### **Search strategy for MEDLINE**

### MEDLINE (Ovid) 1946 to August Week 4 2014

- 1 chronic obstructive pulmonary disease.mp. or exp Pulmonary Disease, Chronic Obstructive/
- 2 copd.mp.
- 3 chronic obstructive lung disease.mp.
- 4 chronic obstructive airway disease.mp.
- 5 chronic respiratory disorder\$.mp.
- 6 smoking-related lung disease\$.mp.
- 7 Pulmonary Emphysema/
- 8 exp Bronchitis/
- 9 emphysema.mp.
- 10 or/1-9
- 11 exp positive-pressure respiration/ or intermittent positive-pressure ventilation/
- 12 cpap.mp.
- 13 bipap.mp.
- 14 bi-level ventilation.mp.
- 15 niv.mp.
- 16 nippv.mp.
- 17 positive pressure ventilation.mp.
- 18 positive airway pressure.mp.
- 19 ((noninvasive or non-invasive) adj2 ventilation).mp.
- 20 nppv.mp.
- 21 or/11-20
- 22 10 and 21
- 23 limit 22 to yr="1980 2014"

## **Exacerbation results**

Study	Design	Length of follow-up	Outcome	Results	Direction of effect	Indication of severity	
Stable populati	ion						
Bhatt 2013 <sup>1</sup>	RCT	6 months	Number of exacerbations	NIV: 1/15, usual care 1/12 or 1/15 (unclear)	No difference. Not pre-defined outcome.	No details.	
		Percentage patients affected by exacerbation	3 months: NIV: 52 %, usual care: 56%	Slight trend favoring NIV, but no significant differences	No details.		
				12 months: NIV: 66%, usual care: 69%	Unclear if ITT analysis.		
Duiverman 2011 <sup>3</sup>	RCT	24 months	Number of exacerbations	Median of 3 exacerbations per year for both NIV and usual care	No significant difference. No other outcome statistics reported.	No details.	
Zhou 2008 <sup>4</sup>	RCT	12 months	Exacerbations/patient/ year	NIV: 3.73(1.03) Usual care: 4.86(1.71)	Significant difference favoring NIV	No details on severity. Some may have led to hospitalizaitons, as these are also reported.	
Tsolaki 2008 <sup>5</sup>	Controlled	12 months	Exacerbations/patient/ year	NIV: 1.4 (2.1) Usual care: 1.8 (1.4)	No significant difference.	All exacerbations, including those leading to hospitalizations.	
			Exacerbations/patient/ year leading to hospitalization	NIV: 1.0 (2.2) Usual care: 1.7 (1.3)	No significant difference.	Exacerbations assumed to be severe, as resulted in hospitalizations.	
Post-hospital p	opulation						
Cheung 2010 <sup>6</sup>	RCT	12 months	Exacerbation without AHFR	NIV: 5/23, usual care: 4/24	No details on statistical significance	Outcome listed as adverse event (not predefined outcome).	
			Recurrent severe COPD exacerbation with AHRF	NIV: 7/23, usual care: 14/24 HR 0.39 (0.16, 0.98)	Statistically significant difference favoring NIV.	Severe (assumed to result in hospitalization and included in hospitalization outcome)	
Struik 2014 <sup>7</sup>	RCT	12 months	Annual number of exacerbations at home (median (range))	NIV: 1 (0-9) Usual care: 2 (0-14)	No statistically significant difference (p=0.26).	Exacerbation defined as an event in the natural course of the disease characterised by a change in the patient's baseline dyspnoea, cough, and/or sputum beyond day-to-day variations, is acute in onset, and treated with antibiotics and/or prednisolone.	

Quality-of-Life results

Study	Design	Length of follow-up	Time-points	Results	Direction of effect
Stable population	S	Tollow-up	for assessment		
SF-36					
Köhnlein 2014 <sup>8</sup>	RCT	3, 6, 9 and 12 months	12 months	General health perception subscore only: 8.6 (1.8 to 13.3) point greater improvement in NIV group	No significant difference for summary score (data not reported). Significant difference favoring NIV for general health perception subscore (p=0.013). Results based on small sub-group only.
McEvoy 2009 <sup>9</sup>	RCT	Median 28.5 (NIV) and 20.5 (usual care) months; up to 5 years	12 months	Results presented separately for the 8 sub-scales of SF-36. No summary scores.	Statistically significant difference for 2/8 sub-scales (general health and mental health) favoring usual care group. No significant differences for other sub-scales.
Tsolaki 2008 <sup>5</sup>	Prospective controlled	12 months	1, 3, 6, 9 and 12 months	Results for mental and physical summary scores	Statistically significant difference favoring NIV for mental and physical scores at 6, 9 and 12 months
SGRQ					
Clini 2002 <sup>10</sup>	RCT	24 months	24 months	Score changes: -5% in NIV group, -4% in usual care group (increase in QoL in both arms)	No significant difference
Köhnlein 2014 <sup>8</sup>	RCT	3, 6, 9 and 12 months	12 months	6.2 (0.7 to 11.8) point greater improvement in NIV group.	Statistically significant difference favoring NIV (p=0.029), but results based on small sub-group only.
McEvoy 2009 <sup>9</sup>	RCT	Median 28.5 (NIV) and 20.5 (usual care) months; up to 5 years	12 months	No data reported	No significant difference
Clini 2002 <sup>10</sup> & McEvoy 2009 <sup>9</sup>	IPD data from both RCTs*	See above	12 months	Mean difference of 0.9 (95% CI - 19.21 to 21.01)	No significant difference (small benefit favoring usual care arm)
Meecham-Jones 1995 <sup>11</sup>	RCT	3 months	3 months	Only individual results; no summary data	Significant difference for symptom, activity and total score favoring NIV; no significant difference for activity scale
SRI					
Duiverman 2008 <sup>12</sup>	RCT	3 months	3 months	NIV: 60.1 (11), usual care 55.7 (15). Between group difference adjusted for baseline: 3.1 (-2, 8.2)	Trend for better QoL in NIV group; not statistically significant
Duiverman 2011 <sup>3</sup>	RCT	24 months	6, 12, 18, 24 months	6 months: NIV: 59.5 (14.4); usual care: 55.6 915.2) 12 months: NIV: 60.5 (10.9); usual care: 55.8 (13.4) 18 months: NIV: 56.8 (12.7); usual care: 54.4 (11.8) 24 months: adjusted difference in change 2.9 (-1.9, 7.8)	Trend for better QoL in NIV group at all time-points; not statistically significant
Köhnlein 2014 <sup>8</sup>	RCT	3, 6, 9 and 12 months	12 months	5.6 (0.1 to 11.1) point greater improvement in NIV group.	Statistically significant difference favoring NIV (p=0.0445), but based on small sub-group only.
CRDQ	1	1	I		on ontain out group only.
Bhatt 2013 <sup>1</sup>	RCT	6 months	6 weeks, 3 months, 6 months	No total score given, only for sub-scales at 6 weeks, 3 months and 6 months.	Significant difference at 6 months for mastery sub-score favoring NIV, but no significant difference for other 3 sub-scales. No significant improvement in total score.
Duiverman 2008 <sup>12</sup>	RCT	3 months	3 months	NIV: 96.8 (15), usual care 87.9 (20). Between group difference adjusted for baseline: 7.5 (-1, 16)	Trend for better QoL in NIV group but not statistically significant

		Length of	Time-points	Results	Direction of effect	
		follow-up	for assessment			
Duiverman 2011 <sup>3</sup> Garrod 2000 <sup>13</sup>	RCT	24 months	6, 12, 18 and 24 months	6 months: NIV: 94.4 (20.3); usual care: 86.3 (18.4) 12 months: NIV: 93.5 (16.5); usual care: 87.7 (19.14) 18 months: NIV: 89.9 (17.3); usual care: 88.7 (21.5) 24 months: adjusted difference in change -1.3 (-9.7, 7.4)	Trend for better QoL in NIV group at all time-points; not statistically significant	
Garrod 2000 <sup>13</sup>	RCT	3 months	1,2 and 3 months	1 and 2 month data in graph only. 3 months: NIV: 92.2 (17); usual care: 85.1 (23.9). mean difference in change 12.3 (1.19, 23.4), p=0,03	Statistically significant difference favoring NIV (3 months)	
MRF						
Clini 2002 <sup>10</sup>	RCT	24 months	24 months	Mean difference (adjusted for baseline) 7.1 (0.13-4.07), p=0.041	Statistically significant difference favoring NIV (24 months)	
Duiverman 2008 <sup>12</sup>	RCT	3 months	3 months	Mean difference (adjusted for baseline) -9.7 (-18 to -1), p<0.05	Statistically significant difference favoring NIV (3 months)	
Duiverman 2011 <sup>3</sup>	RCT	24 months	6, 12, 18 and 24 months	Mean difference (adjusted for baseline) 12 months: -13.4 (-22.7, -4.2), p<0.05	Statistically significant difference favoring NIV (24 months)	
POMS	•		•			
McEvoy 2009 <sup>9</sup>	RCT	Median 28.5 (NIV) and 20.5 (usual care) months; up to 5 years	12 months	NIV Total mood score median 22 (IQR 48), usual care 5 (IQR 21); p=0.318	No statistically significant difference for total score; statistically significant difference favoring usual care for two subgroups on POMS.	
Post-hospital pop	pulation					
CCQ	I n.cm	1.0	1.0	Lac use		
Struik 2014 <sup>7</sup>	RCT	12 months	12 months	Mean difference in change -0.04 (-0.5 to 0.4)	Not statistically significant between groups (completers only).	
MRF	T =		T	Tara dia	T	
Struik 2014 <sup>7</sup>	RCT	12 months	12 months	Mean difference in change -1.5 (-8.6 to 5.7)	Not statistically significant between groups (completers only).	
CRDQ						
Struik 2014 <sup>7</sup>	RCT	12 months	12 months	Mean difference in change 0.01 (-0.4 to 0.4)	Not statistically significant between groups (completers only).	
SRI						
Struik 2014 <sup>7</sup>	RCT	12 months	12 months	Mean difference in change 4.8 (-0.1 to 9.7)	Not statistically significant between groups (completers only).	

<sup>\*</sup>From Struik (2013)<sup>14</sup>

# Results of RCTs comparing different types of NIV

Adherence to NIV and adverse events associated with NIV (NIV versus NIV)

Study	Modes being compared	Period of adaptation/help in adapting to NIV	Recommended period of use	Adherence- how measured	Adherence –mean hours use	Adherence -% of patients or other	Adverse events associated with NIV
Dreher 2010 <sup>15</sup>	High intensity	Number of days needed for initiation of NIV: 4.6 (1.0) high, period 2, 3.7 (1.0) high, period 2	'Nocturnal use'	Ventilator counter reading	Mean h/day period 1:10.8 (4.7), period 2: 8.9 (6.4)	2 drop-outs from low intensity treatment arm (first period). Two patients refused to swap to	One patient refused low intensity NIV in hospital due to intolerance.
	Low intensity	Number of days needed for initiation of NIV: 1.7 (1.6) low, period 1, 1.6 (0.8) low, period 2			Mean h/day period 1: 7.7 (3.0), period 2: 4.6 (1.8)	low intensity after first period with high intensity.	
Murphy 2012 <sup>16</sup>	High-intensity	No details	'Nocturnal use'	Ventilator download data	Mean nightly use (h:m) 6:33 (2:14)	1/12 withdrawal (acute exacerbation)	Appear to be none
	High-pressure				Mean nightly use (h:m): 6:37 (1:45) Mean difference 0:04 (- 0:45 to 0:53)	4/12 withdrawals (1 claustrophobia, 2 intolerant of therapy, 1 prolonged central sleep apnoeas)	1 claustrophobia, 2 intolerant of therapy
Oscroft 2010 <sup>17</sup>	Volume assured (va)  Pressure preset	Patients already established on pp- NIV, mean use at study entry 7.8 (2.2) hours /day	'Nocturnal use'	Ventilator download data	8.2 (3.6) hours/day  7.7 (2.4) hours/day	1/25 withdrew due to exacerbation	Appear to be none

### Lung function, blood gases and 6MWD

FEV <sub>1</sub> (1 or % predicted)					
Study	Comparison	Main findings			
Dreher 2010 <sup>15</sup>	High intensity (pressure) versus low intensity (pressure)	Significant increase from baseline to 6 weeks in high intensity group. No significant between group differences at 6 weeks for FEV <sub>1</sub> (l).			
Oscroft 2010 <sup>17</sup>	Volume assured (higher pressure) versus pressure pre-set (lower pressure)	Slightly higher values with volume assured NIV. No significant between group differences at 6 weeks for FEV <sub>1</sub> (l) or FEV <sub>1</sub> (% predicted).			
FVC (l or % predic	eted)				
Oscroft 2010 <sup>17</sup>	Volume assured (higher pressure) versus pressure pre-set (lower pressure)	No significant between group differences at 8 weeks for FVC (l) or FVC (% predicted).			
PaCO <sub>2</sub>					
Dreher 2010 <sup>15</sup>	High intensity (pressure) versus low intensity (pressure)	Statistically significant difference in reduction favoring high- intensity NIV (p=0.001).			
Oscroft 2010 <sup>17</sup>	Volume assured (higher pressure) versus pressure pre-set (lower pressure)	No significant between group differences (8 weeks).			
Murphy 2012 <sup>16</sup>	High intensity (high pressure + high back-up rate) versus high-pressure (high pressure + low back-up rate)	No significant between group differences (6 weeks).			
6MWD					
Dreher 2010 <sup>15</sup>	High intensity (pressure) versus low intensity (pressure)	No significant between group differences (6 weeks).			

### Quality-of-Life

The studies by Dreher (2010)<sup>15</sup> and Oscroft (2010)<sup>17</sup> comparing different pressures found no differences in total SRI score<sup>15</sup> or SF-36 and SGRQ scores<sup>17</sup> respectively, though there was a trend for better quality-of-life on the SGRQ with volume assured NIV. The first<sup>15</sup> of these two studies was rated as having a high risk of bias regarding incomplete outcome data. Neither of these studies was designed to look at quality-of-life as a primary outcome.

Murphy (2012)<sup>16</sup>, which compared different breathing frequencies, also found no significant differences in total SRI scores, though there was a statistically significant difference for the respiratory symptom domain favoring high pressure ventilation (pressure support ventilation).

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- (2) Casanova C, Celli BR, Tost L, Soriano E, Abreu J, Velasco V et al. Long-term controlled trial of nocturnal nasal positive pressure ventilation in patients with severe COPD. Chest 2000; 118(6):1582-1590.
- (3) Duiverman ML, Wempe JB, Bladder G, Vonk JM, Zijlstra JG, Kerstjens HA et al. Two-year home-based nocturnal noninvasive ventilation added to rehabilitation in chronic obstructive pulmonary disease patients: a randomized controlled trial. Respiratory Research 2011; 12:112.
- (4) Zhou X. Effect of Non-Invasive Positive Pressure Ventilation and Long-term Oxygen Therapy in Patients with Stable COPD. Clinical Medical Journal of China 2008; 15(4):486-488.
- (5) Tsolaki V, Pastaka C, Karetsi E, Zygoulis P, Koutsokera A, Gourgoulianis KI et al. One-year non-invasive ventilation in chronic hypercapnic COPD: effect on quality of life. Respiratory Medicine 2008; 102(6):904-911.
- (6) Cheung AP, Chan VL, Liong JT, Lam JY, Leung WS, Lin A et al. A pilot trial of non-invasive home ventilation after acidotic respiratory failure in chronic obstructive pulmonary disease. International Journal of Tuberculosis & Lung Disease 2010; 14(5):642-649.
- (7) Struik FM, Sprooten RT, Kerstjens HA, Bladder G, Zijnen M, Asin J et al. Nocturnal non-invasive ventilation in COPD patients with prolonged hypercapnia after ventilatory support for acute respiratory failure: a randomised, controlled, parallel-group study. Thorax 2014; 69(9):826-834.
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- (11) Meecham-Jones DJ, Paul EA, Jones PW, Wedzicha JA. Nasal pressure support ventilation plus oxygen compared with oxygen therapy alone in hypercapnic COPD. Am J Respir Crit Care Med 1995; 152(2):538-544.
- (12) Duiverman ML, Wempe JB, Bladder G, Jansen DF, Kerstjens HA, Zijlstra JG et al. Nocturnal non-invasive ventilation in addition to rehabilitation in hypercapnic patients with COPD. Thorax 2008; 63(12):1052-1057.

- (13) Garrod R, Mikelsons C, Paul EA, Wedzicha JA. Randomized controlled trial of domiciliary noninvasive positive pressure ventilation and physical training in severe chronic obstructive pulmonary disease. American Journal of Respiratory & Critical Care Medicine 2000; 162(4 Pt 1):1335-1341.
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