# Pediatric Acute-Onset Neuropsychiatric Syndrome: **Current Perspectives**

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Abstract: Pediatric acute-onset neuropsychiatric syndrome (PANS) features a heterogeneous constellation of acute obsessivecompulsive disorder (OCD), eating restriction, cognitive, behavioral and/or affective symptoms, often followed by a chronic course with cognitive deterioration. An immune-mediated etiology is advocated in which the CNS is hit by different pathogen-driven (auto) immune responses. This narrative review focused on recent clinical (ie, diagnostic criteria, pre-existing neurodevelopmental disorders, neuroimaging) and pathophysiological (ie, CSF, serum, genetic and autoimmune findings) aspects of PANS. We also summarized recent points to facilitate practitioners with the disease management. Relevant literature was obtained from PubMed database which included only English-written, full-text clinical studies, case reports, and reviews. Among a total of 1005 articles, 205 were pertinent to study inclusion. Expert opinions are converging on PANS as the effect of post-infectious events or stressors leading to "brain inflammation", as it is well-established for anti-neuronal psychosis. Interestingly, differentiating PANS from either autoimmune encephalitides and Sydenham's chorea or from alleged "pure" psychiatric disorders (OCD, tics, Tourette's syndrome), reveals several overlaps and more analogies than differences. Our review highlights the need for a comprehensive algorithm to help both patients during their acute distressing phase and physicians during their treatment decision. A full agreement on the hierarchy of each therapeutical intervention is missing owing to the limited number of randomized controlled trials. The current approach to PANS treatment emphasizes immunomodulation/anti-inflammatory treatments in association with both psychotropic and cognitive-behavioral therapies, while antibiotics are suggested when an active bacterial infection is established. A dimensional view, taking into account the multifactorial origin of psychiatric disorders, should suggest neuro-inflammation as a possible shared substrate of different psychiatric phenotypes. Hence, PANS and PANS-related disorders should be considered as a conceptual framework describing the etiological and phenotypical complexity of many psychiatric disorders.

Keywords: PANS, obsessive-compulsive disorder, neuroinflammation, CNS autoimmunity, PANS management

### Introduction

### Definition, History, and Epidemiology of PANS

Pediatric acute-onset neuropsychiatric syndrome (PANS) is a newly described clinical entity with heterogeneous symptoms presentation, characterized by the acute or subacute onset of obsessive-compulsive disorder (OCD) and/or a severe food intake restriction, associated with at least two cognitive, behavioral, or affective symptoms such as irritability, anxiety or depression.<sup>1,2</sup>

Currently, the fifth diagnostic and statistical manual of mental disorders (DSM-5)<sup>3</sup> and the text revision (DSM-5-TR<sup>™</sup>) – version do not include PANS as a syndromic entity.<sup>4</sup> Nevertheless, PANS can be codified using the DSM-5 diagnosis of" Obsessive-Compulsive and Related Disorder Due to Another Medical Condition" (code 294.8). Neither does the 10th revision of the International Statistical Classification of Diseases and Related Health Problems (ICD-10) mention PANS, even though it includes Pediatric Autoimmune Neuropsychiatric Disorders Associated with Streptococcal infections (PANDAS) among the category "other specified disorders involving the immune mechanism, not elsewhere classified" with the code D89.89.<sup>5</sup>

An important body of research describing case series and large cohorts has been produced in the last two decades (eg<sup>2,6–10</sup> The description of PANS symptoms constellation was firstly agreed upon in 2012<sup>1</sup> as a consequence of the extension of the Pediatric Autoimmune Neuropsychiatric Disorders Associated with Streptococcal infections (PANDAS) criteria, described for the first time in the late 1990s. PANDAS identified prepubertal patients with an acute/sub-acute onset of motor abnormalities (tics) or OCD, triggered by group-A beta-hemolytic Streptococcal (GABHS) infections.<sup>11</sup> Subsequently it became clear that the strict connection with a streptococcal infection was a too narrow criterion as the exposure to a wide variety of other infections, eg, bacterial (*M. pneumoniae, B. burgdorferi, S. aureus*) and viral (Epstein–Barr, Influenza, Coxsackie, Varicella, SARS-CoV2), can trigger the PANDAS symptoms. Even non-infectious conditions, such as emotional stress or oxidative toxin exposure, are considered potential environmental triggers.<sup>12,13</sup> Currently, a large set of triggers are supposed to activate the immune pathways leading to an abnormal inflammatory response within the central nervous system (CNS).

Therefore, PANDAS diagnosis now falls into the newly established category of PANS.<sup>1,11,14</sup> Both PANDAS and PANS are described as neuropsychiatric syndromes with an acute or sub-acute onset, often followed by a chronic, relapsing/remitting course or by a progressive disintegrative course with deterioration of cognitive functions.<sup>13</sup>

An autoimmune etiology is strongly suspected for both conditions,<sup>15</sup> and putative biomarkers, traditionally related to Sydenham's chorea (eg, autoantibodies against dopamine receptors D1 or, lysoganglioside-GM1, D2,  $\beta$ -tubulin, calcium calmodulin dependent kinase II activity), have been detected in PANS, as previously proposed for PANDAS (eg, Cunningham Panel).<sup>16</sup> In principle, it is assumed that the CNS is first hit by an immune response activated by an infectious agent or by other environmental factors; the persistence of the immunological activation, even after the remission of the acute phase, maintains an inflammatory, detrimental condition.<sup>6,17</sup>

Neuropsychiatric symptoms of PANS usually commence in early childhood (mean  $7\pm 2$  years) with a male to female ratio around  $2:1.^{2,6,7,9,11,18,19}$ 

Unfortunately, well-conducted epidemiological studies are lacking owing to the heterogeneity of symptoms and the still ongoing characterization of this condition. Possibly, the open debate on the inclusion of this nosographic entity within the psychiatric taxonomy, is the main reason why large population-based studies on PANS frequency are still missing.<sup>20</sup> A misdiagnosis of PANS is still common, particularly when it occurs atypically with regressive behavioral patterns,<sup>21</sup> making the epidemiology of PANS still undetermined.<sup>22</sup> Two large cohort studies reported a high risk for OCD, tics, and other psychiatric symptoms following streptococcal sore throat or other respiratory infections, suggesting that PANS/PANDAS are not rare disorders.<sup>23–25</sup> Moreover, it is assumed that PANDAS/PANS cases could be a specific etiopathogenetic subgroup within pediatric-onset OCD, affecting at least 1 of 20 cases.<sup>26</sup>

#### Aims and Scope of the Review

The current literature on PANS has been diffusely growing in the last decade; however, consistency between single contributions is still low, leading to confounding messages to clinical practitioners. This narrative review aimed to provide an overview of the main clinical and pathophysiological aspects of PANS and PANS-related disorders (PANS-RD). It also intended to summarize key points to facilitate practitioners during the early identification and the subsequent treatment of the disease.

### **Materials and Methods**

#### Search Strategy

The relevant literature was obtained from PubMed biomedical database, without limiting the period of search in order to capture a broad range of potential studies. The search was carried out until September 30th, 2022 by applying the following key terms: "PANS" and "Pediatric Acute-Onset Neuropsychiatric Syndrome". English-written full-text clinical studies, case reports, and reviews were included. Exclusion criteria included language other than English, textbooks, editorials, letters to the editor, and contents not connected to the topic of our review.

### Data Extraction and Synthesis

The results consisted of a total of 1005 articles of which 452 and 553 articles respectively for the key words. As a first step the articles were thoroughly examined and, subsequently, 205 were considered relevant to be included in this review. Two authors independently searched and extracted the data. Five further readings have been added to implement discussion section (Figure 1).<sup>27–31</sup>

# **Clinical Features**

### Diagnostic Criteria

PANS diagnostic criteria were listed for the first time by experts convoked at the National Institute of Mental Health (NIMH), in July 2010<sup>1</sup> (see Box 1).

In the last decade, several groups attempted to validate the diagnostic work-up for PANS as suggested by Swedo et al.<sup>1</sup> Most of them used a descriptive approach to validate the previously mentioned criteria. Gromark et al<sup>7</sup> analyzed the relative frequency of onset symptoms in a PANS group confirming that obsession and compulsion (89%) are the chief symptoms, together with anxiety (including separation anxiety) (78%), emotional lability and/or depression (71%), sleep disorders (69%), and complex tics (62%). Other motor abnormalities such as dystonia, choreic movements, muscle faintness, and gross and fine motor difficulties were also very frequent (60%). Attention deficit (63%), hyperactivity (43%), deterioration in school performance (50%) and other cognitive symptoms were also commonly reported. Fifty percent of patients described sensory abnormalities, such as hypersensitivity to touch/clothes, sound, and light. Less frequent, at least at the PANS onset, were irritability/aggression (44%) and regressive behaviors (40%). Loss of appetite and weight loss, avoidant–restrictive food intake disorder, or other OCD-related eating disorders were reported in 40% of patients. Finally, somatic symptoms, such as pain and increased urinary frequency were reported in 38% and 44% of patients, respectively.

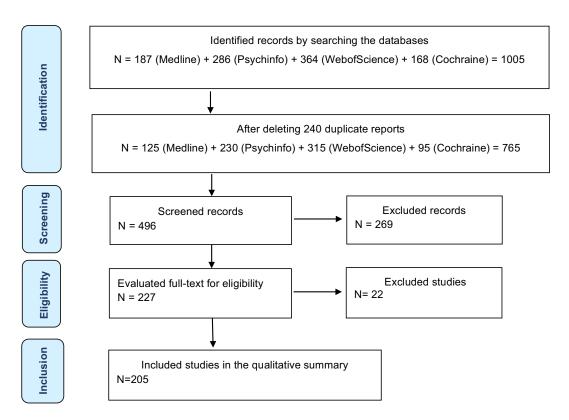


Figure I Study selection flowchart. The flowchart shows the results of our review and process, in four steps: Identification: consisted of 765 articles, derived from a selection of a total of 1005 initial articles after deleting 240 duplicate reports; Screening: the articles were thoroughly examined: 269 were excluded and, subsequently, 496 records were screened; Eligibility: the number of full texts evaluated was 227 and 22 were excluded for the absence of eligibility criteria; Inclusion: 205 articles were identified as pertinent for inclusion in this review.

#### Box I PANS working criteria

(I) Abrupt dramatic onset of OCD or severely restricted food intake
(II) Concurrent presence of additional neuropsychiatric symptoms (with similarly severe and acute onset), from at least two of the following seven
categories:
I. Anxiety
2. Emotional lability and/or depression
3. Irritability, aggression, and/or severely oppositional behaviors
4. Behavioral (developmental) regression
5. Deterioration in school performance (related to Attention Deficit/hyperactivity Disorder [ADHD]-like symptoms, memory deficits, and
cognitive changes)
6. Sensory or motor abnormalities
7. Somatic signs and symptoms, including sleep disturbances, enuresis, or urinary frequency
(III) Symptoms are not better explained by a known neurologic or medical disorder, such as Sydenham Chorea (SC).

Another remarkable evaluation was carried out by Johnson et al<sup>9</sup> who showed similar symptom profiles and frequency compared to the PANS cohorts from Stanford,<sup>2</sup> University of South Florida (USF)<sup>32</sup> and NIMH.<sup>6</sup>

Thanks to a novel mathematical approach, based on Artificial Neural Networks, our group explored putative links between PANS working criteria among patients from an Italian PANS cohort, using the Auto Contractive Map (Auto-CM) system.<sup>10</sup> The application of this statistical method revealed that PANS symptoms are connected to each other on the semantic connectivity map, encompassed in a central "diamond" linking together anxiety, irritability/oppositional defiant disorder symptoms, obsessive-compulsive symptoms, behavioral regression, sensory motor abnormalities, school performance deterioration, sleep disturbances, and emotional lability/depression. This study could be considered a statistical validation of the existence of the PANS as a definite clinical entity characterized by a complex interplay of co-occurring psychiatric symptoms and atypical movements.

Overall, current observational studies on PANS from distinct geographical areas, are consistent in describing a constellation of symptoms in every way similar to those originally described by Swedo et al,<sup>1</sup> even though no agreement rates between clinicians have been studied. The symptoms' consistency is of paramount importance as it opens new opportunities for the construction of a panel of specific biomarkers, to be used for clinical and diagnostic purposes,<sup>33</sup> as well as for the proposition of a shared work-up treatment.

#### Psychotic Symptoms: The Continuum Between PANS and Autoimmune Encephalitis (AE)

In addition to the symptoms listed previously, from 14% up to 37% of the PANS patients experience psychotic symptoms such as auditory and/or visual hallucinations, thought disorganization, and delusions.<sup>2,13,34,35</sup> Patients who show psychotic symptoms can have a more severe long-term impairment.<sup>36</sup>

Remarkably, psychosis is a common feature of pediatric autoimmune encephalitides (AE), such as anti-N-methyl-D-aspartate receptor (NMDAR) and Hashimoto's encephalopathies.<sup>37,38</sup> AE may share a wide range of symptoms with PANS, such as cognitive impairment, abnormal movements, behavioral disturbances, and autonomic symptoms. Actually, the whole AE psychiatric clinical spectrum, including temper tantrums, behavioral change, agitation, aggression, progressive speech deterioration, hyperactivity and hypersexuality,<sup>38</sup> is largely overlapping with PANS.

Some authors have emphasized differences between PANS and AE, such as the rarity of seizures and cognitive deterioration which only occur in PANS.<sup>39</sup> Furthermore, PANS normally has a spontaneous relapsing-remitting course, different from AE, where symptoms tend to have a rapid escalation to an extreme severity level with no spontaneous resolution.<sup>39</sup> Nevertheless, these differences seem to be more quantitative than qualitative and, in principle, PANS and AE could be considered two phenotypes belonging to the same spectrum of an autoimmune disorder of the basal ganglia, characterized by neuropsychiatric symptoms related to distinct activation of a CNS inflammatory cascade.

# Pathogenic Immune Pathways in PANS and PANS-RD Autoimmune-Inflammatory Hypothesis

Autoimmune CNS diseases can be triggered in previously healthy individuals by peripherally circulating antibodies which inappropriately identify neuronal or synaptic proteins as non-self epitopes. This mechanism is clearly evident in AE such as anti-NMDAR and limbic encephalitis, or post-infectious basal ganglia encephalitis (BGE).<sup>40,41</sup> However, since the blood–brain barrier (BBB) habitually prevents circulating antibodies from entering into the CNS,<sup>42,43</sup> the mechanisms by which pathogenic autoantibodies enter the brain to affect neural circuits still remain obscure,<sup>44</sup> although new explanatory data are emerging (vide infra).

As already pointed out, it is estimated that 25% of pediatric OCD and/or tic disorders (eg, Tourette's syndrome) initially originated as PANDAS.<sup>11</sup> Antibody-mediated mechanisms likely play a key pathogenic role in humans and rodent PANDAS models.<sup>45</sup> The discovery of anti-neuronal autoantibodies targeting cell surface receptors in the basal ganglia, the ability of antibodies to cause behavioral abnormalities in naive rodents after adoptive antibody transfer<sup>42</sup> and the positive responses to immunotherapy in these patients and animals,<sup>46,47</sup> are all factors that are consistent with the involvement of autoimmune mechanisms.

OCD is a frequent psychiatric disorder with a prevalence of around 2%, first manifesting from late childhood to adolescence.<sup>48</sup> DSM-5,<sup>3</sup> DSM-5-TR<sup>4</sup> and novel ICD-11 criteria<sup>5</sup> distinguish primary and secondary subforms of OCD, with no specific guidelines on how to differentiate between the two conditions. Multifactorial interactions of genetic and epigenetic factors with the imbalance of cortico-striato-thalamo-cortical circuits and dysregulation of neurotransmission<sup>49</sup> are considered the basis of primary OCD. The most effective therapy for OCD is the combination of cognitive-behavioral psychotherapy and pharmacotherapy.<sup>50</sup> However, several patients are treatment-resistant,<sup>51</sup> suggesting that this OCD subgroup may have an acquired, immunologic basis.<sup>52,53</sup> It has been estimated that individuals with OCD have a 43% increased risk of any autoimmune disorders.<sup>54</sup> Furthermore, OCD can develop during the course of AE<sup>55</sup> or other chronic CNS autoimmune diseases (eg, multiple sclerosis),<sup>56</sup> systemic autoimmune diseases such as systemic lupus erythematous (SLE) and Sjögren's syndrome.<sup>57</sup> Although immunological alterations are described in patients with primary OCD forms,<sup>51</sup> a recent meta-analysis on serum cytokine showed no significant differences in patients compared with controls.<sup>58</sup>

The "post-infectious" immune activation described in some OCD phenotypes may suggest an involvement of the human leukocyte antigen (HLA) system, which stands at the crossroads between neurodevelopment and psychiatric diseases.<sup>59</sup> A new exon-based study in OCD patients displayed strong associations with two distinct HLA regions and a shared risk for developing schizophrenia.<sup>60</sup> Others found a relationship between early-onset OCD and HLA-class II<sup>61</sup> particularly with HLA-DRB1-04, an allele involved in a typical autoimmune disease such as type-1 diabetes.<sup>62</sup>

Growing links between OCD and (auto)immune brain activation have been found, although based on clinical evidence rather than on systematic randomized studies.<sup>63</sup> Autoimmune subtypes of OCD are currently believed to be the immune-mediated effect of an infectious cause,<sup>64,65</sup> or they could be due to self-reactive mechanisms in individuals with systemic or brain-specific chronic autoimmune diseases. In other cases, it might be due to "low-grade" viral-mediated brain inflammation in patients with immunogenetic susceptibility.<sup>66</sup> As already mentioned, OCD symptoms are often found in children with PANS/PANDAS,<sup>63</sup> similar to rheumatic fever, glomerulonephritis and Sydenham's chorea, after a GABHS-tonsillopharyngitis.<sup>66</sup> Streptococcus induces the production of antibodies (anti-streptolysin O and anti-DNase B antibodies)<sup>67</sup> which are thought to mimic basal ganglia epitopes.<sup>68</sup> However, not quite the same effect is seen with the direct anti-streptolysin O and anti DNase B administration in experimental animals.<sup>69</sup> Post-mortem human brain studies have shown perivascular CD3-positive T cells in Sydenham's chorea as well as in anti-Hu and anti-LGI1/Caspr2 AE patients.<sup>70</sup> Therefore, the action of T cells, in particular Th17 lymphocytes, is hypothesized as essential to initiate and spread neurovascular dysfunction, BBB disruption, and disease pathogenesis in several CNS autoimmune diseases.<sup>71</sup> A mouse model of postinfectious BGE has been developed through intranasal infections with live Streptococcus.<sup>72</sup> In this model, Streptococcus-specific Th17 cells proliferate and differentiate in the nasal lymphoid tissue and migrate into the brain causing increased BBB permeability and microglial activation. Later, the same group<sup>73</sup> confirmed that Th17-

dependent mechanisms permit IgG entry in the CNS, since mice lacking Th17 lymphocytes have reduced BBB damage, reduced microglial activation, and antibody CNS infiltration.

In conclusion, Th17 lymphocytes can be, at least, a prerequisite for CNS entry of autoantibodies and the subsequent microglial activation, and neural circuit impairment in postinfectious AE.<sup>74</sup>

Whether Th17 cells impair neural circuit function directly, or indirectly via pathogenic antibodies or excessive microglial activation, remains to be clearly determined. Streptococcus-specific T cells were present in the mice brains at least 56 days after infections,<sup>72</sup> an interval that fits the occurrence of neurological sequelae for rheumatic fever, where the chorea symptoms typically emerge several weeks or months after the acute infection. These persistent T-cells in the brain are supposed to induce a persistent BBB leakage and a chronic microglia activation that would permit autoantibodies (if already present in plasma) to enter the brain.

As mentioned, the construct of PANS extended to pathogens other than Streptococcus, such as *M. pneumoniae*, *B. burgdorferi*<sup>52</sup> and, possibly, SARS-CoV 2,<sup>75</sup> recently related to the onset of serious neuropsychiatric symptoms and PANS.<sup>76,77</sup>

The "Cunningham panel", was believed to measure the typical autoimmune profile in PANS/PANDAS patients<sup>68,78,79</sup> or to predict response to IVIG treatment in children with autism.<sup>80</sup> Under a pathogenic view, CaMKII activation may alter dopamine release, while anti-D1/D2R antibodies can internalize dopamine receptors.<sup>81</sup> However, this "specific" panel has not been universally validated and did not stand the test of sensitivity/specificity as a positive Cunningham Panel was found in 86% healthy controls.<sup>82</sup> Therefore, its clinical utility is currently questionable<sup>82,83</sup> and the panel should only be recommended for research purposes, until definite demonstrations. On the contrary, the well-characterized neuronal antibodies established in AE and in autoimmune psychosis by using cell-based assays and brain sections immunoblotting and immunofluorescence, have rarely been explored in the context of PANS, even though their presence cannot be ruled out.<sup>84</sup> As evidence of this, antibodies to cholinergic interneurons of the striatum have been recently described in sera of patients with PANDAS.<sup>22</sup>

In 2020, an international consensus for autoimmune psychosis was published<sup>85</sup> to make clear that many AE, particularly those with anti-NMDA-R, anti-GABA-A, anti-dopamine (D1/D2), and anti-Ma2/CV2 antibodies can present with predominant or pure psychiatric symptoms, including OCD.<sup>55,65,86,87</sup> In such patients, EEG may display signs of encephalopathy and acute (increased proteins and white cells) or chronic (oligoclonal bands) inflammatory signs within the CSF.<sup>85</sup> Theoretically, OCD-like symptoms are caused by inflammatory lesions along the cortico-striato-thalamo-cortical circuits.<sup>49</sup> Actually, T2-weighted MRI images may show inflammatory or volumetric changes in the basal ganglia or mesiotemporal hyperintensities in those with limbic encephalitis.<sup>81,88</sup>

In conclusion, studies on humans and animal models of autoimmune psychiatric diseases support a multiple-hit hypothesis, wherein acute psychiatric symptoms arise at the convergence of different clinical phases. Firstly, the untreated or recurrent infections are able to break the immune self-tolerance. Secondly, the development of cross-reactive CNS autoantibodies along with a genetic susceptibility to autoimmunity occurs with only a small subset of children presenting BGE after streptococcal infections.<sup>45</sup> Finally, a specific Th17-cell response in the nasal or throat mucosae allows the entry of relevant systemic autoantibodies into the CNS initiating autoimmune encephalitis.<sup>89</sup>

An ex juvantibus confirmation of the autoimmune-inflammatory etiopathogenesis of PANS could emerge from the response to immunotherapies, such as steroids, IVIGs, plasma exchange, rituximab. Unfortunately, only a few RCTs in children with PANDAS have been conducted so far, which demonstrated IVIGs/plasma exchange and/or azithromycin to be only dubitatively more effective than placebo,<sup>65,90</sup> and new clinical trials are now investigating the effects of rituximab in putative autoimmune OCD subtypes<sup>91</sup> with the hope that this immunotherapy can lead to an improvement in prognosis.

Although the clinical experience points to the existence of secondary, post/peri-infectious and/or autoimmune forms of OCD, as it occurs in PANS, the scientific body of evidence for defining the concept of "autoimmune PANS" is still weak.<sup>65</sup> Nevertheless, a large body of evidence seems to corroborate the inflammatory involvement of the basal ganglia and the related networks and CNS districts.

### Family History and Genetic Findings

Both population studies and surveys agree that a family history of autoimmune/inflammatory disorders is common in first and second degree relatives of PANS subjects.<sup>7,9,10,15,92</sup> In parallel, familial clustering of immune-mediated diseases has been described in patients with OCD and tic disorders<sup>54,93,94</sup> accounting for an increased presence of autoimmune thyroiditis, acute rheumatic fever, rheumatic heart diseases, Sydenham chorea, rheumatoid arthritis, type 1 diabetes, alopecia, psoriasis, vitiligo, inflammatory bowel diseases, celiac disease, multiple sclerosis, myasthenia gravis, SLE, antiphospholipid syndrome, and systemic connective tissue disorders. However, population studies also agree on a strong family history of psychiatric disorders in first degree relatives of subjects with PANS (obsession, compulsion, anorexia, depression, bipolar disorder, anxiety).<sup>9,10</sup>

Therefore, a reasonable pathogenic model assumes that genetic risk factors, leading to dysregulation of immune pathways (eg, HLA), could play a role in PANS. Nevertheless, this genetic liability could be insufficient to determine the PANS phenotype, and neurosynaptic regulatory genes could also be involved. Very recently, whole exome (WES) and whole genome sequencing (WGS) were used to identify influential biologically genetic factors underlying PANS.<sup>95</sup> Ultra-rare variants in 11 genes (PPM1D, SGCE, PLCG2, NLRC4, CACNA1B, SHANK3, CHK2, GRIN2A, RAG1, GABRG2, and SYNGAP1) were identified in a group of 21 PANS subjects. This is the first demonstration that de novo or ultra-rare genetic variants in PANS can modulate the neuroinflammatory circuit at multiple levels (from innate immunity to synaptogenesis) and may have a strong influence on the function of the BB barrier, as well as on the enteric nervous system. Interestingly, Trifiletti et al<sup>95</sup> separated two broad functional categories of genes regulating both peripheral immunity and microglia (PPM1D, CHK2, NLRC4, RAG1, PLCG2) and the synaptogenesis (SHANK3, SYNGAP1, GRIN2A, GABRG2, CACNA1B, SGCE). The latter are well known genes involved in the etiology of some neurodevelopmental disorders such as autism,<sup>96</sup> confirming a multifactorial and probabilistic role of genetic and environmental factors in PANS and, perhaps, in some neurodevelopmental disorders.

Of particular interest is the finding of PANS candidate genes in the choroid plexus and brain vasculature<sup>95</sup> which can be linked to the so-called "maternal immune activation" (MIA), a 2-hit model in which pre- and post-natal environmental factors may trigger non-resolving inflammation capable of initiating or precipitating psychiatric disorders in children.<sup>97</sup> Consistently, transcriptome analysis indicates that innate immune signaling may be related to maternal inflammation during pregnancy and to the occurrence of childhood tics and OCD symptoms in offspring later in life.<sup>98</sup> Thus, also according to Trifiletti et al<sup>95</sup> the pathophysiological process leading to the development of PANS and PANS-RD could start during fetal life, at least in some genetically predisposed individuals.

### Pre-Existing Neurodevelopmental Disorders

PANS has been described both in neurotypical children and in subjects with neurodevelopmental disorders. Gromark et al<sup>7</sup> described, retrospectively, developmental abnormalities in 18% of PANS patients and similar percentages of pre-existing psychiatric diagnosis. Johnson et al<sup>9</sup> documented pre-existing developmental disorders in 22% of their PANS cohort, but pre-existing subclinical neurodevelopmental problems in a larger proportion (52%). Even more compelling, in the Stanford PANS cohort, pre-existing neurodevelopmental or psychiatric problems were found in 71% of PANS cases.<sup>15</sup> The rate of children with pre-existing neurodevelopmental disorders changes among different studies depending on inclusion/exclusion criteria. Despite these differences, the whole set of studies provides strong evidence for some degree of underlying neurodevelopmental abnormality in PANS subjects. This is not surprising given shared and overlapping genetic substrates across neurodevelopmental and neuropsychiatric disorders.<sup>99</sup>

Strong evidence is currently available in favor of the microglial activation and immune dysregulation in the pathophysiology of neurodevelopmental disorders, especially in autism,<sup>100</sup> OCD, Tourette's syndrome and related neuropsychiatric disorders.<sup>101,102</sup> According to this frame, upregulation of genes related to microglial function can sustain inflammatory pathways in response to environmental factors, such as infections, leading to alterations of synaptic pruning, neuronal differentiation, and neural circuit formation in the perinatal and postnatal periods.<sup>103</sup>

### **Clinical Management**

In the following paragraphs we offer some useful suggestions for practitioners who face diagnostic work-up and treatment of PANS.

## **Diagnostic Evaluation**

Many research groups studied potential specific biomarkers for PANS and provided diagnostic workups and flow charts (eg<sup>104</sup>) aimed at managing children who show acute symptoms satisfying criteria for PANS.<sup>23</sup> There is a shared agreement on the opportunity to perform a wide assessment, including physical exam, laboratory tests, psychiatric/neurological/neuropsy-chological evaluation, brain MRI scan, electroencephalogram/sleep evaluation, and, at least in some cases, cerebrospinal fluid (CSF) analysis. Nonetheless, it is still hard to reach a homogeneous, validated diagnostic pathway because of the difference between the conceptual frames and the diagnostic tools used by diverse groups. A recent review<sup>105</sup> suggested that, taken individually, no single biomarker is specific for the diagnosis of PANS.

## Physical Exam

At first observation, around half of patients show ear, nose, and throat infection signs.<sup>7,9</sup> Physical examination can also reveal evidence of systemic inflammation and/or autoimmunity.<sup>33</sup> Somatic assessment should be focused on signs of infection, as well as rheumatological and neurological signs.<sup>7</sup> A higher prevalence has been described for autoimmune or inflammatory diseases such as asthma, atopic eczema, multiple nutritional allergies, celiac disease, postinfectious arthritis, Henoch-Schonlein's purpura, autoimmune thyroiditis, and type 1 diabetes mellitus.<sup>7</sup> Interestingly, a high prevalence of palatal petechiae, even in patients without GAS infection has been described, suggesting a possible inflammation-driven disruption of the microvasculature.<sup>106</sup> Different kinds of skin abnormalities, including dermatographia, livedo, rashes, and/or eczema, are described in more than half of PANS patients.<sup>7</sup>

#### Allergic Diseases and Immune-Mediated Food Disorders

The frequencies of asthma, immune-mediated food disorders, and celiac disease have been positively examined in patients with PANS.<sup>107</sup> The onset of PANS symptoms can be associated with food reactions,<sup>108</sup> and allergic rhinitis is significantly more prevalent in PANS cohorts compared to healthy controls.<sup>109</sup>

#### Autonomic Symptoms and Sensory Abnormalities

Sensory abnormalities, heightened sensitivity to touch/clothes, sound, smell, light, taste, spatial distortion of object vision, dilated pupils ("terror stricken look"), have been frequently described in PANS subjects.<sup>7,9,15</sup> In a recent cohort study of 204 PANS patients,<sup>110</sup> a pattern of symptoms (hypermobility, anxiety, chronic fatigue, and family history of cardiovascular diseases) was associated with the presence of Postural Orthostatic Tachycardia Syndrome (POTS), confirming a clinical aspect frequently observed during a PANS flare.

#### Neurological and Motor Symptoms

Neurological and/or motor abnormalities, such as choreiform movements, "piano

playing fingers" movements, muscle weakness, dystonia, gross and fine motor skills impairment, strength or tendon reflexes asymmetry, and ataxia have been described in a large part of PANS subjects (from 23% to 60%).<sup>7,35</sup>

#### Catatonia

Catatonia is a neurological condition affecting motor, affective, and cognitive systems. It has been associated with various psychopathological conditions as well as neurodevelopmental disorders, such as autism.<sup>111</sup> Some case reports<sup>112</sup> documented catatonia in a child initially diagnosed with suspected anti-VGCC AE, but later, with clear PANS symptoms constellation and receiving PANS as alternative or concomitant diagnosis.<sup>113</sup> The authors suggested that the early presentation of PANS with OCD symptoms was hidden by the catatonic symptoms. From these observations, catatonia could be seen as one condition that bridges the gap between PANS and AE.

#### Disfluency and Other Speech Symptoms

A recent survey confirmed the onset of speech disfluency in 54.5% of cases, expressed by superfluous verbal behavior, higher speech rate, verbal blocks and associated oral motor symptoms.<sup>114</sup> This research confirmed a previous study showing that 37% of PANS children and young adults (698 total cases) presented with speech disfluencies (eg, stuttering), at some point during the PANS disease course.<sup>13</sup>

#### Laboratory Tests

The first systematized panel of laboratory parameters has been proposed by a USA group (Cunningham Panel)<sup>16</sup> that commercialized a set of assays for the diagnosis and the monitoring of PANS/PANDAS clinical severity. Although some studies identified a moderate correlation between CaMKII and symptom severity in individuals with PANS or PANDAS, further studies<sup>82</sup> found no consistent indications that the Cunningham Panel may be informative as a diagnostic tool for PANS. Sensitivities of individual biomarkers in the Cunningham Panel have been estimated from 15 to 60%, specificities from 28 to 92%, positive predictive values between 17 to 40%, and negative predictive values from 44 to 74%. Moreover, a large part of healthy controls showed pathological Cunningham Panel results. Finally, the test-retest reliability was demonstrated to be insufficient.<sup>82</sup>

Despite these disappointing results, there is a general agreement on the opportunity to dispose of a laboratory panel in the differential diagnostic process. A useful and complete laboratory test panel should take into account the variety of issues potentially disturbing both the psychic functions and the general health of children with PANS.<sup>10</sup> Four sub-groups of laboratory-based biomarkers (infective, inflammatory, immune/autoimmune, general health parameters), have been proposed in different studies. We summarized all these parameters in Table 1 with the purpose of offering an overview of the PANS-associated putative biomarkers stratified on the basis of the power of evidence through a Likert scale from 1 to 3 (referring to the number of the supporting studies available).

### **Results and Considerations of Laboratory Tests**

Results of laboratory parameters shown in Table 1 have been analyzed and resumed in relation to the power of the references within and between four biomarker sub-groups: Infective, Immune/autoimmune, General health parameters and Inflammatory. The stars were used to quantify the number of studies reporting each one of them (\*between 1 and 3 studies; \*\*between 4 and 6 studies; \*\*\*= or > of 7 studies).

Trying to measure the findings, the number of stars used in Table 1 were transformed to number values (\*\*\* = 3; \*\* = 2; \* =1). So that, a numerical value for each sub-group was obtained, allowing calculation of the arithmetic mean of the strength values within each group.

The most representative of the four groups was the Infective one (mean = 2.50), followed by Immune/autoimmune (mean = 2.16), General health parameters (mean = 1.60), and finally by the Inflammatory sub-group (mean = 1.60).

Student's *t*-test was calculated to verify whether differences between the mean values of the sub-groups, actually exist. These four subgroups seem to share an initial infectious etiology followed by a process of auto-immune nature resulting in some laboratory parameters of general inflammation increase. The Student's *t*-test reached a weak but statistically significant difference between the number of studies that, to date, investigated a possible infective cause, versus those that explored inflammatory parameters (n = 44; df = 1, 43; ds = 0.45; *p*-value = 0.05).

Moreover, the findings included in our review showed an internal-variability. Thus we performed a Fisher's exact test, to measure whether differences in Prospective Studies (including prospective observational studies [POS] and randomized controlled trial [RCT]) versus Non-prospective studies (including retrospective observational studies [ROS]; casecontrol study [CCS]; cross-sectional study [CSS]; systematic review [SR] and guidelines [GL]), may be considered significant.

There were 13 prospective studies on laboratory biomarkers in total (OS = 12; RCT = 1). There were 31 non-prospective studies in total (CCS = 14; CSS = 8; SR = 8; GL = 1).

We did not find any statistically significant output at two-tailed *p*-value (p = 0.29) between non-prospective and prospective studies (results not shown). This result means that the previously described weak significance of the infective

#### Table I Laboratory-based Biomarkers

Laboratory Parameters	Tissue Tested	Significant Results	Totality of the	Studies
			Evidence	
I. INFECTIVE				
Antistreptolysin O antibody (ASO)	Serum	Positive in PANS/ PANDAS (>250 IU)	***	Pavone et al (2004) <sup>167</sup> [CCS]; Kurlan et al (2008) <sup>168</sup> [OS]; Morris et al (2009) <sup>169</sup> [OS]; Murphy et al (2012) <sup>34</sup> [CSS]; Stagi et al (2014) <sup>170</sup> [CCS]; Cox et al (2015) <sup>87</sup> [OS]; Stagi et al (2018) <sup>171</sup> [CSS]; Chain et al (2020) <sup>78</sup> [CSS]; Murphy and Pichichero (2002) <sup>172</sup> [OS]; Gamucci et al (2019) <sup>18</sup> [OS]; Prato et al (2021) <sup>172</sup> [SR].
Antistreptolysin O antibody (ASO)	Serum	Negative in PANS (<250 IU)	***	Luo et al (2004) <sup>173</sup> [OS]; Bernstein et al (2010) <sup>174</sup> [CCS]; Morris- Berry et al (2013) <sup>175</sup> [OS]; Murphy et al (2013) <sup>176</sup> [OS]; Gagliano et al (2020) <sup>10</sup> [OS]; Gagliano et al (2021) <sup>118</sup> [CSS]; Prato et al (2021) <sup>172</sup> [SR].
Nasopharyngeal culture for Group A beta-hemolytic streptococcus (GABHS)	Throat	Positive in PANS/ PANDAS	***	Murphy et al (2012) <sup>34</sup> [CSS]; Swedo et al (1998) <sup>11</sup> [CSS]; Lepri et al (2019) <sup>67</sup> [CCS]; Leckman et al (2011) <sup>173</sup> [CSS]; Orefici et al (2016) <sup>177</sup> [SR]; Bryńska et al (2004) <sup>178</sup> [SR], Gagliano et al (2020) <sup>10</sup> [OS]; Gamucci et al (2019) <sup>18</sup> [OS]; Calaprice et al (2017) <sup>13</sup> [CCS].
Nasopharyngeal culture for other bacteria (Staphylococcus aureus, Streptococcus pneumoniae, Haemophilus influenzae Pseudomonas aeruginosa)	Throat	Positive in PANS	**	Gagliano et al (2020) <sup>10</sup> [OS]; Prato et al (2021) <sup>172</sup> [SR].
IgG and/or IgM Antibodies against different germs (AntiMycoplasma pneumoniae, Chlamydia pneumoniae, Epstein– Barr virus, Borrelia Burgdorferi, and Herpes Simplex Virus –HSV- Type I)	Blood	Positive in PANS	***	Chang et al (2015) <sup>15</sup> [GL]; Murphy et al (2014) <sup>179</sup> [SR]; Hansen et al (2021) <sup>180</sup> [SR]; Perrin et al (2004) <sup>181</sup> [OS]; Lepri et al (2019) <sup>67</sup> [CCS]; Gagliano et al (2020) <sup>10</sup> [OS]; Murphy and Pichichero (2002) <sup>172</sup> [OS]; Esposito et al (2014) <sup>182</sup> [SR]; Prato et al (2021) <sup>172</sup> [SR].
	CSF	Negative in PANS	*	Gamucci et al (2019) <sup>18</sup> [OS].
II. IMMUNE/AUTOIMMUNE				
Thyroid tests				
Antithyroid antibodies (Thyroid Stimulating Hormone Receptor	Blood	Positive in PANS/	***	Stagi et al (2014) <sup>169</sup> [CCS]; Frankovich et al (2015) <sup>2</sup> [CCS]; Gromark
Antibody [anti-TSH receptor]	Blood-serum	PANDAS		et al (2019) <sup>7</sup> [OS]; Gagliano et al (2020) <sup>10</sup> [OS]; Gromark et al
Anti-thyroid peroxidase [antiTPO],	Blood			(2021) <sup>183</sup> [OS]; Gamucci et al (2019) <sup>18</sup> [OS]; Prato et al (2021) <sup>172</sup>
Thyroglobulin antibodies,	Blood			[SR].
TSH receptor antibodies [anti-TRAbs])	Blood-serum			
Celiac tests	Sorum	Positive in PANS	**	Stagi et al (2014) <sup>169</sup> [CCS]; Frankovich et al (2015) <sup>2</sup> [CCS]; Gromark
Anti-gliadin,	Serum	rosiuve in PAINS		et al $(2019)^7$ [OS]; Gromark et al $(2021)^{183}$ [OS].
Anti-endomysium,	Serum Serum			(2017) [US]; Gromark et al $(2021)$ [US].
Anti-tissue-translglutaminase,	Serum			

Organ- and nonorgan-specific autoantibodies				
Antinuclear antibodies (ANAs) anti-DNasi,	Serum	Positive in PANS/	***	Chain et al (2020) <sup>78</sup> [CSS]; Stagi et al (2014) <sup>169</sup> [CCS]; Williams et
anti-smooth muscle, anti-extractable nuclear antigens, anti-		PANDAS		(2016) <sup>115</sup> [RCT]; Gromark et al (2019) <sup>7</sup> [OS]; Murphy et al (2012) <sup>3</sup>
phospholipid, lupus-like anticoagulant, Anti-A carbohydrate (anti-				[CSS]; Murphy et al (2013) <sup>176</sup> [OS]; Gagliano et al (2020) <sup>10</sup> [OS]; Lep
ACHO) antibody				et al (2019) <sup>67</sup> [CCS]; Gromark et al (2021) <sup>183</sup> [OS]; Frankovich et a
				(2015) <sup>2</sup> [CCS]; Gamucci et al (2019) <sup>18</sup> [OS].
Antineural antibodies				
Dopamine receptors D1 and D2 antibodies	Serum	Elevated in PANS	***	Pavone et al (2004) <sup>167</sup> [CCS]; Cox et al (2015) <sup>87</sup> [OS]; Morris-Berr
lysoganglioside-GM1 (lyso-GM1) antibodies	Serum			et al (2013) <sup>175</sup> [OS]; Chain et al (2020) <sup>78</sup> [CSS]; Stagi et al (2014) <sup>16</sup>
calcium calmodulin dependent kinase II activity				[CCS]; Kirvan et al (2006) <sup>46</sup> [CCS]; Cunningham et al (2014) <sup>184</sup> [SR
(CaMKII-activity) antibodies				Shimasaki et al (2020) <sup>79</sup> [CSS]; Singer et al (2015) <sup>185,186</sup> [CCS];
β-tubulin antibodies				Hesselmark et al (2017) <sup>82</sup> [CCS]; Xu et al (2021) <sup>22</sup> [CCS].
(CaMKII-activity) antibodies	CSF	Positive PANS/	*	Kirvan et al (2006) <sup>46</sup> [CCS]; Shimasaki et al (2020) <sup>79</sup> [CSS].
		PANDAS		
Neuronal encephalitis spectrum antibodies	CSF	Negative in PANDAS	*	Chain et al (2020) <sup>78</sup> [CSS]; Gamucci et al (2019) <sup>18</sup> [OS].
		Negative in PANS	*	
III. INFLAMMATORY				
Histone antibodies	Blood	Positive in PANS/	*	Frankovich et al (2015) <sup>2</sup> [CCS]; Chang et al (2015) <sup>15</sup> [GL]; Prato et
		PANDAS		(2021) <sup>172</sup> [SR].
Lymphocyte subset (T, B, natural killer [NK] cells)	Blood	Positive in PANS/	*	Leckman et al (2011) <sup>173</sup> [CSS]; Gilbert et al (2019) <sup>187</sup> [SR].
		PANDAS		
D8/17-reactive cells	Blood	Positive in PANS/	**	Swedo et al (1998) <sup>11</sup> [CSS]; Luo et al (2004) <sup>173</sup> [OS]; Sokol et al
		PANDAS		(2002) <sup>188</sup> [CCS]; Prato et al (2021) <sup>172</sup> [SR].
Erythrocyte sedimentation rate (ESR)	Blood	Increased in PANS/	**	Frankovich et al (2015) <sup>2</sup> [CCS]; Murphy et al (2012) <sup>34</sup> [CSS]; Murph
		PANDAS		et al (2013) <sup>176</sup> [OS]; Gromark et al (2019) <sup>7</sup> [OS]; Gromark et al
				(2021) <sup>183</sup> [OS].
C-reactive protein (CRP)	Blood	Increased in PANS/	***	Gagliano et al (2020) <sup>10</sup> [OS]; Murphy et al (2012) <sup>34</sup> [CSS], Murphy et
		PANDAS		(2013) <sup>176</sup> [OS]; Frankovich et al (2015) <sup>2</sup> [CCS]; Gromark et al (2019
				[OS]; Gromark et al (2021) <sup>183</sup> [OS], Chang et al (2015) <sup>15</sup> [GL],
				Gamucci et al (2019) <sup>18</sup> [OS].
Neuron-specific Enolase (NSE)	Serum	Elevated in PANS	**	Gagliano et al (2021) <sup>118</sup> [CSS];
Serum amyloid A (SAA)	Serum			Frankovich et al $(2015)^2$ [CCS];
Complement activation	Blood			Stagi et al (2014) <sup>169</sup> [CCS]; Prato et al (2021) <sup>172</sup> [SR].
lgG, lgA, lgM				
IL-1- $\beta$ , IL1-ra, IL-2, IL-6, IL8, IL-10, IL-17A, TNF- $\alpha$ , IL-12, IL-	Serum	Elevated in PANS	**	Frankovich et al $(2015)^2$ [CCS]; Murphy et al $(2012)^{34}$ [CSS]; Murp
12p70, CD-14, SGP130, IL-GR				et al (2013) <sup>176</sup> [OS]; Gromark et al (2019) <sup>7</sup> [OS]; Gromark et al (2021) <sup>183</sup> [OS].

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(Continued)

#### Table I (Continued).

Laboratory Parameters	Tissue Tested	Significant Results	Totality of the Evidence	Studies
Granulocyte-macrophage colony-stimulating factor (GM-CSF)	Serum	Elevated in PANS	*	Rodriguez et al (2017) <sup>189</sup> [CCS];
Cytokines	CSF	Normal in PANS	*	Gamucci et al (2019) <sup>18</sup> [OS];
	Serum	Increased in PANS	*	Parker-Athill et al (2015); <sup>190</sup> Rodriguez et al (2017) <sup>189</sup> [CCS]
IV. GENERAL HEALTH PARAMETERS				
Complete blood count (CBC)	Blood	Alterated in PANS/	*	Leckman et al (2011) <sup>173</sup> [CSS]; Stagi et al (2014) <sup>169</sup> [CCS].
		PANDAS		
Ferritin	Serum	Reduced in PANS/	**	Gromark et al (2019) <sup>7</sup> [OS]; Gromark et al (2021) <sup>183</sup> [OS]; Chan et al
		PANDAS		(2021) <sup>191</sup> [CSS]; Prato et al (2021) <sup>172</sup> [SR].
Iron	Serum	Reduced in PANS/	*	Chang et al (2015) <sup>15</sup> [GL]; Chan et al (2021) <sup>191</sup> [CSS]; Prato et al
		PANDAS		(2021) <sup>172</sup> [SR].
Vitamin D	Blood	Reduced in PANS/	**	Celik et al (2016) <sup>192</sup> [CCS]; Stagi et al (2018) <sup>170</sup> [CSS]; Frankovich et al
		PANDAS		(2015) <sup>2</sup> [CCS]; Gromark et al (2019) <sup>7</sup> [OS]; Gromark et al (2021) <sup>183</sup>
				[OS]; Prato et al (2021) <sup>172</sup> [SR].
TSH, fT3 and fT4	Blood	Increased in PANS/	**	Stagi et al (2014) <sup>169</sup> [CCS]; Gagliano et al (2020) <sup>10</sup> [OS]; Gromark
		PANDAS, reduced in		et al (2019) <sup>7</sup> [OS]; Gromark et al (2021) <sup>183</sup> [OS]; Prato et al (2021) <sup>172</sup>
		PANS/PANDAS		[SR].

Notes: The table shows an overview of PANS versus PANDAS and of PANS-associated putative biomarkers stratified on the basis of the totality of the evidence through a Likert scale from 1 to 3 (referring to the number of the supporting studies available – see the abbreviation explanation). The study design is also stated (see abbreviation). \*Between 1 and 3 studies; \*\*between 4 and 6 studies; \*\*e or > 7 studies. Abbreviations: MA, meta-analysis; SR, systematic review; RCT, randomized controlled trial; OS, prospective or retrospective observational study; CCS, case-control study; CSS, cross-sectional study; CR and CS, case reports and case series; GL, guidelines. biomarkers is not related to the type of the studies that were analyzed. We further observed that only one previous work<sup>115</sup> had an RCT design with the aim to evaluate the efficacy of the intravenous immunoglobulin treatment in PANDAS. So far, no RCT studies on biomarkers are currently available.

In summary, a weak significance in laboratory parameters to support the clinical diagnosis of PANS/PANDAS was obtained for the infective biomarkers. However, available data are still inconsistent and further studies are needed, particularly with a long-term follow-up and with an RCT design.

## Psychiatric, Neurological, and Neuropsychological Evaluation

The behavioral/neuropsychological evaluation can be performed with standardized checklists and scales (see Table 2). All these diagnostic tools must be considered as a complementary part of the direct child observation and of the clinical interview with patients and their caregivers.

### MRI Scan

Additional evidence of the presence of an organic substrate of PANS arised from brain imaging studies. Symptoms are assumed to relate to cross reactive antibodies crossing a compromised BBB and damaging basal ganglia and amygdala tissues.<sup>46</sup> Consistently,

Test	Test Description/Function					
PANSS	<ul> <li>Pediatric Acute Neuropsychiatric Symptom Scale (PANSS), (Version: June 6, 2012), a parent versior to assess common PANDAS/PANS symptoms, developed based on clinical experience, Swedo S, Kovacevic M, Latimer B and Leckman J, with the help of Pohlman D, Moore K and many other particles (see <a href="https://aspire.care">https://aspire.care</a>).</li> <li>The structure of the scale provides sub-scores for each symptom included in the PANS criteria a a total score ranging between 0 and 50 points.</li> <li>The impairment in self-esteem, family life, social acceptance, and school or job functioning, is also estimated.</li> </ul>					
CY-BOCS	Children's Yale-Brown Obsessive Compulsive Scale (CY-BOCS), a semi-structured interview measuring obsessive-compulsive symptom severity in children and adolescents <sup>193</sup>					
YGTSS	Yale Global Tic Severity Scale score (YGTSS), a common semi-structured interview, followed by a questionnaire, documenting the intensity, complexity and frequency of motor and phonic tics; the total score is a measure of overall tic severity. <sup>194</sup>					
PARS	Pediatric anxiety Rating Scale (PARS), a clinician-rated instrument for assessing the severity of anxiety symptoms associated with anxiety disorders (social phobia, separation anxiety disorder, and generalized anxiety disorder) in children. <sup>195</sup>					
C-GAS	Children's Global Assessment Scale (C-GAS), a useful measure of general functioning, aimed at children and young people aged 6–17 years old, as a complement to syndrome-specific scales (derived from an adaptation of the Global Assessment Scale for adults). <sup>196</sup>					
USCRS	UFMG (Universidad Federal de Minas Gerais) Sydenham's Chorea (SC) Rating Scale (USCRS), an instrument designed to provide a quantitative description of the performances and activities of daily living, behavioral abnormalities, and motor function of subjects with SC. The administration of the scale supports the neurological examination. <sup>197</sup>					
Wechsler scales: WPPSI-III/ WISC-IV/ WAIS-IV	The most widely used measure of general cognitive ability and intellectual functioning of children and adults: WPPSI-III (Wechsler Preschool and Primary Scale of Intelligence- III), WISC-IV (Wechsler Intelligence Scale for Children - IV), WAIS-IV (Wechsler Adult Intelligence Scale- IV). For children over four, the IQ score is made up of the following indices: Verbal Comprehension, Visual Spatial Fluid Reasoning, Working Memory, Processing Speed. <sup>198–201</sup>					

Table 2 Main behavioral/neuropsychological evaluation instruments

Notes: See: for PANS scale and C-GAS;<sup>32,135</sup> for YGTSS and CY-BOCS;<sup>19,32</sup> for USCRS<sup>202</sup> and;<sup>203</sup> for Wechsler scales,<sup>18</sup> and<sup>83</sup>.

functional and structural alterations of the cortico-basal ganglia circuitry, comparable to that observed in patients with acute Sydenham's Chorea, have been described in PANDAS, such as expanded striatal volume<sup>88,111</sup> or inflammation of the striatum (measured by Positron Emission Tomography [PET] using as marker of microglial activation).<sup>116</sup> A more recent diffusion-MRI study<sup>117</sup> identified cerebral microstructural differences in several brain structures which seemed to relate to a neuroinflammatory state. All assessed brain regions had significant increased diffusivity in PANS compared to healthy subjects. The most altered diffusivity was observed in the deep gray matter (eg, thalamus, basal ganglia, and amygdala), which is consistent with the PANS clinical phenotype mainly characterized by obsessions and compulsions and by emotional symptoms.<sup>117</sup>

### Electroencephalogram/Sleep Evaluation

No systematic evaluations of EEG patterns in PANS have been conducted so far. Available evidence is based on scattered reports of EEG alterations, such as intermittent or persistent focal or generalized slow-wave activity, both at rest and in vigilant state, not associated with epileptic seizures.<sup>10</sup>

Despite the lack of studies, the Consensus guideline suggests EEGs, particularly overnight evaluation, to be supportive in indicating focal or generalized slowing and/or epileptiform activity.<sup>15</sup> More interesting data are provided by polysomnographic investigations showing a very high prevalence of sleep disorders in children with PANS (around 80%)<sup>118–120</sup> and a wide range of specific sleep alterations such as parasomnias (nightmares, nocturnal pavor, sleepwalking or somnambulism), early or intermediate insomnia and premature awakenings (terminal insomnia), abnormalities of rapid eye movement (REM) sleep such as REM Sleep Without Atonia (RSWA), REM behavior disorder and nonspecific REM motor disinhibition, and Periodic Limb Movement Disorder (PLMD). Most PANS subjects presented more than one sleep disturbance both in acute and chronic phases of the disease.<sup>118</sup>

## Cerebrospinal Fluid (CSF) Analysis

According to Recommendations from the 2013 PANS Consensus Conference,<sup>15</sup> lumbar puncture (LP) should be considered when MRI or EEG abnormalities have been demonstrated, or in the presence of seizures or severe psychotic symptoms, such as delirium and alteration of consciousness. In addition, LP is considered a necessary diagnostic investigation in PANS when a differential diagnosis with other immunological or metabolic CNS diseases is needed (AE, CNS vasculitis, neuropsychiatric SLE [NPSLE], Acute Disseminated Encephalomyelitis and infectious encephalitis).<sup>7,33,121,122</sup> Although with a limited sensitivity,<sup>47</sup> CSF evaluation should include chemical tests (glucose and protein), cell counts, assays for antineuronal antibodies (eg, anti-NMDA receptor antibodies) and oligoclonal bands.

### Treatment

### Background

There are still no specific guidelines for treatment. The therapeutic strategies commonly used in PANS are largely borrowed from the guidelines validated for other disorders with inflammatory/ autoimmune pathogenesis (such as autoimmune encephalitis or Sydenham's Chorea).<sup>90</sup>

In order to discuss PANS treatment, it should start with the Journal of child and adolescent psychopharmacology special issue<sup>17</sup> where the results of the PANS/PANDAS Research Consortium (PRC) meeting, held at the National Institutes of Health in Bethesda in May 2014, were presented. The proposed guidelines for diagnosis and treatment still remain the most organic and complete guide for treatment, even though they should not be considered real guidelines but suggestions for "best practice". In fact, they are based on the agreement of clinical experts rather than on strong statistical evidence and methodologies.<sup>33,123,124</sup>

Starting from evidence that in more than 80% of cases PANS arises from post-infectious autoimmunity and/or neuroinflammation, PRC proposed<sup>17</sup> three complementary approaches:

- treating the symptoms with psychoactive medications, psychotherapies and supportive interventions.
- Removing the source of the inflammation with antimicrobial interventions.
- Treating disturbances of the immune system with immunomodulatory and/or anti-inflammatory therapies.

PRC also suggested<sup>17</sup> that the child's primary carer can provide effective treatment to most of PANS patients. Nonetheless, children with severe or complex clinical presentations, should be treated by an experienced transdisciplinary team of PANS/PANDAS clinicians composed of psychiatrists and proper specialists, such as rheumatologists and immunologists.

The following paragraphs describe the available evidence on the different kinds of approaches.

Table 3 shows an overview of the available studies on immunomodulatory/anti-inflammatory and antimicrobial treatment stratified on the basis of the number of supporting studies.

#### Immunomodulatory and Anti-Inflammatory Therapies

Among the wide group of immunomodulatory and anti-inflammatory therapies, four main classes of treatment have been proposed for PANS patients:

- (a) steroids.
- (b) Non-Steroidal Anti-Inflammatory Drugs (NSAIDs).
- (c) Intravenous immunoglobulin (IVIG).
- (d) Plasmapheresis.
- (e) Cytostatic and immunomodulatory drugs.

This is in line with the treatment approach for so called "Inflammatory brain diseases" (IBrainDs), an umbrella term describing vasculitic and non-vasculitic inflammatory conditions of the brain and/or spinal cord (eg, demyelinating disease, antibody-mediated IBrainDs, Rasmussen's encephalitis, neurosarcoid, acute necrotizing encephalitis, etc).<sup>39</sup> In most severe childhood IBrainDs, corticosteroids are recommended as the first-line, cost-effective treatment aimed at rapidly extinguishing the inflammatory response.<sup>125</sup> A post-stabilization immunosuppressive treatment should be proposed on the basis of inflammatory paths taking part in the primary disease process (such as T cell-targeted treatment in IBrainDs, T cell driven or B cell-targeted therapy in autoantibody-/antibody-driven diseases).<sup>125</sup>

Nonetheless, the PRC<sup>17</sup> recommended stopping the intensive immunomodulatory and anti-inflammatory interventions if PANS symptoms fail to improve, since the symptoms could be based on a persistent damage of the neural circuits, rather than on an ongoing inflammatory process.

#### Steroids

The first large study on steroid use in PANS was a retrospective case review<sup>126</sup> showing that patients treated with corticosteroids had a significantly higher global improvement and a shorter flare duration than patients not treated with corticosteroids. The dosing schedule was 1-2mg/kg for 5 days (max dose 60mg twice daily), followed by a prolonged taper in some cases. The authors concluded that corticosteroids may be an effective treatment in patients with new-onset and relapsing/remitting PANS. They also studied the effect of timing of corticosteroids, suggesting that when corticosteroids are given earlier in a disease flare, symptoms improve more quickly. In the large survey study from Stanford,<sup>127</sup> 154 out of 698 patients were treated with short steroid tapers (< 14 days). Nearly half of them (49%) rated the treatment as "very effective". Interestingly, only 7% of patients withdrew from steroids due to lack of efficacy. Thus, a moderate or mild efficacy was observed in the largest portion of subjects. A similar percentage of high efficacy (54%) was reported for treatment with long steroid tapers (> 14 days) with only 3% withdrawing due to lack of efficacy.

These promising results allowed PRC to suggest that, for moderate to severe PANS, oral or intravenous corticosteroids may be the first choice.<sup>33</sup>

Otherwise, the clinical guidance for diagnosis and management of PANS in the European Nordic countries<sup>122</sup> suggests starting steroids as second line, if NSAID failed, and if a strong suspicion of inflammation exists. The treatment consists of monthly oral Dexamethasone pulses for 3 months; each pulse being 10 (-15) mg/m2 daily in two doses on three consecutive days.<sup>122</sup> Evaluation of treatment effect is recommended after 1 and 3 months and a second three pulses can be administered if necessary.<sup>122</sup> It should be stated that Methylprednisolone and Dexamethasone showed similar

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#### Table 3 Immunomodulatory/anti-inflammatory and antimicrobial treatment options

Active treatment		Decare and Schodule	Totality	Studies and Study Designs
Active treatment	Peatment Dosage and Schedule		Totality of the	Studies and Study Designs
			Evidence	
			Evidence	
Immunomodulatory and anti-				
inflammatory				
Prednisone	Oral	I-2mg/kg day, pulse (max dose 60–120 mg) for short duration (4–5 days)	*	Frankovich et al (2017) <sup>33</sup> [GL]
		Alternatively 1–2 mg/kg, once daily, or divided twice daily,		Brown et al (2017) <sup>126</sup> [OS]
		maximum 60–120 mg daily) for 5–10 days; then taper for 4–8 weeks.		
Dexamethasone	Oral	20 mg/m <sup>2</sup> divided twice daily for 3 days	*	Frankovich et al (2017) <sup>33</sup> [GL]
		Monthly oral pulses for 3 months (each pulse being 10 (-15) mg/		Pfeiffer et al (2020) <sup>122</sup> [GL]
		m2 daily in two doses on three consecutive days)		
Methylprednisolone	Intravenous	30mg/kg/dose/day from one to three consecutive	*	Frankovich et al (2017) <sup>33</sup> [GL]
		daily pulses (maximum 1000 mg/dose/24 hours)		
Ibuprofen	Oral	10 mg/kg/dose, three times a day, maximum dose: 600 mg/dose	*	Brown et al (2017) <sup>126</sup> [OS] Spartz et al (2017) <sup>131</sup> [OS]
		10-15 mg/kg/dose, three times a day, maximum 500 mg/dose (4-		Pfeiffer et al (2020) <sup>122</sup> [GL]
		12 weeks)		
Naproxen	Oral	10 mg/kg/dose, two times a day, maximum dose: 500 mg/dose	*	Brown et al, (2017) <sup>126</sup> [OS]; Spartz et al (2017) <sup>131</sup> [OS]
		10–20 mg/kg/day split in two doses, max 500 mg twice a day (4–		Pfeiffer et al (2020) <sup>122</sup> [GL]
		12 weeks)		
Sulindac	Oral	2–4 mg/kg/day in two divided doses, maximum: 6 mg/kg/day, maximum dose 400 mg/day)	*	Brown et al (2017) <sup>126</sup> [OS]; Spartz et al (2017) <sup>131</sup> [OS];
Celecoxib	Oral	50–100 mg, two times a day	*	Spartz et al (2017) <sup>131</sup> [OS]
		100 mg twice daily for 12 weeks		Westwell-Roper et al <sup>132</sup> (2022) [RCT]
IVIG (Intravenous Immunoglobulin)	Intravenous	Induction dose: $1.5-2 g/kg$ (maximum dose 70 g); second and subsequent dosing: $1-2 g/kg$ ; intervals of 4–6-week between the doses	**	Frankovich et al (2017) <sup>33</sup> [GL]
		(Octagam 5%) I g/kg of body weight every 21 days (+/- 3 days)		Melamed et al (2021) <sup>204</sup> [OS]
		for a total of six infusions (cycles) over a period of 18 weeks		
		6-week trial of IVIG (1 g/kg/day on 2 consecutive days), followed		Williams et al (2016) <sup>115</sup> [OS]
		by a 12–24 weeks optional open-label treatment for non-		
		responders.		
		2 g/kg monthly for three months		Hajjari eu al. (2022) <sup>136</sup> [OS]
		Boost 2 g/kg over 2 days and thereafter every month (1 g/kg over		Pfeiffer et al (2020) <sup>122</sup> [GL]
		two consecutive days) for 3–6 months		

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Plasma exchange (plasmapheresis)	Blood plasma	5 single volume exchanges for 2 weeks	*	Perlmutter et al (1999) <sup>205</sup> [RCT]
		1.5 volume therapeutic exchanges for 3–5 days (3–4 runs)		Latimer et al (2015) <sup>138</sup> [OS]
Rituximab	Intravenous	750 mg/m <sup>2</sup> (maximum dose 1000 mg) in 2 doses separated by 2 weeks	*	Frankovich et al (2017) <sup>33</sup> [GL]
Mycophenolate Mofetil (MMF)	Oral	600 mg/m <sup>2</sup> /dose twice daily (max dose 1500 mg/dose)	*	Frankovich et al (2017) <sup>33</sup> [GL]
Antimicrobial treatment				
Azithromycin	Oral	10 mg/kg up to 500 mg per day; 4 weeks treatment in acute	**	Blankenship et al (2020) <sup>145</sup> [CR]
		phase; daily administration or 3 times weekly in chronic		Murphy et al (2017) <sup>144</sup> [RCT]
		treatment		Snider et al (2005) <sup>143</sup> [PD]
				Rea et al (2021) <sup>19</sup> [OS]
Penicillin	Oral	Penicillin V-K 250 mg two times a day for 30 days	*	Snider et al (2005) <sup>143</sup> [PD]
	Oral/	Amoxicillin/clavulanic acid for 10–21 days at diagnosis followed		Lepri et al (2019) <sup>67</sup> [OS]
	intramuscular	by prophylaxis with benzathine benzylpenicillin (600,000–		
		1,200,000) every 21 days, for at least 5 years		
	Oral/	Cycles of oral amoxicillin-clavulanic acid followed by		Rea et al (2021) <sup>19</sup> [OS]
	intramuscular	intramuscular benzylpenicillin, amdinocillin every 21 days		
Cefdinir	Oral	g I4 mg/kg per day in two daily doses (max 600 mg)	*	Murphy et al (2015) <sup>32</sup> [RCT]
Different antimicrobial treatments	Oral	Dosage not stated (following regional guidelines for suspected		Pfeiffer et al (2020) <sup>122</sup> [GL]
according to the regional guidelines for		ongoing bacterial infections), maximum treatment duration of 14		
suspected ongoing bacterial infections		days		

Notes: The table shows an overview of the available studies on immunomodulatory/anti-inflammatory and antimicrobial treatment stratified on the basis of the totality of the evidence (by a Likert scale from 1 to 3 referring to the number of the supporting studies available; see the abbreviation). The study design is also stated (see the abbreviation). \*Between 1 and 3 studies; \*\*between 4 and 6 studies; \*\*\*, = or > 7 studies. Abbreviations: MA, meta-analysis; SR, systematic review; RCT, randomized controlled trial; OS, prospective or retrospective observational study; CCS, case-control study; CSS, cross-sectional study; CR and CS, case reports and case series; GL, guidelines; PD, case report with (parallel design). efficacy in most pediatric conditions.<sup>128</sup> Recently, evidence of recovery effects on blood-brain barrier (BBB) permeability has been provided for Dexamethasone<sup>129,130</sup> with a subsequent reduction of inflammation-induced tissue damage.

As an adverse effect, a temporary worsening of psychiatric symptoms after corticosteroid administration has been reported.<sup>33,126</sup> Eye effects, such as glaucoma, cataracts, have been described, as well as bone infarcts or osteopenia, and metabolic effect (type-2 diabetes, hypertension, and striae).<sup>33</sup> For Methylprednisolone, blood pressure and heart rate variations, flushing, blurry vision, sweating, and metallic taste in the mouth have been reported. Nevertheless, weekly or monthly corticosteroid pulses treatment is largely less burdened by physical side effects, compared to chronic steroid treatment.<sup>33</sup> Furthermore, intravenous pulse, supra-pharmacological doses of corticosteroids are supposed to have cumulatively less side effects than continued steroid treatment at lower quantitative dosage.<sup>128</sup> Nevertheless, at least a monthly check of blood pressure and glycosuria are strongly suggested.

### Non-Steroidal Anti-Inflammatory Drugs (NSAIDs)

Despite the few reports on their efficacy in PANS,<sup>131</sup> the use of nonsteroidal anti-inflammatory drugs (NSAIDs) is encompassed into the consensus guidelines for immunomodulatory interventions.<sup>33</sup> NSAIDs have been mainly proposed as prophylaxis in patients with new-onset and relapsing/remitting PANS, within 30 days of flare onset, assuming that they are able to shorten the symptom duration.<sup>126</sup> In a survey study,<sup>127</sup> 302 out of 698 PANS subjects were treated with Ibuprofen with a shortening of the flare duration in 23% of cases.

The clinical guidance for diagnosis and management of PANS in the European Nordic countries<sup>122</sup> proposes the use of oral NSAIDs such as Ibuprofen (10–15 mg/kg/dose, three times a day, maximum 500 mg/dose) or Naproxen (10–20 mg/kg/day split in two doses, max 500 mg twice a day). Evaluation of the effect after 4 weeks is recommended with an extension of the treatment up to maximum 12 weeks. The contemporary use of oral proton pump inhibitors to prevent gastritis is also suggested.<sup>122</sup>

Recently a 12 weeks placebo controlled study on the efficacy of the COX-2-selective inhibitor celecoxib (100 mg twice day), as adjunctive treatment in children and youth with moderate-to-severe OCD has been proposed.<sup>132</sup>

#### Intravenous Immunoglobulins (IVIG)

IVIG are supposed to decrease neuroinflammation through their capacity to regulate the immune response acting on T-cell/microglia crosstalk, levels of pro-inflammatory cytokines,  $Fc\gamma$  receptor activity, complement inhibition, and disrupted brain-CSF barriers reparation.<sup>133</sup>

According to the clinical guidance for diagnosis and management of PANS in European Nordic countries,<sup>122</sup> treatment with IVIG should be implemented only in patients severely affected who are not responding to steroids or having contraindications for its use. Moreover, the decision to start IVIG should always be made by a highly specialized team. The proposed administration schedule is the following: 2 g/kg over 2 days and thereafter every month (1 g/kg over two consecutive days) for a minimum of 3 months and a maximum of 6 months, if an additional improvement is predictable. Most recommendations are based on observational and open label studies, with the exception of a randomized, controlled clinical trial on 35 children with PANDAS and OCD that found no differences between IVIG and placebo on OCD severity scores.<sup>134</sup> Nevertheless, more than one open label study described considerable and pervasive improvements in symptoms and dysfunctions in PANS associated with an underlying immune dysregulation, with persisting benefits for 8–46 weeks.<sup>135,136</sup> In these studies, no serious adverse events other than moderate to severe transient side effects (such as headache, neck pain, nausea and vomiting) have been described. They occurred around 24 hours post-treatment, persisted for 2–3 days after infusion and diminished during the subsequent cycles of treatment.<sup>136</sup> Nausea, myalgia, transient fever, chills, rigors, chest discomfort, and hypotension can occur as infusion-related side effects, habitually dose-related or due to a too rapid infusion.<sup>33</sup> NSAIDs or corticosteroids during and after IVIG may prevent these adverse effects.<sup>33</sup>

### Plasmapheresis or Plasma Exchange (PE)

The first randomized clinical trial has been conducted in children with infection-triggered OCD and tic disorders, comparing PE with IVIG and placebo.<sup>137</sup> Plasma exchange and IVIG both resulted effective in the reduction of symptom

severity. A retrospective study<sup>138</sup> described the efficacy of a PE protocol processing a total of 4.5 blood volumes over 3– 5 days (three treatments of 1.5 volumes each) in 35 patients with severe PANS. The average symptoms' improvement was 65% at 6 months and 78% at longer-term follow-up, with particular improvements in OCD, anxiety, tics, dysgraphia, sleep difficulties, and urinary urgency/frequency. Even though in this study PE was well-tolerated, the authors recommend reserving this invasive treatment for patients with a severe form of the disease. In the European Nordic countries,<sup>122</sup> the use of PE is suggested only in patients with a clear clinical phenotype of autoimmune encephalitis (AE), according to the guidelines for AE.<sup>39</sup>

### Cytostatic and Immunomodulatory Drugs

Among the possible treatment options, the clinical management recommendations of PRC<sup>33</sup> encompass some immunomodulatory treatments such as Mycophenolate Mofetil (MMF) and Rituximab (see Table 2). Conversely, in the European Nordic countries,<sup>122</sup> Rituximab and other cytostatic immunomodulatory drugs are not recommended in PANS, except for probable or definite autoimmune encephalitis cases.

### Psychotherapies and Supportive Interventions

The main non-pharmacological interventions regarding PANS/ PANDAS include psychoeducational, psychotherapeutic, behavioral family, and school-based interventions. These treatments aim to decrease suffering and improve functioning. At the same time, family support and education is strongly desirable in order to reduce the parents' distress and to increase the parenting and caring abilities.

To our knowledge, at present there are no specific studies based on the efficacy of psychoeducational and psychotherapeutic treatments in PANS. Nevertheless, children with PANS should always receive psychotherapy, such as Cognitive-Behavioral Therapy (CBT). According to the traditional CBT approach, it could be beneficial to work on the PANS psychiatric symptoms<sup>123</sup> such as OCD symptoms, irritability and aggression, anxiety, ADHD symptoms, depression, psychotic symptoms. Moreover, the Exposure/Response Prevention (ERP) and interventions in the family system<sup>123,139</sup> can be proposed. The ERP approach exposes the patient to thoughts, images, objects and situations that generate discomfort, supporting her/him in making the choice to elude the maladaptive response (prevention).<sup>140</sup> CBT is conceptualized as a short-term, skills-focused treatment. Its purpose is to modify maladaptive emotional responses by modifying the patient's thoughts and behaviors.<sup>141</sup> To maximize benefits, treatment with CBT/ERP should start early and should address individual needs (individualized treatment).<sup>123</sup>

An exploratory survey of 473 PANS patients<sup>127</sup> who had received psychotherapy interventions revealed that CBT with ERP was the most effective treatment, compared to other types of psychotherapeutic treatment. Interestingly, during this exploratory investigation, it was often claimed that the pharmacological treatment allowed CBT to work more successfully. In another study,<sup>19</sup> CBT techniques, including ERP, and psychoeducation were administered to 33/62 children with a significant reduction in OCD symptom, but not in tics' severity, after at least one year of treatment.

### Antimicrobial Treatment

The initial proposed antimicrobial treatments for PANDAS were Beta-lactam antibiotics, such as Penicillins and Cephalosporins, since they are the first-line class of agents for treatment of GABHS' infection. However, the results of studies were inconsistent. For instance, in an 8-month placebo-controlled crossover trial with prophylactic penicillin vs placebo<sup>142</sup> the treatment resulted ineffective in reducing episodes of PANDAS. Some years later, Snider et al,<sup>143</sup> in a 12-month parallel design study comparing prophylactic penicillin vs azithromycin, found it both effective in decreasing streptococcal infections and neuropsychiatric symptom exacerbations. A 1-month placebo-controlled study with Cefdinir vs placebo (24) showed a reduction of OCD and tics severity. A recent Italian retrospective study<sup>144</sup> failed in proving significant benefits of antibiotic therapy (cycles of oral azithromycin or amoxicillin-clavulanic acid followed by intramuscular penicillin, benzylpenicillin every 21 days) in tics (P = 0.50) and OCD (P = 0.64) in a cohort of 56 children with PANS.

In the last decade, Azithromycin was proposed as a valid substitute for Beta-lactam antibiotics since it offers GABHS coverage, with better handling (only one dose/day). A short term (4 weeks) double blind pilot study on azithromycin

(10 mg/kg up to 500 mg per day) confirmed its efficacy in PANS patients, especially in those with elevated levels of both OCD and tic symptoms.<sup>144</sup> Daily administration has been proposed as prophylaxis even if, due to its long half-life, chronic treatment with azithromycin has also been proposed giving the drug 3 times weekly.<sup>143,145</sup> Almost all studies recommend the continuous use of probiotic therapy during the treatment aimed at mitigating risk of antibiotic-induced diarrhea. No data are available on tolerability in the long term, nor is it stated how long the prophylaxis should be continued. The rationale of the prolonged use of Azithromycin is based on the hypothesis that it can reduce future exacerbations of PANS because it halts its progression, avoiding repeat infections. Nevertheless, Azithromycin also exhibits anti-inflammatory effects. In fact, it decreases IL-1 $\beta$ -mediated inflammation, exerting an immunomodulatory action in monocytes through the destabilization of mRNA levels for a key inflammasome component (NALP3).<sup>146</sup> Thus, it is plausible that, at least in part, the effectiveness of Azithromycin in PANS is mediated by its anti-inflammatory effects. <sup>147</sup> The additive effects of antibiotics could explain why a subgroup of pediatric patients with OCD responded to antibiotic treatment and immunomodulatory therapy, independently by the evidence of an ongoing infection.<sup>148</sup>

Despite the fact that use of acute and long-term antimicrobial treatment is mentioned in the consensus PANS guideline,<sup>33</sup> more recent literature contributions criticize this approach. First because the high prevalence of chronic psychiatric disorders in childhood could increase the phenomena of antibiotic resistance.<sup>149</sup> Second, because the available clinical trials on antibiotics have serious design flaws, very small sample sizes, and low evidence of efficacy.<sup>149</sup> Finally, infections can be considered only one of multiple triggers of a final common pathway corresponding to brain inflammation. Thus, in many cases, focusing the treatment on a specific germ (eg, GAHBS) could mean assuming a very narrow perspective, overlooking the complexity, and shooting only one enemy while neglecting all the others.

#### **Psychoactive Medications**

The previously mentioned survey study<sup>13</sup> describes a large cohort of PANS subjects treated with psychotropic drugs (SSRI, ADHD medications, antipsychotics, anxiolytics, and mood-stabilizers), used in addition to the other kind of treatments in most of the cases. As stated before, psychotropic medications (such as and selective serotonin uptake inhibitors), in association with cognitive behavioral therapy, is considered the first treatment step in North-European countries.<sup>121,122,149</sup>

In a recent small, retrospective study,<sup>19</sup> psychoactive medications, such as haloperidol, risperidone, aripiprazole, clozapine, methylphenidate chloridrate, and pimozide, did not show significant effects on tics (P = 0.60) and other psychiatric symptoms (P = 0.78).

Overall, large and conclusive studies on efficacy are still lacking. Conversely, what is available is a tolerability retrospective study,<sup>124</sup> revealing that patients with PANS developed side effects at lower doses compared to pediatric patients with other psychiatric conditions. In fact, side effects requiring medication change arose in 54% of PANS subjects (38% for antidepressant trials and 49% for antipsychotic trials). The authors hypothesized that developmental differences in drug metabolism or differences in the blood-brain barrier's permeability could improve the psychotropic drugs' adverse effects. Moreover, the brain inflammation may down-regulate cytochrome P450 enzyme activity causing an increase in drug serum levels.

Remarkably, accumulating data suggest a proven anti-inflammatory effect of SSRIs, through the reduction of IL-1 $\beta$  and IL-6 levels, as it has been demonstrated in patients with depression.<sup>150</sup> Therefore, this class of drugs could exert its effect, at least partly, via anti-inflammatory properties.

#### **Results and Considerations in Treatment Approach**

Even though there is a substantial agreement on the necessity to use a large range of interventions, there is not the same agreement on the hierarchical importance of each intervention. For instance, in some countries, especially in the north of Europe, the treatment work-up starts from psychotropic medications (such as and selective serotonin uptake inhibitors) and cognitive behavioral therapy with the purpose of reducing the symptoms.<sup>122,149</sup> Therefore, immunomodulatory

treatment is regarded as an auxiliary intervention recommended only when clear evidence of an inflammatory or autoimmune etiology is available or when the symptoms persist despite psychiatric care and the eradication of the (if present) infection. Instead, in other countries, especially in North America, the role of immunomodulatory and antiinflammatory therapies is more relevant, according to the importance that the immunological and inflammatory aspects of the disease assumed in the last years. Consistently, a large body of research reports a significant benefit of immunomodulatory dulatory/anti-inflammatory treatments, in most cases,<sup>90,126,131,134,138,151,152</sup> suggesting a guarantee of immunomodulatory treatment in all patients. A larger number of studies are available on IVIG treatment (see Table 3) compared to the number of studies on single steroids and NSAIDs. Nevertheless, the whole set of steroids (Prednisone, Dexamethasone and Methylprednisolone) and of NSAIDs (Ibuprofen, Naproxen, Sulindac, and Celecoxib) received the largest amount of studies (see Table 3).

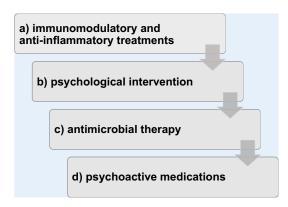
The use of antibiotics appears to be a rational treatment only during active infections in PANS. A large survey on the PANS population described infection as a trigger for PANS in 65%<sup>13</sup> and moreover, apart from many anecdotal reports, a randomized controlled trial supports azithromycin use as acute intervention (4-week treatment).<sup>144</sup> In addition, some authors propose the chronic use of antibiotics, regardless of ongoing infections, as prophylaxis for new flares, with an antibiotic regimen similar to that used for acute rheumatic fever.<sup>19,67</sup> However, the rationale of this long-term antibiotic treatment has not been demonstrated in PANS. In fact, there are no conclusive studies to support antimicrobial drug use regardless of whether infection is detected or not.<sup>148</sup> Neither did adenotonsillectomy, proposed by some authors, seem to reach a statistical validation for treating OCD symptoms in PANDAS children, as demonstrated by a recent systematic literature review and metanalysis.<sup>153</sup>

In conclusion, even though the quality of evidence is still low because of very low availability of randomized controlled trials, the current approach to treatment emphasizes immunomodulatory/anti-inflammatory therapies in association with psychotropic medications and with cognitive behavioral therapy and limits antibiotics use to the acute phase of the disease, if a bacterial infection is active (see Figure 2).

Finally, it must be underlined that strong evidence of beneficial effects is still lacking because of the paucity of randomized controlled trials (RCT). A recent systematic review on treatment<sup>137</sup> concluded that available evidence neither supports nor excludes potential beneficial effects of anti-inflammatory, antibacterial or immunomodulating treatments in patients with PANS and, at the same time, it describes a significant level of adverse effects. However, pressing requests from practitioners and families make addressing lines on correct treatment necessary and urgent. Literature reviews can provide useful suggestions, while waiting to reach a sufficient body of evidence to elaborate solid and shared guidelines.

#### Forthcoming Reasonable Treatments

Palmitoylethanolamide (PEA), a fatty acid amide belonging to the endocannabinoid system that rests glutamatergic toxicity and hinders inflammatory response, could be considered a promising treatment for PANS due to its extensively



#### Figure 2 Treatment work-up.

Notes: (a and b) the immunomodulatory/anti-inflammatory treatments and the psychological treatments should be guaranteed to all patients; (c) the antimicrobial treatments should be administered if an infective condition is active or if prophylaxis of recurrent infections is needed; (d) psychoactive medications may be adopted when a patient does not respond satisfactorily to immunotherapy or during the acute phases with the purpose of reducing symptoms.

documented anti-inflammatory, analgesic, antimicrobial, immunomodulatory, and neuroprotective effects.<sup>154</sup> It has already been trialed as adjunctive therapy for autism,<sup>155</sup> depression,<sup>156</sup> acute mania<sup>157</sup> and Tourette's disorder.<sup>158</sup>

Minocycline, a second-generation tetracycline antibiotic, has proven anti-inflammatory effects on brain expression of major histocompatibility complex II, tumor necrosis factor  $\alpha$ , inferferon  $\gamma$ , interleukin 6 and interleukin IL-1 $\beta$  mRNA, microglial and astroglial activation and proliferation in animal models.<sup>159,160</sup> In addition to its inhibitory effects on microglia, minocycline has antibiotic properties; both these effects could be desirable in PANS. Its use has been previously trialed for improving the symptoms of depression<sup>161</sup> and as adjunctive treatment in children with ASD.<sup>162,163</sup>

#### Treatment Work-Up

Considering the available literature, we suggest a treatment work-up (see Figure 2) based on the assumption that immunomodulatory/anti-inflammatory treatments and psychological treatments should be guaranteed to all patients. Antimicrobial treatments should be administered if an infective condition is active or if prophylaxis of recurrent infections is needed. Psychoactive medications may be adopted when a patient does not respond satisfactorily to immunotherapy or during the acute phases with the aim to reduce symptoms.

### **Implications and Conclusions**

As a syndromic entity, PANS could be viewed as the final common pathway of both infective and autoimmune events leading to brain inflammation. It is surprising that the scientific community is still skeptical in accepting this concept. Especially because large epidemiological data suggest that recurrent episodes of infection (both GAHBS and other germs) are associated with the development of OCD and other mental disorders in children,<sup>24</sup> as well as adults with autoimmune disorders who have higher probability of suffering from OCD compared to the general population.<sup>164</sup> The prevailing current expert opinion about patients with acute onset OCD spectrum, neurocognitive and motor symptoms is that they should be evaluated for inflammatory, infective, immunological and metabolic abnormalities<sup>165</sup> and that PANS should always be suspected.

Despite the fact that PANS diagnosis is still based on the typical symptom constellation, the available data suggest that a comprehensive diagnostic algorithm with an extensive laboratory panel, accurate physical exam, psychiatric/ neurological/neuropsychological evaluation, MRI scan, EEG, sleep evaluation and, at least in the most severe cases, a CSF analysis, should be performed. As shown in Table 1, to date findings on laboratory parameters are still inconsistent. Although several biomarkers (particularly those related to the post-infectious and auto-immune mechanism response) are regularly used in PANS diagnostic process, more information is needed about their correlation with specific clinical features and with PANS symptoms severity. Based on previous findings, to date, the strongest evidence on laboratory exams in PANS is relative to the infective parameters. Nevertheless, the inconsistency of findings about many specific parameters (eg, ASO) suggests that each laboratory parameter has a weak diagnostic value if taken individually, but it may become significant in the context of a set of consistent symptoms and signs.

However, clinicians should carefully consider the results of all these investigations because they can reveal evidence of active infections and/or systemic inflammation and autoimmunity supporting the decision to start a treatment. A multidisciplinary team should provide careful management to ensure a prompt response to all clinical needs, especially for patients in their acute distressing phase. Immunomodulatory and anti-inflammatory healing and psychological treatments should be guaranteed to all patients, in association, if needed, with antimicrobial treatments and psychoactive medications.

The revision of available evidence on PANS prompts some final considerations. The attempt to differentiate PANS from somatic conditions, such as Sydenham's chorea and AE, inevitably leads to discovery of large overlaps of both symptoms and biomarkers. In parallel, the effort to differentiate immune-psychiatric conditions, such as PANS or AE, from "pure" psychiatric disorders, such as non-PANS OCD or tics and Tourette's syndrome, unavoidably showed more analogies than differences. Furthermore, the evidence considering immune/autoimmune implications are reaching a growing consensus in the present scenario. In fact, the strongest evidence we found available for laboratory parameters, showed that in the serum of patients with a PANS diagnosis, Dopamine receptors D1 and D2, lysoganglioside-GM1 (lyso-GM1), calcium calmodulin dependent kinase II activity (CaMKII-activity) and  $\beta$ -tubulin antibodies are

significantly altered. CaMKII-activity antibodies have also been found to be positive in the CSF of patients with PANS spectrum in a cross-sectional study.<sup>79</sup>

Finally, just one study investigated neuronal encephalitis spectrum antibodies disimmunity in the sera and cerebrospinal fluid simultaneously. Authors included the study of antibodies anti-N-methyl-d-aspartate receptor — anti-NMDAr IgG; anti-leucine-rich glioma-inactivated protein 1 — anti-LGI1 IgG; anti-contactin-associated protein 2 — CASPR2 IgG; anti-gamma amino-butyric acid B receptor — GABAB1/2-R IgG; anti-amino-hydroxymethyl isoxazolepropionic acid (AMPA) receptor - anti-GluR1 IgG; anti-AMPA receptor 2 - GluR2 IgG; and antivoltage-gated potassium channel complex (anti-VGKC-complex IgG), specifically in the PANS group,<sup>18</sup> without positive results. They also performed anti-Hu, anti-Yo, anti-Ri, anti-GAD, anti-Cv2, and anti-Ma and just in one patient, they detected a reduction of anti-GAD in the cerebrospinal fluid, but not in serum. So far, to date we could not find a specific hierarchical sequence among the laboratory test examinations. It should be established for each patient on the basis of the symptoms, related conditions and family and anamnestic variables. None of the parameters have a strong diagnostic role but each of them can support the clinical diagnosis when positive. Further studies on this topic are also expected in patients with a specific diagnosis of PANS. Particularly, the role of antineuronal antibodies both in the sera as well as in cerebrospinal fluid levels, should clarify ethiopatogenetic mechanisms in PANS and may better define the differences between autoimmune encephalitis and the post-infective neuropsychiatric spectrum in general. Furthermore, long-term, controlled trials on PANS treatment are still very rare: almost all reported studies have an observational design and do not include follow-up results.

A different approach could be based on the consideration that, over and above the antibodies' production, the final common pathway of infective and immune-mediated syndromes is an inflammatory response within the brain. An innovative dimensional view, taking into account the multifactorial origin of psychiatric disorders, should consider neuroinflammation as a possible shared substrate of different psychiatric phenotypes. Furthermore, one hallmark of neuroinflammation is the breakdown of the tight endothelial barrier resulting in BBB opening, loss of control over transendothelial transport and immune cell infiltration into the CNS. Hence, higher-order network disturbances could be caused by common neuroinflammation mechanisms contributing to the pathogenesis and progression of various psychiatric and neurological disorders.<sup>166</sup>

Furthermore, the inclusion of genome sequencing as part of the diagnostic workup could be considered, despite the fact that this is not in the current diagnostic procedures. Those developed by Trifiletti et al are not simply of academic interest but encourage genome sequencing as part of the diagnostic workup. Especially because therapeutic decisions might arise from the genome sequencing results. For example, a child diagnosed with PANS-like symptoms and Crohn's disease, who was found to have a rare variant in the inflammasome related NLRP12 gene, was treated successfully (based on this finding) with Anakinra, an IL-1B receptor blocker, which targets one of the cytokines activated as a result of inflammasome activation.<sup>27</sup>

Our review is meant not only to help clinicians consider the possibility of an autoimmune cause for possible organic forms of PANS, but also to propose new criteria supporting a decision on offering further investigation or appropriate immunotherapies. Finding new criteria for probable or definite diagnosis of autoimmune OCD or PANS necessarily relies on serious paraclinical investigations consistent with inflammation of the CNS, which are yet to be fully clarified.

A worldwide consensus exists for autoimmune CNS disorders such as autoimmune epilepsy, autoimmune encephalitis, autoimmune dementia, and autoimmune demyelination. For taxonomic reasons, many clinicians split AE in forms with prevalent neurological symptoms, prevalent epileptic symptoms, prevalent cognitive symptoms, or prevalent psychiatric symptoms. All this might depend on known antibodies (for what we already know), age of the patient, postinfectious or paraneoplastic origin of the autoimmunity and other considerations. Inevitably, a conflict between the splitters and the unifiers arises for the correct nomenclature for CNS autoimmune disorders, in this new era of antibodymediated encephalopathies.

By using a pragmatic approach, this review tries to propose a hypothesis for PANS as a forme fruste of AE, in any sense etiologically different to AE: specificity and titers of biomarkers, initial immunological stimulus, location of antibody synthesis (eg, CSF or serum). We are confident that these points might turn out to be relevant in the near future.

The useful criteria for antibody-mediated AE<sup>39,41</sup> include paraclinical investigations which are often of limited value on the psychiatric side. In this context, AE are very heterogeneous, as suggested by the high proportion of patients with anti-NMDAR encephalitis who present first to psychiatrists and sometimes do not get diagnosed for months, or years. Our review is intended to help clinicians in catching these patients who fall through several stumbling-blocks of the current AE criteria which, often, are skewed toward a neurological emphasis and, sometimes, toward an uncritical approval of a positive serum autoantibody as being clinically relevant. Positive anti-neuronal autoantibody testing is of low positive predictive value in a "psychosis population" without additional features. However, initially this was also the case for the AE criteria.<sup>28</sup>

We feel that in young patients with OCD and other PANS symptoms a careful assessment of red flags other than only psychiatric symptoms is necessary. Pragmatically, in those patients it should be mandatory to measure serum and CSF composition, together with EEG and MRI. We also recommend caution in suggesting immunotherapy in patients who do not have strong evidence of CNS inflammation. However, any patient with acute PANS without a positivity of a known serum/CSF autoantibody would require red flag paraclinical evidence that could include EEG, MRI and CSF evidence of CNS inflammation, but also emerging and powerful tool for precision medicine, such as metabolomics.<sup>29</sup> Recent research, aimed at identifying specific serum metabolomics profiles in children clinically diagnosed with PANS, measured through 1H-NMR spectroscopy, looks very promising.<sup>30,31</sup>

In conclusion, PANS and PANS-related disorders should be considered as a conceptual framework to describe the etiological and phenotypical complexity of many psychiatric features. Overcoming the rigid categorical approach, PANS can be seen as one of the possible phenotypic manifestations of the complex pathogenic pathways leading to neurode-velopmental disorders.

Treatment-resistant, putatively autoimmune psychiatric patients, are proposed to be shifted toward several immunotherapies (steroids, IVIGs, plasma exchange, rituximab, etc). However, very few RCTs have been conducted in order to verify efficacy and tolerability of such treatments in children with PANS. New experimental efforts are needed to corroborate clear clinical evidence.

Finally, our choice to only use the acronym PANS (since the acronym PANDAS is encompassed in the more inclusive acronym PANS) serves as a stimulus to archive PANDAS acronym as a historical concept, of pivotal importance as milestone toward a new pathogenic model of psychiatry, but currently obsolete.

#### Disclosure

The authors report no conflicts of interest in this work.

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