

# Prevalence and Associated Factors of Suboptimal Daily Peak Inspiratory Flow and Technique Misuse of Dry Powder Inhalers in Outpatients with Stable Chronic Airway Diseases

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**Purpose:** The present study aimed to investigate the prevalence and associated factors of suboptimal daily peak inspiratory flow (PIF) and technical misuse of three commonly used dry powder inhalers (DPIs) in outpatients with stable chronic airway diseases.

**Patients and Methods:** Included in this study were 85 outpatients with stable asthma, chronic obstructive pulmonary disease (COPD), or asthma-COPD Overlap (ACO) and had previously used any of Turbuhaler® (TUR), Diskus® (DIS), HandiHaler® (HAN) between December 2018 and September 2019. The patient's daily PIF against the resistance of a specific DPI and operation technique was investigated by two pharmacists by using In-Check DIAL G16 and a checklist.

**Results:** Of the 85 patients, the proportion of patients with a suboptimal daily PIF and technical misuse was 38.8% and 65.9%, respectively. In logistic regression, we observed that the factors that increase the risk for suboptimal daily PIF were age (OR=1.06) and combination with respiratory diseases (OR = 6.59). The factor that decreases the risk for misuse was the higher education level (OR =0.63).

**Conclusion:** Even if patients have received training at the time of initial prescription, the standardization of the use of DPIs by patients in our center was still unoptimistic. Age and combined with respiratory diseases were associated with suboptimal PIF. Higher education level decreased the incidence of technique misuse.

**Keywords:** dry powder inhaler, inhalation technique, peak inspiratory flow rate, pharmacist, risk factors

## Introduction

DPIs are common devices for carrying drugs for the treatment of asthma<sup>1</sup> and chronic obstructive pulmonary disease (COPD),<sup>2</sup> which are characterized by the patients' breath-driven delivery of drugs. Peak inspiratory flow rate (PIF) is an important factor to ensure sufficient deaggregation of the medication particles from the carrier particles to occur during an inhalation.<sup>3</sup> However, some patients may not know or cannot achieve the ideal PIF.<sup>4</sup> Besides, correct operating DPIs is also very important. However, current studies have revealed that misuse of the DPIs is very common in asthma and COPD patients.<sup>5,6</sup> Even patients who have been trained in operating procedures may have operational problems. Our center has always provided face-to-face education to patients who prescribe inhalers for the first time.

However, we found that misuse of the device was common during follow-up. Moreover, fast and deep inhalation was not quantitatively evaluated before. In this study, we replaced the observation method with the measurement of PIF to quantitatively evaluate the “fast and deep” inhalation method. Combined with the checklist of operating steps, a complete assessment of the patient’s use of DPIs was carried out. Few studies in mainland China have reported PIF measurement in patients using DPIs, except one assessment of the inspiratory ability in healthy children.<sup>7</sup> Besides, we study the associated factors of sub-optimal daily PIF and technical misuse of three commonly used DPIs including HandiHaler<sup>®</sup> (HAN), Turbuhaler<sup>®</sup> (TUB), and Diskus<sup>®</sup> (DIS) in adult patients with chronic airway diseases.

## Patients and Methods

### Study Participants and Design

This study was conducted between Dec 2018 and Sep 2019 at the outpatient clinic of respiratory and critical care medicine of Changhai Hospital, a 2,000-bed teaching hospital in Shanghai, China. Initially recruited in this study were outpatients of both sexes who were diagnosed with COPD or ACO according to the Global Initiative for Chronic Obstructive Lung Disease (GOLD) criteria and Asthma according to the global initiative for asthma (GINA) guidelines and received treatment on the outpatient basis. Patients at least used one of the above-mentioned three DPIs for at least two weeks. The exclusion criteria were as follows: patients whose conditions became acutely exacerbated during the previous one month, patients with a diagnosis of dementia, psychiatric disorders, Parkinson’s disease. The flow chart of this research was shown in Figure 1. This study was approved by the Ethics Committee of Changhai Hospital (Ethical Committee Approval No.: CHEC2019-061) and conducted in accordance with the Declaration of Helsinki. Patients who met the screening criteria provided written informed consent after being fully informed of the study purpose and procedures.

### Data Collection

Data collected by inquiring directly or consulting the patient’s medical records and recorded the data within the most recent year (FEV<sub>1</sub>, PEF, FEV<sub>1</sub>%Predicted).

Demographic characteristics included age (years), sex, and education level (illiteracy/primary school/junior high

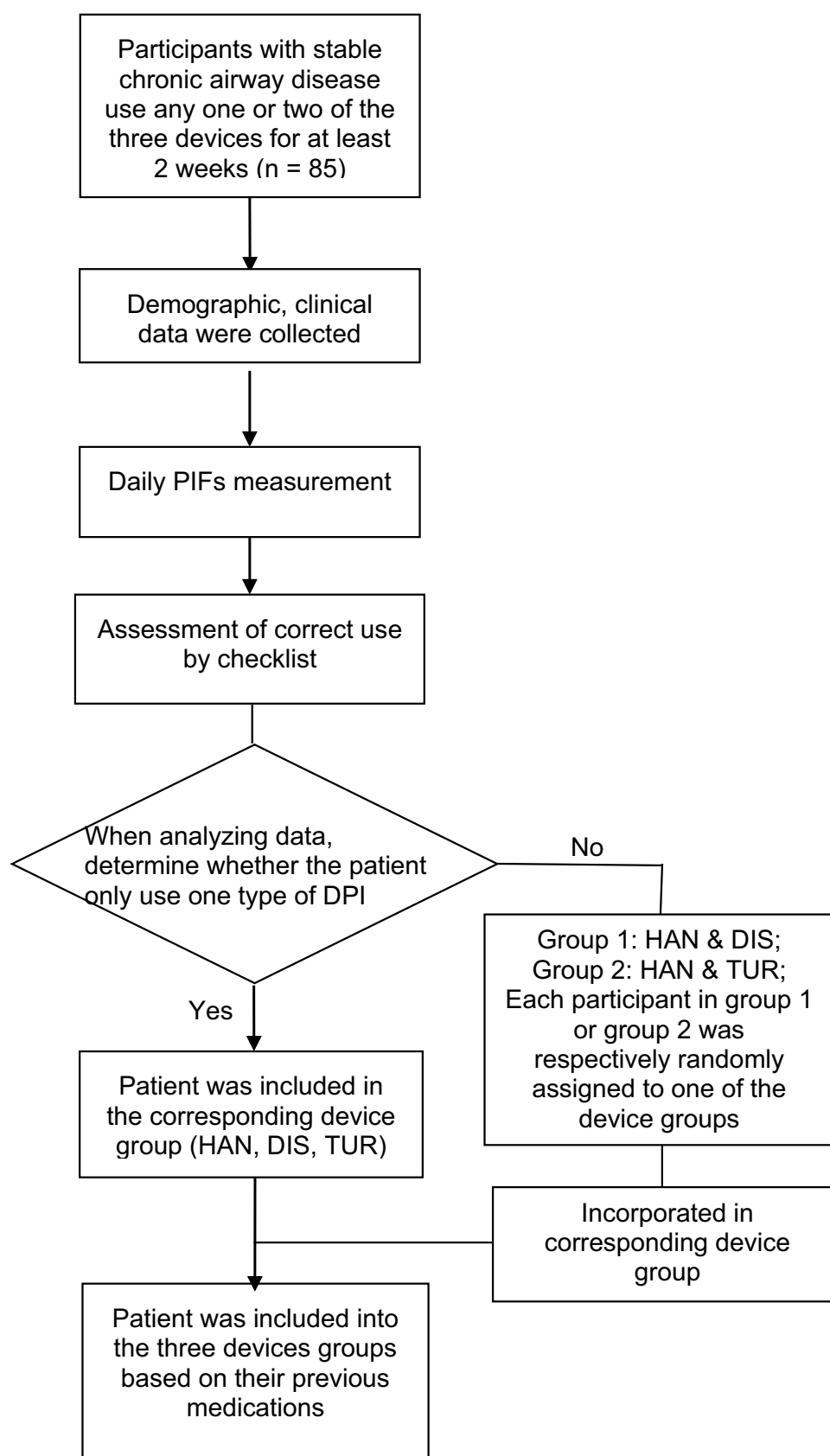
school/high school/university and above). The clinical measures were: weight (kg), body mass index (kg/m<sup>2</sup>); current smoking status (yes/no), smoking years, the number of smoking index [(the number of cigarettes smoked per day) multiplied by (the number of years of smoking)]; current with respiratory comorbidities (yes/no); the FEV<sub>1</sub>, PEF, FEV<sub>1</sub>%Predicted; the grade of dyspnea [measured with the modified British Medical Research Council (mMRC) scale], COPD assessment test (CAT<sup>TM</sup>), Asthma control test (ACT<sup>TM</sup>), degree of asthma control (grading of ACT scores).

### Daily PIF Measurement by in-Check Dial G16

The patient was required to simulate the daily inhalation process (“as if using your inhaler”) by using In-Check Dial G16 (Clement-Clarke International Ltd, Harlow, UK), a hand-held inspiratory flow device that simulates different internal resistances of DPIs<sup>8</sup> to evaluate daily PIF against the resistance of the specific DPI that the patient was currently using. HAN, TUR, and DIS respectively corresponded to the high, medium, and med-low resistance of the In-Check Dial instrument. We defined PIF as “below minimum” if his/her measured PIF below 20L/min for HAN, or below 30L/min for TUR or DIS. If PIF was below 30L/min for HAN, or below 60L/min for TUR or DIS, we defined it as “suboptimal”. For patients who used HAN with a daily PIF below 20L/min, pharmacists verbally asked whether they heard the capsule rattle (vibrate). The PIFs were measured three times and the maximum value was used to analyze whether the patient’s daily PIF was in the appropriate range corresponding to the instrument.

### Assessment of Correct Use of the DPIs by a Self-Designed Checklist

Patients were requested to demonstrate their use of the (drug-free) inhalers to evaluate the correctness of their inhalation technique by using a checklist jointly developed by the pharmacists and physicians according to the manufacturer’s recommendations of the three DPIs (HAN, TUR, and DIS) and reports in the published literature.<sup>9</sup> The specific evaluation steps are listed in Table 1. Among the steps, the step of “breathe out completely”, “breathe in rapidly and deeply”, “hold breath”, “breathe normally after holding breath”, and “repeat the inhalation if necessary”



**Figure 1** The research flow chart.

**Table I** Checklist for the Technical Assessment of HAN/TUR/DIS Inhaler Use

The steps of HAN
<ol style="list-style-type: none"> <li>1. Open the dust cap by pulling it upwards, then open the mouthpiece.</li> <li>2. Place the capsule in the center chamber.</li> <li>3. Close the mouthpiece firmly until hearing a click, leaving the dust cap open.</li> <li>4. Keep the mouthpiece upwards, then press the piercing button completely in 1 time and release.</li> <li>5. Breathe out completely, do not exhale into the mouthpiece*.</li> <li>6. Close your lips tightly around the mouthpiece, breathe in rapidly and steadily, as deeply as possible, and you should hear the capsule rattle*<sup>#</sup>.</li> <li>7. Hold your breath*.</li> <li>8. Breathe normally after holding breath*.</li> <li>9. To ensure you get the full dose, repeat the inhalation from the HAN as described.</li> <li>10. After the dose, open the mouthpiece, tip out the used capsule, and throw it away. Close the mouthpiece and dust cap for storage.</li> <li>11. Clean the device once a month and let it dry completely before use.</li> </ol>
The steps of TUR
<ol style="list-style-type: none"> <li>1. Twist and remove the cover.</li> <li>2. Hold the inhaler upright with the mouthpiece facing up.</li> <li>3. Turn the grip right and left until it clicks.</li> <li>4. Breathe out completely, do not exhale into the mouthpiece*.</li> <li>5. Breathe in rapidly and steadily, as deeply as possible*<sup>#</sup>.</li> <li>6. Hold breath*.</li> <li>7. Breathe normally after holding breath*.</li> <li>8. Close the cover.</li> <li>9. If a second dose is needed, separate the two doses for 30 seconds, and repeat the operation as above.</li> <li>10. Gargle after inhaling ICS-containing drugs.</li> <li>11. Know how to judge the remaining dose.</li> <li>12. Keep the medicine in a dry place.</li> </ol>
The steps of DIS
<ol style="list-style-type: none"> <li>1. Hold the inhaler in one hand and face the metering window, and place the thumb of the other hand on the inhaler thumb handle and push it.</li> <li>2. Pull down the slide bar with the thumb, then will hear a “click”.</li> <li>3. Breathe out completely, do not exhale into the mouthpiece*.</li> <li>4. Breathe in rapidly and steadily, as deeply as possible*<sup>#</sup>.</li> <li>5. Hold breath*.</li> <li>6. Breathe normally after holding breath*.</li> <li>7. Close the cover (no need to return the slide bar).</li> <li>8. If a second dose is needed, separate the two doses for 30 seconds, and repeat the operation as above.</li> <li>9. Gargle after inhaling ICS-containing drugs.</li> <li>10. Know how to judge the remaining dose.</li> <li>11. Keep the medicine in a dry place.</li> </ol>

**Notes:** \*These steps were the breathing-related steps. <sup>#</sup>Instead of using observation evaluation, but use peak flow rate evaluation by In-Check Dial.

**Abbreviations:** HAN, HandiHaler<sup>®</sup>; TUR, Turbuhaler<sup>®</sup>; DIS, Diskus<sup>®</sup>.

were the breathing-related steps. The step of “fast and deep inhalation” (the sixth step of HAN, the fifth step of TUR, and the fourth step of DIS) was not evaluated, but the measurement of PIF was used to replace it. Two experienced pharmacists independently evaluated the specific steps according to the checklist, and any wrong step was recorded as an error. Each missed step was also considered an error. Any disagreement between the two pharmacists would be solved by discussion.

## Statistical Analysis

If the patient used one type of DPIs, the patient was included in the corresponding inhaler group. If the patient used two different DPIs, he/she would be randomly assigned to one of the inhaler groups. The data are presented as numbers (percentages), median (Lower quartile, Upper quartile), mean  $\pm$  standard deviation (SD). Kruskal–Wallis test was used to calculate the distribution of daily PIFs of different DPIs and the distribution of the number

of manipulation errors. Chi-square test examined whether there are significant differences in the PIF status and operation status of patients with COPD, asthma, and overlap. Associated factors of suboptimal PIF/technique misuse were investigated by univariate analysis (SI Methods) and subsequently entered into logistic regression analyses. All analyses were performed using SPSS Windows version 21 SPSS software (IBM Corporation, Somers, NY, USA). Statistical significance was set as a two-sided *p*-value of 0.05.

## Results

### Patient Demographics

A total of 85 patients were enrolled in this study (Table 2). All these patients had used one or more of the three DPIs (HAN, TUR, and DIS) for more than two weeks. The median age of the patients (68/80.0% male and 17 female) was 67.0 years [60.5, 74.0]. The median weight of the patients was 66.5kg [55.0; 70.0]. A total of 9 (10.6%) patients had respiratory diseases, of which eight had lung cancer and one had pulmonary embolism. All patients have received treatment for their respiratory diseases and were in a stable state. Of the 85 included patients, 52 (61.2%) were diagnosed with COPD, 22 (25.9%) with asthma, and 11 (12.9%) with ACO. The smoking status, distribution of education level, and FEV<sub>1</sub>%Predicted are listed in Table 2. The information of each disease subgroup is also listed in Table 2. There were 29 patients in the HAN group, 29 patients in the TUR group, and 27 patients in the DIS group.

### Measurement of Daily PIFs

The number of patients with daily PIF suboptimal was 33 (38.8%) of the overall population. The proportion of patients with a daily PIF <20L/min, 20–29L/min, 30–59L/min, 60–89L/min, ≥ 90L/min was 13.8%, 13.8%, 72.4%, 0.0%, 0.0% for HAN, 0.0%, 0.0%, 48.3%, 48.3%, 3.4% for TUR, and 0.0%, 0.0%, 40.7%, 51.9%, 7.4% for DIS respectively (Figure 2). The result of Kruskal–Wallis test showed a significant difference in the distribution of the Daily PIFs among the three devices (*P*<0.001), and further paired comparisons found a significant difference between HAN and TUR (*P*<0.001), and HAN and DIS (*P*<0.001).

Of the 85 patients, the number of patients with daily PIF below minimum was 4 (4.7%). All four patients used HAN, and they reported that they did not notice the capsule rattle.

Of these four patients, two were diagnosed with COPD, one was diagnosed with asthma, and one was diagnosed with ACO. After the intervention of a pharmacist, the PIF of one COPD patient and one asthma patient could reach the standard. The other two patients never met the standard. One of them was a COPD patient, his CAT score was 10, and the FEV<sub>1</sub>%Predicted value is 62.2%. After the intervention, he was hospitalized one month later because of the recurrence of cancer. The other patient was an ACO patient, and the FEV<sub>1</sub>%Predicted value was 39.93.

### Misuse Rates for the Three Types of DPIs

Of the 85 patients, the number of patients with technique misuse was 56 (65.9%). The misuse rate for the three types of inhalers was 72.4% for HAN, 69.0% for TUR, and 55.6% for DIS. Table 3 presents the result of Kruskal–Wallis test which showed no significant difference in the distribution of the number of technique errors among the three DPIs. There was no difference in the distribution of the number of breathing-related technique errors among the three DPIs. However, there was a significant difference in the distribution of the number of non-breathing-related technique errors between HAN and TUR (*P*<0.001), also DIS and TUR (*P*<0.001).

For patients who used the HAN inhaler, the steps of the technique error were sorted according to the occurrence rate: 5) Breathe out not completely. 7) Without holding breath. 4) Fail to pierce button completely (Figure 3A). For patients who used the TUR inhaler, misuse steps are as follows: 4) Breathe out not completely. 2) Not hold the inhaler upright. 6) Without holding breath. 10) Not gargle after inhalation (Figure 3B). For patients who used the DIS inhaler, misuse steps are as follows: 3) Breathe out not completely. 5) Without holding breath. 9) Not gargle after inhalation (Figure 3C).

### Demographic and Clinical Measures Correlate of Suboptimal Daily PIF/Technique Misuse

Table 4 shows the overall usage of DPIs in overall and disease subgroups. There was no significant difference in the PIF and operation status of patients with COPD, asthma, and overlap. For overall patients, the results of the univariate analyses are shown in Tables S1–S8. Tables S1–S4 present the results of univariate analysis of the flow rate and demographic characteristics (age, sex, education level), cigarettes explosion (smoking years, smoking index), and clinical indicators (Weight, BMI, FEV<sub>1</sub>, FEV<sub>1</sub>%Predicted,

**Table 2** Demographical Characteristics and Clinical Data of the Patients

Variables	Total (n=85)	COPD (n=52)	Asthma (n=22)	ACO (n=11)
<b>Demographics</b>				
Age (y)	67.0 [60.5; 74.0]	68.0 [65.0; 75.8]	58.0 [45.0; 71.0]	63.0 [60.0; 67.0]
Gender(%male)	68 (80.0)	49 (94.2)	8 (36.4)	11 (100.0)
Weight (kg)	66.5 [55.0; 70.0]	65.0 [55.0; 70.0]	67.0 [60.0; 73.0]	72.5 [60.0; 78.0]
Smoking (%)	65 (76.5)	49 (94.2)	2 (9.1)	10 (90.9)
Smoking Index	600.0 [2.0; 1000.0]	800.0 [510.0; 1200.0]	[0.0; 0.0]	600.0 [300.0; 800.0]
With respiratory diseases (%combined)	9 (10.6)	7 (13.5)	1 (4.5)	1 (9.1)
Education Level	1 (1.2)	1 (1.9)	0 (0.0)	0 (0.0)
Illiteracy				
Primary school	16 (18.8)	10 (19.2)	5 (22.7)	1 (9.1)
Junior high school	30 (35.3)	22 (42.3)	5 (22.7)	3 (27.3)
High school	13 (15.3)	8 (15.4)	2 (9.1)	3 (27.3)
University and above	21 (24.7)	10 (19.2)	8 (36.4)	3 (27.3)
Missing value	4 (4.7)	1 (1.9)	2 (9.1)	1 (9.1)
<b>FEV<sub>1</sub>%Predicted</b>	59.5 [33.1, 77.8]	57.6 [31.7, 72.9]	86.5 [67.9, 92.7]	45.4 [33.0, 52.2]
<b>CAT</b>		13.0 ± 7.4		14.0 ± 5.1
<b>mMRC scale</b>				
0		8 (15.4)		0 (0.0)
1		12 (23.1)		5 (45.5)
2		16 (30.8)		4 (36.4)
3		9 (17.3)		2 (18.2)
4		7 (13.5)		0 (0.0)
<b>ACT</b>			21.0[19.0; 22.0]	
<b>Received medication education before</b>				
Yes	85 (100)	52 (100)	22 (100)	11 (100)
<b>Inhalation Type (after randomization<sup>#</sup>)</b>				
Number of people using HAN	29 (34.1)	18 (34.6)	7 (31.8)	4 (36.4)
Number of people using TUR	29 (34.1)	19 (35.8)	10 (47.6)	0 (0.0)
Number of people using DIS	27 (31.8)	15 (28.3)	5 (23.8)	7 (63.6)

**Notes:** Data are presented as mean ± SD or numbers (percentages), median [Lower quartile, Upper quartile]; <sup>#</sup>If a patient uses a DPI, the patient is included in the corresponding device group; If patients use two different DPIs, they will be randomly assigned to one of the device groups.

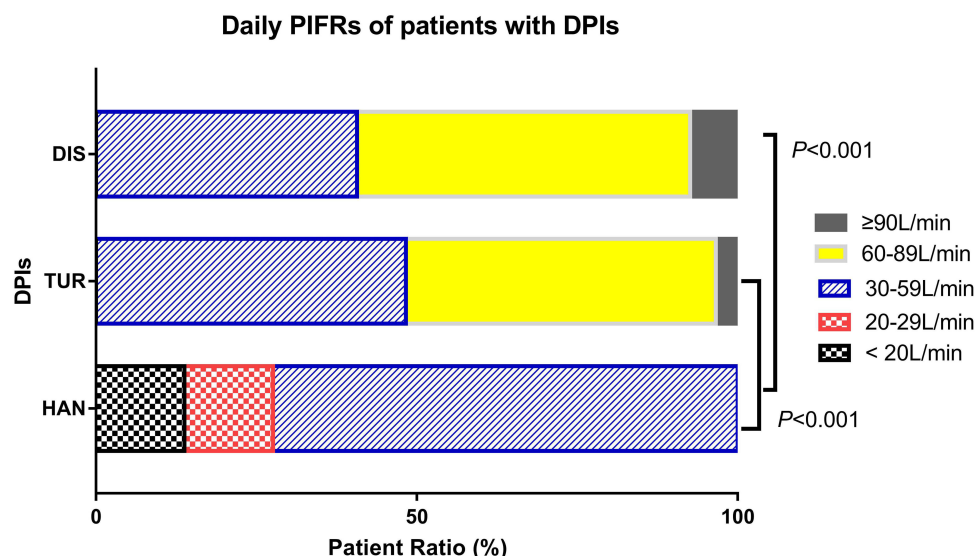
**Abbreviations:** ACO, asthma-COPD Overlap; BMI, body mass index; COPD, Chronic obstructive pulmonary disease; DPI, dry powder inhaler; HAN, HandiHaler<sup>®</sup>; TUR, Turbuhaler<sup>®</sup>; DIS, Diskus<sup>®</sup>.

whether with respiratory disease, exhale completely) in overall patients. Univariate studies found significant differences in age, weight, combined with respiratory diseases or not among patients with optimal/suboptimal PIF. Enter age, weight, combined with respiratory diseases or not, and sex in logistic regression analysis and found that age was a risk factor for suboptimal daily PIF (OR = 1.06, 95% CI 1.01–1.12). Combined with respiratory diseases was also a risk factor for suboptimal daily PIF (OR = 6.59, 95% CI 1.14–38.15) (Table 5). Tables S5–S8 present the results of univariate analysis of the operation correctness and

demographic characteristics, cigarettes explosion, and clinical indicators in overall patients, and no statistically significant factors were found. However, after entering age, sex, weight, combined with respiratory diseases or not and education level in logistic regression analysis, we found that education level was a protective factor for technique misuse; the higher the level, the lower the incidence of technique misuse (OR = 0.63, 95% CI 0.40–0.99) (Table 6).

Tables S9–S12 present the results of univariate analysis of the flow rate and demographic characteristics (age, sex, living status, education level), cigarettes explosion





**Figure 2** The daily PIFs distribution of DPIs.

**Notes:** Kruskal-Wallis test showed a significant difference in the distribution of the Daily PIFs among the three devices ( $P<0.001$ ), and further paired comparisons found a significant difference between HAN and TUR ( $P<0.001$ ), and HAN and DIS ( $P<0.001$ ).

**Abbreviations:** DPI, dry powder inhaler; HAN, HandiHaler®; TUR, Turbuhaler®; DIS, Diskus®.

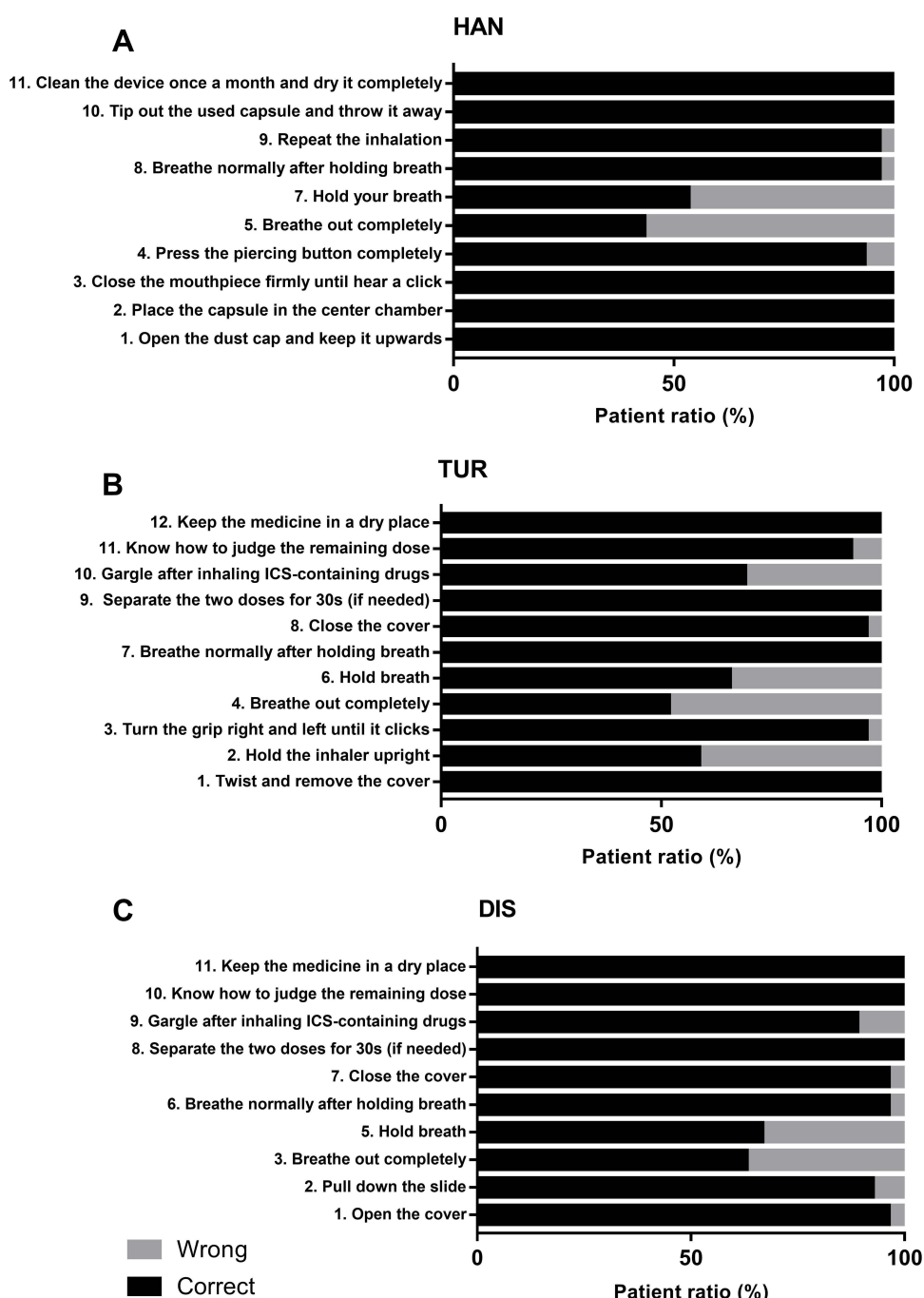
**Table 3** The Distribution of the Number of Patients' Technique Error with Different DPIs

	DPIs			P -value
	HAN (n=29)	TUR(n=29)	DIS (n=27)	
The number of technique errors				
0	8 (27.6)	9 (31.0)	12 (44.4)	0.164
1	9 (31.0)	4 (13.8)	5 (18.5)	
2	10 (34.5)	7 (24.1)	8 (29.6)	
3	2 (6.9)	5 (17.2)	2 (7.4)	
4	0 (0.0)	4 (13.8)	0 (0.0)	
The number of breathing-related steps errors				
0	8 (27.6)	11 (37.9)	13 (48.1)	0.244
1	11 (37.9)	12 (41.4)	8 (29.6)	
2	9 (31.0)	6 (20.7)	6 (22.2)	
3	1 (3.4)	0 (0.0)	0 (0.0)	
The number of non-breathing-related steps errors				
0	26(89.7)	13 (44.8)	21 (77.8)	<0.001*
1	3 (10.3)	8 (27.6)	5 (18.5)	
2	0 (0.0)	7 (24.1)	1 (3.7)	
3	0 (0.0)	1 (3.4)	0 (0.0)	

**Notes:** Data are presented as numbers (percentages); Performed by Kruskal-Wallis test; \*There was a significant difference in the distribution of the number of non-breathing-related steps errors among the three devices ( $P<0.001$ ), and further paired comparisons found that significant difference between HAN and TUR ( $P<0.001$ ), and HAN and DIS ( $P<0.001$ ).

**Abbreviations:** DPI, Dry Powder Inhaler; HAN, HandiHaler®; TUR, Turbuhaler®; DIS, Diskus®.

(smoking years, smoking index), and clinical indicators (the total number of exacerbations, number of moderate to severe exacerbations, CAT, FEV<sub>1</sub>%Predicted, Weight, BMI, whether with respiratory disease, grading of the number of acute exacerbations, mMRC, whether exhale completely) in COPD patients. There was no significant difference in these variables between optimal and suboptimal PIF groups. Enter age, weight, combined with respiratory diseases or not, and sex in logistic regression analysis, and no variables were included. [Tables S13–S16](#) present the results of univariate analysis of the operation correctness and demographic characteristics, cigarettes explosion, and clinical indicators in COPD patients, and no statistically significant factors were found. Enter age, sex, weight, combined with respiratory diseases or not, and education level in logistic regression analysis, and no statistically significant factors were found. For asthma patients, [Tables S17–S20](#) presents the results of univariate analysis flow rate and demographic characteristics (age, sex, living status, education level), cigarettes explosion (smoking years, smoking index), and clinical indicators (ACT score, FEV<sub>1</sub>, Weight, BMI, PEF, whether with respiratory disease, degree of asthma control, whether exhale completely). There was a statistically significant



**Figure 3** The proportion of correct and wrong steps. (A) Patients' technique of each step of HAN. (B) Patients' technique of each step of TUR. (C) Patients' technique of each step of DIS.

**Notes:** The step of "fast and deep inhalation" (the sixth step of HAN, the fifth step of TUR and the fourth step of DIS) was not evaluated, but the measurement of PIF was used to evaluate it.

**Abbreviations:** HAN, HandiHaler®; TUR, Turbuhaler®; DIS, Diskus®.

difference in age between PIF optimal and suboptimal group ( $P=0.027$ ). Enter age, weight, combined with respiratory diseases or not and sex in logistic regression analysis

and age was a statistically significant variable (OR = 1.16, 95% CI 1.00–1.35) (Table S21). Tables S22–S25 present the results of univariate analysis of the operation correctness



**Table 4** The Correctness of Use DPIs in Overall and Disease Subgroups

Variables	Total (n=85)	COPD (n=52)	Asthma (n=22)	ACO (n=11)	$\chi^2$	P
PIF above the minimum						
Yes	81 (95.3)	50 (96.2)	21 (95.5)	10 (90.9)	1.220 <sup>a</sup>	0.760
No	4 (4.7)	2 (3.8)	1 (4.5)	1 (9.1)		
PIF optimal						
Yes	52 (61.2)	29 (55.8)	14 (63.6)	9 (81.8)	2.670	0.263
No	33 (38.8)	23 (44.2)	8 (36.4)	2 (18.2)		
Correct technique						
Yes	29 (34.1)	14 (26.9)	10 (45.5)	5 (45.5)	3.084	0.214
No	56 (65.9)	38 (73.1)	12 (54.5)	6 (54.5)		

**Notes:** Chi-square test examined whether there are significant differences in the PIF status and operation status of patients with COPD, asthma, and overlap; <sup>a</sup>Fisher's exact test.

**Abbreviations:** ACO, asthma-COPD Overlap; BMI, body mass index; COPD, Chronic obstructive pulmonary disease; PIF, peak flow rate.

and demographic characteristics, cigarettes explosion, and clinical indicators in asthma patients. There was no significant difference in these variables between correct use and incorrect use groups. Enter age, sex, weight, combined with respiratory diseases or not, and education level in logistic regression analysis, and no statistically significant factors were found.

## Discussion

The present study elicited four main findings. First, this study measured daily PIFs. In the overall population, the rate of daily PIF below the minimum was 4.7% (4/85), and the rate of daily PIF suboptimal was 38.8% (33/85). Second, of the 85 patients, the number of patients with technique misuse was 56 (65.9). The misuse rate for the three types of inhalers was 72.4% for HAN, 69.0% for

TUR, and 55.6% for DIS. And the error steps mainly involved the breathing-related steps, which were not fully exhaling before inhaling the medicine, and not holding the breath after inhaling. Third, for non-breathing-related technique steps, the number of errors that occurred on TUR was significantly higher than that of the other two devices ( $P < 0.001$ ). Finally, in the overall population, age and combined with respiratory diseases were risk factors for suboptimal daily PIF, OR values were 1.06 (95% CI 1.01–1.12) and 6.59 (95% CI 1.14–38.15) respectively. Education level was a protective factor for technique misuse; the higher the level, the lower the incidence of technique misuse (OR = 0.63, 95% CI 0.40–0.99).

For each DPI, there is a minimum turbulent energy threshold for sufficient deaggregation to occur during an inhalation,<sup>10</sup> and the turbulent energy required for each

**Table 5** Logistic Regression Analysis of Demographic/Clinical Measures and the Suboptimal Daily PIF

Variables	B	SE	OR (95% CI)	P
Age	0.06	0.03	1.06 (1.01–1.12)	<b>0.030</b>
Weight	−0.02	0.02	0.98 (0.94–1.02)	0.319
With respiratory diseases	1.89	0.90	6.59 (1.14–38.15)	<b>0.035</b>
Sex	−0.97	0.69	0.38 (0.10–1.48)	0.164

**Notes:** Logistic regression analysis found that age was a risk factor for suboptimal daily PIF (OR = 1.06, 95% CI 1.01–1.12,  $P=0.030$ ). Combined with respiratory diseases was also a risk factor for suboptimal daily PIF (OR = 6.59, 95% CI 1.14–38.15,  $P=0.035$ ), indicated in bold.

**Abbreviations:** SE, standard errors; OR, odds ratios; CI, confidence interval.

**Table 6** Logistic Regression Analysis of Demographic/Clinical Measures and the Technique Misuse

Variables	B	SE	OR (95% CI)	P
Age	0.03	0.02	1.03 (0.99–1.07)	0.189
Education level	−0.47	0.23	0.63 (0.40–0.99)	<b>0.043</b>
Sex	0.51	0.65	1.67 (0.47–5.95)	0.429
Weight	0.02	0.02	1.02 (0.98–1.06)	0.287
With respiratory diseases	0.75	0.88	2.11 (0.38–11.76)	0.395

**Notes:** Logistic regression analysis found that education level was a protective factor for technique misuse; the higher the level, the lower the incidence of technique misuse (OR = 0.63, 95% CI 0.40–0.99,  $P=0.043$ ), indicated in bold.

**Abbreviations:** SE, standard errors; OR, odds ratios; CI, confidence interval.

DPI was different. As the DPI is completely driven by the patient's active inhalation, and the process of inhaling the drug needs to overcome the internal resistance of the device. Therefore, the PIF of DPIs is of great significance to drug deposition. Refer to data from literature,<sup>11–16</sup> we defined PIF as “below minimum” if his/her measured PIF below 20L/min for HAN, or below 30L/min for TUR or DIS. If PIF was below 30L/min for HAN, or below 60L/min for TUR or DIS, we defined it as “suboptimal”. After investigation, we found that all the conditions below the minimum PIF occurred on the HAN device. For this part of patients, a further question of whether they can hear the capsule turning while taking the medicine should be asked. Among the four patients whose flow rate was below minimum, two patients could improve PIF through intervention, indicating that the patients were capable of reaching the target, but did not master the correct inhalation method. The other two patients consistently failed and should be switched to other devices (eg MDI + Valved holding chamber or nebulizer). We also found that the incidence of suboptimal PIF was high. Suboptimal PIF might lead to a decrease in the rate of drug deposition, thereby affecting the efficacy of the drug. In the literature, suboptimal PIFs are associated with all-cause readmissions of COPD,<sup>17</sup> and improved responsiveness to nebulized therapy.<sup>18</sup> Therefore, for patients whose PIF was not in the ideal range, attention should be paid to the guidance of inhalation method and monitoring of efficacy. It was also found that daily PIFRs of patients using HAN were lower than that of the TUR and DIS ( $P < 0.001$ ), which could be explained that one patient generates similar pressure drops on different DPI, as the HAN inhaler had higher resistance, the corresponding flow rate was lower. However, the low PIF of HAN did not mean that low PIF cannot de-aggregate the dose. Because the turbulent energy provides de-aggregate energy, which is determined by both the flow rate and the resistance.<sup>19</sup>

The incidence of operation errors was very high. However, patients we investigated were not using inhaled drugs for the first time, but for some time. When they first prescribed this type of medicine, the medical staff gave an oral introduction to the medication method (without video). The lack of standard operating procedures during the first training might be one of the factors in the high incidence of errors. Studies have shown that even medical staffs were lack knowledge and operating skills when

dealing with inhalers.<sup>20–23</sup> Therefore, medical staffs need to improve their knowledge and skills and strengthen standardized operation training for patients.<sup>24</sup> The high incidence of operation errors in breathing-related steps suggests that the focus of inhaler technique training should be the use of correct inhalation manoeuvre.<sup>25</sup> Exhale to residual volume (RV) ensured to inhale the maximum lung volume.<sup>20</sup> Held breath after inhalation increased drug deposition in the lung.<sup>26</sup> Therefore, medical staff should strengthen the training of patients' breathing-related steps to help patients understand the importance of exhalation and breath-holding.

In the study, we found that the number of non-respiratory-related operating errors of the TUR was higher than that of the other two devices, and the difference was statistically significant. DPIs contain different types with unique mechanics, which may lead to device-dependent technical errors. Because the drug application procedure of the TUR was relatively complicated, some elderly people did not understand the principle of the procedure, and the grip may be turned repeatedly, causing a waste of medicine. Therefore, our center should pay more attention to the training of non-breathing-related technique steps of the TUR.

Through logistic regression, we found that age was a risk factor for suboptimal daily PIF (OR = 1.06, 95% CI 1.01–1.12). Combined with respiratory diseases was also a risk factor for suboptimal daily PIF (OR = 6.59, 95% CI 1.14–38.15). Elderly or combined with respiratory diseases, such as lung cancer, may lead to a decrease in the strength of the patient's respiratory muscles, resulting in decreased maximum inspiratory mouth pressure (MIP) and also suboptimal PIF.<sup>27</sup> This was consistent with the reported in the literature that young children with asthma and elderly patients with COPD may lack the ability to generate sufficient flows (pressures) to correctly operate a DPI.<sup>28,29</sup> We also found that education level was a protective factor for technique misuse; the higher the level, the lower the incidence of technique misuse (OR = 0.63, 95% CI 0.40–0.99). This was consistent with the literature report.<sup>30,31</sup> It reminds us that we need to strengthen the training and evaluation of operation procedures for patients with a low level of education. In the asthma subgroup, we found that age was also a risk factor for suboptimal PIF (OR = 1.16, 95% CI 1.00–1.35).

Although our patients had received medication instructions from medical staff before our research, the incidence of suboptimal PIF was high. One possible reason was that

patients did not have been measured PIF before and did not have a quantitative concept about PIFs. Also, they might forget the “deep and fast” inhalation method in their follow-up treatment. The PIF is rarely measured in clinical practice in China, although its significance has been emphasized in the related literature.<sup>3</sup> Therefore, our cross-sectional investigation of the daily PIF in a Chinese hospital has a certain significance. As we investigated the daily inhalation method of the patients in the real world, the patients were required to simulate the inhalation method used at home and measured the daily PIF,<sup>32</sup> and therefore our results could reflect the true situation of patient medication. This is different from the most research method reported in the literature, where the PIF was measured by requiring the patient to use the maximum inspiratory force. Moreover, the latest research shows that there are about 99.9 million people in China suffering from COPD<sup>33</sup> and about 45.7 million Chinese adults suffering from asthma.<sup>34</sup> Therefore, the user population of inhalers is very wide in China. The three DPI devices we studied are representative. On the one hand, the resistance of the three devices is high, medium, and med-low respectively. On the other hand, the sales of corresponding drugs in China are very large. Occupy the top three in the DPI market.<sup>35</sup>

The present study had several limitations. First, this was a single-center study with a small number of people. We need to expand the sample size and the observation time. Second, Using In-Check Dial to evaluate the minimum flow rate and appropriate flow rate of each DPI may have limits. Because the flow rate and the medication deposition amount are a continuous change relationship, not like a “switch” where there is a strict threshold. We refer to the literature’s recommendations for flow rate, but in clinical applications, we should take a cautious attitude towards the results. Third, due to the limitation of the instrument, we did not measure the inspiratory volume, inspiratory acceleration, and breath-holding time, which are also very important for drug deposition. We will add them in our future study. Finally, each medical staff does not adopt a unified standard when guiding patients to use drugs before. In future clinical practice, we should strengthen the training and education of medical staff.

## Conclusion

Despite the use of DPIs and implementation of medication education, the patient’s consistency of daily PIFs against the resistance of specific DPIs and the technique operation

remain unoptimistic. Age and combination with respiratory diseases were risk factors for suboptimal daily PIF. Education level was a protective factor for technique misuse. The measurement of PIF can provide clear and easy-to-understand results for the evaluation of the inhalation method. The continuous evaluation of technique and PIFs of patients who have already used DPI is of great significance.

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## Disclosure

The authors have no conflicts of interest in this work.

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