 2010⁴ and Feldman et al 2010,⁶ respectively.

The network of evidence (Figure 2) illustrates that all active therapies were compared to placebo, and that 3 studies directly compared indacaterol 150 µg to indacaterol 300 µg. Study B2334 evaluated indacaterol 300 µg and 600 µg once daily compared to placebo and formoterol 12 µg twice daily over 52 weeks. This was the first pivotal indacaterol registration study, and in addition to data on the 300 µg dose, it provides safety data on the 600 µg dose – a dose that is

2 to 4 times the EU-approved dose. B2335S was an adaptive seamless design study that combined an initial dose-selection phase with a pivotal registration phase and assessed indacaterol 150 µg and 300 µg once daily compared to placebo and open-label tiotropium 18 µg once daily over 26 weeks. B2346 evaluated indacaterol 150 µg once daily compared to placebo over 12 weeks, and was the third indacaterol pivotal registration study (providing the required replicate data for the 150 µg dose), while B2336 compared indacaterol 150 µg once daily to placebo as well as salmeterol 50 µg twice daily over 26 weeks, providing additional data on the 150 µg dose.

Figure 2 Network of studies.

Note: ^aStudies included predominantly Asian patients.

Abbreviations: BID, twice daily; FDC, fixed-dose combinations; FOR/BUD, FDC formoterol and budesonide; ICS, inhaled corticosteroids; OD, once daily; SAL/FP, FDC salmeterol and fluticasone propionate.

Tables 1 and 2 present the details of the study and patient characteristics for the 15 studies included in the analysis. All studies were multicenter placebo-controlled RCTs with a parallel design and included a total of 10,211 adult patients with COPD. The studies included patients ≥ 40 years of age with FEV_1/FVC of ≤ 0.70 and FEV_1 percent predicted $< 80\%$, while the indacaterol trials required patients to have a predicted FEV_1 of at least 30%. Most studies included patients who were current or ex-smokers with a smoking history of at least 10 years, although some studies included patients with a smoking history of at least 20 pack-years (Hanania et al 2003,¹⁸ Mahler et al 2002,¹⁹ B2334,⁴ B2335S,⁵ B2336,⁷ and B2346⁶). Three studies included predominantly Asian patients (Zheng et al 2007,²² and studies B1302²³ and B2333²⁴), whereas the remaining studies included mostly Caucasian patients or reported study centers in Europe and North America. Limited information was reported on the comorbidities of the patients, although most studies excluded patients with asthma or other respiratory or pulmonary diseases and other clinically significant diseases that may have affected treatment. Some differences across the studies were observed in

baseline FEV_1 and health status (as assessed by SGRQ total score), which may have been related to COPD severity.

Comparative efficacy

In Table 3 the individual study results for the different endpoints are presented. These study findings were synthesized in 2 series of network meta-analyses: the first analyses included all studies and the second analyses excluded the 3 Asian studies. As patients using background inhaled corticosteroids (ICS) were permitted entry into the indacaterol studies (providing they continued to use ICS at a stable dose and regimen throughout the study), only data for patients not using ICS ('non-ICS users') were included in the analyses in order to ensure the patients in the placebo arms of the indacaterol trials were sufficiently similar to those in the FDC studies. Therefore, the analysis was based on unpublished subgroup data provided by Novartis for all indacaterol studies.

Trough FEV_1 at 12 weeks and 6 months

All treatments were more efficacious than placebo at 12 weeks and 6 months in terms of trough FEV_1 for all analyses without

Table 1 Study characteristics for each study included in the network meta-analysis

Source	Trial type	Location	Duration	Active treatments	Examples of key comorbidity exclusions	Ethnicity ^d
Hanania et al ¹⁸	RCT, DB, MC	76 centers; US	24 weeks	SAL/FP; 50/250 µg; BID	Patients with asthma and significant medical disorders	White: 91%–96% Black: 3%–5% Asian/other: 2%–3% White: 99%–100% Asian: 0%–1% NR
Barnes et al ¹⁴	RCT, DB, MC	NR	13 weeks	SAL/FP; 50/500 µg; BID	NR	NR
Calverley et al ¹⁵	RCT, DB, MC	196 centers; 25 countries in Europe including Russia, Australia, New Zealand, Canada, South Africa	52 weeks	SAL/FP; 50/500 µg; BID	Patients with other respiratory disorders	United States: 23% Europe: 50% Asia: 12%–13% Other: 15% White: 92%–95% Black: 4%–6% Asian/other: 1%–2% 100% Chinese
Calverley et al ¹⁶	RCT, DB, MC	444 centers; 42 countries	3 years	SAL/FP; 50/500 µg; BID	Patients with asthma, other respiratory disorders, or other diagnosis that may interfere with treatment	NR
Mahler et al ¹⁹	RCT, DB, MC	65 centers; US	24 weeks	SAL/FP; 50/500 µg; BID	Patients with asthma and/or significant medical disorders (emphysema: 74%–78%)	NR
Zheng et al ^{22,a}	RCT, DB, MC	12 centers; China	24 weeks	SAL/FP; 50/500 µg; BID	Patients with other respiratory disorders and/or significant medical disorders	NR
Calverley et al ¹⁷	RCT, DB, MC	109 centers; 15 countries in Europe, Brazil, South Africa and Asia (China, Malaysia, Taiwan, and Thailand)	52 weeks	FOR/BUD; 9/320 µg; BID;	Patients with history of asthma, seasonal allergic rhinitis prior the age of 40 years, relevant cardiovascular disorders or significant disorder	White: 92.5% Black: 2.6% Asian: 0.2% Other: 4.7%
Rennard et al ²⁰	RCT, DB, DD, MC	237 centers; US and Mexico	52 weeks	FOR/BUD; 9/160 µg; BID; FOR/BUD; 9/320 µg; BID	Patients with history of asthma, seasonal allergic rhinitis prior the age of 40 years, relevant cardiovascular disorders or other respiratory tract disorders	White: 92%–93% Black: 2%–3% Asian: 0%–1% Other: 4%–6%
Tashkin et al ²¹	RCT, DB, DD, MC	194 centers; US, Czech Republic, The Netherlands, Poland and South Africa	26 weeks	FOR/BUD; 9/160 µg; BID; FOR/BUD; 9/3200 µg; BID	Patients with history of asthma, seasonal allergic rhinitis before the age of 40 years, any relevant cardiovascular disorders or other respiratory tract disorder	Caucasian: 92%–94% Black: 0% Asian: 2% Other: 5%–6% Caucasian: 79%–82% Black: 2%–3% Asian: 13%–19% Other: 0%–1%
Dahl et al ⁴ (B2334) ^{b,27} Non-ICS	RCT, DB, DD, MC	240 centers: Europe and Russia, Argentina, Chile, Colombia, Ecuador, Egypt, Israel, Peru, South Korea	52 weeks	IND; 300 µg; OD	Patients with concomitant pulmonary disease, type I diabetes, a history of asthma, or significant condition ^c	NR
Donohu et al ⁵ (B2335S) ²³ Non-ICS	RCT, PC, DB, DD, MC, 2 stage adaptive seamless	334 centers: Argentina, Canada, Germany, India, Italy, Korea, Spain, Sweden, Turkey, Taiwan, US	26 weeks	IND; 150 µg; OD; IND; 300 µg; OD	Patients with concomitant pulmonary disease, type I diabetes, a history of asthma, or significant condition ^c	NR

Kornmann et al ⁷ (B2336) ²⁴ Non-ICS	RCT, DB, DD, MC	142 centers	26 weeks	IND; 150 µg; OD	Patients with concomitant pulmonary disease, type I diabetes, a history of asthma, or significant condition ^c	Caucasian: 75%–78% Black: 0% Asian: 16%–17% Other: 7%–8% Caucasian: 92%–93% Black: 5%–6% Asian: 0.5% Other: 2%
Feldman et al ⁶ (B2346) ²⁸ Non-ICS	RCT, DB, DD, MC	103 centers: US, Australia/ New Zealand, Belgium	12 weeks	IND; 150 µg; OD	Patients with a history of asthma or any significant pulmonary disease or cardiovascular abnormality	Caucasian: 4%–5% Asian: 95% (Chinese 89%–90%)
B2333 ^{26,a} Non-ICS	RCT, DB, DD, MC	Multiple centers; China and India	26 weeks	IND; 150 µg; OD; IND; 300 µg; OD	Patients with concomitant pulmonary disease, a history of asthma, type I diabetes, or clinically significant condition ^c	Asian: 100% (Japanese: 43%–45%; Chinese: 15–17%; Korean: 28%–30%)
B1302 ^{25,a} Non-ICS	RCT, DB, MC	73 centers; Japan, Taiwan, Korea, India, Hong Kong, and Singapore	12 weeks	IND; 150 µg; OD; IND; 300 µg; OD	Patients with concomitant pulmonary disease, a history of asthma, type I diabetes, or clinically significant condition ^c	

Notes: ^aThese studies included predominantly Asian patients; ^bThis study evaluated indacaterol 600 µg which was not included in the analyses; ^cThe studies generally excluded patients that had a 'clinically significant condition' or 'significant medical disorder' that may have interfered with the study results. For example the protocol for study B2334 indicated the following exclusion: patients who, in the judgment of the investigator or the responsible Novartis personnel, had a clinically relevant laboratory abnormality or a clinically significant condition or any condition which in the investigator's opinion might have compromised patient safety or compliance, interfered with evaluation, or precluded completion of the study; ^dEthnicity was reported for all patients in the indacaterol studies regardless of ICS use.

Abbreviations: BID, twice daily; DB, double blind; DD, double dummy; FOR/BUD, fixed-dose formoterol and budesonide; ICS, inhaled corticosteroids; IND, indacaterol; MC, multicenter; NR, not reported; OD, once daily; PC, placebo-controlled; RCT, randomized controlled trial; SAL/FP, fixed-dose salmeterol and fluticasone propionate.

covariates (Table 4). In the analysis including all studies (without covariates), indacaterol 150 µg resulted in higher FEV₁ compared to both FOR/BUD 9/160 µg and FOR/BUD 9/320 µg at both time points (see Table 5). Results for indacaterol 300 µg were similar to indacaterol 150 µg, demonstrating a more favorable FEV₁ improvement than both doses of FOR/BUD (see Table 6). In comparison to SAL/FP 50/500 µg, indacaterol 150 µg and 300 µg were comparable in terms of FEV₁ at both time points. This was also the case for indacaterol 150 µg and 300 µg versus SAL/FP 50/250 µg at 12 weeks and at 6 months. The results were not sensitive to the exclusion of the 3 Asian studies, and only minor differences between the 2 analyses were observed in FEV₁ results (≈0.01 L associated with indacaterol 150 µg and 300 µg) in most cases (see Tables 5 and 6).

Figure 3 illustrates the impact of adjusting for differences in the proportion of current smokers and patients with severe or very severe COPD on the relative results of indacaterol 150 µg versus the alternatives for FEV₁ at 12 weeks for both scenarios (all studies included and 3 Asian studies excluded). Indacaterol 150 µg was more efficacious than FOR/BUD 9/160 µg in most of the scenarios. The increase associated with indacaterol 150 µg in comparison to FOR/BUD 9/320 µg varied from 0.09 L (95% CrI: to –0.02, 0.21) to 0.10 L (95% CrI: 0.02, 0.17) and was most sensitive to the proportion of patients with severe COPD (where the credible intervals included zero). Indacaterol 150 µg and 300 µg remained comparable to SAL/FP 50/500 µg. Again, the lowest relative benefits associated with indacaterol were observed when adjusted for severity or both severity and smoking status.

SGRQ total score at 6 months

In the scenario with all studies included (without covariates), all active treatments were more efficacious than placebo, with the exception of FOR/BUD 9/160 µg which included zero in the credible intervals (see Table 4). No data were available for SAL/FP 50/250 µg for SGRQ at 6 months. When the 3 Asian studies were excluded from the analysis, SAL/FP 50/500 µg was no longer more efficacious than placebo (as the CrI included zero). Based on the analysis of all studies without covariates, indacaterol 150 µg resulted in comparable improvement in SGRQ total score versus SAL/FP 50/500 µg, FOR/BUD 9/160 µg and FOR/BUD 9/320 µg, showing a trend towards better scores (2.16 points, 1.48 points, and 0.39 points improvement, respectively) (see Table 5). Indacaterol 300 µg resulted in lower scores than indacaterol 150 µg, but remained comparable to the alternative treatments

Table 2 Key baseline patient characteristics for each study included in network meta-analysis

Source	Treatment	Randomized no.	% male	Mean age	% current smokers	% severe or very severe	FEV ₁	FVC	BDI	SGRQ
Hanania et al ¹⁸	Placebo	185	68%	65	47%	75%	1.29 (0.43)	NR	5.7 (NR)	CRDQ = 84.8
	SAL/FP 50/250	178	61%	63	43%	79%	1.25 (0.40)	NR	6.1 (NR)	CRDQ = 84.1
Barnes et al ¹⁴	Placebo	73	74%	64	59%	19%	1.68 (0.47)	NR	NR	NR
	SAL/FP 50/500	67	82%	65	63%	33%	1.67 (0.44)	NR	NR	NR
Calverley et al ¹⁵	Placebo	361	75%	63	47%	66%	1.27 (0.47)	2.50 (0.80)	NR	47.1 (16.5)
	SAL/FP 50/500	358	75%	63	52%	64%	1.31 (0.53)	2.54 (0.84)	NR	47.1 (15.7)
Calverley et al ¹⁶	Placebo	1545	76%	65	43%	68%	1.12 (0.40)	NR	NR	49.0 (17.4)
	SAL/FP 50/500	1546	75%	65	43%	68%	1.12 (0.40)	NR	NR	48.9 (17.4)
Mahler et al ¹⁹	Placebo	181	75%	64	54%	77%	1.32 (NR)	NR	5.6 (NR)	CRDQ = 86.2
	SAL/FP 50/500	165	62%	62	46%	77%	1.27 (NR)	NR	6.2 (NR)	CRDQ = 87.1
Zheng et al ²²	Placebo	148	86%	67	23%	60%	1.03 (NR)	NR	NR	44.5 (NR)
	SAL/FP 50/500	297	91%	66	21%	60%	1.06 (NR)	NR	NR	44.8 (NR)
Calverley et al ¹⁷	Placebo	256	75%	65	30%	92%	0.98 (0.33)	NR	NR	48.0 (18.0)
	FOR/BUD 9/320	254	78%	64	33%	92%	0.98 (0.33)	NR	NR	48.0 (19.0)
Rennard et al ²⁰	Placebo	481	65%	63	44%	81%	1.10 (0.40)	NR	BCSS: 2.1	54.7 (16.1)
	FOR/BUD 9/160	494	63%	64	42%	83%	1.00 (0.40)	NR	BCSS: 2.2	55.7 (16.7)
	FOR/BUD 9/320	494	62%	63	39%	83%	1.00 (0.40)	NR	BCSS: 2.2	54.6 (17.4)
Tashkin et al ²¹	Placebo	300	69%	63	40%	76%	1.08 (0.38)	NR	BCSS: 2.0	55.6 (17.0)
	FOR/BUD 9/160	281	64%	64	45%	82%	1.04 (0.40)	NR	BCSS: 2.0	55.5 (16.3)
	FOR/BUD 9/320	277	68%	63	44%	82%	1.04 (0.42)	NR	BCSS: 2.1	56.5 (15.8)
B2334; ^b non-ICS ²⁷	Placebo	180	83%	64	46%	38%	1.40 (0.50)	2.77 (0.81)	6.7 (2.3)	43.4 (17.5)
	IND 300	165	82%	64	46%	44%	1.32 (0.42)	2.72 (0.75)	6.5 (2.0)	46.3 (17.5)
B2335S; non-ICS ²³	Placebo	226	60%	63	47%	32%	1.39 (0.51)	2.60 (0.79)	6.5 (2.4)	46.7 (17.5)
	IND 150	240	65%	62	52%	33%	1.43 (0.53)	2.65 (0.78)	6.9 (2.4)	43.2 (19.1)
	IND 300	241	66%	63	50%	34%	1.41 (0.54)	2.72 (0.84)	6.7 (2.3)	44.0 (18.7)
B2336; non-ICS ²⁴	Placebo	187	81%	65	44%	39%	1.37 (0.50)	2.60 (0.78)	6.7 (2.0)	42.5 (18.3)
	IND 150	173	74%	63	51%	38%	1.36 (0.52)	2.56 (0.88)	6.9 (2.0)	42.1 (19.3)
B2346; non-ICS ²⁸	Placebo	125	55%	64	55%	34%	1.37 (0.58)	NR	NR	48.0 (17.3)
	IND 150	144	51%	62	58%	40%	1.35 (0.60)	NR	NR	49.2 (20.2)
B2333 ⁺ ; non-ICS ²⁶	Placebo	113	94%	64	27%	53%	1.15 (0.39)	2.68 (0.67)	6.5 (2.2)	41.9 (19.6)
	IND 150	116	94%	65	27%	50%	1.11 (0.37)	2.64 (0.60)	6.4 (2.3)	41.8 (18.1)
	IND 300	112	97%	65	25%	46%	1.16 (0.37)	2.70 (0.64)	6.7 (2.1)	42.2 (16.9)
B1302; ⁺ non-ICS ²⁵	Placebo	76	94%	67	27%	35%	1.20 (0.41)	2.67 (0.71)	7.4 (2.5)	38.6 (17.7)
	IND 150	85	96%	67	34%	31%	1.31 (0.45)	2.70 (0.66)	7.5 (2.1)	37.8 (18.3)
	IND 300	87	97%	67	36%	33%	1.22 (0.41)	2.61 (0.68)	7.8 (2.4)	35.5 (16.2)

Notes: ^aThese studies included predominantly Asian patients; ^bthis study evaluated indacaterol 600 µg which was not included in the analyses. Data are presented as mean (SD) where available and otherwise indicated by not reported (NR).

Abbreviations: BCSS, Breathless Cough and Sputum Scale Dyspnea Baseline Scores; BDI, Baseline Dyspnea Score; CRDQ, Chronic Respiratory Disease Questionnaire; FEV₁, forced expiratory volume in 1 second; FOR/BUD, fixed-dose formoterol and budesonide; FVC, forced vital capacity; IND, indacaterol; SAL/FP, fixed-dose salmeterol and fluticasone propionate; SGRQ, St. George's Respiratory Questionnaire total score.

Table 3 Reported data in individual studies included in the network meta-analysis

		FOR/BUD 9/160 µg			FOR/BUD 9/320 µg			SAL/FP 50/500 µg			IND 300 µg			IND 150 µg		
		FEV ₁ 12 wk	SGRQ 6 m	FEV ₁ 12 wk	FEV ₁ 6 m	SGRQ 12 wk	FEV ₁ 6 m	FEV ₁ 12 wk	FEV ₁ 6 m	FEV ₁ 12 wk	FEV ₁ 12 wk	FEV ₁ 6 m	FEV ₁ 12 wk	FEV ₁ 6 m	FEV ₁ 12 wk	FEV ₁ 6 m
Hanania et al ¹⁸	diff															
	SE															
Barnes ¹⁴	diff															
	SE															
Calverley et al ¹⁵	diff															
	SE															
Calverley et al ¹⁶	diff															
	SE															
Mahler et al ¹⁹	diff															
	SE															
Zheng ²¹ et al ²²	diff															
	SE															
Calverley et al ¹⁷	diff															
	SE															
Rennard et al ²⁰	diff															
	SE															
Tashkin et al ²¹	diff															
	SE															
Non-ICS:	diff															
B2334 ²⁷	SE															
Non-ICS:	diff															
B2335 ²³	SE															
Non-ICS:	diff															
B2336 ²⁴	SE															
Non-ICS:	diff															
B2346 ²⁸	SE															
Non-ICS:	diff															
BI 302 ^{2,26}	SE															
Non-ICS:	diff															
B2333 ²⁵	SE															

Notes: *Mainly Asian population.**Abbreviations:** Diff, difference in change from baseline versus placebo; FEV₁, forced expiratory volume in 1 second; FOR/BUD, fixed-dose formoterol and budesonide; ICS, inhaled corticosteroids; IND, indacaterol; m, month; NR, not reported; SAL/FP, fixed-dose salmeterol and fluticasone propionate; SE, standard error of the difference in change from baseline; SGRQ, St. George's Respiratory Questionnaire; TDI, Transition Dyspnea Index; wk, week.

Table 4 Results of network meta-analysis: all treatments versus placebo without covariates

	Trough FEV ₁ L difference in CFB (95% CrI) at 12 weeks	Trough FEV ₁ L difference in CFB (95% CrI) at 6 months	SGRQ total score difference in CFB (95% CrI) at 6 months	TDI total score difference (95% CrI) at 6 months
All studies				
IND 150 µg	0.17 (0.15, 0.20)	0.16 (0.13, 0.19)	−4.43 (−6.67, −2.17)	1.01 (0.65, 1.37)
IND 300 µg	0.17 (0.15, 0.20)	0.16 (0.13, 0.19)	−3.01 (−5.26, −0.81)	1.19 (0.83, 1.55)
SAL/FP 50/500 µg	0.14 (0.13, 0.16)	0.16 (0.13, 0.19)	−2.27 (−4.33, −0.50)	1.70 (1.11, 2.29)
SAL/FP 50/250 µg	0.16 (0.10, 0.21)	0.16 (0.10, 0.22)	NR	0.80 (0.11, 1.49)
FOR/BUD 9/320 µg	0.09 (0.07, 0.11)	0.08 (0.06, 0.10)	−4.03 (−6.46, −1.60)	NR
FOR/BUD 9/160 µg	0.07 (0.05, 0.09)	0.06 (0.04, 0.09)	−2.95 (−6.33, 0.40)	NR
All studies excluding 3 Asian studies				
IND 150 µg	0.18 (0.16, 0.21)	0.18 (0.14, 0.21)	−4.89 (−7.35, −2.47)	1.10 (0.67, 1.53)
IND 300 µg	0.17 (0.14, 0.21)	0.17 (0.14, 0.21)	−3.20 (−5.67, −0.84)	1.26 (0.83, 1.69)
SAL/FP 50/500 µg	0.14 (0.12, 0.16)	0.15 (0.12, 0.18)	−1.44 (−3.39, 0.58)	1.70 (1.10, 2.29)
SAL/FP 50/250 µg	0.16 (0.10, 0.21)	0.16 (0.10, 0.22)	NR	0.80 (0.11, 1.49)
FOR/BUD 9/320 µg	0.09 (0.07, 0.11)	0.08 (0.06, 0.10)	−4.02 (−6.25, −1.80)	NR
FOR/BUD 9/160 µg	0.07 (0.05, 0.09)	0.06 (0.04, 0.09)	−2.96 (−6.05, 0.13)	NR

Abbreviations: CFB, change from baseline; CrI, 95% credibility interval; FEV₁, forced expiratory volume in 1 second; FOR/BUD, fixed-dose formoterol and budesonide; IND, indacaterol; NR, not reported; SAL/FP, fixed-dose salmeterol and fluticasone propionate; SGRQ, St. George's Respiratory Questionnaire; TDI, Transition Dyspnea Index.

(see Table 6). As with FEV₁, excluding the Asian studies had minimal impact on the results and improved the point estimates in favor of indacaterol.

TDI total score at 6 months

All treatments were more efficacious than placebo for TDI (see Table 4). Comparative estimates versus FOR/BUD were not possible at 6 months given the lack of data. Comparable results were observed for indacaterol and SAL/FP in the analyses without covariates (see Tables 5 and 6). Indacaterol 150 µg and 300 µg demonstrated slightly higher TDI scores compared to SAL/FP 50/250 µg, with an improvement of 0.21 points and 0.39 points, respectively. However, compared to SAL/FP 50/500 µg, indacaterol 150 µg and 300 µg had slightly lower TDI scores, with point estimates of −0.69 points and −0.51 points, respectively. Consistent results were observed in the scenario without the Asian studies, although the point estimates improved slightly for indacaterol and the CrI widened, since the number of studies included in the analysis was reduced from 6 to 5.

Discussion

The objective of this study was to compare the efficacy of indacaterol 150 µg and 300 µg once daily versus fixed-dose combinations FOR/BUD and SAL/FP twice daily for COPD in terms of trough FEV₁, SGRQ total score and TDI total score. In terms of trough FEV₁, all treatments were better than placebo. At 12 weeks, indacaterol 150 and 300 µg

were more efficacious than FOR/BUD 9/160 µg, at least as efficacious as FOR/BUD 9/320 µg, and comparable to SAL/FP (50/250 and 50/500 µg). Results were consistent at 6 months and therefore both indacaterol doses are expected to be at least comparable to the fixed-dose combinations for this parameter. The probability that the FEV₁ was higher for patients receiving indacaterol 150 or 300 than for each active comparator ranged from 51% to 99%. For SGRQ total score at 6 months, results suggest that indacaterol provides a comparable SGRQ improvement to the fixed-dose combinations for FOR/BUD (both doses) and SAL/FP 50/500 µg. In terms of TDI total score at 6 months, the results did support the efficacy of all treatments compared to placebo. Again, results indicate that indacaterol was comparable to both doses of SAL/FP for which data were available. Differences in SGRQ and TDI scores did not reach a clinically meaningful level (eg, less than SGRQ 4 points²⁹ and less than TDI 1 points³⁰), which suggests that indacaterol offers a comparable level of symptom relief to the fixed-dose combinations evaluated. As with previous analyses, improvements in TDI were more pronounced for indacaterol 300 µg compared to indacaterol 150 µg. In a separate analysis of pooled data, this additional improvement with the 300 µg dose was particularly apparent in patients with severe COPD.³

Although RCTs form the basis of the network and allow for the indirect comparisons in the absence of head-to-head comparisons, the key question is whether the trials in the network are sufficiently similar to yield meaningful results.

Table 5 Results of network meta-analysis; Indacaterol 150 µg versus alternatives without covariates

	Trough FEV ₁ L at 12 weeks			Trough FEV ₁ L at 6 months			SGRQ total score at 6 months			TDI total score at 6 months		
	Difference in CFB (95% CrI)	Prob of IND 150 being better		Difference in CFB (95% CrI)	Prob of IND 150 being better		Difference in CFB (95% CrI)	Prob of IND 150 being better		Difference (95% CrI)	Prob of IND 150 being better	
All studies												
SAL/FP	0.03 (0.00, 0.06)	99%		0.01 (−0.04, 0.05)	61%		−2.16 (−4.96, 0.95)	92%		−0.69 (−1.38, 0.01)	3%	
50/500µg												
SAL/FP	0.02 (−0.04, 0.08)	72%		0.00 (−0.07, 0.07)	51%		NR	NR		0.21 (−0.57, 0.99)	70%	
50/250 µg												
FOR/BUD	0.09 (0.06, 0.11)	>99%		0.08 (0.05, 0.12)	>99%		−0.39 (−3.69, 2.92)	60%		NR	NR	
9/320 µg												
FOR/BUD	0.11 (0.08, 0.13)	>99%		0.10 (0.06, 0.14)	>99%		−1.48 (−5.51, 2.61)	78%		NR	NR	
9/160 µg												
All studies excluding 3 Asian studies												
SAL/FP	0.04 (0.01, 0.08)	99%		0.02 (−0.02, 0.07)	82%		−3.45 (−6.64, −0.39)	98%		−0.60 (−1.34, 0.14)	6%	
50/500 µg												
SAL/FP	0.03 (−0.04, 0.09)	80%		0.01 (−0.06, 0.08)	66%		NR	NR		0.30 (−0.51, 1.11)	76%	
50/250 µg												
FOR/BUD	0.10 (0.06, 0.13)	>99%		0.10 (0.05, 0.14)	>99%		−0.86 (−4.20, 2.41)	71%		NR	NR	
9/320 µg												
FOR/BUD	0.12 (0.08, 0.15)	>99%		0.11 (0.07, 0.16)	>99%		−1.92 (−5.88, 2.00)	85%		NR	NR	
9/160 µg												

Abbreviations: CFB, change from baseline; CrI, 95% credibility interval; FEV₁, forced expiratory volume in 1 second; FOR/BUD, fixed-dose formoterol and budesonide; IND, indacaterol; NR, not reported; Prob, probability; SAL/FP, fixed-dose salmeterol and fluticasone propionate; SGRQ, St. George's Respiratory Questionnaire; TDI, Transition Dyspnea Index.

Table 6 Results of network meta-analysis: indacaterol 300 µg versus alternatives without covariates

	Trough FEV ₁ L at 12 weeks			Trough FEV ₁ L at 6 months			SGRQ total score at 6 months			TDI total score at 6 months		
	Difference in CFB (95% CrI)	Prob of IND 300 being better		Difference in CFB (95% CrI)	Prob of IND 300 being better		Difference in CFB (95% CrI)	Prob of IND 300 being better		Difference (95% CrI)	Prob of IND 300 being better	
All studies												
SAL/FP	0.03 (0.00, 0.06)	97%		0.01 (-0.04, 0.05)	62%		-0.74 (-3.56, 2.28)	70%		-0.51 (-1.21, 0.19)	8%	
50/500 µg												
SAL/FP	0.02 (-0.05, 0.08)	70%		0.00 (-0.07, 0.07)	52%		NR	NR		0.39 (-0.39, 1.17)	84%	
50/250 µg												
FOR/BUD	0.08 (0.06, 0.11)	>99%		0.08 (0.05, 0.12)	>99%		1.02 (-2.30, 4.28)	26%		NR	NR	
9/320 µg												
FOR/BUD	0.10 (0.08, 0.13)	>99%		0.10 (0.06, 0.14)	>99%		-0.06 (-4.12, 3.96)	51%		NR	NR	
9/160 µg												
All studies excluding 3 Asian studies												
SAL/FP	0.03 (-0.01, 0.07)	95%		0.02 (-0.03, 0.06)	76%		-1.76 (-4.99, 1.26)	89%		-0.45 (-1.18, 0.29)	12%	
50/500 µg												
SAL/FP	0.02 (-0.05, 0.08)	70%		0.01 (-0.06, 0.08)	61%		NR	NR		0.46 (-0.35, 1.27)	86%	
50/250 µg												
FOR/BUD	0.09 (0.05, 0.12)	>99%		0.09 (0.05, 0.13)	>99%		0.81 (-2.50, 4.05)	30%		NR	NR	
9/320 µg												
FOR/BUD	0.11 (0.07, 0.14)	>99%		0.11 (0.06, 0.15)	>99%		-0.23 (-4.21, 3.60)	55%		NR	NR	
9/160 µg												

Abbreviations: CFB, change from baseline; CrI, 95% credibility interval; FEV₁, forced expiratory volume in 1 second; FOR/BUD, fixed-dose formoterol and budesonide; ICS, inhaled corticosteroids; IND, indacaterol; NR, not reported; Prob, probability; SAL/FP, fixed-dose salmeterol and fluticasone propionate; SGRQ, St. George's Respiratory Questionnaire; TDI, Transition Dyspnea Index.

Figure 3 Impact of adjustment for differences in effect-modifiers across studies: difference in indacaterol 150 µg versus alternatives for CFB in FEV₁ at 12 weeks and 95% credible intervals.

Abbreviations: CFB, change from baseline; FEV₁, forced expiratory volume in 1 second; FOR/BUD 9/160, fixed-dose formoterol and budesonide 9/160 µg; FOR/BUD 9/320, fixed-dose formoterol and budesonide 9/320 µg; IND 150, indacaterol 150 µg; PLBO, placebo; SAL/FP 50/250, fixed-dose salmeterol and fluticasone propionate 50/250 µg; SAL/FP 50/500, fixed-dose salmeterol and fluticasone propionate 50/500 µg.

In a network meta-analysis of RCTs involving multiple treatment comparisons, the randomization holds only within the individual trials, and not across trials. If the trials differ among the direct comparisons for study and patient characteristics, and these differences are modifiers of the relative treatment effects, then the estimate of the indirect and mixed comparisons is biased.¹²

In the indacaterol studies patients were allowed to continue receiving concurrent ICS, which was not the case in the FOR/BUD and SAL/FP studies. To avoid biased estimates of indacaterol versus FOR/BUD and SAL/FP a subgroup of patients who did not receive an ICS in indacaterol studies was evaluated in the network meta-analysis.

Differences were identified in terms of the proportion of males, the average age, the proportion of current smokers, and the proportion of patients with severe or very severe COPD in the indacaterol studies (subgroup) compared to the patients in the other studies. To evaluate the extent of the effect these differences in patient characteristics had on the relative effect estimates, meta-regression models were used. Although it was not feasible to include all of the covariates of interest simultaneously due to the limited amount of data, where possible the proportion of current smokers and the proportion of patients with severe or very severe COPD were included in one model. Results adjusted for the proportion of males and the average age had only a marginal impact on the effect

estimates, and are therefore not believed to be a likely source of bias in the unadjusted analysis. Adjustment for smoking status and COPD severity had a greater impact on the relative effect estimates (see Figure 3), but the differences between adjusted and unadjusted models were not greater than the amount of uncertainty in the estimates. As such, adjusted and unadjusted models lead to the same interpretation of the findings. Although the meta-regression analyses suggest that the results of the network meta-analysis are not likely to be greatly affected by similarity and consistency violations, it was not possible to assess the similarity of the studies in terms of all patient characteristics. For example, limited information was presented for the comorbidities of patients across the trials. Therefore, it has to be accepted that with aggregate level data there is the risk of residual confounding bias.

Since the studies did not consistently report the ethnicity of the patients or report subgroup data, it was not feasible to include a covariate to adjust for differences in ethnicity. However, studies included a predominantly Caucasian population, and all studies were combined in the analysis. An additional analysis with 3 Asian studies excluded resulted in similar estimates and suggests that ethnicity is not a factor of importance in the current evidence base.

In conclusion, indacaterol monotherapy (150 µg and 300 µg) (no concomitant ICS) is expected to be at least as good as FOR/BUD (9/320 and 9/160 µg) and comparable to

SAL/FP (50/250 and 50/500 µg) with respect to lung function (trough FEV₁). Indacaterol monotherapy (150 and 300 µg) is also expected to provide comparable efficacy in terms of health status (SGRQ total score) versus FOR/BUD (9/320 and 9/160 µg) and SAL/FP 50/500 µg, as well as similar improvements in breathlessness (TDI total score) as SAL/FP (50/250 and 50/500 µg).

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Appendix

Search strategy

The search strategy was applied for the time period from 1989 to 2009 and 2009 to 2010

No.	Database	Search term
1	MEDLINE	(COPD OR chronic ADJ obstructive ADJ pulmonary ADJ disease OR COAD OR chronic ADJ obstructive ADJ airway ADJ disease OR chronic ADJ obstructive ADJ lung ADJ disease OR chronic ADJ bronchitis OR emphysema).TI,AB. OR Pulmonary-Disease-Chronic-Obstructive#.DE.
2	MEDLINE	(Formoterol OR eformoterol OR foradil OR oxis OR atimos ADJ modulite OR atock OR performist OR salmeterol OR serevent OR tiotropium OR spiriva OR Ba ADJ '679' ADJ BR OR Indacaterol OR onbrez OR arcapta).TI,AB.
3	MEDLINE	PT = CONTROLLED-CLINICAL-TRIAL OR PT = RANDOMIZED-CONTROLLED-TRIAL OR Clinical-Trials-As-Topic.DE. OR Controlled-Clinical-Trials-As-Topic.DE. OR Randomized-Controlled-Trials-As-Topic.DE. OR Randomized-Controlled-Trials-As-Topic.DE. OR (randomized OR randomized OR randomly OR placebo).TI,AB. OR trial.TI,AB.
4	MEDLINE	3 AND HUMAN = YES AND ANIMAL = YES
5	MEDLINE	3 AND ANIMAL = YES
6	MEDLINE	3 NOT (4 OR 5)
7	MEDLINE	1 AND 2 AND 3 AND 6 AND LG = EN AND HUMAN = YES AND ADULT#
8	EMBASE	(COPD OR chronic ADJ obstructive ADJ pulmonary ADJ disease OR COAD OR chronic ADJ obstructive ADJ airway ADJ disease OR chronic ADJ obstructive ADJ lung ADJ disease OR chronic ADJ bronchitis OR emphysema).TI,AB.
9	EMBASE	Chronic-Obstructive-Lung-Disease#.DE.
10	EMBASE	(Formoterol OR eformoterol OR foradil OR oxis OR atimos ADJ modulite OR atock OR performist OR salmeterol OR serevent OR tiotropium OR spiriva OR Ba ADJ '679' ADJ BR OR Indacaterol OR onbrez OR arcapta).TI,AB.
11	EMBASE	Controlled-Clinical-Trial.DE. OR Double-Blind-Procedure.DE. OR Controlled-Clinical-Trial.DE. OR Randomized-Controlled-Trial.DE. OR Randomized-Controlled-Trial.DE.
12	EMBASE	(randomized OR randomized OR placebo OR randomly).TI,AB. OR trial.TI.
13	EMBASE	(11 OR 12) AND HUMAN = YES AND ANIMAL = YES
14	EMBASE	(11 OR 12) AND ANIMAL = YES
15	EMBASE	(11 OR 12) NOT (13 OR 14)
16	EMBASE	8 OR 9
17	EMBASE	16 AND 15 AND 10 AND LG = EN AND HUMAN = YES AND ADULT = YES
18	MEDLINE and EMBASE [all]	combined sets 7, 17
19	MEDLINE and EMBASE [all]	dropped duplicates from 18
20	MEDLINE and EMBASE [all]	unique records from 18
21	Medline	split set 20
22	EMBASE	split set 20

Notes: .ab. indicates a search for a term in abstract; .pt. indicates a search for a publication type.

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