

# OSDI Outcomes Based on Patient Demographic and Wear Patterns in Prosthetic Replacement of the Ocular Surface Ecosystem

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**Purpose:** To evaluate the impact of prosthetic replacement of the ocular surface ecosystem (BostonSight PROSE) treatment on symptom outcomes based on the Ocular Surface Disease Index (OSDI).

**Patients and Methods:** This was a single-center, retrospective analysis of consecutive patients who initiated PROSE treatment between September 2017 and December 2019 by the same clinician. The primary outcome measure was to compare OSDI survey scores at baseline prior to PROSE treatment and at follow-up, after PROSE treatment. Indication for treatment, sex, age, device diameter, average wear time, preexisting mental illness, duration of PROSE wear, and status of PROSE wear at follow-up were also studied.

**Results:** A total of 134 patients underwent PROSE treatment and completed a baseline OSDI survey during the study period. Forty-three patients completed a follow-up OSDI survey and were included in the study analysis. The most common treatment indications were keratoconjunctivitis sicca (n=27) and corneal ectasia (n=16). Baseline average OSDI score was  $56.9 \pm 23.7$  for the 43 subjects who completed a subsequent OSDI survey. The last documented average follow-up OSDI for those 43 subjects was  $23.8 \pm 15.6$ , median (IQR) of 22.9 (10.4 to 32.3), and a statistically significant  $54.7 \pm 27.6\%$  average improvement from baseline ( $p < 0.01$ ). All patients, except for two, showed improvement in OSDI score. Statistically significant improvement occurred regardless of underlying diagnosis with no statistically significant difference based on age, sex, mental illness, or device diameter and no statistical correlation with average wear time, or duration of PROSE wear.

**Conclusion:** PROSE treatment improves visual function and symptom relief as demonstrated by the OSDI survey. Sex, age, preexisting mental illness, device diameter, average wear time, and duration of wear had no statistically significant impact on OSDI outcomes.

**Keywords:** PROSE, OSDI survey, scleral lens, ocular surface disease, corneal ectasia, dry eye

## Introduction

The role of prosthetic replacement of the ocular surface ecosystem (PROSE) treatment in the management of corneal and ocular surface disorders is well documented in literature.<sup>1-5</sup> PROSE treatment involves the use of a custom scleral lens design for the management of a variety of conditions including irregular corneal disorders and ocular surface disease (OSD). Other scleral lens designs have also demonstrated to provide dry eye symptom relief for patients suffering from complex corneal disease.<sup>6-9</sup> PROSE treatment is a medical process which includes the customization and dispensing of a PROSE device, or prosthetic device

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(PD), application and removal training for patients, continued monitoring to assess fit and function of the PD, as well as short and long-term optometry and ophthalmology co-management of a patient's underlying ocular disease. Improvement in patient symptoms with PROSE treatment has been quantified with the use of the Ocular Surface Disease Index (OSDI; Allergan Inc., Irvine, CA, USA) in literature in the past;<sup>1-5,10</sup> however, no literature to our knowledge has studied the impact of sex, age, preexisting mental illness, design diameter, average daily wear time, or duration of lens wear on OSDI outcomes.

Symptom surveys like the OSDI serve as tools to efficiently assess patient symptoms in a standardized manner. The use of questionnaires like the OSDI serve to assess patient visual function and quality of life.<sup>11-15</sup> The OSDI survey is a validated tool which is used clinically and in research to assess the symptomatic outcomes of therapeutics from the functional standpoint of a patient.<sup>16</sup>

The association between mental health and its negative effect on dry eye symptoms and pain has been demonstrated in literature.<sup>17-23</sup> Individuals with underlying mental illness such as anxiety, depression, or post-traumatic stress disorder (PTSD) have been shown to have increased dry eye symptoms.<sup>21</sup> Siedlecki et al showed patients with greater ocular pain intensity had a higher likelihood of reporting a history of fibromyalgia, depression, anxiety, and migraines.<sup>23</sup> In that study, the dry eye patients with greater pain severity were less responsive to treatment. Because of this reported correlation, we investigated mental illness as a variable for OSDI outcomes following PROSE treatment.

This study assesses the OSDI outcomes of PROSE treatment while accounting for such variables as sex, age, mental illness, design diameter, hours of daily wear, and duration of wear. It also reviews the results of PROSE treatment compared to other OSDI outcomes from various previously studied ocular surface and dry eye therapies in literature.

## Patients and Methods

### Study Design and Subjects

The New England Institutional Review Board ethics committee approval was obtained for the study under BFS-KC-Retrospective-01 for research involving the collection and analysis of existing data or records for patients who underwent PROSE treatment at BostonSight. All guidelines were followed to ensure HIPAA compliance, and we adhered to

the Declaration of Helsinki and applicable federal and state laws. This was a retrospective chart review involving patients who initiated PROSE treatment with the same PROSE practitioner at BostonSight (Needham, MA, USA) between September 2017 and December 2019, and who had completed a baseline OSDI survey at the time of PROSE treatment. Patients undergoing PROSE treatment included novel PROSE wearers, habitual scleral lens wearers who were transitioning to PROSE treatment and habitual PROSE wearers who were undergoing retreatment, meaning re-fitting of their PROSE device(s). Indications for retreatment included adjusting the design to improve fit and function, as well as updating the device optics, if necessary, to improve vision. For inclusion in the study, patients must have been at least 18 years old at the time of starting PROSE treatment and completed an OSDI survey at the time of starting treatment and at least one follow-up OSDI. Patients were excluded from the study if they were younger than 18 years old at the time of starting treatment or had not completed both a baseline and follow-up OSDI survey. On retrospective chart screening, 134 patients (234 eyes) underwent PROSE treatment and had a baseline OSDI recorded under the care of the same practitioner during the studied timeframe. Forty-three patients (81 eyes) who continued with PROSE wear and completed a follow-up OSDI survey are in the analyzed cohort described below. There was not any exclusion related to other ocular surface treatments used at the time of starting PROSE treatment or at follow-up. Patients were continued on their other ocular surface treatments as prescribed by their primary ophthalmologist or optometrist. Each patient's age, sex, and history of mental illness was recorded from examination data as reported by the patient at the time of starting treatment.

PROSE device diameter data was retrieved from device manufacturing records. Baseline habitual scleral lens data for a lens which was fitted by an outside provider was obtained from the fitting provider's notes on file. If scleral lens data was not available to the practitioner, it was not included as part of our analysis, as no additional access to outside records was requested for the purposes of data collection for this study.

After initiating PROSE treatment, each patient returned to clinic at the appropriate time frame based on the clinical decision making of the practitioner. During a follow-up visit, an OSDI survey may have been administered. If a patient had completed more than one follow-up OSDI survey, the most recent OSDI score was included in this

review for analysis. The duration of PROSE wear (number of days) from initial pretreatment baseline OSDI until administration of follow-up OSDI survey was calculated.

Minimum and maximum hours of PROSE daily wear were obtained from exam notes based on patient responses to this standard question which is routinely asked and documented at each office visit. The average hours of daily device wear were calculated using minimum and maximum values reported by each patient. If a patient was a habitual scleral lens or PROSE wearer at the time of starting treatment, they were also routinely asked their minimum and maximum hours of daily wear.

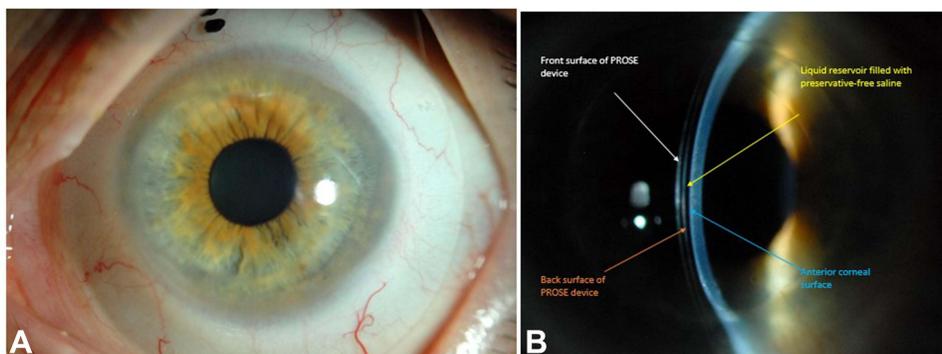
## PROSE Treatment

PROSE treatment is a process by which visual function, comfort and ocular health can be restored to a patient with complex corneal disease.<sup>1–5,9,24,25</sup> The PD used in PROSE treatment is a transparent dome of gas permeable plastic, ranging from 13–23 mm in diameter. The diameter of each design is varied by the fitting practitioner to achieve optimal physiologic function. The PD fits under the eyelids, rests on the conjunctiva overlying the sclera and creates a clear, smooth surface over the cornea (Figure 1A). Each PD is filled with preservative-free normal saline at the time of application; this pool of fluid remains against the cornea (Figure 1B). The constant exposure of the ocular surface to the fluid can potentiate corneal epithelialization and prevent desiccation while the PD itself serves as a physical barrier. Each PD is customized to accommodate the shape of the eye and is designed with proprietary web-based computer software (FitConnect, BostonSight, Needham, MA, USA) that drives a manufacturing lathe. The Federal Food and Drug Administration (FDA)-approved fabricated PD used in PROSE treatment helps

restore vision, support healing, reduce symptoms, and improve quality of life in patients with complex corneal disease including distorted corneal surface and ocular surface disorders.

Each patient referred for PROSE treatment from local, national, and international primary eye care providers undergo a medical chart review prior to being considered for a consultation. Patients who are referred for treatment have failed other treatment modalities for the management of their ocular disease and, therefore, generally have a greater disease severity. The initial consultation visit is used to determine patient candidacy for treatment. Once a patient is deemed a candidate, they then return to clinic to start PROSE treatment. Determining the need for repeat PROSE treatment or refitting of a PD is based on clinical examination, patient symptoms, and decision-making of the practitioner. The PROSE treatment window is a six-month period starting from the date of initiating treatment. During the six-month treatment window, adjustments to the PD can be made until an optimized fit and design and adequate physiological function is achieved for ongoing daily use. Treatment includes PD fitting, intensive training to apply and remove a device, instruction on proper care and hygiene of the device, and monitoring of ocular physiologic response and tolerance of wear. There is typically variability in follow-up time course. Variables which may impact PROSE follow-up frequency and interval include ocular condition or state of the disease, means of travel and distance from home, financial limitations, and access to local eye care for collaboration.

Once a patient has completed treatment, they continue to be monitored at least once a year to assess PROSE device customization and function. All eye care unrelated



**Figure 1** Illustration and optic section view of PROSE device on eye.

**Notes:** Slit lamp photographs of a PROSE device (BostonSight, Needham, MA, USA) on an eye with history of penetrating keratoplasty in diffuse white light (A) and optic section (B).

to PROSE treatment is continued by their local primary eye care provider.

## OSDI Survey

The OSDI survey was created to quantify symptoms of dry eye disease (DED) and vision-related function in the past week of a patient's life. The use of questionnaires like the OSDI survey to assess patient quality of life aligns with the FDA's emphasis on utilizing patient-reported outcomes as a tool to better support medicinal and clinical drug trial outcomes.<sup>16</sup> The 12-item validated questionnaire is conducted in-office and has three subcategories: ocular symptoms, vision-related function, and environmental triggers.<sup>12–15</sup> Each question is presented to the patient, who will then respond based on a 0 to 4 scale, with 0 corresponding to "none of the time" and 4 corresponding to "all of the time". A patient can also elect to skip a question if it does not pertain to them. The sum of all the patient's responses to the questions answered are used in the calculation to determine the final OSDI score. The final score of the OSDI survey ranges from 0 to 100; 0–12 representing normal, 13–22 representing mild DED, 23–32 representing moderate DED, and 33 and greater representing severe DED.<sup>12–15</sup>

## Statistical Analysis

Statistical analysis was performed using Microsoft Excel (Microsoft Corp., Redmond, WA, USA) and Statistics Kingdom online statistics calculator (<https://www.statskingdom.com>) unless otherwise noted. Normality was determined utilizing an online Shapiro–Wilk test calculator and Q-Q plots. Continuous normally distributed variables are represented as mean  $\pm$  standard deviation, while continuous variables with non-normal distribution are reported with mean  $\pm$  standard deviation along with median and interquartile range (IQR). Any comparison of independent data sets which includes variables with non-normal distribution are analyzed for statistical significance with non-parametric testing utilizing a Mann–Whitney *U*-test calculator. Comparison of paired data sets which includes variables with non-normal distribution are analyzed for statistical significance with non-parametric testing utilizing a Wilcoxon signed-rank test calculator. Comparison of data sets with confirmed normality are analyzed via the applicable *t*-test (paired *t*-test or two sample *t*-test— Welch's). Nominal and ordinal categorical variables were examined for relationships utilizing the chi-squared test for independence (Social Science Statistics, <https://www.socscistatistics.com/tests/chisquare2/>

[default2.aspx](#)). Correlation of normally distributed continuous variables are analyzed utilizing Pearson's correlation coefficient, while Spearman's rank correlation coefficient is utilized to assess correlation if either of the variables are not normally distributed. Significance was set to a *p*-value of  $\leq 0.05$  in all instances of analysis.

Sample size calculations were completed using ClinCalc.com (<https://clincalc.com/stats/SampleSize.aspx>) and utilizing comparable population data from Miller et al<sup>12</sup> mean severe OSDI 52.6; SD 16.4, minimally clinically important difference (MCDI) 25.4% to determine a minimum number of 20 subjects were needed to be included in this retrospective analysis to detect a minimally clinically important difference in OSDI following treatment to yield a 95% power at the  $\alpha=0.05$  level.

## Results

### Indication for PROSE Treatment

Indications for PROSE treatment in the studied population included the broad categories of distorted corneal shape, OSD and high refractive error. Patient diagnoses were further sub-categorized by ocular graft v host disease (oGVHD), keratoconjunctivitis sicca (KCS), filamentary keratitis (FK), exposure keratopathy (EK), atopic keratoconjunctivitis (AK), limbal stem cell deficiency (LSCD), corneal ectasia, Stevens–Johnson syndrome (SJS)/toxic epidermal necrolysis syndrome (TENS), postpenetrating keratoplasty (PKP), persistent epithelial defect (PED), corneal neuralgia, corneal scar/opacity, pathologic myopia and other. Corneal ectasia diagnoses included keratoconus, postastigmatic keratotomy and/or postradial keratotomy, pellucid marginal degeneration, and post-LASIK ectasia. The most common indication for treatment was KCS, or DED (Table 1).

### Baseline OSDI

The average baseline OSDI for the entire analyzed cohort of 43 subjects who completed a follow-up OSDI survey was  $56.9 \pm 23.7$ , which is categorized as severe based on the OSDI scale.

### Overall OSDI Outcomes

Of the 43 patients who completed a follow-up OSDI, all but two showed improvements in OSDI score. One patient had a baseline OSDI score of zero and follow-up OSDI score of zero. Another patient who proceeded with PROSE treatment had an increase in OSDI from baseline (45.83) to follow up (60.42). All diagnosis groups showed average improvement

**Table 1** Analysis of OSDI Outcomes with PROSE Wear, Based on Condition

Condition(s)	n	Baseline OSDI, Mean $\pm$ SD	Final OSDI, Mean $\pm$ SD (Median, IQR)	OSDI Reduction, Mean $\pm$ SD	p-value	OSDI Reduction (%), Mean $\pm$ SD (%)
KCS (excludes oGVHD)	27	64.4 $\pm$ 21.2	27.7 $\pm$ 16.1 (27.1, 16.7–36.5)	36.8 $\pm$ 22.9	<0.01	55.6 $\pm$ 28.6
Corneal ectasia	16	41.9 $\pm$ 23.2	17.3 $\pm$ 13.8 (13.5, 8.3–25.5)	24.6 $\pm$ 18.5	<0.01	52.4 $\pm$ 27.5
Corneal ectasia + KCS (excludes oGVHD)	9	53.2 $\pm$ 19.6	23.6 $\pm$ 14.8 (25.0, 16.7–27.1)	29.6 $\pm$ 17.5	<0.01	55.6 $\pm$ 24.7
Corneal scar/opacity	8	46.6 $\pm$ 17.2	21.5 $\pm$ 12.4 (22.6, 14.6–30.2)	25.1 $\pm$ 10.6	<0.01	56.3 $\pm$ 23.2
Other <sup>a</sup>	8	50.8 $\pm$ 25.4	25.0 $\pm$ 18.6 (18.8, 13.0–37.5)	25.8 $\pm$ 21.8	0.02	42.6 $\pm$ 29.0
Limbal stem cell deficiency	7	59.8 $\pm$ 18.2	27.6 $\pm$ 19.5 (25.0, 12.2–44.8)	32.2 $\pm$ 15.5	0.02	56.0 $\pm$ 31.3
SJS/TENS <sup>c</sup>	4	72.4 $\pm$ 20.3	41.2 $\pm$ 12.6 (39.6, 36.0–44.8)	31.3 $\pm$ 27.8	–	35.0 $\pm$ 38.7
Exposure keratopathy <sup>c</sup>	3	53.7 $\pm$ 5.6	18.1 $\pm$ 9.7 (14.6, 11.5–23.0)	35.7 $\pm$ 15.0	–	64.1 $\pm$ 23.2
FK <sup>c</sup>	3	82.4 $\pm$ 7.8	33.3 $\pm$ 16.4 (25.0, 21.9–40.6)	49.1 $\pm$ 23.0	–	57.8 $\pm$ 23.6
Atopic keratoconjunctivitis <sup>c</sup>	2	35.4 $\pm$ 6.3	13.3 $\pm$ 4.9 (13.3, 10.8–15.7)	22.2 $\pm$ 1.3	–	63.9 $\pm$ 7.5
Corneal neuralgia <sup>c</sup>	2	89.6 $\pm$ 10.4	32.7 $\pm$ 2.7 (32.7, 31.4–34.1)	56.9 $\pm$ 7.7	–	63.3 $\pm$ 1.2
Neurotrophic keratopathy <sup>c</sup>	2	54.2 $\pm$ 4.1	31.3 $\pm$ 2.1 (31.3, 30.2–32.3)	22.9 $\pm$ 6.3	–	41.7 $\pm$ 8.3
oGVHD + KCS <sup>c</sup>	2	66.9 $\pm$ 14.4	21.9 $\pm$ 3.1 (21.9, 20.3–23.4)	45.0 $\pm$ 11.3	–	66.8 $\pm$ 2.5
Pathological myopia <sup>b,c</sup>	1	50.0	33.3	16.7	–	33.3
Persistent epithelial defect <sup>b,c</sup> (PED)	1	75.0	50.0	25.0	–	33.3
Post-PK <sup>b,c</sup>	1	29.2	8.3	20.9	–	71.4

**Notes:** <sup>a</sup>Other conditions included blepharitis, meibomian gland dysfunction, Salzmann's nodular degeneration, ocular rosacea, chronic progressive external ophthalmoplegia, anterior basement membrane dystrophy, granular corneal dystrophy, myasthenia gravis, and high myopia. <sup>b</sup>Additional statistical analysis (standard deviation, median, IQR) are not provided for categories containing one subject. <sup>c</sup>Inadequate sample size to assess non-parametric paired results via Wilcoxon signed-rank test.

**Abbreviations:** oGVHD, ocular graft-vs-host disease; KCS, keratoconjunctivitis sicca; FK, filamentary keratitis; SJS/TENS, Stevens–Johnson Syndrome/toxic epidermal necrolysis syndrome; post-PK, postpenetrating keratoplasty.

in OSDI with treatment (Table 1). The average follow-up OSDI was 23.8 $\pm$ 15.6, median (IQR) of 22.9 (10.4 to 32.3), a statistically significant average reduction of 33.14 $\pm$ 22.0,  $p$ <0.01, equivalent to an average reduction of 54.7 $\pm$ 27.6%. The most common diagnosis and indication for PROSE treatment was KCS, with 27 patients completing a follow-up OSDI. Patients with KCS had a statistically significant average OSDI reduction of 36.8 $\pm$ 22.9,  $p$ <0.01, equivalent to an average OSDI reduction of 55.6 $\pm$ 28.6%.

## Age

The 43 patients who completed a follow-up OSDI survey had an average age of 50.9 $\pm$ 12.0 years. Age was not found to have a statistically significant correlation with OSDI change ( $r$ = -0.04,  $p$ =0.80).

## Sex

Of the 43 patients who completed a follow-up OSDI, 18 were male and 25 were female. The average baseline OSDI was 42.9 $\pm$ 23.8 for the 18 males and 67.0 $\pm$ 17.8 for

the 25 females. There was a statistically significant 52.4 $\pm$ 17.0% ( $p$ <0.01) and 56.4 $\pm$ 20.4% ( $p$ <0.01) average reduction in OSDI score for males and females, respectively, demonstrating no statistical difference in OSDI outcomes with PROSE treatment based on sex ( $p$ =0.103). Chi-square d test for independence confirmed that there was no significant association between sex and OSDI improvement (with OSDI improvement grouped into categories:  $\leq$ 9, 10–19, 20–29, 30–39, and  $\geq$ 40),  $\chi^2$  (4,  $n$ =43)=5.6,  $p$ =0.23.

## Preexisting Mental Illness

To control for potential impact of preexisting mental illness on OSDI outcomes, preexisting mental illness at the time of the start treatment was assessed as part of each patient's reported personal medical history. Fifteen of the 43 patients in the analyzed cohort had a psychiatric diagnosis at baseline which included diagnoses of depression, anxiety, bipolar disorder, PTSD, or obsessive-compulsive disorder (OCD). Baseline OSDI average of the 15 patients with preexisting mental illness was 66.0 $\pm$ 22.9. Those 28

patients without mental illness had baseline OSDI average of  $52.1 \pm 22.7$ . Follow-up OSDI improved by a statistically significant average of  $52.0 \pm 32.0\%$  ( $p < 0.01$ ) and  $56.2 \pm 24.9\%$  ( $p < 0.01$ ) for those with psychiatric diagnosis and for those without, respectively. There was no statistically significant difference in improvement in OSDI based on mental illness status ( $p = 0.48$ ). Chi-squared test for independence confirmed that there was no significant association between mental illness and OSDI improvement (with OSDI improvement grouped into categories:  $\leq 9$ , 10–19, 20–29, 30–39, and  $\geq 40$ ),  $\chi^2$  (4,  $n = 43$ ) = 5.7,  $p = 0.22$ .

### Average Daily Wear Time (AWT)

The AWT in the 43 patients who completed a follow-up OSDI survey was  $12.0 \pm 3.4$  hours per day. Average hours of daily PROSE wear was not found to have a statistically significant correlation with OSDI change ( $r = -0.03$ ,  $p = 0.84$ ). When analyzing AWT based on 12 hours or less of daily wear ( $n = 22$ , AWT  $9.4 \pm 2.4$  hours) and greater than 12 hours of daily wear ( $n = 21$ , AWT  $14.8 \pm 1.5$  hours), we again affirmed no statistically significant difference in the average amount of change in OSDI outcomes when comparing group to group ( $p = 0.71$ ). There was a statistically significant OSDI improvement in each group ( $p < 0.01$  in both groups).

### Duration of Wear

The duration of PROSE wear, measured in days, until the follow-up OSDI was administered varied between the 43 patients who completed a follow-up survey. The average duration of wear from baseline until the administration of the final follow-up OSDI survey was  $459.9 \pm 244.5$ , median (IQR) of 515.0 (221.5 to 659.5) days. The overall range for length of time from baseline pre-PROSE treatment OSDI until final OSDI for the entire cohort of analyzed patients was 42 to 874 days. Duration of wear was not found to have a statistically significant correlation with OSDI change ( $r = -0.09$ ,  $p = 0.54$ ).

### Final PROSE Device Diameter

The average final optimized PD diameter for all 43 patients was  $18.8 \pm 0.87$ , median (IQR) of 18.75 (18.5 to 19.5) mm. Four of the 43 subjects were habitual scleral lens or habitual PROSE wearers at baseline, with an average baseline diameter of  $17.2 \pm 1.2$ , median (IQR) of 18.0 (16.3 to 18.1) mm. Those four subjects had an average final PD diameter of  $19.1 \pm 0.4$ , median (IQR) of 19.0 (19.0

to 19.5) mm, which was an average increase of  $1.9 \pm 1.2$ , median (IQR) of 1.5 (1.2 to 2.5) mm in overall diameter from baseline. Final PROSE device diameter was not found to have a statistically significant correlation with OSDI change ( $r = -0.09$ ,  $p = 0.55$ ).

### Habitual vs Novel Wearers

Of the 43 patients who completed a follow-up OSDI, the average baseline OSDI score for habitual scleral lens or habitual PROSE wearers ( $n = 4$ ) was  $30.7 \pm 22.2$ . Three of these four subjects were habitual scleral lens wearers. The fourth habitual wearer wore a scleral lens in one eye and a PD for the fellow eye at baseline. These four habitual wearers had an average final OSDI score of  $10.9 \pm 8.1$ , median (IQR) of 10.42 (7.8 to 13.5), equating to an overall average reduction of symptoms by  $44.0 \pm 31.4\%$ . The average baseline OSDI for novel PROSE wearers ( $n = 39$ ) was  $59.6 \pm 22.2$ . Novel PROSE wearers had a final average OSDI score of  $25.1 \pm 15.6$ , median (IQR) of 25.0 (14.6 to 33.3), equating to an overall reduction of symptoms by  $55.8 \pm 27.0\%$ . There was no statistically significant difference in the reduction in OSDI when comparing habitual scleral lens and habitual PROSE wearers versus novel PROSE wearers ( $p = 0.23$ ).

### OSDI Outcome—per Question

When analyzing the results of the OSDI survey by individual question, an overall average improvement was seen for every question which was answered at baseline and at follow-up (Table 2). The greatest improvement in symptoms was noted for question 5 which asked the patient how often they experienced poor vision. There was a statistically significant average reduction from  $2.70 \pm 1.44$  at baseline to  $0.79 \pm 0.94$  at follow up, an overall  $1.91 \pm 1.73$  average improvement in quality of vision ( $p < 0.01$ ). The least improvement in symptoms was noted for question 12 which asked the patient how often their eyes felt uncomfortable in areas that are air conditioned. There was a statistically significant average reduction from  $1.58 \pm 1.69$  at baseline to  $0.86 \pm 1.32$  at follow-up, an overall average improvement of  $0.72 \pm 1.83$  ( $p < 0.01$ ).

### Adverse Events

Of the 43 patients who had baseline and follow-up OSDI, no adverse events were observed, including but not limited to no incidents of infectious keratitis, corneal abrasion, corneal graft rejection or failure.

**Table 2** Analysis of the Average Response Scores to the OSDI Survey<sup>a</sup>, by Question, at Follow-up

Question	n (43)	Baseline, Mean $\pm$ SD	Follow-Up, Mean $\pm$ SD	Change, Mean $\pm$ SD	p-value
1. Light sensitivity	43	2.60 $\pm$ 1.47	1.25 $\pm$ 1.43	-1.35 $\pm$ 1.60	<0.01
2. Gritty	42	1.88 $\pm$ 1.69	0.62 $\pm$ 0.85	-1.26 $\pm$ 1.74	0.01
3. Painful/sore	42	1.57 $\pm$ 1.27	0.55 $\pm$ 0.74	-1.02 $\pm$ 1.27	<0.01
4. Blurred vision	43	2.60 $\pm$ 1.40	0.91 $\pm$ 0.89	-1.69 $\pm$ 1.61	<0.01
5. Poor vision	40	2.70 $\pm$ 1.44	0.79 $\pm$ 0.94	-1.91 $\pm$ 1.73	<0.01
6. Reading	43	2.51 $\pm$ 1.49	1.16 $\pm$ 1.33	-1.35 $\pm$ 1.78	<0.01
7. Driving at night	42	2.88 $\pm$ 1.49	1.14 $\pm$ 1.54	-1.74 $\pm$ 1.52	<0.01
8. Computer/ATM	42	2.48 $\pm$ 1.56	0.81 $\pm$ 1.15	-1.67 $\pm$ 1.59	<0.01
9. Watching television	42	1.76 $\pm$ 1.60	0.81 $\pm$ 1.17	-0.95 $\pm$ 1.55	<0.01
10. Windy conditions	42	2.40 $\pm$ 1.75	1.26 $\pm$ 1.42	-1.14 $\pm$ 1.98	0.02
11. Low humidity conditions	42	2.17 $\pm$ 1.77	1.21 $\pm$ 1.43	-0.96 $\pm$ 1.87	0.02
12. Air conditioning	42	1.58 $\pm$ 1.69	0.86 $\pm$ 1.32	-0.72 $\pm$ 1.83	<0.01

**Notes:** <sup>a</sup>Answers for each question on the OSDI survey is based on a 0–4 scale, with 0=none of the time, 1=some of the time, 2=half of the time, 3=most of the time, and 4=all the time. If a question is not applicable, a patient can elect to skip it. This table shows the number of patients which answered each question, the average baseline response score, the average follow-up OSDI survey score, and the change between baseline and follow-up.

## Discussion

This study retrospectively reports the OSDI outcomes for a population of 43 subjects that not only underwent PROSE treatment, but also had recorded baseline and follow-up OSDI survey scores. It is the first study to evaluate for associations of sex, age, mental illness, hours of daily wear or AWT, and duration of wear with PROSE treatment OSDI outcomes. We report that PROSE treatment resulted in a significant improvement in average OSDI outcomes regardless of these variables in the study group. In addition there was an average improvement for every single item on the OSDI questionnaire. Device diameter showed no significant direct effect on OSDI outcomes. All categories of indication and underlying conditions showed significant improvement in OSDI outcomes.

All but two of the 43 subjects individually showed improvement in OSDI. One of these two patients had an OSDI score of zero both at baseline and at follow-up. This bilateral ectasia patient presented wearing a soft contact lens in the right eye and a scleral lens in the left eye. At baseline, the patient reported poor quality vision particularly in the right eye, with intermittent redness and discomfort while wearing the scleral lens in the left eye. The patient's reported symptoms correlated with clinical exam findings. The patient was motivated to pursue PROSE treatment for both eyes to improve vision for the right eye and comfort for the left eye. Despite the patient's reported symptoms at baseline, they answered zero ("none of the time") for all questions on the OSDI survey.

A total OSDI score of zero would indicate an asymptomatic, comfortable patient with optimized visual function, which most certainly was not the case. At follow-up, the patient was happy with the improved vision and comfort obtained for both eyes with PROSE, with clinical findings correlating with these subjective reports. Again, at follow-up, the patient answered zero for all OSDI survey questions. The disconnect between the significant presenting symptoms and the presenting OSDI score of zero could be most likely explained either by the patient not properly understanding the survey instructions or less likely, the OSDI survey not capturing the specific type of symptoms the patient felt were problematic.

The one patient who had a higher OSDI score at follow-up than baseline had SJS/TENS. A chart review revealed improvement in symptoms reported by the patient following PROSE treatment, continued comfortable daily wear with AWT of 12 hours, however, this was not reflected in the final OSDI score.

Interestingly, in both cases there was no improvement in OSDI at follow-up, however, the clinical findings and reported mitigation of symptoms suggested an improvement in visual function. Notably, these patients continued with PROSE wear, which they both felt was a successful treatment and had significantly addressed their presenting concerns. Cases such as these could be further analyzed in future studies, which could investigate whether clearer instructions are necessary for patients to properly understand the OSDI survey or whether question updates are necessary to better capture certain symptomatic or visual

function experiences which may be missed in the current iteration of the survey.

Of note, the baseline OSDI score for patients included in this study were similar to what has been reported in the past for other studies assessing PROSE treatment OSDI outcomes based on ocular condition and indication for wear.<sup>1-5,26</sup>

## Age and Sex

OSDI outcomes with PROSE treatment were not statistically different by sex, indicating that males and females over 18 years of age were equally likely to have similar improvement in OSDI outcome with treatment. In the population studied, the average baseline OSDI was notably more severe in the female (67.0±17.8) vs the male groups (42.9±23.8). Despite this discrepancy in severity, the two groups had average OSDI improvement following PROSE treatment that was not statistically different (52.4±17.0% for males and 56.4±20.4% for females).

No correlation was found between age and the amount of improvement in OSDI following PROSE treatment. The presumption can therefore be made that we need not expect a greater or lesser efficacy in improving visual function and symptoms in different adult age groups treated with PROSE. Although there is existing literature to support the benefit for PROSE treatment in the pediatric population,<sup>27-31</sup> additional studies of a similar nature with a pediatric cohort are necessary to help elucidate whether any different effect of this treatment in the pediatric population is apparent when assessing visual function via a symptom survey. A limitation in pursuing a study of this kind may be the repeatability and reliability of responses from pediatric patients. Notably, the landmark study of OSDI validity only included patients that were 18 years of age or older.<sup>14</sup>

## Visual Function

Patients with corneal disease including irregular corneal shape and OSD can experience poor quality of vision. PROSE treatment has been demonstrated in literature to improve vision in patients by providing a smooth refractive surface for a multitude of conditions ranging from corneal ectasia or scarring, to severe OSD.<sup>2,9,10,26,29,31</sup> Although visual acuity at baseline and follow-up was not assessed in this study, the OSDI survey questionnaire revealed the greatest average improvement for question 5 which asked the patient how often they experienced poor vision. This finding is consistent with literature and supports that PROSE

treatment can improve quality of vision in patients suffering from a variety of corneal and ocular surface diseases.

## Preexisting Mental Illness

Following PROSE treatment there was a statistically significant improvement in OSDI in the group of patients with mental illness and in the group of patients without mental illness ( $p<0.01$ ). Prior literature has shown not only that patients with mental illness are more likely to experience symptoms like dry eye and pain, but also that the dry eye patients with greater pain severity were less likely to respond to treatment.<sup>18,22,23</sup> Despite this propensity to be more symptomatic and recalcitrant, we found no statistically significant difference in improvement of OSDI regardless of preexisting mental illness. The combination of mental illness and OSD can present some of the most challenging cases. To date, to our knowledge, no prior literature has explored the efficacy of specific ocular surface treatments for this subset population with mental illness and OSD. Our findings suggest that these challenging cases may have significant improvement of symptomatology and visual function with the utilization of PROSE treatment. Further studies in this mental illness cohort comparing various treatment modalities and efficacy in a controlled trial would be advantageous to prioritize treatment options for this group. The current TFOS DEWS II Report “Recommendations for the staged management and treatment of DED”<sup>32</sup> may or may not apply to this subgroup with mental illness and DED and a different staged approach may be applicable. In this study, we notably report that patients with mental illness (OSDI average reduction of 52.0±32.0%) improved just as much as patients without mental illness (OSDI average reduction of 56.2±24.9%) with PROSE treatment, as no statistically significant difference in OSDI improvement was present between the groups ( $p=0.48$ ).

## Average Daily Wear Time (AWT) and Duration of Wear

Average daily wear time of PROSE for each patient can vary based on visual function, ocular surface health and respective underlying ocular condition. A patient wears their PROSE device(s) for an appropriate number of hours to meet their needs of improving vision, comfort, and/or supporting the ocular surface.

It is important to recognize that AWT may be restricted as advised by the prescribing practitioner in patients who warrant limited number of hours of wear

for proper physiologic function with PROSE wear. For instance, for an eye with compromised endothelial pump function such as related to an aged weakened corneal graft, the practitioner may limit wear time to five hours or less to prevent the onset of corneal edema and subsequent visual blurring. Whether wear time was limited by the practitioner or the reasons for limiting wear time were not studied in this retrospective review. Regardless of the reason for varying average daily wear time amongst the studied population, it is worth noting that there was no correlation between average hours of daily PROSE wear and reduction in OSDI. One might assume that more hours of wear would equate to more improvement in visual symptoms. However, our results suggest that it is not “more” hours of wear each day that is of importance, but rather, the “proper” number of hours of wear as deemed appropriate by the prescribing clinician.

The length of time from baseline pre-PROSE treatment OSDI until final OSDI ranged from 42 to 874 days, with no statistical indication in this time frame that more days of wear results in a trend toward further improved OSDI outcomes. Moon et al studied OSDI outcomes of OSD patients fitted with scleral lenses and showed a 30–40 score reduction in OSDI in the first week, with statistically similar results at weeks 4, 8, and 12. This corroborates the findings assessed in our study that increased duration of wear does not statistically correlate with further improved OSDI outcomes.<sup>33</sup> Further prospective studies with set time points for evaluating OSDI could investigate the average number of days to the “plateau” effect and steady state achievement for the OSDI score with PROSE treatment. Subgroup analysis could provide powerful data to set proper patient timeframe expectations for improvement in signs and symptoms in specific pathologies undergoing PROSE treatment.

## Habitual vs Novel Wearers

Habitual scleral and habitual PROSE wearers had improvement in OSDI outcomes following PROSE treatment. This group included patients that presented at baseline wearing scleral lenses or PROSE devices and proceeded with initial PROSE treatment or PROSE retreatment, respectively. Although only four patients were in this subgroup, this data suggests scleral lens design and fitting endpoint adjustments positively affect OSDI outcome. Although the indication for transition from their current habitual lens/device was not recorded in this study, it is likely that this indication and the necessary

adjustments and customizations in the newly dispensed PROSE device resulted in OSDI improvement. Moreover, it is important to note that all patients were receiving a newly manufactured device. It is possible that simply transitioning to a new device free of scratches and/or deposits also contributed to improved OSDI outcomes. The integrity of the habitual PD or habitual scleral lens was not assessed as part of this study. Further studies are needed to assess OSDI outcomes based on condition/age of a lens as well as evaluating associations to specific fitting adjustments.

## Device Diameter in Novel PROSE Wearers

In the studied population, we did not find a significant correlation between final PD diameter and OSDI outcome. An optimally fitting PROSE device endpoint in the opinion of the clinician, which included a finalized lens diameter, was reached for each patient at the time when the final follow-up OSDI survey was administered. It is important to note that the average PD diameter of patients who completed a follow-up OSDI was 18.8mm±0.87, median (IQR) of 18.75 (18.5 to 19.5), which is notably greater than the average scleral lens diameter of 15–17mm which two-thirds of practitioners fit.<sup>34</sup> As such, our results may not be translated to smaller diameter designs.

## PROSE Treatment Outcomes vs Other Therapies

Management of OSD, specifically DED, includes lubrication, lid hygiene, manual gland expression, use of anti-inflammatory medications, immunosuppressant therapies, and tear retention.<sup>35</sup> The therapeutic use of contact lenses including soft and scleral lenses or PROSE devices for the treatment of DED or KCS is well-documented in literature.<sup>3,4,35,36</sup> The use of scleral lenses has grown exponentially in recent years, with scleral lenses or PROSE devices now considered as primary or secondary treatment of choice for intractable ocular surface diseases.<sup>37,38</sup>

The OSDI outcomes analyzed in this study were similar to what has been previously reported in literature with PROSE treatment and scleral lens wear.<sup>1–3,33</sup> Other studies have used the OSDI survey to assess treatment outcomes in KCS or dry eye patients. When comparing PROSE treatment OSDI outcomes in patients with KCS to other therapies used to treat patients with KCS or DED, PROSE treatment reported the greatest percentage OSDI reduction (Table 3).<sup>39–43</sup>

**Table 3** Comparison of OSDI Outcomes Between PROSE Treatment vs Other Therapies for the Treatment of Keratoconjunctivitis Sicca, or Dry Eye Disease

Study	Study Design	Treatment	OSDI Baseline Pretreatment (Mean)	OSDI Outcome Posttreatment (Mean)	p-value	OSDI Improvement (%) with Treatment (Mean)
Current <sup>a</sup>	Retrospective	PROSE	64.4	27.7	<0.001	55.6
Yilmaz 2017 <sup>39</sup>	Prospective, crossover controlled	Autologous serum tears	32.3	19.8	<0.001	48.4
Latifi 2020 <sup>40</sup>	Prospective, non-controlled	Punctal occlusion	58.6	45.4	0.005	22.5
Yüksel 2009 <sup>41</sup>	Prospective, non-controlled	Topical cyclosporine	30.0	21.3	0.00	29.0
Sheppard 2014 <sup>42</sup>	Prospective, control	Loteprednol and cyclosporine	30.8	20.3	≤0.05	34.1
Finis 2014 <sup>43</sup>	Prospective, randomized, crossover	Lipiflow	46.2	34.6	0.029	25.1

**Note:** <sup>a</sup>PROSE treatment outcomes in this study for all subjects with preexisting diagnosis of KCS.

One limitation of the retrospective framework of this study is that there was no restriction placed on the other ocular treatment modalities patients used during the studied timeframe. At the time of PROSE treatment, patients were continued on the other ocular surface treatments prescribed by their primary ophthalmologist or optometrist and there were no specific concomitant treatment modalities that prompted exclusion from the analyzed cohort. Future studies, particularly prospective in nature, could specifically investigate whether any ocular treatments may negatively or positively effect OSDI improvement when used in conjunction with PROSE treatment.

In addition, other ocular surface variables that may affect the efficacy of various treatments, including PROSE, is of high importance. Of great significance, tear film quality and tear film performance can impact the utility and success of ocular surface interventions. In a prospective study, a standardized assessment of aqueous and evaporative tear film issues would provide data to determine the correlation and effect of such issues on treatment success. Recent studies have elucidated the utility of OCT to evaluate tear film quality and performance, which could be a valuable assessment to include as part of a future prospective study protocol.<sup>44–46</sup>

## Patient Population

Consideration should be given to the patient population included in this study. Patients who are referred for PROSE treatment generally suffer from more severe

complex corneal disease and often have attempted but failed other prior therapies for management of ocular surface diseases and irregular corneal shape. The average baseline OSDI score for all patients included in this study is classified as severe based on the OSDI.

## Conclusion

Baseline OSDI in the subject population improved by an average of 54.7±27.6% following PROSE treatment. There was no statistically significant difference in outcomes based on, age, sex, lens diameter, preexisting mental illness, duration of wear or hours of daily wear. Improvement occurred regardless of diagnosis, which included OSD, corneal ectasia and refractive indications. Compared to other therapeutics used traditionally for the treatment of DED or KCS, PROSE treatment showed greater percentage improvement in OSDI outcomes. Overall, the findings in this report support that PROSE treatment can be considered for a multitude of corneal and ocular surface diseases with the expectation of improved OSDI symptoms.

## Funding

There is no funding to report.

## Disclosure

All authors are salaried employees of BostonSight, Needham, MA, USA where the BostonSight PROSE treatment was developed. None of the authors have a proprietary interest in PROSE treatment or the prosthetic

devices used in PROSE treatment. The authors report no other potential conflicts of interest in this work.

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