



eFigure. Inclusion and exclusion criteria used to identify the study population

We replicated the inclusion and exclusion criteria for *Cohort 1* in Li *et al.*,¹ which was used to evaluate the availability of weight-related diagnosis codes during the preoperative and postoperative periods in a retrospective cohort of bariatric surgery patients (grey colored boxes). From among the eligible patients in *Cohort 1*, we identified the subset of bariatric surgery patients with a BMI measurement recorded in their linked EHR data on or within 30 days prior to the day of the index procedure, where BMI values <15 or >90 kg/m² were excluded to avoid including probable data entry errors (white colored boxes). This subset of bariatric surgery patients comprised the final study population that we used to derive and validate the claims-based scoring system for pre-operative BMI. Note that exclusion criteria with <11 individuals have been masked to maintain the deidentification nature of the database.

Reference:

¹Li, X., Lewis, K.H., Callaway, K. et al. Suitability of administrative claims databases for bariatric surgery research – is the glass half-full or half-empty?. *BMC Med Res Methodol* 20, 225 (2020).

eTable 1. Code mappings for weight-related diagnosis codes into weight categories

Weight Category^a	ICD-9-CM Codes	ICD-10-CM Codes
Underweight, normal weight, or overweight	78322, V850, V851, V8521, V8522, V8523, V8524, V8525, 27802	R636, Z681 Z6820, Z6821, Z6822, Z6823, Z6824, Z6825, Z6826, Z6827, Z6828, Z6829, E663
BMI 30.0-34.9	V8530, V8531, V8532, V8533, V8534	Z6830, Z6831, Z6832, Z6833, Z6834
BMI 35.0-35.9	V8535	Z6835
BMI 36.0-36.9	V8536	Z6836
BMI 37.0-37.9	V8537	Z6837
BMI 38.0-38.9	V8538	Z6838
BMI 39.0-39.9	V8539	Z6839
BMI 40.0-44.9	V8541	Z6841
BMI 45.0-49.9	V8542	Z6842
BMI 50.0-59.9	V8543	Z6843
BMI 60.0-69.9	V8544	Z6844
BMI ≥70.0	V8545	Z6845
Obese, nonspecific	27800, 27803	E669, E6609, E661, E668
Severely obese, nonspecific	27801	E6601, E662

Abbreviations: BMI = body mass index; ICD-9-CM = International Classification of Diseases, Ninth Revision, Clinical Modification; ICD-10-CM = International Classification of Diseases, Tenth Revision, Clinical Modification

^aEach weight category was a unique candidate predictor in the claims-based models and scoring system, where weight categories were not mutually exclusive (to capture patients who had ICD codes for multiple weight categories documented in the pre-operative period).

eTable 2. Tuning parameters for the random forest and LASSO regression models

Algorithm	Tuning Parameter	Description	Subset of values considered^a	Tuned value^b
Random forest	nTree	Number of trees	{50, 100, 250, 500, 1000, 1500, 2000}	1000
	mTry	Number of candidate features considered at each node	{5, 10, 15, 30, 60}	15
LASSO	lambda	Controls amount of regularization (shrinkage) applied to the model coefficients	Sequence of 100 values automatically selected by the glmnet package in R	0.0283

Abbreviations: LASSO = least absolute shrinkage and selection operator

^aA 10-fold cross-validation procedure in the training set was used to evaluate the (cross-validated) performance of each algorithm across the subset of values for the tuning parameter. For the random forest, a grid of all possible combinations for the tuning parameter values were evaluated (7 values for nTree X 5 values for mTry = 35 combinations).

^bThe tuned values were used in the final fitted models and represent the value (or set of values for the random forest) that yielded the algorithm with the lowest cross-validated mean squared error in the training set.

eTable 3. Full set of comorbidities considered for prediction models

Comorbidity n (%)	Overall (N=3,226)	Training Set, 2011-2017 (N=2,704)^a	Concurrent Testing Set, 2011-2017 (N=301)^a	Prospective Testing Set, 2018 (N=221)^a
Hypertension	2236 (69.3)	1871 (69.2)	219 (72.8)	146 (66.1)
Gastroesophageal reflux disease	1942 (60.2)	1614 (59.7)	191 (63.5)	137 (62.0)
Sleep apnea	1826 (56.6)	1516 (56.1)	181 (60.1)	129 (58.4)
Dyslipidemia	1825 (56.6)	1534 (56.7)	169 (56.2)	122 (55.2)
Diabetes	1159 (35.9)	952 (35.2)	118 (39.2)	89 (40.3)
Depression	1115 (34.6)	926 (34.3)	112 (37.2)	77 (34.8)
Anxiety	1080 (33.5)	905 (33.5)	95 (31.6)	80 (36.2)
Liver disease	875 (27.1)	718 (26.6)	93 (30.9)	64 (29.0)
Chronic pulmonary disease	841 (26.1)	675 (25.0)	97 (32.2)	69 (31.2)
Psychosis	830 (25.7)	680 (25.2)	67 (22.3)	83 (37.6)
Non-alcoholic fatty liver disease	825 (25.6)	681 (25.2)	87 (28.9)	57 (25.8)
Acquired hypothyroidism	635 (19.7)	519 (19.2)	68 (22.6)	48 (21.7)
Complicated diabetes	494 (15.3)	389 (14.4)	43 (14.3)	62 (28.1)
Deficiency anemia	472 (14.6)	400 (14.8)	40 (13.3)	32 (14.5)
Cardiac arrhythmias	464 (14.4)	383 (14.2)	40 (13.3)	41 (18.6)
Eating disorder	373 (11.6)	316 (11.7)	36 (12.0)	21 (9.5)
Congestive heart failure	302 (9.4)	250 (9.3)	24 (8.0)	28 (12.7)
Osteoarthritis, lower limb	298 (9.2)	242 (9.0)	26 (8.6)	30 (13.6)
Fluid and electrolyte disorders	288 (8.9)	239 (8.8)	26 (8.6)	23 (10.4)
Kidney diseases	242 (7.5)	203 (7.5)	17 (5.7)	22 (10.0)
Substance use disorder	185 (5.7)	>153 (>5.7)	<11 (<3.7)	21 (9.5)
Psychotic disorder	182 (5.6)	158 (>5.8)	13 (4.3)	<11 (<5.0)
Renal failure	171 (5.3)	>143 (>5.3)	<11 (<3.7)	17 (7.7)
Peripheral vascular disease	160 (5.0)	136 (5.0)	11 (3.7)	13 (5.9)
Polycystic ovarian syndrome	148 (4.6)	>126 (>4.7)	<11 (<3.7)	<11 (<5.0)
Smoker	134 (4.2)	119 (4.4)	15 (5.0)	0 (0.0)
Any tumor	131 (4.1)	>104 (>3.8)	<11 (<3.7)	16 (7.2)
Weight loss	110 (3.4)	>88 (>3.3)	11 (3.7)	<11 (<5.0)
Pulmonary circulation disorders	89 (2.8)	>64 (>2.4)	<11 (<3.7)	14 (6.3)
Coagulopathy	78 (2.4)	>56 (>2.1)	<11 (<3.7)	<11 (<5.0)
Deep vein thrombosis	55 (1.7)	>33 (>1.2)	<11 (<3.7)	<11 (<5.0)
Pulmonary embolism	46 (1.4)	>24 (>0.9)	<11 (<3.7)	<11 (<5.0)
Alcohol abuse	35 (1.1)	>24 (>0.9)	<11 (<3.7)	0 (0.0)

^aCells with <11 patients have been masked to maintain the deidentification nature of the database.