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# ORIGINAL RESEARCH Gut Microbiota Signature of Obese Adults Across **Different Classifications**

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Purpose: Obesity is currently a major global public health issue. It has been shown by many that gut microbiota and microbial factors regulate the pathogenesis of obesity and metabolic abnormalities, but little is known about their roles in the different degrees of obesity. Here, we sought to investigate the microbial signatures of obesity of various severities.

Patients and Methods: We did this by characterizing the intestinal microbiome signature in a Chinese cohort of obese patients and healthy controls using 16S rRNA gene sequencing. To this end, obesity was sub-divided into four subgroups, including "Overweight", Class I, Class II, and Class III obesity, based on body mass index (BMI).

**Results:** Microbial diversity decreased in obese subjects, and the reduction trend was correlated with the severity of obesity. We detected an expansion of *Escherichia shigella* in obese patients compared to healthy controls. The family Eubacterium coprostanoligenes and Tannerellaceae, the genera Eubacterium coprostanoligenes, Lachnospiraceae NK4A136, Parabacteroides, and Akkermansia, and the species Prevotella copri were microbial biomarkers of healthy people. Gammaproteobacteria and Enterobacterales were biomarkers of being "Overweight". Erysipelatoclostridiaceae was a biomarker of Class I obesity. The class Bacilli and the order Lactobacillales were both biomarkers of Class II obesity. Negativicutes was a biomarker of Class III obesity. We further established relationships between this microbiome data and other biochemical data, including albumin, low-density lipoprotein (LDL), high-density lipoprotein (HDL), vitamin folic acid (FA) and vitamin B12 (VB12), and Interleukin-6 (IL-6) levels. Function prediction results showed a marked energy metabolism dysbiosis in obesity, especially in patients with Class III obesity.

**Conclusion:** These results suggested that people with different levels of obesity had distinct gut microbial signatures. Decreased microbial diversity, depletion of some specific taxa, and deviation in potential functions mirrored the severity of obesity in this cohort. Keywords: body mass index, degree of obesity, fecal microbiota, 16S rRNA sequencing

#### Introduction

As a major modern global health issue, unhealthy weight such as overweight and obesity has been shown to be driven by multiple complex factors in China.<sup>1</sup> Overweight and obesity have been evinced to contribute to 11.1% of deaths associated with non-communicable diseases (NCDs) in 2019, which in turn resulted in substantial national health expenditure for the management of NCDs (Institute for Health Metrics and Evaluation. http://ghdx.healthdata.org/gbdresults-tool [Accessed Dec 21, 2021]).<sup>2</sup> Obesity is a complicated dysfunction of appetite regulation and energy metabolism regulated by unique biological factors. It is generally accepted that obesity in adults can be classified into

3 types (class I, II, and III), based on body mass index (BMI) cutoffs according to the National Heart, Lung, and Blood Institute (NHLBI, <u>https://www.nhlbi.nih.gov/health/educational/lose\_wt/BMI/bmi\_dis.htm</u> [accessed Dec 21, 2021]) and the World Health Organization (WHO).<sup>3</sup> Unfortunately, similar definitions in China are lacking. With the degree or severity of obesity increasing in subjects, it can be estimated that the disease risk for type 2 diabetes (T2D), hypertension, cardiovascular diseases (CVD), and mortality will increase. These diseases are linked with deviations in gut microbiota, as is obesity.<sup>4</sup> Thus, researchers have focused on excavating the microbiota traits from different severities of obese populations.

For years, significant evidence has indicated that gut microbiota, in particular bacteria, play a central role in human diseases and wellness, due to their huge genomic content and metabolic complement.<sup>4,5</sup> The microbiota present in the human body now seems to have the potential to provide innovative biomarkers of many diseases, including obesity. Accordingly, characterizing microbial biomarkers from microbiota profiles has great potential for translational and precision medicine.<sup>6</sup> The gut microbiome has been extensively studied over the past years with regards to obesity, while many works have only considered BMI classes in their study designs for distinguishing between obese, overweight, and lean subjects.<sup>7–9</sup> Previous studies have reported that the gut microbiota in obese subjects were markedly worse than those in overweight and lean control subjects.<sup>7,9</sup> However, to our knowledge, the gut microbiota alterations in subjects with different degrees of obesity have not yet been extensively studied.

In this context, we tested the hypothesis that the more severe obesity was, the worse the gut microbiota would be in a Chinese cohort as assessed by 16S rRNA metagenomic sequencing. Fecal samples were collected from normal and obese individuals. Obesity was further classified into "Overweight", Class I, Class II, and Class III groups according to WHO criteria. Blood samples were also collected from obese patients to analyze obesity-related biochemical data and its association with microbiome profiles. Our results demonstrated the relationship of gut microbiota alterations to the severity of obesity and shed light on potential microbiome-focused strategies for the prevention or treatment of different degrees of obesity.

#### **Materials and Methods**

#### Study Participants and Design

According to the China National Nutrition and Health Survey (CNNHS) data, a BMI of  $\geq 28 \text{ kg/m}^2$  in Chinese adults indicates obesity.<sup>1</sup> We studied fecal microbiota in 92 subjects including a total of 36 normal people and 56 obese patients whose ages ranged from 18 to 52 years. The obese patients were hospitalized patients (BMI  $\geq 28 \text{ kg/m}^2$ ) before bariatric surgery at the Third People's Hospital of Chengdu, Chengdu, China between September 2019 and October 2020. Most of them presented obesity-related comorbidities, such as type 2 diabetes (10 / 56), hypertension (13 / 56), hyperuricemia (30 / 56), hyperlipoidemia (18 / 56), fatty liver (53 / 56), and obstructive sleep apnea syndrome (41 / 56). Normal control subjects were healthy volunteers. Subjects less than 18 years of age were excluded from this study. Fecal samples were collected and transported immediately to the laboratory and stored at  $-80^{\circ}$ C. Blood was taken from obese patients in order to assess blood albumin, uric acid (UA), alanine aminotransferase (ALT), glycated hemoglobin (HbA1c), aspartate transaminase (AST), cholesterol, triglyceride (TG), folic acid (FA), high-density lipoprotein (HDL), low-density lipoprotein (LDL), homocysteine (Hcy), and creatinine (Cr) levels, in addition to other metrics (Table 1). Based on the BMI classification criteria of obesity from the WHO, we sub-grouped the obese subjects into "Overweight" (N = 6), Class I (N = 20), Class II (N = 14), and Class III (N = 16) (Table 1). Thus, we sought to gain a profound understanding of obesity-related microbiota.

#### **Ethics** Approval

All content involving human participants in this research complies with the ethical standards of the Declaration of Helsinki. This study was approved by the Institutional Ethics Review Board of the Third People's Hospital of Chengdu (record #: 2018S75; Chengdu, Sichuan, China), and was conducted following the Chinese ethical guidelines for human genome/gene research.

Characteristics	"Overweight" N (%)	Class I N (%)	Class II N (%)	Class III N (%)	P (K-W) ª
Sex (Female)	6 (100)	13 (65)	(78.6)	10 (62.5)	0.269
	Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)	
Age (y)	38.5 (9.87)	30.3 (7.41)	32.8 (7.76)	30.8 (6.52)	0.262
Chest circumference (cm)	98 (3.9)	107 (5.83)	4 (3.69)	125 (9.29)	<.001
Biceps circumference (cm)	34 (0.868)	40.6 (16.6)	40.7 (1.47)	46.1 (6.38)	<.001
Thigh circumference (cm)	55.9 (1.27)	60.9 (2.76)	64 (2.23)	66.6 (16.6)	<.001
Abdominal circumference (cm)	95.7 (5.82)	107 (7.35)	120 (6.26)	129 (12)	<.001
Hip circumference (cm)	102 (2.1)	110 (3.67)	(21.2)	130 (9.84)	<.001
ALT (u/L)	19.8 (10.9)	57.3 (36.2)	57.3 (56.2)	42.3 (24.9)	0.007 <sup>b</sup>
AST (u/L)	28.3 (11.4)	35.3 (16)	41.5 (35.2)	28.7 (12.3)	0.455
Albumin (g/L)	38.4 (4.05)	41.8 (2.93)	41.6 (3.46)	40.6 (2.56)	0.187
Hcy (µmol/L)	11.8 (5.34)	13.1 (8.95)	(1.63)	14.8 (7.62)	0.68
HDL (mmol/L)	1.3 (0.222)	1.05 (0.189)	1.11 (0.151)	1.1 (0.192)	0.108
LDL (mmol/L)	3.04 (0.271)	2.86 (0.82)	3.33 (0.365)	2.75 (0.386)	0.02 <sup>b</sup>
UA (μmol/L)	348 (91.1)	449 (145)	498 (105)	483 (122)	0.107
TG (mmol/L)	1.27 (0.514)	1.86 (0.71)	2.6 (1.54)	1.55 (0.663)	0.01 <sup>b</sup>
Cholesterol (mmol/L)	4.9 (0.352)	6.77 (9.18)	5.42 (0.62)	4.49 (0.474)	0.012 <sup>b</sup>
HbAIc (%)	5.35 (0.532)	6.06 (1.41)	5.71 (0.678)	6.39 (1.51)	0.055 <sup>c</sup>

Table I Baseline Patient Characteristics by Obesity Category

**Notes**: <sup>a</sup>Kruskal–Wallis and Dwass-Steel-Critchlow-Fligner pairwise comparison tests. <sup>b</sup>indicate a significant difference between groups. <sup>c</sup>indicate a borderline significant difference between groups.

Abbreviations: SD, standard deviation; y, years; ALT, alanine aminotransferase; AST, aspartate transaminase; Hcy, homocysteine; HDL, high-density lipoprotein; LDL, low-density lipoprotein; UA, uric acid; TG, triglycerides; HbA1c, glycated hemoglobin.

## Fecal DNA Extraction and Sequencing

Total genome DNA from fecal samples was extracted using the hexadecyl trimethyl ammonium bromide (CTAB)/sodium dodecyl sulfate (SDS) method. DNA concentration and purity were monitored using 1% agarose gels. Based one concentration, DNAs were diluted to 1 ng/µL using sterile water. The 16S rRNA gene V3–V4 region was amplified using a specific primer (341F: CCTAYGGGRBGCASCAG; 806R: GGACTACNNGGGTATCTAAT) with unique barcodes. All PCR reactions used Phusion<sup>®</sup> High-Fidelity PCR Master Mix (New England Biolabs). Sequencing was performed on an Illumina HiSeq2500 platform and 250 bp paired-end reads were generated.

#### Microbial Data Processing and Analysis

Reads were processed and analyzed using QIIME 2 2022.2<sup>10</sup> and the R microeco package (v0.9.0) in R 4.1.0<sup>11</sup> (R Core Team 2021). Raw sequence data were demultiplexed and quality filtered using the q2-demux plugin, followed by denoising with DADA2<sup>12</sup> (via q2-dada2). All amplicon sequence variants (ASVs) were aligned with mafft<sup>13</sup> (via q2-alignment) and used to construct a phylogenic tree with fasttree2<sup>14</sup> (via q2-phylogeny). For the taxonomic assignment, the weighted SILVA 138 99% OTUs classifier<sup>15</sup> was used (via q2-feature-classifier).<sup>16</sup> The file2meco package (<u>https://github.com/ChiLiubio/file2meco</u>) was used for data input from QIIME2. The PICRUSt2 (v2.5.0) (Phylogenetic Investigation of Communities by Reconstruction of Unobserved States) workflow was applied for the prediction of

metagenome functions of microbiota.<sup>17</sup> Correlation network was constructed using the weighted gene co-expression network analysis (WGCNA) method by microeco. Visualization of this network was conducted using Gephi (v0.9.2).<sup>18</sup>

## Statistical Analysis

The differences in predicted function outcomes between the groups were compared using STAMP software v2.1.3 (https:// beikolab.cs.dal.ca/software/STAMP). Two-sided Welch's t-tests and Benjamini-Hochberg FDR correction were used for twogroup analysis. A Kruskal–Wallis H-test with Tukey-Kramer post-hoc test and Eta-squared effect size (P < 0.001, Effect size > 0.40) were utilized for multiple group analysis. The other statistical analyses were included in microeco. The LDA score cutoff was set to 4.0 in LEfSe biomarkers analysis. The clinical data of obese patients was analyzed using the open-source software jamovi v2.2.5 with Kruskal–Wallis and Dwass-Steel-Critchlow-Fligner pairwise comparisons tests.

## Results

## General and Clinical Data on Obesity

There were no significant differences between the four obesity subgroups in terms of sex or age. Chest circumference, bicep circumference, thigh circumference, abdominal circumference, and hip circumference parameters were markedly different between the four groups. The clinical biochemical data, such as ALT, AST, HDL, LDL, UA, TG, cholesterol, and HbA1c levels are shown in Table 1 and <u>Supplementary Table 1</u>. The concentrations of ALT, LDL, TG, and Cholesterol different significantly between these four groups. These results reflected a lipid metabolism dysfunction in the different degrees of obesity.

## Alterations of Microbiota Pattern in Obese Subjects

We first assessed the microbial changes between healthy and obese subjects. The Shannon diversity was significantly decreased in obese subjects, which was accompanied by a very huge community structural shift as depicted by principal coordinate analysis (PCoA) of the unweighted Unifrac distance (Figure 1A and 1B, <u>Supplementary Figure 1A</u>). Next, to analyze the unique and shared features of healthy controls and obese patients, we performed a Venn analysis using groups at the ASV level. Notably, there were no shared ASVs, and the obesity and healthy individuals possessed 6336 (74%) and 10,373 (26%) unique ASVs, respectively (Figure 1C). Thus, we further analyzed the composition of unique ASVs at the species level, and the abundance of *Citrobacter europaeus, Bacteroides ovatus, Bacteroides uniformis, Eubacterium rectale, Blautia wexlerae, Bacteroides vulgatus*, and *Prevotella copri* divisions dominated the microbiota (Figure 1D). Interestingly, one genus, *Escherichia shigella*, was the sole microbial biomarker in obese subjects were identified by both LEfSe (Figure 1E) and random forest (RF) generation (<u>Supplementary Figure 1B</u>). Furthermore, the potential beneficial microbiota *Akkermansia, Prevotella*, and *Butyricicoccus* were microbial markers in healthy controls based on RF outcomes (<u>Supplementary Figure 1B</u>).

## Characterization of Microbiota in Various Severities of Obesity

As shown in Figure 2A, in considering of the degree of obesity, the microbial alpha diversity reduced as the severity of obesity increased. The Class III group ranked lowest in Shannon diversity. Except for the Class I and "Overweight" groups, the Class II and Class III groups showed no statistical difference, while the other paired groups showed a significant difference in terms of their Shannon index. PCoA of the unweighted Unifrac distances also demonstrated that the degree of obesity shifted the community structure, although the "Overweight" group showed no difference compared to the Class I, Class II, and Class III groups (Figure 2B, Supplementary Figure 2A). These results demonstrated that the degree of obesity had a profound effect on microbial community diversity.

Similarly, all four obesity subgroups and the normal group had no shared ASVs. In contrast, the four subgroups had 324 shared ASVs. The numbers of unique ASVs present in the "Overweight", Class I, Class II, and Class III groups were 649, 2187, 1221, and 1370, respectively. However, the shared ASVs between these groups were small (Figure 2C). The most dominant genera were *Bacteroides, Faecalibacterium, Megamonas, Escherichia Shigella, Blautia, Subdoligranulum,* 

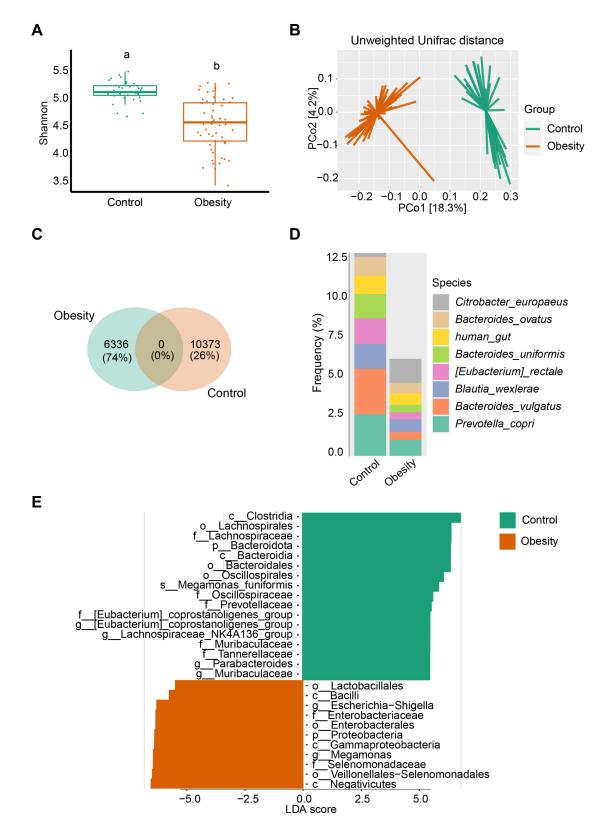


Figure I Marked microbiota dysbiosis in obesity. (A) Microbial diversity was estimated based on Shannon index in healthy controls (n = 36) and obese (n = 56) subgroups. Different lowercase letters indicate significant differences (P < 0.05). (B) Based on the Unweighted Unifrac distance, PCoA was used to show beta diversity between the groups. (C) Venn diagram of shared or unique ASVs between healthy controls and obese patients. (D) Relative frequency of the top 8 species in the healthy control and obesity subgroups. (E) Microbial biomarker analysis between the groups by Linear discriminant analysis effect size (LEfSe) analysis, LDA score > 4.0.

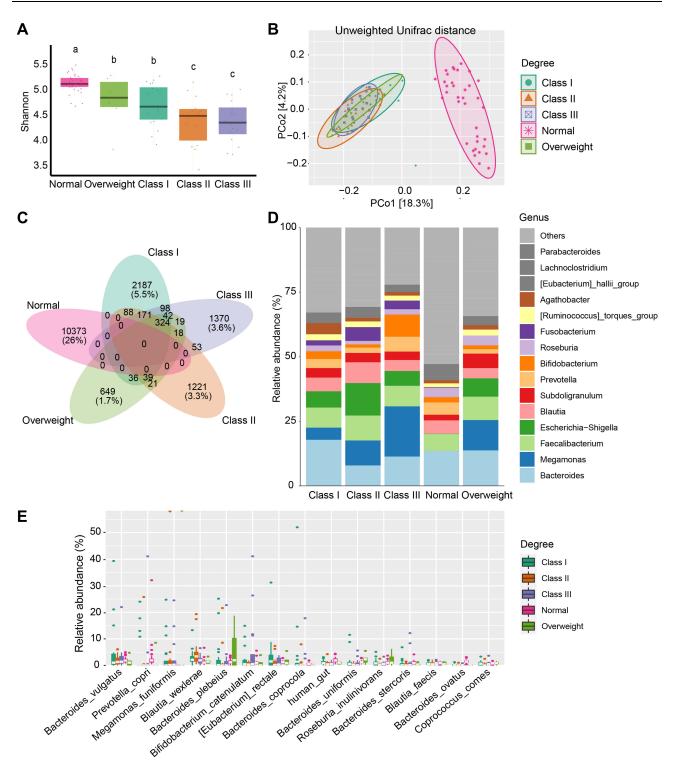


Figure 2 Microbial changes between different degrees of obesity. (A) Shannon diversity in subjects from the Normal, "Overweight", Class I, Class II, and Class III obesity groups. Different lowercase letters indicate significant differences (P < 0.05). (B) Beta diversity of Unweighted Unifrac distances in patients from different groups based on PCoA. (C) Venn diagram of shared or unique ASVs between the 5 groups. (D) Bar plot of the mean relative abundance of top 15 genera between different groups. (E) Top 15 taxonomic species are shown for different degrees of obesity.

*Prevotella, Bifidobacterium, Roseburia*, and *Fusobacterium* (Figure 2D, <u>Supplementary Figure 2B</u>). At the species level, *Megamonas funiformis, Bacteroides plebeius, Bacteroides vulgatus, Blautia wexlerae, Prevotella copri*, and *Bifidobacterium catenulatum* were the predominant microbial species (Figure 2E).

Furthermore, LEfSe and RF were applied to investigate the different biomarkers between healthy subjects and the four obesity subgroups (Figure 3A and 3B). Prevotellaceae, *Prevotella, Prevotella copri*, among others, were significantly increased in normal people identified by LEfSe. We also found that Akkermansiaceae, *Akkermansia, Bacteroides ovatus*, and *Dorea formicigenerans* were biomarkers of normal people by RF analysis. Meanwhile, the family Eubacterium coprostanoligenes and Tannerellaceae, and the genera *Eubacterium coprostanoligenes, Lachnospiraceae NK4A136*, and *Parabacteroides* were identified by both methods. Based on LEfSe and RF, Gammaproteobacteria and Enterobacterales were biomarkers for the "Overweight" group. Only one taxon, Erysipelatoclostridiaceae, was characterized by RF, while no other biomarker was identified through the LEfSe analysis of data from Class I obesity patients. Both methods revealed that the class Bacilli and the order Lactobacillales were biomarkers of Class III obesity as depicted by LEfSe and RF.

Taxa correlation network analysis is a useful approach for studying the co-occurrence patterns in microbial ecology. We therefore constructed a network based on the WGCNA method, as shown in <u>Supplementary Figure 3</u>. The network included 848 nodes and 2524 edges. In this network, all taxa/ASVs (node) were divided into 158 modules (communities). As assigned to Firmicutes, Bacteroidota, Proteobacteria, and Actinobacteriota, these nodes revealed a positive correlation (data not shown).

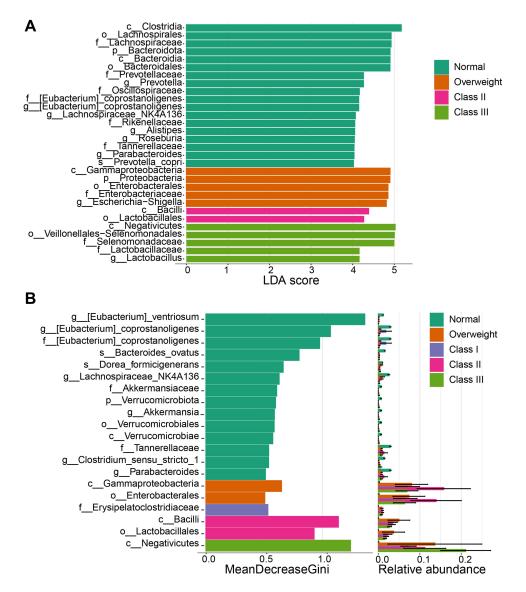


Figure 3 Microbial biomarkers and correlation with different degrees of obesity. (A and B) Biomarker analysis between groups by LEfSe, LDA score > 4.0 (A), and RF (B).

#### Correlation Between Microbiota and Biochemical Data in Obesity

We next explored the biochemical data from obese subjects and whether this data had an association with microbiota signatures. We found that the concentrations of TG and C-Peptide were negatively correlated with microbial alpha diversity (Simpson, Shannon, InvSimpson, Chao1, ACE, Observed Features, and Fisher), especially C-Peptide levels (Figure 4A). Additionally, the concentrations of ALT, AST, FA, and HDL were slightly positively correlated with the alpha diversity, although this was not significant (Figure 4A). Redundancy Analysis (RDA) was also performed at the genus level (Supplementary Figure 4A). Megamonas, Bifidobacterium, Blautia, Escherichia Shigella, Bacteroides, Fusobacterium, Faecalibacterium, Romboutsia, Subdoligranulum, and Agathobacter were found to be related to these biochemical factors. As such, these biochemical factors might be influenced by these taxa. For instance, Escherichia shigella seemed to influence the albumin and LDL levels in obesity. Next, we were interested in which species were associated with the concentration of HDL. Parasutterella secunda, Butyrivibrio crossotus, and Clostridium saccharogumia were significantly positively correlated with FA levels. Moreover, Lactococcus lactis was significantly positively correlated with VB12 levels. Streptococcus parasanguinis

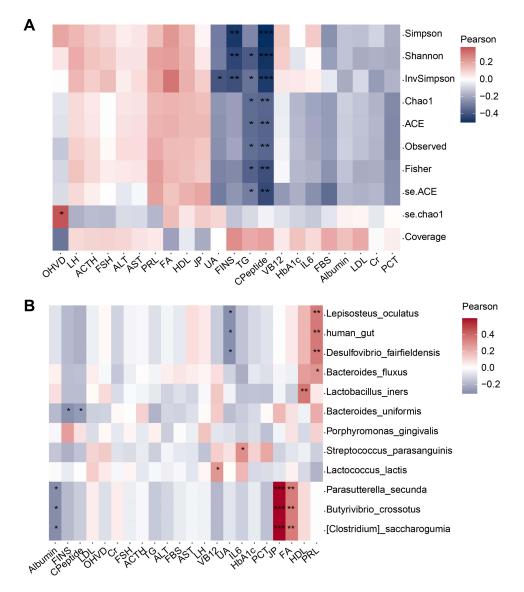


Figure 4 Relationship within microbiota and obese clinic traits in obesity. (A) Relationship between clinic data and alpha diversity. (B) Correlation analysis between clinic data and some important taxa, as detected in RF biomarker analysis. \* P < 0.05, \*\* P < 0.01, \*\*\* P < 0.001.

was significantly positively correlated with IL6 levels. Regression analysis was then applied, and we found that TG level and microbial Bray-Curtis distance had a positive relationship in the "Overweight" group (Supplementary Figure 4B).

### Changes in Potential Microbial Functions in Different Degrees of Obesity

The potential microbial alterations within different classifications of obesity severity were also investigated (Figure 5). One key pathway in obesity was TCA cycle VII (acetate-producers), which showed a degree-specific increasing trend (Figure 5A and B). An unexpected and interesting pathway in obesity was taxadiene biosynthesis (engineered), which was shown to be involved in the biosynthesis of the anticancer drug taxol (Figure 5A and C). The pathways related to polyamine biosynthesis in obesity included the superpathway of polyamine biosynthesis I (Figure 5A and E) / II (Figure 5A and D) and the superpathway of arginine and polyamine biosynthesis (Figure 5A and F). In addition, pathways for the biosynthesis of several amino acids were significantly upregulated in obesity, including the L-phenylalanine (PWY-6628, Figure 5A and H), L-tyrosine (PWY-6630, Figure 5A and G), and arginine pathways. Notably, all obesity Class I, Class II, and Class III groups were significantly higher than normal controls in all pathways mentioned above. Meanwhile, the Class III group was significantly higher than the Class I group in the pathways of TCA cycle VII (acetate-producers), the superpathway of polyamine biosynthesis I, the superpathway of L-phenylalanine biosynthesis. However, the pathways of the urea cycle and octane oxidation were overrepresented in the normal group relative to all of the obesity subgroups.

## Correlation Between Baseline Microbiota and Weight Loss Achievement After Bariatric Surgery

The Spearman correlation between the relative abundance of microbiota and the percentage of excess weight loss (%EWL) 6 and 12 months (1 year) after surgery was assessed. Members of the family Comamonadaceae and the genus *Paraprevotella* exhibited a positive correlation with both %EWL 6 months and 1 year after surgery. The species *Parabacteroides johnsonii*, the family Leuconostocaceae, and an unknown member from the genus *Leuconostoc* were positively correlated with %EWL 6 months after surgery (Figure 6A, <u>Supplementary Table 2</u>). On the contrary, *Oscillibacter, UCG–005, Flavonifractor, Eubacterium ruminantium group, Dialister pneumosintes, Lactobacillus ruminis,* and *Bacteroides fragilis* presented a negative correlation with weight loss (Figure 6A, <u>Supplementary Table 2</u>). Furthermore, the receiver operating characteristic (ROC) curve analysis demonstrated that a member from *Oscillibacter* had the best accuracy (AUC = 0.6114). The AUC for the genus *UCG–005* reached 0.5995 (Figure 6B).

#### Discussion

Although gut bacteria have been well-studied in terms of global obesity, there still is an unclear understanding of the microbial alterations in relation to the degree of obesity. In the current study, we sequenced 16S rRNA amplicons from participants with different degrees of obesity as well as healthy controls. In the context of marked dysbiosis with altered bacterial diversity, abundance, and potential functions seen in obesity and different classifications, we provide important evidence that suggests that it is very necessary for researchers to further classify obese populations in terms of microbiota. However, the challenge remains in terms of how we classify this data scientifically, meaningfully, and statistically.

Many studies have linked an altered gut microbiome to obesity. The gut microbiome in obesity has been characterized by lower diversity, which is consistent with the present study.<sup>19</sup> Notably, our study demonstrated that the more severe obesity was, the lower the bacterial diversity was. No shared features were seen between obese patients and healthy people, and even among the obesity subgroups there were modest common features. Our Venn diagram results seemed to be odd, thus larger populations are needed to further verify our findings. Regardless, the bacterial phyla mainly consisted of Firmicutes, Bacteroidota, Proteobacteria, and Actinobacteriota, irrespective of the patient. The difference was represented mostly in the abundance of Firmicutes and Bacteridota (somewhere in the ratio of the Firmicutes/Bacteridota).<sup>20</sup> Fewer Bacteridota were observed in obese patients, but the relative abundance of Firmicutes was much similar. To date, research on the gut microbiome profiles in obese and non-obese subjects has reported conflicting findings.<sup>19</sup> Due to the recently updated release of taxonomic references (Silva v138), the current study more accurately explored the bacterial composition at all taxonomic levels, especially at the species level.

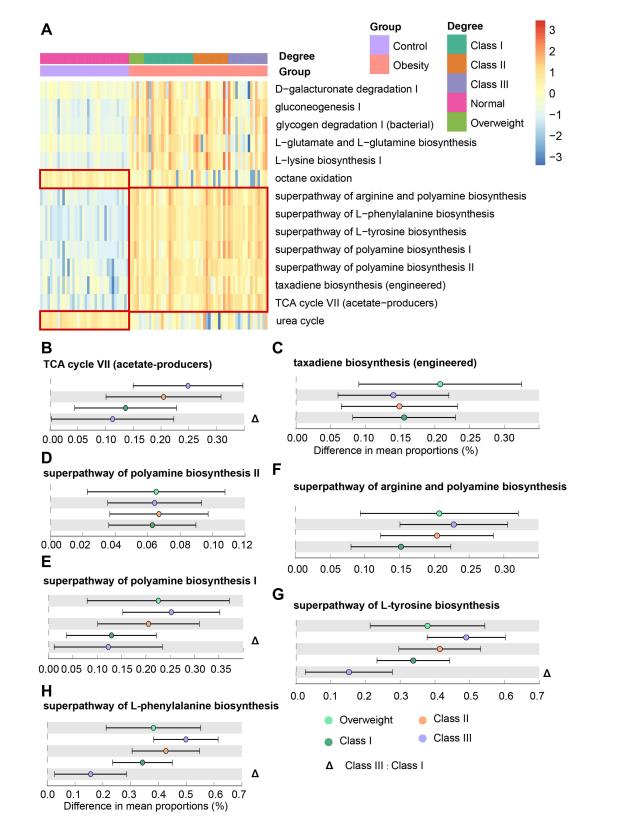


Figure 5 Alterations in the predicted metagenome functions in different obesity classes. (A) Heatmap of significantly different pathways between different groups. P < 0.001, Effect size > 0.4. (B-H) Key pathways in obesity: TCA cycle VII (acetate-producers) (B), taxadiene biosynthesis (engineered) (C), superpathway of polyamine biosynthesis II (D), superpathway of polyamine biosynthesis (F), superpathway of L-tyrosine biosynthesis (G), and superpathway of L-phenylalanine biosynthesis (H).

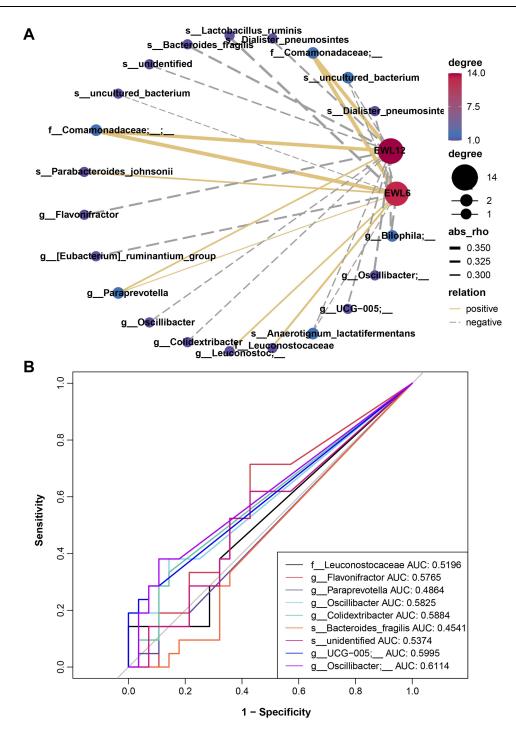


Figure 6 Correlation between baseline gut microbiota and weight loss achievement after bariatric surgery. (A) Spearman correlation network of microbiota and weight loss. Node and edge sizes indicate the degree and absolute values of the correlation, respectively. Edges represent the interaction between nodes, and negative and positive correlations are denoted by dashed gray lines and solid yellow lines, respectively. (B) Receiver operating characteristic (ROC) analysis of the microbiota signature for the relationship of microbiota and weight loss.

Akkermansiaceae and *Akkermansia* were markedly increased in healthy subjects compared to any classifications of obesity. Indeed, interventional studies with certain *Akkermansia muciniphila* strains have shown an anti-obesogenic effect in both humans and rodents.<sup>21,22</sup> Moreover, a lack or decreased abundance of *A. muciniphila* could mirror a thin mucus layer and thus, an increased translocation of pro-inflammatory bacterial toxins, potentially leading to metabolic disturbances.<sup>23,24</sup> In addition, Prevotellaceae, *Prevotella*, and *Prevotella copri* were also markedly abundant in healthy subjects. The genus

*Prevotella* is part of the family Prevotellaceae, and *P. copri* is the most recognized and relevant member of human gut *Prevotella* spp.<sup>25</sup> In non-Western populations, *Prevotella* spp. tend to dominate gut microbiota.<sup>26–28</sup> A study including over 1000 persons revealed *Prevotella copri* to be potentially beneficial in glucose homeostasis and host metabolism.<sup>29</sup> These results can explain the bloom of *Akkermansia* and *Prevotella copri* in healthy controls due to their benefits for health. In addition, *Bacteroides ovatus* is known to be a prominent member of human Bacteroidales spp, and were found to be increased in healthy subjects in the present study. This species can extensively utilize polysaccharides in a pattern of commensalism with other species.<sup>30</sup> In gnotobiotic animals, Horvath and colleagues<sup>31</sup> very recently found *Bacteroides ovatus* to be a producer of short-chain fatty acids (SCFAs), which are not only linked to immune function but also involved in nervous system modulation. Furthermore, *Bacteroides ovatus* was also recently identified to induce the production of gut IgA, and eventually benefit host gut homeostasis and immune health.<sup>32</sup> Inconsistent with the current study, *Dorea formicigenerans* previously considered a biomarker of obesity, was significantly abundant in healthy subjects.<sup>33</sup>

Overall, our work identified Escherichia shigella as a biomarker of obesity, which was recently reported to have an association with nonalcoholic fatty liver disease (NAFLD).<sup>34</sup> This can be explained by the fact that almost all obesity patients recruited in the current study had fatty liver comorbidities. Supporting our results that Gammaproteobacteria and Enterobacterales were biomarkers of the "Overweight" group, the most diverse class of gram-negative bacteria was Gammaproteobacteria, and this included several human pathogens. Moreover, Enterobacterales is a large order of intestinal bacteria belonging to the Gammaproteobacteria. Class I obesity could be characterized by an enrichment in Erysipelatoclostridiaceae. Members of Erysipelatoclostridiaceae have been shown to be associated with infectious diseases. Known as lactic acid bacteria (LAB), the order Lactobacillales ferments carbohydrates into lactic acid. Thus, they are generally considered probiotics. However, the enrichment in Lactobacillales was observed in Class II obesity patients. A diet intervention study in animals demonstrated that insoluble dietary fiber (sovbean) could increase the relative abundance of potentially beneficial Lactobacillales in high-fat diet-induced obesity mice.<sup>35</sup> Additionally, Bacilli was also a biomarker of Class II obesity, which is usually associated with the spoilage of food resulting in potential pathogens. Importantly, these results suggest that this result may be an effect of diet. Several studies have shown that the class Negativicutes is enriched in advanced NAFLD or cirrhosis patients.<sup>36–38</sup> This shift was consistent with our studies of microbial populations in Class III obesity with fatty liver, which indicated that the patients from this group may have worse fatty livers than other patients. A very new study also provides key evidence that a high abundance of Negativicutes represents the high severity of steatosis.<sup>39</sup>

In this study, we established some relationships between the microbiota and biochemical data, such as albumin, LDL, HDL, vitamins FA and VB12, and IL6. The gut microbiota supplies its host with energy by releasing enzymes and metabolites such as short-chain fatty acids (SCFAs), amino acids, bile acids (BAs), vitamins, succinate, branched-chain amino acids (BCAAs), and lipopolysaccharide (LPS).<sup>40,41</sup> In the current study, the biomarker *Escherichia shigella* was shown to have a connection with albumin and LDL in obesity, while *Lactobacillus iners* was shown to be connected with the concentration of HDL. Folic acid is an important substrate for the synthesis of methyl donors and as an essential water-soluble vitamin metabolized by the intestinal microbiome and the human body.<sup>42</sup> Additional folic acid supplementation can attenuate liver injury under a high-fat diet (HFD), and ameliorate liver lipid accumulation.<sup>43,44</sup> Thus, *Parasutterella secunda, Butyrivibrio crossotus*, and *Clostridium saccharogumia*, which are related to FA, might be potential targets for regulating the production of FA to ameliorate fatty liver. Vitamin B12 is an important nutrient for humans and animals, and acts as a key coenzyme in numerous mitochondrial and cytosolic pathways.<sup>45</sup> *Lactococcus lactis* was shown to be significantly positively correlated with VB12. Li et al<sup>46</sup> revealed the anti-inflammatory potential of the *Streptococcus parasanguinis*, which exhibited a significantly positive correlation with IL6 in our study.

All ASVs were related to 398 pathways in total. When we filtered by the *P* value (P < 0.001), there were 123 marked microbial pathways among the different degrees of obesity and healthy people (data not shown). Then, with further filtering (effect size > 0.4), 14 significant pathways were identified. Generally speaking, functions of energy metabolism dysbiosis were marked in obesity, especially in Class III obesity. It has been well-documented that obesity can be characterized by a dysfunction of energy metabolism. The TCA pathway is very common, existing in all aerobic living organisms and even in some anaerobic bacteria. For instance, acetic acid bacteria can oxidize ethanol to acetate. A study has reported the presence of the pathway TCA cycle VII (acetate-producers) in diverse bacterial groups, including

microbial symbionts of humans.<sup>47</sup> In addition, polyamine biosynthesis is a key microbial pathway in obesity, and has been shown to be vital for survival. In line with this, polyamine biosynthesis is a proper target for drug design in bacteria targeting obesity.<sup>48</sup> L-tyrosine and its precursor L-phenylalanine are in high demand in microbiota in obesity. Accordingly, tyrosine might be a potential therapy for cognitive and mood problems associated with the maintenance of reduced body weight in the treatment of obesity.<sup>49</sup> This suggests a potential microbial response for preventing weight gain in obese people.

The correlation results of the baseline microbiota and weight loss indicated that preoperative gut microbiota could affect bariatric surgery outcomes. In particular, members of Comamonadaceae were positively correlated with weight loss. Betaproteobacteria survive on copious amounts of organic nutrients, whereas Comamonadaceae showed a positive correlation with weight loss. A recent study using Mendelian randomization analysis established a significant causal effect between *Oscillibacter* and decreasing blood triglyceride.<sup>50</sup> In comparison, our results indicate that this baseline gut taxon was negatively correlated with weight loss. It is possible that its abundance may pronouncedly increase after surgery, although this assumption requires further verification. Recently, Zhang et al reported that the baseline gut microbiota could serve as a positive predictor to predict weight loss after dietary intervention.<sup>51</sup> As such, we believe that baseline gut microbiota has the potential to serve as a guide for clinical applications to develop more effective weight loss strategies.

However, we also must acknowledge the several limitations of our study. The current study was an in silico microbiota analysis of bacterial abundance levels based on 16S rRNA gene sequencing. Thus, such in silico-based microbiota inferences need further functional verification. Meanwhile, to understand the functional interaction of microbiota in diseases, we require comprehensive approaches like meta-proteomics and meta-metabolomics for more direct data on the functional properties of the microbiome. In addition, due to our study design, we could not separate cause from consequence. Importantly, detailed diet questionnaires should be included to explore any dietary effects. Longitudinal studies and larger cohorts with well-documented disease characteristics are therefore warranted in the future.

#### Conclusion

In summary, our work demonstrated that different degrees of obesity have distinct gut microbial signatures. Decreasing microbial diversity, depletion of some specific taxa, and deviation in the potential functions all reflected the severity of obesity. Members of genera *Eubacterium coprostanoligenes, Lachnospiraceae NK4A136, Akkermansia*, and *Parabacteroides* might be potential taxa for intervening in obesity regarding different degrees of obesity. Furthermore, three species, *Prevotella copri, Bacteroides ovatus*, and *Dorea formicigenerans* also showed great potential to regulate obesity. In contrast, a strategy that targets the inhibition of certain taxa in different obesity groups might be another way to improve obesity.

## **Data Sharing Statement**

The raw sequence data reported in this study have been deposited in the Genome Sequence Archive in the National Genomics Data Center (NGDC), China National Center for Bioinformation/Beijing Institute of Genomics, Chinese Academy of Sciences (GSA: CRA007762). They are available at <a href="https://ngdc.cncb.ac.cn/gsa">https://ngdc.cncb.ac.cn/gsa</a>.

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## **Author Contributions**

All authors have contributed significantly to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation or in all these areas; they have participated in drafting, revising, or critically reviewing the article; they approved the final version to be published; they have agreed on the journal to which the article has been submitted; and they agree to be accountable for all aspects of the work.

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## Disclosure

The authors report no conflicts of interest in this work.

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