

# Risk Factors and Molecular Mechanism of Polymyxin B Resistance in Carbapenem-Resistant *Klebsiella pneumoniae* Isolates from a Tertiary Hospital in Fujian, China

Xiaohong Xu<sup>1,\*</sup>, Rongping Zhu<sup>1,\*</sup>, Siyan Lian<sup>1</sup>, Hui Zhang<sup>2</sup>, Xin Chen<sup>2</sup>, Lingfang Fan<sup>1</sup>, Peisong Chen<sup>2</sup>, Yingping Cao<sup>1</sup>

<sup>1</sup>Department of Clinical Laboratory, Fujian Medical University Union Hospital, Fuzhou, Fujian, People's Republic of China; <sup>2</sup>Medical Technology and Engineering, Fujian Medical University, Fuzhou, Fujian, People's Republic of China

\*These authors contributed equally to this work

Correspondence: Yingping Cao, Department of Clinical Laboratory, Fujian Medical University Union Hospital, Fuzhou, Fujian, People's Republic of China, Tel +86-133-6591-0806, Email caoyingping@aliyun.com

**Background:** The emergence of polymyxin B resistance among carbapenem-resistant *Klebsiella pneumoniae* (CRKP) causes clinical treatment to be more difficult. We aimed to investigate the risk factors and resistance mechanisms in the polymyxin resistant CRKP (PR-CRKP) strains.

**Methods:** From January 2021 to January 2022, 239 CRKP strains were selected, all of which were analyzed using antimicrobial susceptibility testing and clinical data. Polymerase chain reaction (PCR) was performed for the detection of resistance genes. RT-qPCR was used to quantify transcriptional levels of polymyxin resistance genes. Risk factors for polymyxin B resistant isolates were identified by logistic regression analysis.

**Results:** The resistance rate of polymyxin B was 5.02%. In all CRKP strains, 41.84% came from the ICU. The percentage of carbapenemase producing strains was 93.72%. The main carbapenem resistance gene was *bla<sub>KPC</sub>* (90.79%). In the 12 strains of PR-CRKP screened, *pmrB* and *pmrK* were overexpressed in all samples which were linked with polymyxin B resistance. Multivariate analysis showed that coronary heart disease may be an independent risk factor predisposing patients to polymyxin B resistance.

**Conclusion:** We determine the multifaceted mechanism and risk factors of polymyxin B resistance in CRKP. Polymyxin resistance is a complex and changing problem, and more research is required.

**Keywords:** polymyxin B resistance, carbapenem-resistant *Klebsiella pneumoniae*, risk factors, mechanism

## Introduction

With the widespread use of carbapenem antibiotics, there have been an increasing number of corresponding carbapenem-resistant *Enterobacteriales* (CRE).<sup>1</sup> The World Health Organization (WHO) and the Centers for Disease Control and Prevention (CDC) emphasize that CRE is an urgent threat to public health.<sup>2</sup> *Klebsiella pneumoniae*, one of the most significant species of *Enterobacteriales*, is second only to *Escherichia coli* in the clinical isolation rate among gram-negative bacilli.<sup>3</sup> *K. pneumoniae* is a common pathogen of hospital infection, especially carbapenem-resistant *K. pneumoniae* (CRKP), leading to a high prevalence of nosocomial infection.<sup>4</sup>

The mechanism of CRKP resistance is well known to be complex, the most predominant mechanism of which is the production of carbapenemase.<sup>5</sup> Common enzymes include class A (KPC), class B (VIM, IMP, NDM), and class D (OXA-48-like) types.<sup>6</sup> Among these, *bla<sub>KPC-2</sub>* and *bla<sub>NDM-1</sub>* are the most common carbapenem enzyme genes that cause outbreaks in China.<sup>7</sup> The *bla<sub>KPC</sub>* gene was first discovered in the United States in 1996,<sup>8</sup> it had a long history and

was highly contagious. According to the China Antimicrobial Surveillance Network (CHINET), the resistance rate of *K. pneumoniae* to carbapenems increased rapidly from 2.9% in 2005 to more than 25.3% in 2019,<sup>9</sup> which means that the resistance mechanism of *K. pneumoniae* to carbapenems should be given serious attention.

The overall resistance rate of CRKP continues to rise and, for patients with CRKP infection, there are few clinically available treatments. The cationic polypeptide antibiotic polymyxin is often used as a last-line drug.<sup>10</sup> Although novel antibiotics such as ceftazidime-avibactam, ceftolozane/tazobactam, and imipenem/relebactam have now been introduced,<sup>11</sup> new combinations with other beta-lactams/beta-lactamase inhibitors have not been approved or used for economic reasons; polymyxin remains the primary treatment option for CRE-induced infections.<sup>12</sup> However, the emergence of polymyxin resistance further limits treatment options and increases the risk of death. Polymyxin resistance in CRKP strains is mediated by the cationic group phosphoethanolamine or 4-amino-4-deoxy-L-arabinose modification of the lipid A component of lipopolysaccharide mediated by overexpression of the *pmrC* or *pmrHFIJKLM* operon.<sup>13</sup> Elevated expression of *pmrC* or *pmrHFIJKLM* is mainly due to chromosomal mutations in genes involved in lipopolysaccharide synthesis, *mgrB* mutation/inactivation, and/or dysfunction of the two-component system including *phoPQ*, *pmrAB*, and *crab*.<sup>14</sup> In addition, the acquisition of plasmid-mediated transmissible colistin resistance (*mcr*) genes can confer resistance to colistin,<sup>15</sup> although this is rare in polymyxin resistant CRKP (PR-CRKP) strains. PR-CRKP strains can arise from the development of endogenous polymyxin resistance or from external sources through transmission between patients or environmental contamination.<sup>16</sup> We aimed to study strains of CRKP isolated at Fujian Medical University Union Hospital, reveal their clinical characteristics, determine their resistance, and identify risk factors and mechanisms for developing polymyxin resistance.

## Methods

### Research Design

This study was conducted at Fujian Medical University Union Hospital in Fuzhou, Fujian province, from January 2021 to January 2022. During the study period, cultured isolates were identified by matrix-assisted laser desorption/ionization time-of-flight mass spectrometry (MALDI-TOFMS; Bruker Daltonics Inc., Billerica, MA), and carbapenem (meropenem or imipenem) antimicrobial susceptibility testing was performed by the microbroth dilution method to confirm CRKP. A total of 239 CRKP strains were collected, including 12 PR-CRKP strains.

A case was defined as a patient from whom a polymyxin resistant CRKP organism was isolated from clinical cultures from any source during the study period. Controls were defined as patients admitted to the ward in the same study period as when a polymyxin-susceptible CRKP was isolated from a clinical culture at least 48 h after admission. Controls were recruited in a 2:1 ratio to cases. Cases and controls were matched for age, clinical manifestation, pathogen, hospital ward, and date of admission. A case or control could only be included in the study once. Informed consent was obtained from all participants.

### Clinical Data Collection

Demographic and clinical data were collected from the clinical medical record data system. We analyzed several variables as possible risk factors for the emergence of polymyxin resistance, including whether the patient was exposed to aminoglycosides,  $\beta$ -lactams, carbapenems, cephalosporins, quinolones, polymyxin B; and whether there were invasive operations. Additional variables were Eastern Cooperative Oncology Group (ECOG) scores and hypertension, diabetes, and coronary heart disease complications. The age distribution, ward distribution, and sample origin of all CRKP isolates were collected simultaneously.

### Antibiotic Susceptibility Testing

According to the Clinical and Laboratory Standards Institute (CLSI) guidelines,<sup>17</sup> we used the microbroth dilution method to determine the minimum inhibitory concentrations (MIC) of polymyxin B (resistant cutoff, MIC  $\geq$  4 ug/mL), ceftazidime-avibactam, amoxicillin/clavulanate, piperacillin/tazobactam, piperacillin, compound trimoxazole, minocycline, cefpiroxam, ceftazidime, cefoperazone/sulbactam, ceftaxitin, ceftriaxone, cefazoline, cefuroxime ester, aztreonam,

tobramycin, gentamicin, ciprofloxacin, levofloxacin, and nitrofurantoin. *Escherichia coli* ATCC25922 and *Pseudomonas aeruginosa* ATCC27853 were used as quality control standards.

## Characterization of Polymyxin and Carbapenem Resistance Genes

Polymerase chain reaction (PCR) was used to detect resistance genes, including *bla*<sub>KPC</sub>, *bla*<sub>IMP</sub>, *bla*<sub>VIM</sub>, *bla*<sub>NDM</sub>, *bla*<sub>OXA-48</sub>, *mcr-1*, *ompK35*, *ompK36*, and *ompK37*. The positive products were sequenced, and the sequencing results were compared using the basic local alignment search tool (BLAST) available at <http://www.ncbi.nlm.nih.gov/BLAST>. Mutations in genes potentially involved in polymyxin resistance (*mgrB*, *crrAB*, *pmrA/pmrB*, and *phoP/phoQ*) were inspected by alignment with reference genome *K. pneumoniae* subsp. *pneumoniae* MGH78578 (# NC\_009648.1). The PROVEAN tool v.1.1.5 (<http://provean.jcvi.org/index.php>) was used to predict the effect of amino acid substitutions on protein function.<sup>18</sup> A PROVEAN score  $\leq -2.5$  was deleterious for protein function, and a score  $> -2.5$  was considered to have a neutral effect on protein function.

## Transcriptional Analysis Real-Time Quantitative PCR

RNA extraction and transcription were carried out as previously described.<sup>19</sup> Real-time quantitative PCR (RT-qPCR) was used to measure the expression of the *phoP*, *phoQ*, *pmrK*, *pmrA*, *pmrB*, and *pmrC* genes using the primers as previously described.<sup>19</sup> Normalization was performed against the 16S rRNA gene using the  $\Delta\Delta CT$  method (relative) with the 16S rRNA gene as an internal control. The obtained values were then normalized against those obtained with polymyxin-susceptible strains.

## DNA Fingerprint Technology

ERIC-1 and ERIC-2 primers were used to conduct ERIC-PCR in PR-CRKP. The ERIC-PCR conditions were adjusted according to the report published by Smith et al.<sup>20</sup> The DNA fingerprint was analyzed using GelCompar II, version 6.5 (Applied Mathematics, NV, Keijkstraat, Belgium). A cutoff value of 80% similarity was applied to define the cluster. The similarity among species was evaluated by band-matching Dice coefficient, and the tree map of each species was drawn by the unweighted pair grouping method with arithmetic mean (UPGMA). According to the cluster diagram of the UPGMA system, same strains are defined as strains with  $> 97\%$  similarity, and strains with  $< 95\%$  similarity are defined as unrelated strains.

## Statistical Analysis

Data were analyzed using IBM SPSS ver. 21.0 statistical software (IBM Co., Armonk, NY). Frequency tables (n, %) for categorical variables and descriptive statistics (mean, median, standard deviation) for numerical variables were used. Comparisons of categorical variables were analyzed by the Chi square test. Logistic regression (Backward LR) methods (univariate, multivariate) were used to determine the risk factors. Statistical significance was assigned to a *P* value  $< 0.05$ .

## Results

### Clinical Characteristics

Between January 2021 and January 2022, a total of 239 CRKP strains were collected from Fujian Medical University Union Hospital. The age range of patients ranged from 1 to 98 years old, mainly concentrated in 51–80 years old (159/239, 66.53%), the sources of specimens were mainly sputum (66/239, 27.62%), bronchoalveolar lavage fluid (47/239, 19.67%), and blood (38/239, 15.90%). The main ward source of the strains was ICU (100/239, 41.84%).

Among 239 CRKP infected patients, we identified nine PR-CRKP infected patients in whom PS-CRKP strains had been isolated 3–677 days earlier. Patients with three strains of PR-CRKP infection were already polymyxin resistant when CRKP was detected. The 12 PR-CRKP infected patients were matched to 24 PS-CRKP infected patients based on age, clinical presentation, pathogen, ward, and date of admission. In the case and control groups, the proportion of males

(75.0% and 79.2%, respectively) was higher than females (25.0% and 20.8%, respectively), and the ECOG score in patients in the case group compared to the control group (5 vs 3; P = 0.0125) was significantly higher (Table 1).

### Antimicrobial Carbapenem Resistance Characterization

All CRKP strains were found to be resistant to nearly all antibiotics tested in this study. High resistance was detected toward amoxicillin/clavulanate (97.10%), piperacillin/tazobactam (99%), piperacillin (100%), cefpiroxam (95.80%), ceftazidime (100%), cefazoline (100%), cefoperazone/sulbactam (99%), cefuroxime ester (100%), aztreonam (97.50%), tobramycin (86.20%), gentamicin (86.30%), ciprofloxacin (97.90%), levofloxacin (96.70%), and nitrofurantoin (92.90%). Minocycline (50%) and compound neomycin (35.10%) showed lower rates of resistance. Polymyxin B (5.02%) and ceftazidime-avibactam (5.44%) had the lowest rates of resistance. (Figure 1). The resistance rate of polymyxin B was 12/239 (5.02%) and the MIC distribution results of polymyxin B of the CRKP strains are shown in Figure 2. The resistance rate of ceftazidime-avibactam was 13/239 (5.44%). For ceftazidime-avibactam-resistant CRKP strains, the positive rate of *bla<sub>NDM</sub>* was 12/13 (92.31%); specifically, when carrying both *bla<sub>KPC</sub>* and *bla<sub>NDM</sub>*, the rate was 7/13 (53.85%), *bla<sub>NDM</sub>* alone was 5/13 (38.46%), and *bla<sub>IMP</sub>* alone was 1/13 (7.69%). In the CRKP strains, the detection rate of *bla<sub>KPC</sub>* was 217/239 (90.79%), and the detection rate of *bla<sub>NDM</sub>* was 16/239 (6.69%). In detail, the *bla<sub>KPC</sub>* gene was detected in combination with *bla<sub>NDM</sub>* and *bla<sub>IMP</sub>* in nine and one strains, respectively. Membrane pore protein genes testing showed the deletion of membrane pore protein as follows: only OmpK-35 deletion (6/239, 2.51%); only OmpK-36 deletion (2/239, 0.84%); OmpK-35, OmpK-36 simultaneous deletion (1/239, 0.42%); OmpK-35, OmpK-37 simultaneous deletion (1/239, 0.42%); and OmpK-35, OmpK36, and OmpK-37 simultaneous deletion (1/239, 0.42%) (Table 2). Among the strains that did not detect common carbapenem resistance genes such as *bla<sub>KPC</sub>*, *bla<sub>NDM</sub>*, and *bla<sub>IMP</sub>*, two strains found that membrane pore proteins were lost, and the remaining five strains did not.

### Risk Factors for Developing Polymyxin Resistance in CRKP Strains

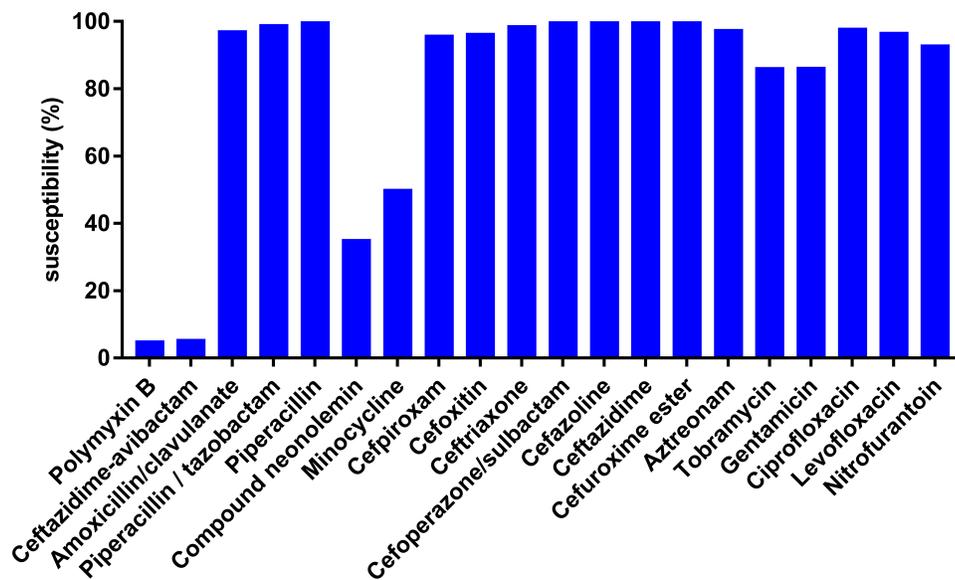
Risk factors for the development of polymyxin B resistance in CRKP strains were determined by assessing the effects of all independent variables that showed statistically significant differences (p < 0.05) in comparative analyses of case and

**Table 1** Comparison of Demographic Factors Among of the Case and Control Groups

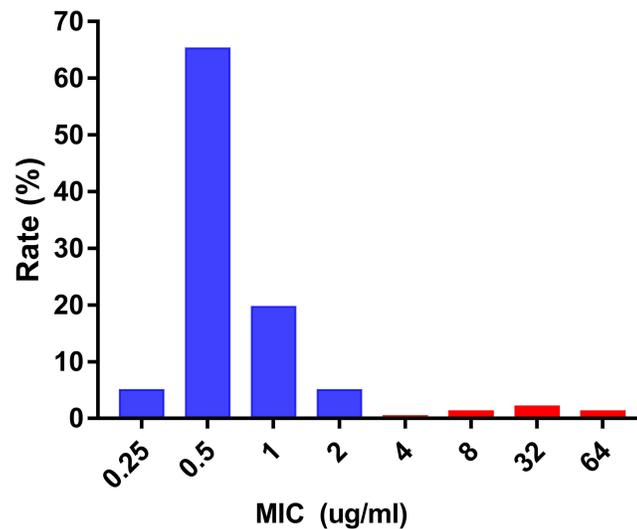
Variable	Case Group (n = 12)	Control Group (n = 24)	P-value
<b>Age (years) [median (IQR)]</b>	66 (13–79)	68.5 (20–88)	0.509
<b>Male sex</b>	9 (75.0)	19 (79.2)	0.777
<b>LOS before first CRKP isolation (days) [median (IQR)]</b>	17 (2–169)	18 (2–55)	0.110
<b>Co-morbidities</b>			
Hypertension	9 (75.0)	7 (29.2)	0.013
Diabetes	5 (41.7)	3 (12.5)	0.059
Coronary heart disease	7 (58.3)	2 (8.3)	0.004
Heart failure	1 (8.3)	0 (0)	0.998
Kidney disease	3 (25)	10 (41.7)	0.331
Liver disease	5 (41.7)	10 (41.7)	1
<b>ECOG score [median (IQR)]</b>	5 (2–5)	3 (1–4)	0.0125
<b>Medical devices</b>			
Tracheostomy	11 (91.7)	12 (50)	0.032
Lumbar puncture	1 (8.3)	0 (0)	0.998
Chest puncture	3 (25.0)	5 (20.8)	0.777
Abdominal puncture	1 (8.3)	1 (4.2)	0.614
<b>Number of admissions [median (IQR)]</b>	2 (1–9)	1 (1–7)	0.252
<b>Number of days in hospital stay</b>	36 (2–365)	35.5 (12–169)	0.166

Note: P = test significance.

Abbreviations: IQR, interquartile range; LOS, length of hospital stay; CRKP, carbapenem-resistant *Klebsiella pneumoniae*; ECOG, Eastern Cooperative Oncology Group.



**Figure 1** Susceptibility of CRKP isolates to different antimicrobial agents.



**Figure 2** Distribution of MIC values of polymyxin B by broth micro-dilution method.

**Abbreviations:** MIC, minimal inhibitory concentration;  $\mu\text{g/mL}$ , micrograms per milliliter.

control patients. This final multivariable model also showed significant predictors for each group. Univariate analysis showed that hypertension, coronary heart disease, and tracheostomy were risk factors for the development of polymyxin B resistance in CRKP strains. In multivariate analysis, coronary heart disease (odds ratio [OR] 7.822; 95% confidence interval [CI]: 1.040–58.819;  $p = 0.046$ ) was identified as an independent risk factor for polymyxin B resistance (Table 3).

## Polymyxin Resistance Mechanism and Strain Affinity

Depending on the mechanism by which polymyxin resistance appeared in vivo, the expression of *pmrB* and *pmrK* mRNA of all 12 PR-CRKP strains was higher than the PS-CRKP counterparts; the expression of *phoP* and *phoQ* mRNA of most PR-CRKP strains was lower than the PS-CRKP counterparts. *Mcr-1* was not detected in any of the strains. We detected a 51 G > A change in *mgrB* (1/12, 8.3%), a change in 310 A > G in *phoP* (3/12, 25.0%), a change in *phoQ* of 1185 C > T (3/12, 25.0%), and a change in 1194 C > T (1/12, 8.3%). We also detected

**Table 2** Molecular Characterization of Resistance-Related Genes in 239 CRKP Isolates

Gene	Number	Proportion (%)
<i>bla<sub>KPC</sub></i>	217	90.79
<i>bla<sub>NDM</sub></i>	16	6.69
<i>bla<sub>VIM</sub></i>	0	0
<i>bla<sub>IMP</sub></i>	1	0.42
<i>bla<sub>OXA-48</sub></i>	0	0
<i>mcr-1</i>	0	0
<i>OmpK35</i>	230	96.23
<i>OmpK36</i>	234	97.90
<i>OmpK37</i>	235	98.33

**Abbreviation:** CRKP, carbapenem-resistant *Klebsiella pneumoniae*.

a change in *pmrB* of 147 T > C (3/12, 25.0%) and a change in 149 T > C, leading to isoleucine mutation to alanine in one sample (1/12, 8.3%), and a change in 766 C > G in three samples, causing arginine mutations to changes in glycine (3/12, 25.0%). The replacement of amino acids present was a neutral alteration (Table 4). ERIC-PCR typing showed four fingerprint patterns for PR-CRKP strains; 66.7% were type A1, 8.3% were B1, 8.3% were C1, and 16.7% were type D1 (Figure 3).

## Discussion

Clinical detection rates of CRKP have been increasing worldwide, leading to more difficult antimicrobial therapy, and causing higher disease-related mortality.<sup>21</sup> In this study, the department with the highest prevalence of CRKP was the ICU, with sputum as the main source. This is consistent with previous research in Shanghai.<sup>5</sup>

**Table 3** Univariate and Multivariate Analyses of Risk Factors for the Emergence of Polymyxin Resistance in Carbapenem-Resistant *Klebsiella pneumoniae* (CRKP)

Variable	Univariate or (95% CI)	P-value	Multivariate or (95% CI)	P-value
Hypertension	7.286 (1.508–35.211)	0.013	4.602 (0.711–29.764)	0.109
Coronary heart disease	15.400 (2.428–97.674)	0.004	7.822 (1.040–58.819)	0.046
Tracheostomy	11.000 (1.221–99.071)	0.032	8.316 (0.678–102.014)	0.098
Polymyxin use	4.600 (0.373–56.752)	0.234		
Carbapenem use	1.429 (0.335–6.083)	0.629		
Cephalosporins use	3.000 (0.648–13.885)	0.16		
Aminoglycosides use	3.400 (0.800–14.441)	0.097		
Quinolones use	1.735 (0.408–7.368)	0.455		

**Note:** P = test significance.

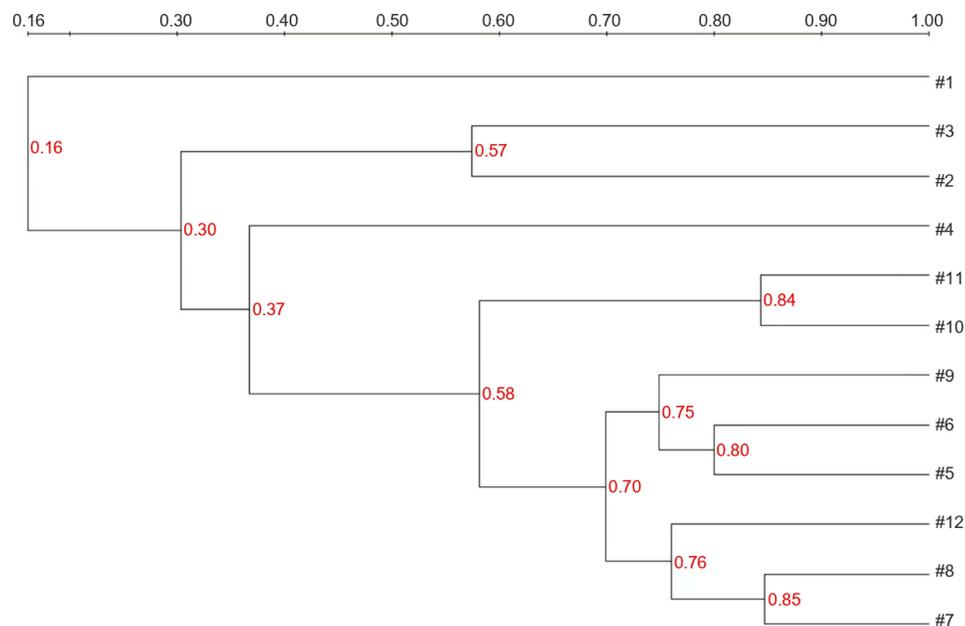
**Abbreviations:** CRKP, carbapenem-resistant *Klebsiella pneumoniae*; OR, odds ratio; 95% CI, confidence interval.

**Table 4** Mechanisms of Polymyxin Resistance in 12 PR-CRKP Strains

Strain	Carbapenemase	Polymyxin MIC (PR-CRKP)	mRNA Expression		Amino Acid Substitutions in PR-CRKP Strains pmrB
			PmrB	PmrK	
PR-1	<i>bla</i> <sub>KPC</sub>	32	2.489±0.539	34.51±3.207	Arg766Gly, Ile149Ala Arg766Gly
PR-2	<i>bla</i> <sub>KPC</sub> , <i>bla</i> <sub>NDM</sub>	64	37.62±6.898	21.59±3.586	
PR-3		32	1.394±0.047	4.104±1.279	
PR-4	<i>bla</i> <sub>KPC</sub>	4	1.434±0.059	4.524±1.035	
PR-5	<i>bla</i> <sub>KPC</sub> , <i>bla</i> <sub>NDM</sub>	32	1.977±0.773	3.099±1.916	
PR-6	<i>bla</i> <sub>KPC</sub> , <i>bla</i> <sub>NDM</sub>	32	2.147±0.073	2.814±0.503	
PR-7	<i>bla</i> <sub>KPC</sub> , <i>bla</i> <sub>NDM</sub>	64	5.414±1.091	2.342±0.580	
PR-8	<i>bla</i> <sub>KPC</sub>	64	1.967±0.265	3.586±0.321	
PR-9	<i>bla</i> <sub>KPC</sub>	8	1.465±0.02	3.309±0.47	
PR-10	<i>bla</i> <sub>KPC</sub>	8	4.292±0.813	9.470±1.146	
PR-11	<i>bla</i> <sub>KPC</sub>	8	1.515±0.262	2.083±0.585	Arg766Gly
PR-12	<i>bla</i> <sub>KPC</sub>	32	2.745±0.302	8.592±1.302	

**Abbreviations:** CRKP, carbapenem-resistant *Klebsiella pneumoniae*; MIC, Minimum Inhibitory Concentration; Arg, arginine; Gly, glycine; Ile, isoleucine; Ala, alanine.

*K. pneumoniae* resistance to carbapenems was mediated by different resistance mechanisms, mainly including the production of carbapenemase, changes in pore proteins, and increased activity of the external pump.<sup>22,23</sup> We studied the production of common carbapenemase (*bla*<sub>KPC</sub>, *bla*<sub>NDM</sub>, *bla*<sub>IMP</sub>, *bla*<sub>VIM</sub>, and *bla*<sub>OXA-48</sub>) and common membrane pore protein (OmpK-35, OmpK36, and OmpK-37) changes. When CRKP was detected in a global outbreak, the most common enzyme was CRKP producing KPC.<sup>24,25</sup> In China, the detection rate of *bla*<sub>KPC-2</sub> is approximately 73%.<sup>26</sup> An epidemiology analysis showed that *K. pneumoniae* carbapenemase (KPC) was the predominant carbapenemase in CRKP strains (90.79%), which was consistent with current prevalence.<sup>27</sup> The positive rate of OmpK35 was 96.23%, OmpK36 was 97.9%, and OmpK37 was 98.33%. Common carbapenemase genes were not detected in two strains of membrane pore protein loss, and five strains did not detect membrane pore protein loss; however, other mechanisms of resistance may be present.

**Figure 3** ERIC-PCR dendrogram of all PR-CRKP clinical isolates.

In this study, CRKP had the high susceptibility to ceftazidime-avibactam (6.69%). For ceftazidime-avibactam-resistant strains, the carrying rate of NDM was 92.31% and the IMP was 7.69%. This, once again, verifies the fact that avibactam had no inhibitory effect on metallo- $\beta$ -lactamase (MBL)-B type carbapenemase,<sup>28</sup> meaning that the treatment of ceftazidime-avibactam was targeted and could not be fully covered. Coupled with the listing of ceftazidime-avibactam in 2019, and the short clinical use time, there is a lack of more effective clinical data.<sup>11</sup> Therefore, polymyxin is still most commonly used in the clinical treatment of CRE.<sup>12</sup>

We observed that coronary heart disease may be the essential risk factor related to polymyxin B resistance. This is inconsistent with the results observed in previous epidemiological studies that polymyxin was the only risk factor for CRKP to develop polymyxin resistance.<sup>29</sup> However, the development of polymyxin resistance in patients without polymyxin treatment was explored in a multicenter study.<sup>30</sup> Our study is the first to suggest that coronary heart disease may be a risk factor for CRKP in the development of polymyxin resistance. There is a report<sup>31</sup> that the mortality rate of patients infected with *K. pneumoniae* in heart disease is higher than other complications, and the clinical application of polymyxin was because *K. pneumoniae* had developed multiple resistance which required the use of the last line of defense, polymyxin B, in which case the patient's condition had deteriorated and death was more likely. This conclusion was not completely unreasonable, and the practical significance still needs to be demonstrated by further studies.

In 2015, a plasmid-mediated polymyxin resistant gene, *mcr-1*, was first reported,<sup>15</sup> with the highest prevalence of *mcr*-positive strains in China.<sup>32</sup> However, *mcr-1* was not detected in any isolates in the study. The reasons of this resistance need further research. While this study found a variety of genetic mutations in *mgrB*, *pmrB*, *phoP*, and *phoQ*, none of them affected changes in protein function, suggesting that the emergence of polymyxin resistance was more likely a random event, possibly a pre-existing polymyxin resistance mechanism in the CRKP strains, rather than being driven by a common mechanism. *mgrB* is a negative feedback regulator of the *phoP/phoQ* signaling system.<sup>33</sup> Therefore, in strains with *mgrB* alterations, it is generally accompanied by upregulation of *phoP* and *phoQ* in PR-CRKP. However, no alterations in *mgrB* were found in this study; correspondingly, the expression of *phoP/phoQ* in most strains was downregulated. *PmrB* and *pmrK* overexpression was found in all PR-CRKP isolates, which implied that *pmrB* and *pmrK* overexpression might be the main reason for polymyxin resistance in CRKP. However, there was no way as yet to determine the possible exact mechanism of polymyxin resistance.

ERIC-PCR is a cost-effective, easy to perform, and fast method that can be used to compare generated clusters.<sup>34</sup> ERIC-PCR was made against PR-CRKP strains, and we found that the 12 strains were divided into four types; 66.7% of the isolates showed the same ERIC profile, which indicated the possibility of clonal transmission between strains. This phenomenon reminded us that we must pay more attention to the transmission of PR-CRKP strains between patients.

This study has several limitations. First, our study was influenced by a retrospective analysis with a relatively small number of cases, thus reducing the statistical capacity of the study. Second, because the screening and monitoring of polymyxin resistance was not a routine examination in the Fujian Medical University Union Hospital, it was largely affected by the subjective choice of doctors to examine for it, and it was not possible to accurately assess the time when polymyxin resistance appeared. Third, *mcr* was the only plasmid gene we studied, and unknown mechanisms of infectious polymyxin resistance may be useful for observations of this study. Whole genome sequencing was required to determine other unknown mechanisms of polymyxin resistance.

## Conclusion

The CRKP isolates carrying *bla<sub>KPC</sub>* are still widely present in Eastern Fujian in Southeast China. The development of polymyxin resistance appears to be a complex multifactorial process that is unlikely to be explained solely by existing polymyxin resistance mechanisms. Therefore, the mechanisms of polymyxin resistance need to be further explored, and hospitals should take effective measures to prevent the further expansion of PR-CRKP.

## Ethics Approval and Informed Consent

All procedures of this study involving humans (individuals, medical records, human samples, and clinical isolates) were reviewed and approved by the Medical Ethics Committee of Fujian Medical University Union Hospital (2022KY156).

All the patients participating in this study signed informed consent, while the guardians of children aged less than 18 years signed on behalf of them. We confirm that this study was conducted in accordance with the Declaration of Helsinki.

## Acknowledgments

The authors would like to thank those who participated in this study.

## Disclosure

The authors report no conflicts of interest in this work.

## References

1. Jin Z, Wang Z, Gong L, et al. Molecular epidemiological characteristics of carbapenem-resistant *Klebsiella pneumoniae* among children in China. *AMB Express*. 2022;12(1):89. doi:10.1186/s13568-022-01437-3
2. Raro OHF, Collar GS, Da Silva RMC, et al. Performance of polymyxin B agar-based tests among carbapenem-resistant Enterobacterales. *Letts Appl Microbiol*. 2021;72(6):767–773. doi:10.1111/lam.13467
3. Hu F, Guo Y, Yang Y, et al. Resistance reported from China antimicrobial surveillance network (CHINET) in 2018. *Eur J Clin Microbiol Infect Dis*. 2019;38(12):2275–2281. doi:10.1007/s10096-019-03673-1
4. Holt KE, Wertheim H, Zadoks RN, et al. Genomic analysis of diversity, population structure, virulence, and antimicrobial resistance in *Klebsiella pneumoniae*, an urgent threat to public health. *Proc Natl Acad Sci U S A*. 2015;112(27):E3574–E3581. doi:10.1073/pnas.1501049112
5. Zhang WX, Chen HY, Chen C, et al. Resistance phenotype and molecular epidemiology of carbapenem-resistant *Klebsiella pneumoniae* isolates in Shanghai. *Microb Drug Resist*. 2021;27(10):1312–1318. doi:10.1089/mdr.2020.0390
6. Zhao Y, Liao Y, Zhang N, et al. Four types of ST11 novel mutations from increasing carbapenem-resistant *Klebsiella pneumoniae* in Guangdong, 2016–2020. *Front Microbiol*. 2021;12:702941. doi:10.3389/fmicb.2021.702941
7. Zhang X, Li F, Cui S, et al. Prevalence and distribution characteristics of blaKPC-2 and blaNDM-1 genes in *Klebsiella pneumoniae*. *Infect Drug Resist*. 2020;13:2901–2910. doi:10.2147/IDR.S253631
8. Yigit H, Queenan AM, Anderson GJ, et al. Novel carbapenem-hydrolyzing beta-lactamase, KPC-1, from a carbapenem-resistant strain of *Klebsiella pneumoniae*. *Antimicrob Agents Chemother*. 2001;45(4):1151–1161. doi:10.1128/AAC.45.4.1151-1161.2001
9. Liao W, Liu Y, Zhang W. Virulence evolution, molecular mechanisms of resistance and prevalence of ST11 carbapenem-resistant *Klebsiella pneumoniae* in China: a review over the last 10 years. *J Glob Antimicrob Resist*. 2020;23:174–180. doi:10.1016/j.jgar.2020.09.004
10. Cain AK, Boinett CJ, Barquist L, et al. Morphological, genomic and transcriptomic responses of *Klebsiella pneumoniae* to the last-line antibiotic colistin. *Sci Rep*. 2018;8(1):9868. doi:10.1038/s41598-018-28199-y
11. Van Duin D, Lok JJ, Earley M, et al. Colistin versus ceftazidime-avibactam in the treatment of infections due to carbapenem-resistant Enterobacteriaceae. *Clin Infect Dis*. 2018;66(2):163–171. doi:10.1093/cid/cix783
12. Perez F, El Chakhtoura NG, Yasmin M, et al. Polymyxins: to combine or not to combine? *Antibiotics*. 2019;8(2):38. doi:10.3390/antibiotics8020038
13. Poirel L, Jayol A, Nordmann P. Polymyxins: antibacterial activity, susceptibility testing, and resistance mechanisms encoded by plasmids or chromosomes. *Clin Microbiol Rev*. 2017;30(2):557–596. doi:10.1128/CMR.00064-16
14. Poirel L, Jayol A, Bontron S, et al. The mgrB gene as a key target for acquired resistance to colistin in *Klebsiella pneumoniae*. *J Antimicrob Chemother*. 2015;70(1):75–80. doi:10.1093/jac/dku323
15. Liu YY, Wang Y, Walsh TR, et al. Emergence of plasmid-mediated colistin resistance mechanism MCR-1 in animals and human beings in China: a microbiological and molecular biological study. *Lancet Infect Dis*. 2016;16(2):161–168. doi:10.1016/S1473-3099(15)00424-7
16. Giamarellou H. Epidemiology of infections caused by polymyxin-resistant pathogens. *Int J Antimicrob Agents*. 2016;48(6):614–621. doi:10.1016/j.ijantimicag.2016.09.025
17. Institute CaLS. *Performance Standards for Antimicrobial Susceptibility Testing*. In: *CLSI Supplement M100*. 28th ed. PA: Clinical and Laboratory Standards Institute Wayne; 2018.
18. Choi Y, Chan AP. PROVEAN web server: a tool to predict the functional effect of amino acid substitutions and indels. *Bioinformatics*. 2015;31(16):2745–2747. doi:10.1093/bioinformatics/btv195
19. Haeili M, Javani A, Moradi J, et al. MgrB alterations mediate colistin resistance in *Klebsiella pneumoniae* isolates from Iran. *Front Microbiol*. 2017;8:2470. doi:10.3389/fmicb.2017.02470
20. Smith JL, Drum DJ, Dai Y, et al. Impact of antimicrobial usage on antimicrobial resistance in commensal *Escherichia coli* strains colonizing broiler chickens. *Appl Environ Microbiol*. 2007;73(5):1404–1414. doi:10.1128/AEM.01193-06
21. Nordmann P, Cuzon G, Naas T. The real threat of *Klebsiella pneumoniae* carbapenemase-producing bacteria. *Lancet Infect Dis*. 2009;9(4):228–236. doi:10.1016/S1473-3099(09)70054-4
22. Lan P, Jiang Y, Zhou J, et al. A global perspective on the convergence of hypervirulence and carbapenem resistance in *Klebsiella pneumoniae*. *J Glob Antimicrob Resist*. 2021;25:26–34. doi:10.1016/j.jgar.2021.02.020
23. Hansen GT. Continuous evolution: perspective on the epidemiology of carbapenemase resistance among enterobacterales and other gram-negative bacteria. *Infect Dis Ther*. 2021;10(1):75–92. doi:10.1007/s40121-020-00395-2
24. Yu X, Zhang W, Zhao Z, et al. Molecular characterization of carbapenem-resistant *Klebsiella pneumoniae* isolates with focus on antimicrobial resistance. *BMC Genomics*. 2019;20(1):822. doi:10.1186/s12864-019-6225-9
25. Rodrigues ACS, Santos ICO, Campos CC, et al. Non-clonal occurrence of pmrB mutations associated with polymyxin resistance in carbapenem-resistant *Klebsiella pneumoniae* in Brazil. *Mem Inst Oswaldo Cruz*. 2019;114:e180555. doi:10.1590/0074-02760180555
26. Zhang R, Liu L, Zhou H, et al. Nationwide surveillance of clinical Carbapenem-resistant Enterobacteriaceae (CRE) strains in China. *EBioMedicine*. 2017;19:98–106. doi:10.1016/j.ebiom.2017.04.032

27. Hu N, Wang D, Lin Y, et al. Molecular analysis and antimicrobial resistance pattern of tigecycline-non-susceptible *K. pneumoniae* isolated from a tertiary care hospital of East Asia. *Infect Drug Resist.* 2021;14:4147–4155. doi:10.2147/IDR.S334098
28. Cortazzo V, Posteraro B, Menchinelli G, et al. Susceptibility of meropenem-resistant and/or carbapenemase-producing clinical isolates of enterobacterales (Enterobacteriaceae) and *Pseudomonas aeruginosa* to ceftazidime-avibactam and ceftolozane-tazobactam as assessed by in vitro testing methods. *Antibiotics.* 2022;11(8):1023. doi:10.3390/antibiotics11081023
29. Huang PH, Cheng YH, Chen WY, et al. Risk factors and mechanisms of in vivo emergence of colistin resistance in carbapenem-resistant *Klebsiella pneumoniae*. *Int J Antimicrob Agents.* 2021;57(6):106342. doi:10.1016/j.ijantimicag.2021.106342
30. Zhang X, Qu F, Jia W, et al. Polymyxin resistance in carbapenem-resistant Enterobacteriaceae isolates from patients without polymyxin exposure: a multicentre study in China. *Int J Antimicrob Agents.* 2021;57(2):106262. doi:10.1016/j.ijantimicag.2020.106262
31. Gonçalves Barbosa LC, Silva ESJA, Bordoni GP, et al. Elevated mortality risk from CRKP associated with comorbidities: systematic review and meta-analysis. *Antibiotics.* 2022;11(7):874. doi:10.3390/antibiotics11070874
32. Nang SC, Li J, Velkov T. The rise and spread of mcr plasmid-mediated polymyxin resistance. *Crit Rev Microbiol.* 2019;45(2):131–161. doi:10.1080/1040841X.2018.1492902
33. Cannatelli A, Giani T, Dapos Andrea MM, et al. MgrB inactivation is a common mechanism of colistin resistance in KPC-producing *Klebsiella pneumoniae* of clinical origin. *Antimicrob Agents Chemother.* 2014;58(10):5696–5703. doi:10.1128/AAC.03110-14
34. Adzitey F, Huda N, Ali GR. Molecular techniques for detecting and typing of bacteria, advantages and application to foodborne pathogens isolated from ducks. *Biotech.* 2013;3(2):97–107.

## Infection and Drug Resistance

Dovepress

### Publish your work in this journal

Infection and Drug Resistance is an international, peer-reviewed open-access journal that focuses on the optimal treatment of infection (bacterial, fungal and viral) and the development and institution of preventive strategies to minimize the development and spread of resistance. The journal is specifically concerned with the epidemiology of antibiotic resistance and the mechanisms of resistance development and diffusion in both hospitals and the community. The manuscript management system is completely online and includes a very quick and fair peer-review system, which is all easy to use. Visit <http://www.dovepress.com/testimonials.php> to read real quotes from published authors.

Submit your manuscript here: <https://www.dovepress.com/infection-and-drug-resistance-journal>