


# A Critical Review of the Use of Surfactant-Coated Nanoparticles in Nanomedicine and Food Nanotechnology

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**Abstract:** Surfactants, whose existence has been recognized as early as 2800 BC, have had a long history with the development of human civilization. With the rapid development of nanotechnology in the latter half of the 20th century, breakthroughs in nanomedicine and food nanotechnology using nanoparticles have been remarkable, and new applications have been developed. The technology of surfactant-coated nanoparticles, which provides new functions to nanoparticles for use in the fields of nanomedicine and food nanotechnology, is attracting a lot of attention in the fields of basic research and industry. This review systematically describes these “surfactant-coated nanoparticles” through various sections in order: 1) surfactants, 2) surfactant-coated nanoparticles, application of surfactant-coated nanoparticles to 3) nanomedicine, and 4) food nanotechnology. Furthermore, current progress and problems of the technology using surfactant-coated nanoparticles through recent research reports have been discussed.

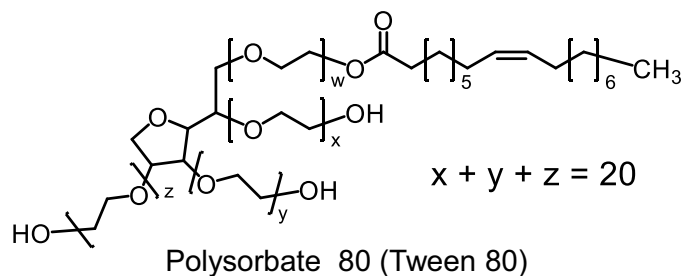
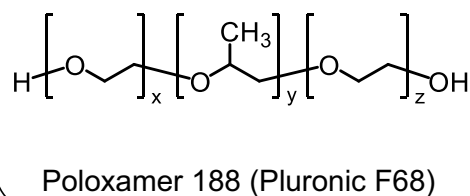
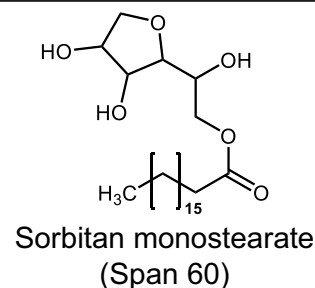
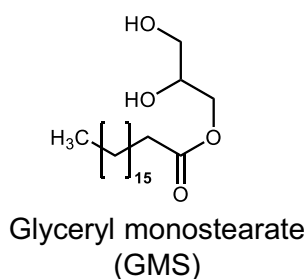
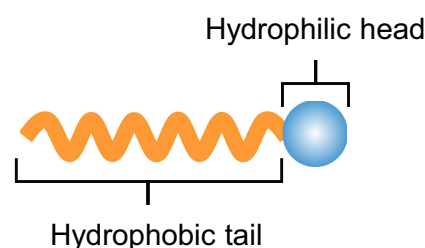
**Keywords:** drug delivery system, drug targeting, food science, food packaging, nonionic surfactants, safety assessment

## Introduction

Surfactants have been closely associated to humans for a long time, and these continue to be a necessity in our lives until now. The earliest report regarding the presence of surfactants is the record of soapy traces observed in clay cylinders at the Babylonian archeological site in Mesopotamia in 2800 BC.<sup>1,2</sup> Sumerian tablets were excavated from the Mesopotamian archeological site in 2200 BC, and its cuneiform script describes how to make soap from animal fat and ash.<sup>1,2</sup> Until the latter half of the 19th century, soap was reported to be the only artificial surfactant. However, in Germany after World War I, soap was found unsuitable for hard or acidic water, and its severe shortage prompted manufacturers to develop new surfactants to meet market demand, resulting in the development of miscellaneous surfactants.<sup>3</sup> For example, the synthesis method of sodium dodecyl sulfate (SDS, also named as sodium lauryl sulfate [SLS]), one of the most produced and consumed surfactants until present, was first reported in Germany in 1933 (Figure 1).<sup>4</sup> Surfactants have been widely used not only in adhesives, coatings, cosmetics, household detergents, industrial cleaning agents, oil field chemistry, paints, pesticides, plastics, textiles, but also in the fields of food and medicine.<sup>5</sup> It was reported in the year 2000 that 4250k tons of detergent and 1190k tons of fabric softener was

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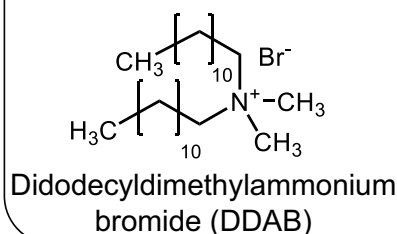
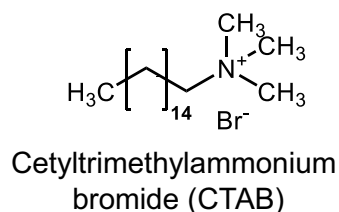
## Nonionic surfactants



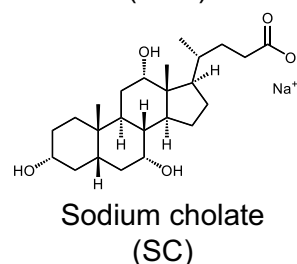
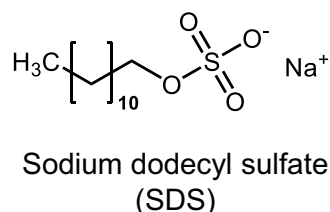
## Ionic surfactants



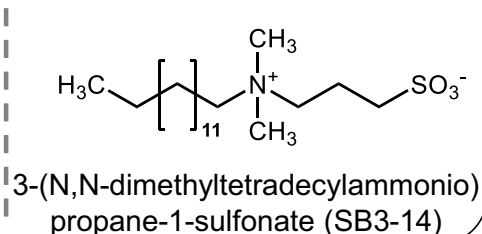
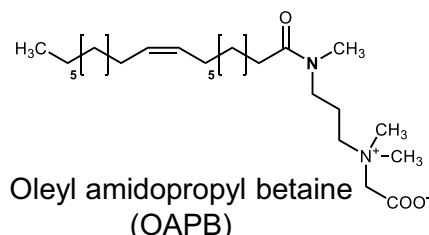
### Cationic surfactants



### Anionic surfactants



### Zwitterionic surfactants



**Figure 1** Classification of surfactants and structures of the ionic and nonionic surfactants mentioned in this review.

being consumed each year in Western Europe.<sup>6</sup> The global consumption of household detergents in 2016 was 73.4 million tons.<sup>7</sup> Due to its wide range of applications and high consumption, the global surfactant market was valued at \$43,655 million in 2017 and will reach approximately \$64,408 million by 2025.<sup>8</sup> In other words, the compound's annual growth rate from 2018 to 2025 is expected to be +5.4%.<sup>8</sup>

The concept of "Nanotechnology" was introduced by Richard Feynman in 1959 and named by Norio Taniguchi in 1974; this technology has been applied to nanoparticles, which are progressively being used in medicine and food industries and sometimes referred to as nanomedicine or food nanotechnology, respectively.<sup>9,10</sup> Among them, surfactant-coated nanoparticles have been attracting attention in recent years because surfactants provide additional

functions to nanoparticles. The present review is aimed to characterize the functions of nanoparticles provided by surfactants. The applications of surfactants, nanoparticles, and surfactant-coated nanoparticles in the field of nanomedicine and food nanotechnology along with some examples are included here. To systematically understand the relationship between the surfactants and nanoparticles, it is necessary to understand each of them individually. Therefore, this review introduces surfactants, surfactant-coated nanoparticles, and applications of surfactant-coated nanoparticles to nanomedicine and food nanotechnology. Through this review, we hope to visualize the current development and associated problems of surfactant-coated nanoparticles, bridge across disciplines, and lay the foundation for the development of new technologies.

## Method

To carry out the literature search, Google Scholar, J-STAGE, MEDLINE, PubMed and Web of Science were employed. The search was based on key words such as surfactant and absorption/accelerated blood clearance/aggregation/aging/Alzheimer's disease/antimicrobial/antioxidant/antiviral/artificial intelligence/bacteria/bioconcentration/blood-brain barrier/brain uptake/brain/cancer/cationic/cell membrane/cellular uptake/cholate/cholesterol/circulation/clinical trials/coating/DLVO/daily meals/digestive system/drug/drug delivery system/EPR/emulsifiers/emulsion/environmental considerations/environmental pollution/food nanotechnology/food packaging/food quality/food sensing/food technology/gold nanoparticles/health/history/hydrophilic/hydrophobic/inflammation/inorganic/intravenous/ionic/liposomes/liquid/machine learning/medical/medicine/mucus layer/nanoparticles/nanotechnology/nanotoxicology/niosomes/nonionic/opsonization/oral/organic/Ostwald ripening/oxidative stress/P-glycoprotein/phospholipid/plant/polyoxamer/polyethylene glycol/polymer/polymeric nanocomposites/polyphenol/polysorbate/process/quantum dots/reactive oxygen species/reticuloendothelial system/SPION/safety/self-assembly/senescence/side effect/silica nanoparticles/silver nanoparticles/smart food/stability/stealth effect/supramolecular structures/surfactant-coated nanoparticles/tissue distribution/toxicity/tween/Van der Waals forces/vesicles/vitamin.

## Surfactant

### Overview of Surfactant

Surfactants, which is an abbreviation for "surface-active agents," are classified as amphiphilic compounds due to

the presence of both hydrophilic and hydrophobic groups in their chemical structure.<sup>11</sup> Depending on the characteristic of the hydrophilic group, surfactants can be broadly classified into four types: Cationic surfactants (positively charged hydrophilic groups), anionic surfactants (negatively charged hydrophilic groups), zwitterionic surfactants (having both positively and negatively charged hydrophilic groups), and nonionic surfactants (the hydrophilic group has no charge) (Figure 1).<sup>12</sup> Cationic surfactants contain alkylamine or quaternary ammonium salts in their hydrophilic groups and can be adsorbed on negatively charged interfaces such as keratin (a component of skin and hair), natural fibers, and chemical fibers. They have antistatic and disinfectant properties, and are used as antistatic agents, coating agents, disinfectants, and softeners (hair conditioners and fabric softeners). Anionic surfactants contain carboxylic acid salts, sulfonates, sulfate salts, sulfate esters, or phosphates in their hydrophilic groups and offer good detergency, foaming property, foam stability, and penetration. They are used as foaming agents, paints, protein solubilizers, soaps, and present in various household and industrial detergents. Zwitterionic surfactants contain carboxy betaine, imidazolium betaine, aminoethylglycine salt, or amine oxide in their hydrophilic groups. They are often used as auxiliary materials to enhance the effectiveness of other surfactants or coexisting compounds. For example, anionic surfactant (sodium bis(2-ethylhexyl) sulfosuccinate [AOT], which self-assembles into the shape of ellipsoidal micelles), forms vesicles in the presence of zwitterionic surfactant (oleyl amidopropyl betaine [OAPB], which self-assembles into the shape of worm-like micelles [Figure 1]) and salt.<sup>13</sup> Zwitterionic surfactant (3-[N,N-dimethyltetradecylammonio]propane-1-sulfonate [SB3-14] [Figure 1]) enhanced the loadability of natural flavonoid dye (quercetin) in wool and enhanced its antioxidant properties (Figure 1).<sup>14</sup> Nonionic surfactants have non-dissociable chemical structures in their hydrophilic groups, such as amides, alcohols, esters, ethers, or phenols. They are used in cosmetics, as food emulsifiers, and skin cleansers due to low irritation and toxicity, which are the most important advantages associated with their application in nanomedicine and food nanotechnology. There are many reports available on the order of toxicity of surfactants, which generally demonstrate that cationic surfactants > anionic surfactants ≥ zwitterionic surfactants > nonionic surfactants, although toxicity may vary depending on the chemical structure.<sup>15–19</sup> This is owing to the fact that the hydrophilic groups of nonionic surfactants do not ionize in aqueous

solutions, and thus the critical micelle concentration of nonionic surfactants tends to be much lower than that of ionic surfactants. Therefore, they are less toxic than ionic surfactants. The hydrophobic groups of nonionic surfactants are composed of long-chain fatty acids and water-insoluble derivatives and are classified as fatty alcohols, esters, ethers, and block copolymers.<sup>20,21</sup> Among the surfactants of one group, toxicity generally correlates with the ability of surfactant molecules to migrate from water to cell membranes.<sup>22</sup> Therefore, the surfactant that has a longer chain length of the hydrophobic group and higher hydrophobicity can easily move to the lipid bilayer composed of phospholipids. Therefore, these are considered more toxic than highly hydrophilic surfactants.<sup>22</sup> Henceforth, nonionic surfactants are most frequently used in the fields of nanomedicine and food nanotechnology. Currently, various nonionic surfactants are commercially available, so consumers can choose suitable compounds depending on their purpose.

In a system consisting of a single phase, surfactants are dispersed and equilibrated in the bulk. On the other hand, surfactants initiate their interactions after modification of various conditions such as electrolyte concentration, surfactant concentration, pH, pressure, temperature, and type of solvent. This leads to supramolecular self-assembly of bilayer membrane vesicles, cylindrical micelles, lamellar phases, spherical micelles, etc.<sup>23</sup> In addition, when the system consists of multiple phases, surfactants stabilize them due to their inherent physical characteristic of being localized at the interface (for example, air and water, oil and water, solid and water) due to their amphipathic chemical structure. In the absence of a surfactant, the molecules present in the respective aqueous and oil phases exert high surface tension due to intermolecular forces (such as hydrogen bonds), and the system is separated into different phases. When the surfactant is localized at the interface, an intermolecular force acts between the hydrophilic group of the surfactant and water molecule, thereby decreasing interfacial tension and surface tension leading to formation of supramolecular structures such as dispersed phase (such as emulsion) and continuous phase (such as bicontinuous liquid crystals) and a drastic change in the ratio of surface area to volume. The hydrophilic-hydrophobic balance (HLB, a parameter that indicates the surfactant's affinity for water and oil) and the critical packing parameter (CPP, a parameter that predicts the surfactant's self-assembly) are used to predict the properties of the surfactant.<sup>24–27</sup> The “nanoscale supramolecular structures composed of surfactants” as discussed

above, have been used as templates for the synthesis of inorganic materials<sup>28,29</sup> enhancement of the activity of catalysts,<sup>30</sup> reaction field of nanoreactors,<sup>31</sup> modulation of wettability of biological interfaces,<sup>32</sup> and enhanced oil recovery from heterogeneous rocks.<sup>33</sup>

## Nonionic Surfactants in the Pharmaceutical Industry

The advantages of nonionic surfactants such as low cost, high stability, low toxicity, and amphiphilic nature can be used as next-generation materials and an alternative to applications of phospholipid-based nanostructures (hybrid lipid particles, nanocontainers, nanopores, and transistors).<sup>34</sup> Due to these advantages, the field of nanomedicine is investigating the use of niosomes (vesicles composed of nonionic surfactants) instead of liposomes, which are composed of phospholipids and are widely used as carriers for drug and gene delivery.<sup>35</sup> Bartelds et al prepared fluorophore (calcein)-encapsulating niosomes consisting of nonionic surfactants (polysorbate 80 [polyoxyethylene (20) sorbitan monooleate, also named as tween 80 [Figure 1]], sorbitan monostearate, and cholesterol).<sup>36</sup> And compared their leakage to that of liposomes (consisting of phospholipids and cholesterol) after 25 h of incubation. The results showed that 10% of calcein leaked from the liposomes, whereas less than 3% of calcein leaked from the niosomes. This indicates that niosomes could retain the encapsulated material for a longer period. Puras et al prepared cationic niosomes consisting of cationic lipids and nonionic surfactants (polysorbate 80).<sup>37</sup> They reported lower toxicity during transfection of cells with niosomes than with Lipofectamine<sup>®</sup>, which is commonly used in gene transfer techniques. In addition, nonionic surfactants are widely used in protein drug delivery because they can stabilize proteins against interfacial tension and minimize the adsorption and aggregation of proteins at the interface.<sup>38,39</sup> Li et al demonstrated that the presence of nonionic surfactants (polysorbate 80 and poloxamer 188 [poly(ethylene glycol)-block-poly(propylene glycol)-block-poly(ethylene glycol), also named as pluronic F68] [Figure 1]) inhibited the irreversible adsorption of abatacept on silicone oil used as a lubricant for medical syringes with polysorbate 80 being more effective.<sup>40</sup> Furthermore, poloxamers are used in more than 70% of commercially available monoclonal antibody drug delivery due to their ability to inhibit self-assembly and aggregation of antibodies.<sup>41–43</sup> Moreover, the use of

nonionic surfactants as pharmaceutical products is also being considered. For example, nonoxynol-9 has been found to have the potential for human immunodeficiency virus type 1 (HIV-1) infection and as topical disinfectant, but its efficacy has not been confirmed in clinical practice.<sup>44,45</sup>

## Nonionic Surfactants in the Food Industry

Approximately 75% of the total emulsifiers in the global food industry are mono- and di-glycerides, widely recognized as nonionic surfactants, either emulsifiers are industrially produced.<sup>46</sup> Mono- and di-glycerides have been widely used as antimicrobial agents, antidegradants, emulsifiers, preservatives, and thickeners in food products such as beverages, ice cream, margarine, and shortening. Mono- and di-glycerides are also reported to be present in trace amounts in natural food resources, such as paprika seed oil, pumpkin seed kernel oil, and watermelon seed kernel oil, which contain approximately 1% (proportion of total lipid) monoglycerides, and 0.3% (proportion of total lipid) diglycerides (Figure 1).<sup>47,48</sup> The use of nonionic surfactants as food emulsifiers that enhance the absorption of fat-soluble food ingredients when taken orally is widely recognized.<sup>49</sup> It is generally believed that emulsions have higher digestibility than other forms because they have more surface area to react with digestive enzymes, such as lipase. Salvia-Trujillo et al prepared emulsions with different particle sizes (small: 0.12  $\mu\text{m}$ , medium: 0.19  $\mu\text{m}$ , and large: 14  $\mu\text{m}$ ) containing lipophilic food component ( $\beta$ -carotene), and demonstrated the effect of particle size on its absorption using a gastrointestinal tract model.<sup>50</sup> The results showed that the rate of digestion of lipids present in emulsions increased with decreasing particle size (small = medium > large) along with increased absorption of  $\beta$ -carotene (small > medium > large). To understand the mechanism of enhanced absorption, Lu et al prepared  $\beta$ -carotene encapsulated emulsions containing sunflower oil with monoglycerides in the range of 0–2% and demonstrated that the uptake of  $\beta$ -carotene into human colorectal adenocarcinoma (Caco-2) cells increased as the percentage of monoglycerides increased.<sup>51</sup> They reported the mechanism of reduction in the surface charge of the emulsion in gastric fluid environment due to the presence of monoglycerides, which leads to an increase in the amount of lipase adsorbed onto the surface of the emulsion and reduction of creaming (a phenomenon in which thermodynamically unstable emulsions undergo phase separation over time). The antimicrobial effect of

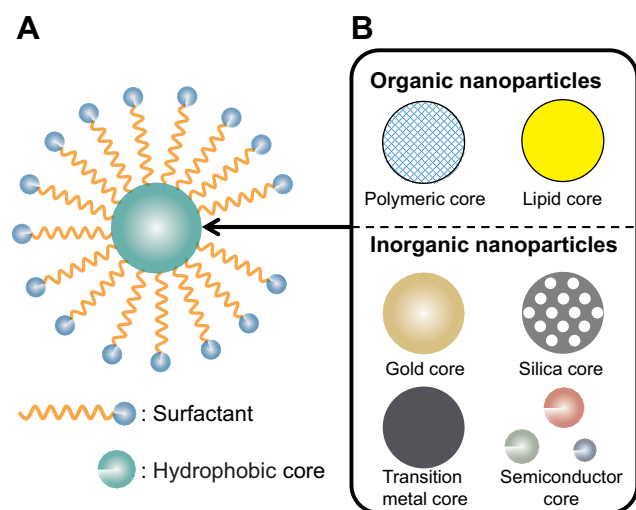
food ingredients is also known to be enhanced by coexistence with monoglycerides. Lee et al. found that the antimicrobial effect of linolenic acid on *Bacillus cereus* and *Staphylococcus aureus* was enhanced by coexistence with monoglycerides.<sup>52</sup> They reported that the mechanism was that monoglycerides localized on the cell membrane of the bacteria enhanced the adhesion of linolenic acid to the cell membrane. Moreover, monoglycerides have been confirmed in synthesis-based scientific approaches to enhance the biological activity of food components. For example, omega-3 fatty acids are known to have useful physiological effects such as anti-inflammatory, antioxidant, anticancer, and anti-obesity and are available in the market as oral supplements, although they are known to be chemically unstable, difficult to dissolve in water, and have low absorption. To solve these problems and to enhance the physiological effects, eicosapentaenoic acid-monoglyceride, docosahexaenoic acid-monoglyceride, and docosapentaenoic acid-monoglyceride (in which eicosapentaenoic acid, docosahexaenoic acid, docosapentaenoic acid were esterified and bound to the sn-1 position of the glycerol moiety in the monoglyceride structure) have been studied.<sup>53,54</sup> In addition to these applications, nonionic surfactants are used in a variety of applications in the food industry and are detailed in other reviews.<sup>55,56</sup>

## Surfactant-Coated Nanoparticles

Nanoparticles have been used in the fields of nanomedicine and food nanotechnology to impart a variety of functions to encapsulated compounds. However, depending on the surface structure, the prepared nanoparticles are difficult to disperse in water and be aggregated in a short time. An approach to solve this problem is to allow coexistence of the prepared nanoparticles and the surfactant so that the surface of the nanoparticles is covered with the surfactant, and the nanoparticles are stabilized in the system. These nanoparticles are called “Surfactant-coated nanoparticles” (Figure 2A).<sup>57,58</sup> It is important to understand the interaction between the nanoparticles and the surfactant in surfactant-coated nanoparticles for their efficient performance.

The nanoparticles exert forces on each other. Orientation interactions (Keesom interactions), dipole interactions (Debye interactions), and dispersion interactions (London interactions) are collectively called “van der Waals forces” and are responsible for intermolecular interactions.<sup>59</sup> Van der Waals forces acting between particles are considered to be caused by the attractive forces



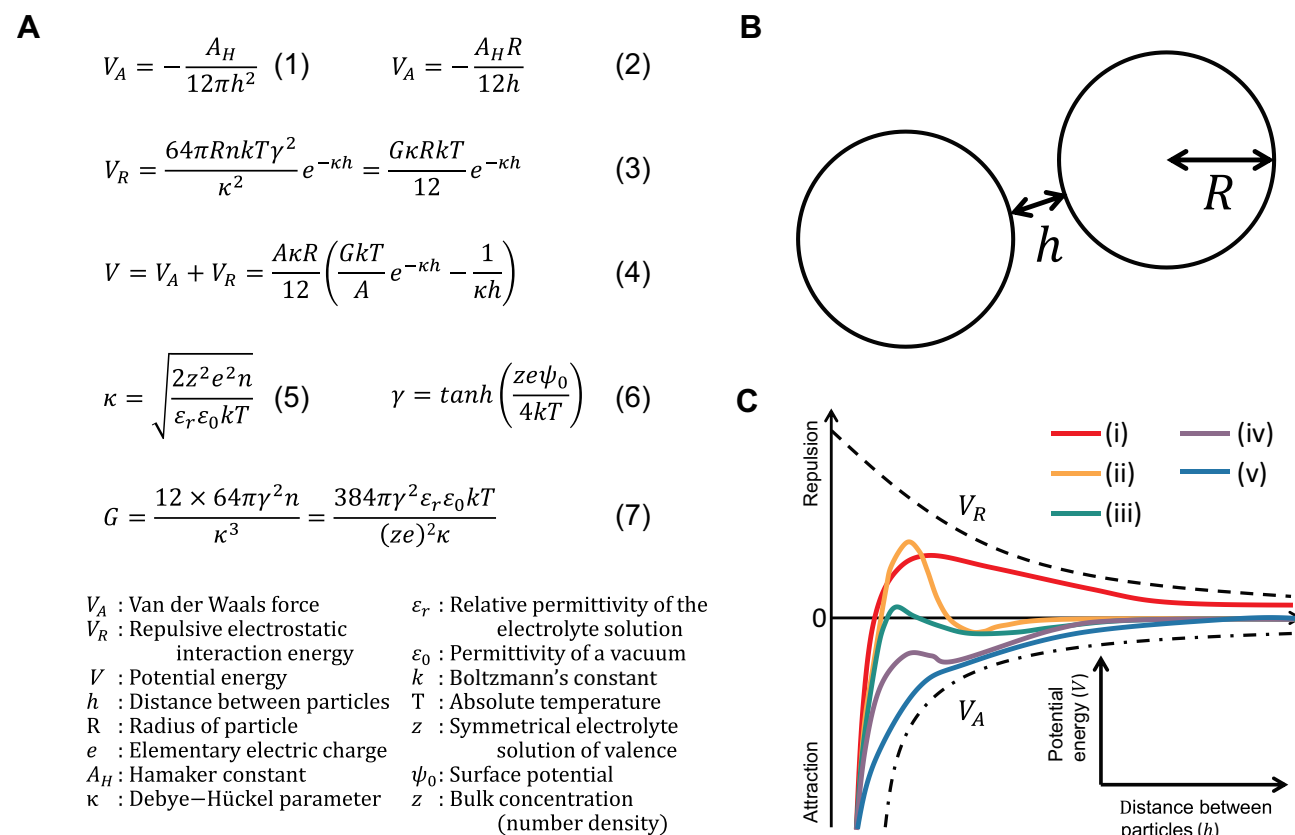


**Figure 2** (A) Typical illustration of surfactant-coated nanoparticles. (B) Various organic and inorganic materials used in the core of surfactant-coated nanoparticles.

between the molecules present in each particle and are expressed by the equation (Figure 3A (1)),<sup>60</sup> where  $A_H$  is the Hamaker constant that varies depending on the type of molecules present in the particles, for example, the value

of Hamaker constant is  $6.5 \times 10^{-20}$  J for poly (lactic-co-glycolic acid) (PLGA), and in the range of  $0.9\text{--}3.0 \times 10^{-19}$  J for gold.<sup>61,62</sup> As the size, shape, and temperature of metallic particles change, the value of the Hamaker constant also changes due to the change in the dielectric constant.<sup>62</sup> The above equation is approximated to the equation (Figure 3A (2)) by the Derjaguin approximation by assuming that the distance between the two particles is narrower than the radius (Figure 3B).<sup>63</sup> It can be inferred from these equations that the van der Waals force between particles becomes weaker as the distance between the particles increases and becomes stronger as the size of the particles increases.

The surface charge between particles is also important as it determines the electrostatic repulsive force. Particles that are positively or negatively charged in solution form an ionic atmosphere due to the attraction of counter ions to the surface of these particles, resulting in the formation of an electric double layer. When particles come close to each other, the overlap of the electric double layer leads to a change in the ion concentration and the repulsive force is



**Figure 3** (A) Equations of the DLVO theory. (B) Relationship between two particles assuming the DLVO theory. (C) A typical example of potential energy presented in the DLVO theory.

**Notes:** (A) Data from Hamley<sup>63</sup> and Ohshima.<sup>65</sup>

generated. This phenomenon is called “electrostatic repulsive force.”<sup>62,63</sup> Models of the strength of this electrostatic repulsive force include Helmholtz, Gouy-Chapman, Stern, and BDM (Bockris/Devanathan/Muller), each of which has been formulated.<sup>64</sup> One of the most widely used equations for the electrostatic repulsive force is for colloidal systems with dispersed nanoparticles (Figure 3A (3)).<sup>65</sup> The theory that considers both the “attractive force” of the van der Waals interaction and the “repulsive force” of the electrostatic repulsion is called the Derjaguin-Landau-Verwey-Overbeek (DLVO) theory.<sup>63,66,67</sup> DLVO theory is named after the scientists who contributed to its development and can explain the coagulation/dispersion state of particles. In DLVO theory, the stability of a colloid is defined as the total sum of van der Waals force and electrostatic repulsion force between particles, and the total potential energy and is expressed as shown in Figure 3A (4–7).<sup>62,65,68</sup>

A typical example of the DLVO theory is shown in Figure 3C.<sup>60,63,65</sup> At high potential energy, the particles are stable because they repel each other (Figure 3C (i)). As shown in Figure 3C (ii), if there is a deep secondary minimum, the particles are in a stable equilibrium state. As shown in Figure 3C (iii), when the secondary minimum is shallowly declined the particles gradually aggregate. As shown in Figure 3C (iv) and (v), if the attractive van der Waals force is stronger than the electrostatic repulsive force, the particles will aggregate in a short time. To elucidate the influence of surfactant adsorption on the aggregation behavior of nanoparticles, Farrokhbin et al dispersed three types (amidine latex, silica, and sulfate latex) of nanoparticles in non-polar solvent (decane) and added an anionic surfactant (SDS) and assessed the parameters for aggregation based on the DLVO theory (shielding distance, surface charge, and van der Waals force).<sup>69</sup> As a result, they reported an increase in inhibition of aggregation and stabilization of dispersion in a concentration-dependent manner until the concentration of anionic surfactant in the system reached a certain concentration. Espinosa et al also reported that the dispersion of poly (methyl methacrylate) nanoparticles was stabilized in non-polar solvents (hexane) when a nonionic surfactant (sorbitan trioleate, also named as Span 85) was present in the system.<sup>70</sup> Although, the DLVO theory is adaptable to particles of hard materials, however, there are limitation in its applicability to soft materials such as cells and lipoproteins, causing different dispersion phenomena *in vivo*.<sup>71,72</sup> Therefore, additional theory will need to be

developed. If there is a difference in the size of the particles in the system, the smaller particles are incorporated into the larger particles over time due to the difference in their surface energies, with the larger particles becoming larger and the smaller particles disappearing from the system. This phenomenon is known as “Ostwald ripening” and is widely recognized as a principle that applies to all organic and inorganic particles.<sup>73</sup> As mentioned at the beginning of this section, particle agglomeration is a concern in particle dispersion systems. However, it has been reported that the presence of a surfactant in the system induces it to get adsorbed to the surface of the particles and lowers the speed of ripening by several orders of magnitude.<sup>74</sup> Kiss et al demonstrated the mechanism of adsorption of nonionic surfactants (pluronic PE6100, PE6400 and PE6800) on hydrophobic interfaces (blend film composed of polylactic acid [PLA] and PLGA).<sup>75</sup> They reported that highly hydrophilic surfactants could not adsorb to the hydrophobic interface, while surfactants with both high and low hydrophilic moieties could adsorb and distribute effectively to the hydrophobic interface. The presence of surfactants at the solid interface increased steric stabilization. When the nanoparticles come close to each other, the hydrophobic groups of the surfactant on the surface of the nanoparticles limit the mutual penetration, resulting in steric hindrance and stabilization. Steric stabilization is different from electrostatic repulsive forces, such as being unaffected by the electrolyte concentration of the solvent and adaptable over a wide range of nanoparticle concentrations.<sup>76</sup> Santander-Ortega et al studied in detail the adsorption and stabilization mechanism of non-ionic surfactants on PLGA nanoparticles and confirmed that a nonionic surfactant (poloxamer 188) adsorbed to the interface of PLGA nanoparticles when both of them coexisted.<sup>77</sup> Moreover, they demonstrated that as the adsorption of nonionic surfactant (poloxamer 188) on the surface of PLGA nanoparticles increased, the steric stability of nanoparticles was greatly increased by the polyethylene oxide framework in nonionic surfactant, and the parameters of the DLVO theory indicated the formation of a stable dispersion. Furthermore, surprisingly, they found that the stabilization mechanism is not only explained by the DLVO theory and steric stabilization, but also dependent on the repulsive hydration forces to the hydrophilic interface constructed by nonionic surfactant (poloxamer 188) on the surface of nanoparticles. Since the stabilization by the repulsive hydration force is unaffected by the external salt concentration, the system is also expected to

be stable *in vivo* and is attracting attention. Chaudhari et al and other researchers reported that an anionic surfactant (SDS) and a nonionic surfactant (poloxamers) had little effect on the release rate of the encapsulated drug from the solid dispersions.<sup>78–81</sup> Conclusively, surfactants play an important role in the stabilization of nanoparticles and there are many applications of surfactant-coated nanoparticles as explained in the next section.

## Application of Surfactant-Coated Nanoparticles in Nanomedicine

Nanotechnology is defined as the deliberate design, characterization, production, and application of materials, structures, devices, and systems by controlling their size and shape within the nanoscale range.<sup>82</sup>

Nanomedicine is regarded as “the use of nanoscale material properties and physical characteristics for the diagnosis and treatment of diseases at the molecular level”.<sup>82</sup>

According to a report of 2013, 789 clinical trials were in progress at 241 companies and research institutions, and 363 nanomedicine products and applications were identified.<sup>83</sup> In the field of nanomedicine, surfactants are often used to impart new functions to nanoparticles. Recent progress in this field is summarized in Table 1. In the following sections, nanomedicine based on surfactant-coated organic and inorganic nanoparticles will be presented and the properties each nanostructure will be discussed separately. However, in practice, most approaches combine multiple materials and properties rather than only one. Therefore, in the field of nanomedicine, it is necessary to have integrated knowledge and approaches that are not limited to the respective organic and inorganic fields of expertise.

## Surfactant-Coated Organic Nanoparticles in Nanomedicine

During disease treatment, the administered molecule (drug) can exhibit a therapeutic effect only when it reaches the target site of action, such as an area of inflammation or a cancer tissue. However, when a free drug is administered into the bloodstream, its therapeutic efficacy is severely limited due to various problems including premature degradation, expulsion of the drug due to the reticuloendothelial system (RES, also called the mononuclear phagocyte system [MPS]), degradation due to instability of the drug, poor dispersibility, and poor accumulation at the

site of action. The resulting non-selective tissue distribution of drugs is a major factor responsible for drug toxicity (for example, dose-limiting toxicity [DLT]).<sup>84</sup> Organic nanoparticles, which are widely used in the field of nanomedicine, have potential to overcome the above problems because they can impart a variety of advantages to the encapsulated substances.<sup>85</sup> For example, organic nanoparticles encapsulating anticancer drugs, genes, and proteins can be delivered selectively to the target site of action or specific cells while protecting the encapsulation from degradation and RES; such a technology increases therapeutic efficacy and reduces side effects and is called a “drug delivery system”.<sup>86–88</sup> The constituents of the organic nanoparticles used in the drug delivery system are selected to be non-toxic or low-toxic to living organisms, and typical examples include biodegradable polymers (chitosan, gelatin, hyaluronic acid, PLGA, poly [alkyl cyanoacrylate], and poly- $\epsilon$ -caprolactone), solid lipids (cetyl palmitate, cholesterol, palmitic acid, stearic acid, and tristearin), and proteins (albumin, collagen, gliadin, legumin, protamine and silk) (Figure 2B).<sup>89–91</sup> A number of methods for preparing organic nanoparticles have been reported, and the related mechanism has been reviewed in detail by Anton et al.<sup>74</sup> For example, the emulsification solvent evaporation technique (polymer- and lipophilic drug-containing organic solvent is dispersed in surfactant-containing water to form an oil in water [O/W] emulsion as a template of nanoparticles, and then evaporated to precipitate polymeric nanoparticles containing the drug dispersed into the system) is widely used to prepare nanoparticles composed of biodegradable polymers, and the microemulsion method (oil phase containing low melting temperature lipid and lipophilic drug is dispersed in surfactant-containing water to form O/W microemulsion as a template of nanoparticles, which is then rapidly cooled to precipitate drug-containing solid lipid nanoparticles) is widely used to prepare nanoparticles composed of solid lipids.<sup>90,92</sup> The preparation of PLGA nanoparticles by solvent evaporation technique is widely used, and the most commonly used surfactant in the preparation process is polyvinyl alcohol (PVA).<sup>93</sup> Pisami et al used three different surfactants (PVA, sodium cholate [SC] [Figure 1], sodium taurocholate [TC], [Figure 1]) in the preparation of PLGA nano/micro particles encapsulating lipophilic substances (perfluorooctyl bromide [PFOB]) by solvent evaporation technique (dichloromethane was used as the organic phase) and compared their detail of precipitation process by optical microscopy, confocal



**Table 1** Summary of Surfactant-Coated Nanoparticles Used in the Field of Nanomedicine

| Target  | Type of Surfactant               | Core Material                            | Size          | Encapsulated Compound | In vitro                               | In vivo | Main Conclusions   | References |
|---|----------------------------------|--|---------------|-----------------------|--|---------|--|------------|
| Selective adsorption of plasma protein on the surface   | Polysorbates (20, 40, 60 and 80) | Lipid                                    | Around 600 nm |                       | In vitro protein absorption model      |         | Lipid nanoparticles coated with polysorbate 80 had increased adsorption of apolipoprotein E (ApoE) on their surface.   | [382]      |
| Suppression of plasma protein adsorption on the surface | Poloxamer 188                    | PLGA                                     | Below 200 nm  |                       | In vitro protein absorption model      |         | Various surfactant concentrations were studied and the inhibition of protein adsorption on the nanoparticles was most effective when incubated with PLGA at a concentration of 0.5% poloxamer 188. PLGA nanoparticles coated with poloxamer 188 inhibited albumin adsorption on the surface by 50% compared to bare nanoparticles. | [383]      |
|   | Poloxamer 407                    | PLA, PCL, PMMA, 50: 50 blend of PLA: EVA | 35–45 $\mu$ m |                       | Neutrophils collected from human blood |         | Various poloxamer 188 coated nanoparticles consisting of four different cores (PLA, PCL, PMMA, and PLA:EVA=50:50) were prepared. In any group of nanoparticles with any core, the adsorption of opsonin was inhibited by covering the surface with poloxamer 407.  | [384]      |

(Continued)

Table 1 (Continued).

| Target  | Type of Surfactant  | Core Material                                     | Size          | Encapsulated Compound | In vitro | In vivo   | Main Conclusions   | References |
|---|---|---|---------------|-----------------------|----------|---|--|------------|
| Investigation of the mechanism of tissue distribution | Polysorbate 80  | PLGA  | 259 ± 62 nm   | β-carotene            |          | Male Sprague Dawley rats (12 weeks of age, 410–580 g body weight, intravenous administration) | Polysorbate 80 coated PLGA nanoparticles containing β-carotene accumulated in lung, rather than brain.   | [176]      |
|   | Poloxamers (184, 188, 407, 338), poloxamine 908, polysorbates (20, 60, 80), brij 35 | Poly (methyl methacrylate) <sup>14C</sup> labeled | 131 ± 30 nm   |                       |          | Male and female Wistar rats (180–200 g body weight, intravenous administration)               | In all the groups of surfactants used in the study, the coating of surfactants on the nanoparticles reduced their accumulation in the liver. And they were found to increase uptake into other organs in the body, including the heart, gastrointestinal tract, ovaries, kidneys, muscles and brain. | [385]      |
| Wound healing   | Poloxamer 407   | Gold  | 29.2 ± 2.1 nm |                       |          | Female Wistar rats (180–230 g body weight, topical application)                               | PEG-AuNPs loaded into poloxamer 407 hydrogel was affected gene expression of several inflammatory and anti-inflammatory mediators.   | [386]      |

|                         |   |          |             |                            |  |   |   |       |
|-------------------------|---|----------|-------------|----------------------------|--|---|---|-------|
| Anti-Influenza activity | Dodecyltrimethylammonium bromide (DDAB) | Silica   | 80 nm       |                            | Influenza A (H1N1), MDCK cells, <i>Candida albicans</i> , <i>Staphylococcus aureus</i> , <i>Escherichia coli</i> |   | The DDAB coated silica nanoparticles showed high anti-Influenza and antibacterial activity. The interaction between DDAB and silica was maintained in water for 60 days. No antibacterial activity was observed in "medium only" after incubated with DDAB coated silica nanoparticles. This result suggests that this antibacterial activity is not the surfactant itself released from the nanoparticles. | [387] |
| Safety assessment       | Polysorbate 80                          | Chitosan | 251 ± 15 nm | Rhodamine B isothiocyanate |  | Sprague-Dawley male rats (180–220 g body weight, 7 weeks old, intravenous administration) | Toxicity assessments were performed in rat brain 7 days after treatment with poloxamer 80 coated nanoparticles. The results showed that a dose of nanoparticles showed body weight loss and dose-dependent neuronal apoptosis, a slight inflammatory response in the frontal lobe, and down-regulation of GFAP expression in the cerebellum.  | [388] |

(Continued)

Table 1 (Continued).

| Target  | Type of Surfactant                 | Core Material | Size         | Encapsulated Compound                                  | In vitro                | In vivo   | Main Conclusions  | References |
|---|------------------------------------|---------------|--------------|--|-------------------------|---|---|------------|
| Enhancement of the intracellular imaging function of fluorophores                               | Poloxamer 188                      |               | Below 100 nm | BF2-chelated azadipyrrromethene (NIR-AZA) fluorophores | Fixed HeLa-Kyoto cells  |   | Poloxamer 188 worked as useful tool for intracellular imaging vehicle of BF2 chelated azadipyrrromethene (NIR-AZA) fluorophores.  | [389]      |
| Enhancement of near-infrared fluorescence (NIRF) and positron emission tomography (PET) imaging | Poloxamer 407                      | Tannic acid   | 140 nm       | IR-780 iodide dye                                      | L929 cells, SKBR3 cells | SKBR3 tumor bearing mice (intravenous administration) | The nanoparticles formed by the self-assembly of poloxamer 407 and tannic acid accumulated in the tumor in vivo and were biocompatible. These nanoparticles containing the IR-780 iodide dye found to be useful for NIRF and PET imaging. | [390]      |
| Cancer diagnosis in magnetic resonance imaging  | Folic acid-poloxamer 407 conjugate | SPION         | 180–190 nm   |  | KB cells                |   | SPION nanoparticles coated with Folic acid-poloxamer 407 conjugate were prepared. It was confirmed that these nanoparticles have an active targeting ability to folic acid receptors in vivo and are useful for imaging cancer tissues.   | [391]      |

|                                     |                |        |                 |                           |                                 |  |  |       |
|-------------------------------------|----------------|--------|-----------------|---------------------------|---------------------------------|--|--|-------|
| Enhancement of anti-cancer activity | Polysorbate 20 | Silver | Around 5 nm     |                           | HEK293T cells, MDA-MB-231 cells |  | Silver nanoparticles coated with polysorbate 80 and albumin or hemoglobin were prepared. Prepared nanoparticles exhibited increased biocompatibility and selective toxicity to cancer cells. Furthermore, they enhanced the photosensitizing effect of the fluorescent material bound to the nanoparticles, which could be applied to photochemotherapy. | [392] |
| Enhancement of anti-cancer activity | Polysorbate 80 | Lipid  | 652.5 ± 3.52 nm | Vitamin C (Ascorbic acid) | H-Ras 5RP7 cells, NIH/3T3 cells |  | Compared to bare vitamin C, vitamin C encapsulated in solid lipid nanoparticles coated with polysorbate 80 was taken up more by cancer cells. In addition, nanoparticles enhanced the anti-cancer activity of encapsulated vitamin C.  | [393] |

(Continued)



Table 1 (Continued).

| Target                              | Type of Surfactant | Core Material | Size           | Encapsulated Compound | In vitro                     | In vivo | Main Conclusions   | References |
|-------------------------------------|--------------------|---------------|----------------|-----------------------|------------------------------|---------|--|------------|
| Enhancement of anti-cancer activity | Polysorbate 80     | PLGA          | 247 ± 1 nm     | Rapamycin             | C6 cells                     |         | Rapamycin-encapsulated PLGA nanoparticles coated with polysorbate 80 were prepared. Coating nanoparticles with polysorbate 80 increased their uptake into glioblastoma cells. Polysorbate 80 blocked or inhibited the P-glycoprotein, thus preventing rapamycin efflux and providing enhanced cellular uptake. | [394]      |
| Enhancement of anti-cancer activity | Poloxamer 188      | Sericin       | 200–400 nm     | Resveratrol           | CRL-2522 cells, Caco-2 cells |         | Resveratrol-containing sericin nanoparticles coated with poloxamer 188 were prepared. Nanoparticles were non-toxic to normal cells, but toxic to cancer cells.   | [395]      |
| Enhancement of anti-cancer activity | Poloxamer 188      | PLGA          | 217.6 ± 8.6 nm | Doxetaxel             | MCF-7 TAX30 cells            |         | PLGA nanoparticles coated with poloxamer 188 showed higher concentrations of cell uptake and faster release of encapsulated drug compared to bare nanoparticles.   | [396]      |

|                                     |  |               |  |              |                             |   |       |
|-------------------------------------|--|---------------|--|--------------|-----------------------------|---|-------|
| Enhancement of anti-cancer activity | Poloxamer 188  | PLGA          | 179.4 ± 11.2 nm                        | Paclitaxel   | MCF-7 cells, Colo-205 cells | PLGA nanoparticles coated with poloxamer 188 showed strong anti-cancer activity compared to bare paclitaxel.  | [397] |
| Enhancement of anti-cancer activity | Polxamer 188, D- $\alpha$ -tocopheryl polyethylene glycol 1000 succinate, sodium cholate | PLGA          | Various particle sizes from 100–500 nm | Epirubicin   | SK-MES-1 cells              | Various nanoparticles of 100–500 nm covered with Polxamer 188, D- $\alpha$ -tocopheryl polyethylene glycol 1000 succinate, sodium cholate and poly vinyl alcohol, respectively, were prepared. The cholic acid-coated nanoparticles had the smallest particle size and thus exhibited the strongest anticancer effect of the encapsulated epirubicin. | [398] |
| Enhancement of anti-cancer activity | Folic acid-poloxamer 407 conjugate   | Vegetable oil | 77.18 ± 1.27 nm                        | Hoechst, Dil | Bj5ta cells, HeLa cells     | Vegetable oil nanoparticles modified with Folic acid-poloxamer 407 conjugate showed higher uptake into cancer cells and drug release at lysosomes compared to PEGylated albumin-based nanoparticles.  | [399] |

(Continued)

**Table 1** (Continued).

| Target                              | Type of Surfactant   | Core Material              | Size           | Encapsulated Compound | In vitro     | In vivo  | Main Conclusions   | References |
|-------------------------------------|--|----------------------------|----------------|-----------------------|--------------|--|--|------------|
| Enhancement of anti-cancer activity | Methotrexate-poloxamer 407 conjugate, folic acid-poloxamer 407 conjugate | Vegetable oil              | 91.3 ± 24.4    | Methotrexate          | Caco-2 cells |  | Vegetable oil nanoparticles coated with methotrexate-poloxamer 407 conjugate showed a stronger anticancer effect compared to the group coated with Folic acid-poloxamer 407 conjugate.   | [400]      |
| Enhancement of anti-cancer activity | Polysorbate 80   | Poly (butyl cyanoacrylate) | 210 ± 10.01 nm | Doxorubicin           |              | Male Wistar rats implanted glioblastoma tissue (intraperitoneal administration)  | Rats administered with polysorbate 80 coated poly (butyl cyanoacrylate) nanoparticles containing doxorubicin survived for more than 180 days (post tumor implantation).  | [401]      |
| Enhancement of anti-cancer activity | Poloxamer 188  | Human IgG                  | 140.2 ± 2.4 nm |                       |              | Albino male Wistar rats (160–180 g), metastatic models of chemically induced non-small cell lung cancer (intravenous administration of A549 cells) | Nanoparticles consisting of human IgG and poloxamer 188 were prepared by encapsulating siRNA. In addition, anti-NTSR1-mAb was used to induce them into cancer cells. It was confirmed that nanoparticles were accumulated in the cancerous tissue of the mouse body. On the other hand, naked siRNA disappeared from the bloodstream in a short time after administration. | [402]      |

|                                     |   |                            |             |              |  |   |       |
|-------------------------------------|---|----------------------------|-------------|--------------|--|---|-------|
| Enhancement of anti-cancer activity | Polysorbate 80  | Poly (butyl cyanoacrylate) | 246 ± 11 nm | Doxorubicin  | Male Wistar rats<br>immigrated glioblastoma tissue (200–240 g body weight, intraperitoneal administration) | Rats administered with polysorbate 80 and poloxamer 188 coated poly (butyl cyanoacrylate) nanoparticles containing doxorubicin survived for more than 181 days (post tumor implantation). | [403] |
| Brain delivery                      | Polysorbate 80  | Poly (butyl cyanoacrylate) | 300 nm      | Rhodamine 6G | Primary endothelial cells isolated from human brain tissue   | Compared to bare nanoparticles, coating nanoparticles with polysorbate 80 increases their uptake into cells by 20 times.  | [404] |
| Brain delivery                      | Poloxamers (184, 188, 338, 407), poloxamine 908, polysorbates (20, 80), polyoxyethylene | Poly (methyl methacrylate) | 215 ± 44 nm |              | Bovine microvessel endothelial cells   | Nanoparticles coated with Polysorbate 80 resulted in increased accumulation or uptake in bovine microvessel endothelial cell compared to other surfactant coated nanoparticles.           | [405] |
| Brain delivery                      | Polysorbate 80  | Poly (butyl cyanoacrylate) | 270 nm      | Doxorubicin  | Wistar rats (180–200 g body weight, intravenous administration)  | Compared to bare doxorubicin, polysorbate 80-coated poly (butyl cyanoacrylate) nanoparticles increased the brain concentration of doxorubicin by more than 60 times.                      | [406] |

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Table 1 (Continued).

| Target         | Type of Surfactant | Core Material              | Size                    | Encapsulated Compound | In vitro | In vivo  | Main Conclusions  | References |
|----------------|--------------------|----------------------------|-------------------------|-----------------------|----------|--|---|------------|
| Brain delivery | Polysorbate 80     | Poly (butyl cyanoacrylate) | 189.7 ± 7.6 nm          | Doxorubicin           |          | Male Wistar rats (200–220 g body weight, intravenous administration)         | Poly (butyl cyanoacrylate) nanoparticles coated with polysorbate 80 and encapsulated with doxorubicin significantly increased the transcytosis of doxorubicin into the postcapillary parenchymal compartment compared to bare particles or surfactants alone. | [407]      |
| Brain delivery | Polysorbate 80     | Poly (butyl cyanoacrylate) | 70, 170, 220 and 345 nm | Methotrexate          |          | Male Sprague-Dawley rats (200–230 g body weight, intravenous administration) | Among the poly (butyl cyanoacrylate) nanoparticles coated with polysorbate 80 had a particle size of 70, 170, 220, and 345 nm. Nanoparticles whose diameter is 70 nm was the most effectively to transport into brain.  | [408]      |
| Brain delivery | Polysorbate 80     | Poly (butyl cyanoacrylate) | 35.58 ± 4.64 nm         | Tacrine               |          | Wistar rats (180–220 g body weight, intravenous administration)              | Coating poly (butyl cyanoacrylate) nanoparticles containing tacrine with polysorbate 80 increased the accumulation of tacrine in the brain compared to bare nanoparticles.  | [409]      |



|                |                |                            |        |                           |  |  |       |
|----------------|----------------|----------------------------|--------|---------------------------|--|--|-------|
| Brain delivery | Polysorbate 80 | Poly (butyl cyanoacrylate) | 230 nm | Hexapeptide dalargin      | Male ICR mice (20–22 g body weight, intravenous administration)  | Polysorbate 80 coated nanoparticles strongly interacted with the brain blood vessel endothelial cells of mice. In addition, intravenous injection of nanoparticles encapsulating hexapeptide dalargin and coated with polysorbate 80 into mice was found to have an analgesic effect. In contrast, no analgesic effect was observed in the control group (mixture of drug, nanoparticles, surfactant). | [410] |
| Brain delivery | Polysorbate 80 | Poly (butyl cyanoacrylate) | N/A    | Nerve growth factor (NGF) | Male C57Bl/6 mice (22–24 g body weight, 3 months old, intraperitoneal administration), Parkinson's disease symptoms were induced by 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine in mouse. | Polysorbate 80-coated poly (butyl cyanoacrylate) nanoparticles with nerve growth factor adsorbed on the surface were prepared. Symptoms of the Parkinson's disease were reduced in the nanoparticles dose group. This effect persisted after 7 and 21 days after of dosing.  | [411] |

(Continued)

Table 1 (Continued).

| Target         | Type of Surfactant | Core Material | Size          | Encapsulated Compound                    | In vitro | In vivo  | Main Conclusions  | References |
|----------------|--------------------|---------------|---------------|--|----------|--|---|------------|
| Brain delivery | Polysorbate 80     | PLGA          | N/A           | Brain derived neurotrophic factor (BDNF) |          | Male C57BL/6N mice (25–28 g body weight, 11–13 weeks old, intravenous administration), weight-drop traumatic brain injury models | Polysorbate 80-coated PLGA nanoparticles with brain-derived neurotrophic factors adsorbed on the surface were prepared. In the nanoparticle-administered group, the concentration of neurotrophic factors in the brain increased, and the decline in cognitive function caused by traumatic brain injury was suppressed.                              | [412]      |
| Brain delivery | Polysorbate 80     | SPION         | 11.5 ± 2.2 nm |  |          | Adult female Sprague-Dawley rats (250–300 g body weight, intravenous administration)   | Polysorbate 80, polyethylene glycol (PEG), polyethylene imine (PEI), coated SPION nanoparticles were prepared. PEG and PEI allowed further absorption of Tween 80 on the surface of the nanoparticles. Rats were injected nanoparticles by tail vein injection, and when magnetized, they crossed the blood-brain barrier and localized to the brain. | [413]      |

|                |                               |       |                                     |                         |  |   |   |       |
|----------------|-------------------------------|-------|-------------------------------------|-------------------------|--|---|---|-------|
| Brain delivery | Polysorbate 80                | Lipid | 219.9 ± 15.6 nm                     | Erythropoietin          |  | Albino male Wistar rats (160–180 g body weight; intraperitoneal administration), Amyloid $\beta$ -protein injected cognitive deficit model                      | Lipid nanoparticles coated with polysorbate 80 and further encapsulated with erythropoietin were prepared. Experimental results from the Morris water maze test showed that the cognitive deficits were predominantly recovered in the nanoparticle administrated group compared to the free drug group.  | [414] |
| Brain delivery | Polysorbate 80                | Gold  | 50–90 nm                            | Donepezil               |  | Adult wild AB type zebrafish (about 1.5 years old, oral dose)   | Gold nanoparticles coated with polysorbate 80, PEG and further conjugated with donepezil were prepared. After 15 days of oral administration, acetylcholinesterase inhibitory activity was observed in the treated group of the prepared nanoparticles. Localization of the nanoparticles to the brain was also observed as the number of days of administration increased. | [415] |
| Brain delivery | Polysorbate 80, poloxamer 188 | PLGA  | Various particle sizes below 250 nm | Doxorubicin, loperamide |  | Male Wistar rats (180–220 g body weight), female ICR (CD1) mice (23–28 g body weight), female Balb/c mice (20–25 g body weight), intraperitoneal administration | Doxorubicin or loperamide-loaded PLGA nanoparticles coated with poloxamer 188 and polysorbate 80 entered to the brain.  | [416] |

(Continued)

Table 1 (Continued).

| Target         | Type of Surfactant                                | Core Material              | Size   | Encapsulated Compound | In vitro | In vivo   | Main Conclusions  | References |
|----------------|---|----------------------------|--------|-----------------------|----------|---|---|------------|
| Brain delivery | Polysorbate 80 and other 11 different surfactants | Poly (butyl cyanoacrylate) | 230 nm | Dalargin              |          | Male ICR mice (20–22 g body weight, intravenous administration) | Polysorbate 80 coated nanoparticles enabled the highest induction of analgesia compared to other surfactant coated nanoparticles. | [417]      |

**Abbreviations:** BJ5ta cells, hTERT-immortalized foreskin fibroblast cells; C6 cells, rat glioma cells; CRL-2522 cells, human fibroblast cell lines; Caco-2 cells, human colorectal adenocarcinoma cells; Colo-205 cells, human colon adenocarcinoma cells; DDAB, dodecyltrimethylammonium bromide; EVA, poly(ethylene-co-vinyl acetate); H-Ras SRP7 cells, H-Ras transformed carcinogenic rat embryo fibroblast cell lines; HEK293 cells, human embryonic kidney cells 293; Hela cells, human cervical carcinoma cells; KB cells, human epidermoid carcinoma cells; L929 cells, mouse fibroblast-like cells; MCF-7 TAX30 cells, MCF-7 docetaxel-resistant sublines; MCF-7 cells, human breast cancer cells; MDA-MB-231 cells, human breast cancer cells; MDCK cells, Madin-Darby canine kidney cells; NIH/3T3 cells, mouse fibroblast-like cells; NPs, nanoparticles; PCL, poly(L-caprolactone); PEG, poly(ethylene glycol); PLA, poly(lactic acid); PLGA, poly(lactic-co-glycolic acid); PMMA, Poly(methyl Methacrylate); SK-MES-1 cells, human Lung squamous cell carcinoma cells; SKBR3, human breast adenocarcinoma cells; poloxamer, copolymer of polyoxyethylene and polyoxypropylene; poloxamine, polyalkoxy/ated symmetrical block polymers of ethylene diamine; polysorbate, polyoxyethylene sorbitan monooleate.

microscopy and transmission electron microscopy (TEM).<sup>94</sup> The results showed that in the TC group, the precipitated particles showed acorn shaped (PBOB and PLGA individuals precipitated independently) morphology, while in the PVA group, both acorn and core-shell shaped morphologies were precipitated. As the reason for the difference in particle deposition morphology, they found that PVA forms a stable phase at the dichloromethane-water interface but has properties that prevent PLGA molecules from adsorbing to the interface, while TC does not allow other chemical species to adsorb at the interface. On the other hand, in the SC group, a mixed interface of PLGA molecules and surfactant was formed during particle formation, and particles with a core-shell shaped morphology were stably deposited in the system. Therefore, they concluded that when preparing particles by solvent evaporation technique, core-shell morphology was obtained if PLGA molecules could be adsorbed on the mixed interface, otherwise acorn shaped morphology was obtained. The coexistence of different surfactants may be useful in the formation of particles. Ramirez et al reported that when PLGA nanoparticles were prepared by the solvent evaporation technique, the presence of not only PVA but also other surfactant (SDS) leads to steric stabilization in the systems, resulting in the precipitation of PLGA nanoparticles with a smaller particle size than those prepared by PVA alone.<sup>95</sup> Such findings suggest that surfactants play a critical role in the preparation of nanoparticles. The prepared nanoparticles were administered in vivo after their stability, interactions with proteins and cells have been thoroughly investigated in vitro.<sup>96</sup>

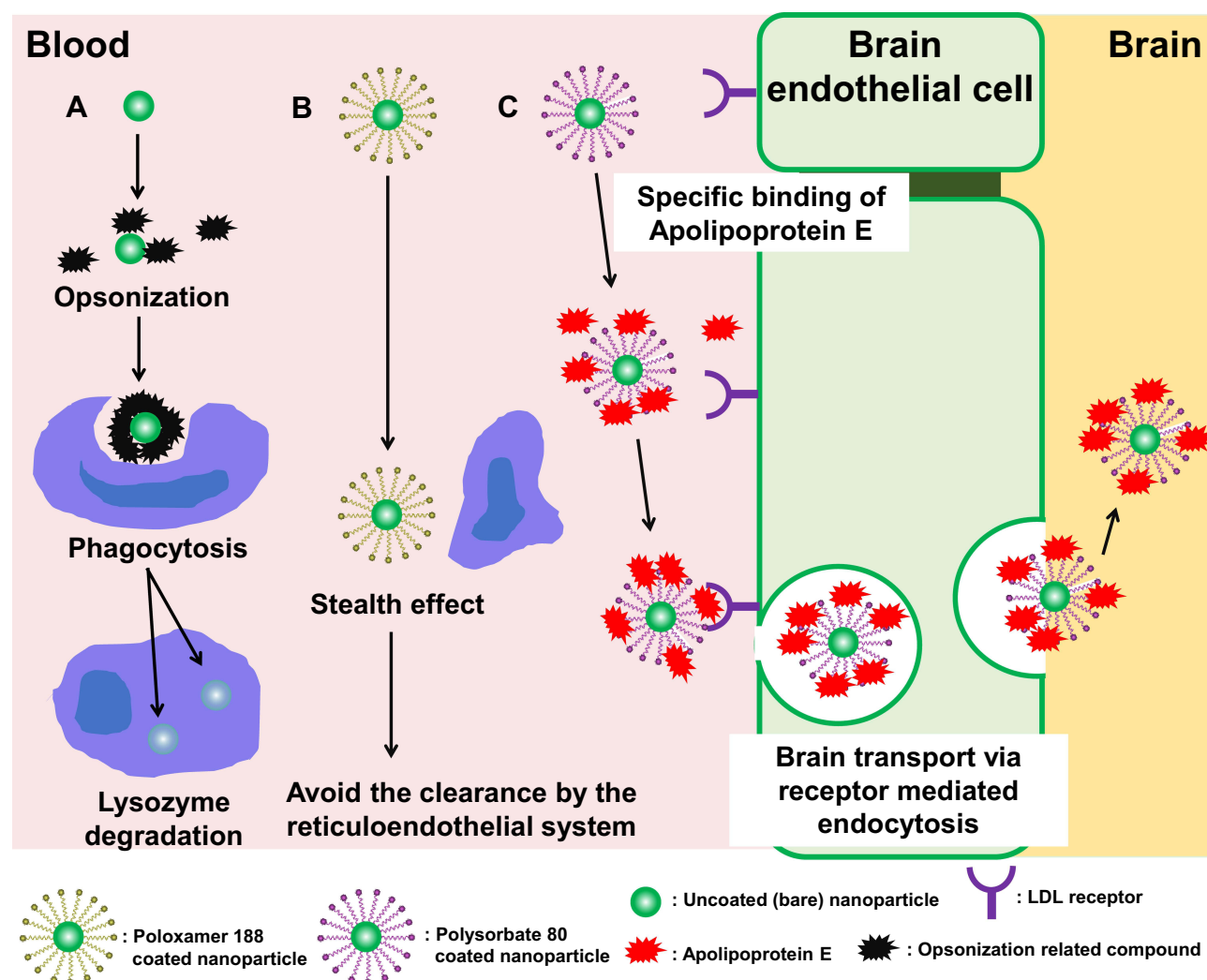
### Surface Charge and Protein Adsorption

The charge on the surface of the nanoparticles has an important influence on their intracellular localization. Compared to anionic and nonionic charged nanoparticles, cationic charged nanoparticles exhibit higher cellular uptake due to their enhanced adhesion to the surface of negatively charged cells by electrostatic attraction.<sup>97–99</sup> It has also been reported that cationic charged nanoparticles incorporated into cells have the ability of endosomal escape. Lipid nanoparticles composed of ionized amine lipids with a pKa of 6–7 and tertiary amines have an electrically neutral surface charge in the blood (pH 7.4) but become cationic in the endosomal environment (pH < 6.5) after they are taken up into the cell. As a result, cationic charged nanoparticles fuse with the negatively charged endosomal membrane and release encapsulated drugs into the cytoplasm.<sup>100–102</sup> By this mechanism,

cationic surfactants such as cetyltrimethylammonium bromide (CTAB) and didodecyltrimethylammonium bromide (DDAB) are used to provide a positive charge to the surface of nanoparticles (Figure 1). Fay et al prepared cationic charged surfactant-coated nanoparticles (PLGA nanoparticles encapsulating plasmid DNA covered with cationic surfactant [DDAB]) and assessed their transfection efficiency into murine macrophage (RAW 264.7) cells, and observed an increase in cellular uptake and endosomal escape; transfection was achieved with a one thousandth amount of plasmid DNA compared to that of commonly used transfection reagent Lipofectamine<sup>®</sup>.<sup>103</sup> In addition, cationic charged nanoparticles showed a stronger immune response than anionic charged and nonionic nanoparticles, which have attracted attention in recent years for the development of vaccines and application in the field of immunotherapy.<sup>104,105</sup> Kedmi et al prepared cationic charged surfactant-coated nanoparticles (small interfering RNA [siRNA] encapsulated in solid lipid nanoparticles coated with a cationic surfactant [1, 2-dioleoyl-3-trimethylammonium-propane (DOTAP)]) and observed the activation of the innate immune system in C57BL/6 mice.<sup>106</sup> The results showed a 10- to 75- fold higher induction of type 1 helper (Th1) cytokine expression than the control particles (weakly anionic charged). However, cationic charged nanoparticles are more likely to disrupt cell membrane integrity and cause damage to mitochondria and lysosomes than anionic charged and nonionic nanoparticles, which raises concerns about their side effects.<sup>107</sup> It has also been reported that the surface of cationic nanoparticles is prone to non-specific adsorption of albumin and alpha-1B-glycoprotein.<sup>108,109</sup> Furthermore, as mentioned in section “Overview of Surfactant” of this review, the cationic surfactant itself has potential toxicity; approaches to avoid this toxicity have been reported, for example, Gossmann et al observed reduced side effects when the surface of PLGA nanoparticles coexisted with nonionic polymers (polyethylene glycol [PEG]) and cationic surfactant (DDAB) in vitro.<sup>110</sup> The RES is actively involved in the phagocytosis of macrophages in the spleen, bone marrow, and liver.<sup>111–113</sup> Nanoparticles administered into the bloodstream bind to proteins and antigens called opsonin, forming a corona (a complex of nanoparticles, proteins, and antigens), which is taken up by macrophages. This phenomenon is called “opsonization”, and the process involves apolipoprotein, albumin, fibrinogen, immunoglobulins, and complement components.<sup>114</sup> The opsonized nanoparticles interact with receptors on the surface of macrophages and are transported to phagosomes and fused with lysosomes for degradation or

elimination from the body.<sup>111</sup> It has been reported that PLGA nanoparticles, which are not coated with any surfactant, are opsonized by non-specific adsorption of plasma proteins on their surface, which leads to their degradation in the body (Figure 4A).<sup>115</sup> Moreover, targeting ligands present on the surface of the nanoparticles are masked by opsonization, which reduces their targeting ability. Salvati et al prepared silica nanoparticles modified with transferrin on its surface as a targeting ligand for receptor (transferrin receptor) on cancer cells and reported that the opsonized form of these nanoparticles lost their targeting ability.<sup>116</sup> Hence, it is critical to avoid opsonization for effective targeting ability of nanoparticles in vivo and to reach the target site of action. Furthermore, it has been discovered that the nanoparticles coated with nonionic surfactants, such as poloxamers, avoid opsonization and predation by macrophages (this phenomenon is also called as “stealth effect”) (Figure 4B). Currently, PEG modification of nanoparticles is the most widely used method to impart stealth effect to nanoparticles, but the continuous administration of PEG-modified nanoparticles has raised concerns about the accelerated blood clearance (ABC) phenomenon (an immune response-induced mechanism to remove PEG-modified nanoparticles from the body).<sup>117–119</sup> Su et al synthesized PEGylated surfactant by conjugation of surfactant (cholesteryl methyl amide) to PEG.<sup>120</sup> They have reported that nanoemulsions composed of PEGylated surfactant showed weak ABC phenomenon in male Wistar rats. In the future, the properties of surfactants will be pursued more deeply, and surfactants that can modify the function of PEG and weaken the ABC phenomenon will be found. Jain et al prepared iron-encapsulated PLGA nanoparticles by optimizing the surface modification with a non-ionic surfactant (poloxamer 188) using adsorption isotherm models (Langmuir, BET, Freundlich, Henderson, and Halsey models).<sup>121</sup> The uptake of these surfactant-coated nanoparticles into murine macrophage (RAW 264.7) cells was compared with that of bare nanoparticles. The results revealed no cellular uptake of surfactant-coated nanoparticles after one hour of incubation. Liao et al prepared surfactant-coated nanoparticles composed of retinoic hydroxamic acid coated with nonionic surfactants (poloxamer 184 and 188) and observed their anticancer activity in subcutaneous melanoma (A375) mouse model.<sup>122</sup> They reported that surfactant-coated nanoparticles exhibited a stealth effect in the body of mice, and showed enhanced anticancer activity due to increased accumulation in cancer cells and decreased accumulation in the liver during the 16 h observation period, compared to bare nanoparticles. The principle mechanism





**Figure 4** Behavior and fate of surfactant-coated nanoparticles in the blood stream.

**Notes:** (A) Bare nanoparticles. (B) Poloxamer 188 coated nanoparticles. (C) Polysorbate 80 coated nanoparticles.

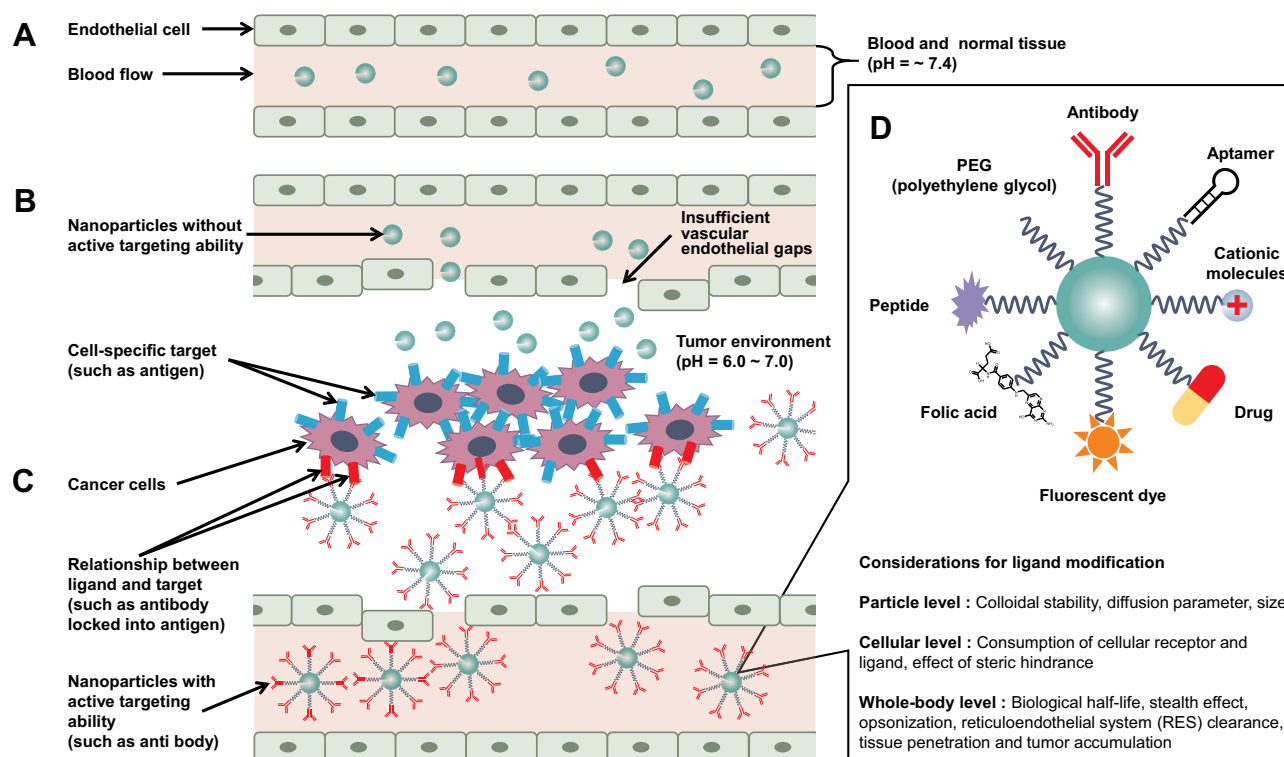
by which poloxamer-coated nanoparticles exerted a stealth effect is due to the influence of PEG and polyoxyethylene oxide (PEO) moieties in chemical structure of poloxamer.<sup>123,124</sup> Surfactants and polymers with PEG, PEO, and polypropylene oxide (PPO) moieties are known to inhibit the adsorption of opsonins by building a hydrophilic barrier on the surface of the nanoparticles and by free movement and steric hindrance due to the construction of a polymer brush structure.<sup>125–128</sup> This stealth effect has been observed not only with poloxamers but also with other nonionic surfactants having PEG and/or PEO moieties. For example, Zhao et al prepared surfactant-coated nanoparticles (gold nanoparticles covered with a nonionic surfactant [polysorbate 80]) and reported that adsorption of opsonization-related substances (bovine serum albumin [BSA], fibrinogen,  $\gamma$ -globulins, immunoglobulin G [IgG], and lysozyme) on surfactant-

coated nanoparticles in phosphate buffer was inhibited, and no aggregation was observed for 24 hours.<sup>129</sup> On the other hand, there is a theory of the mechanism of the stealth effect of nonionic surfactants related to change in the conformation of the opsonins attached to the surfactant. Torcello-Gómez et al prepared surfactant-coated nanoparticles (polystyrene nanoparticles covered with a nonionic surfactant [poloxamer 188]) and confirmed their adhesive dynamics with IgG, which is a typical example of opsonin.<sup>130</sup> They reported that the adhesion of IgG on the surface of surfactant-coated nanoparticles was only slightly inhibited compared to bare nanoparticles, and 80% of the surface area was covered by IgG. However, the conformation of IgG that adhered to nonionic surfactants changed, suggesting that the suppression of opsonization is not due to adhesion but due to conformational changes in IgG. Although imparting the stealth

effect to the nanoparticles by using nonionic surfactants is easy and bears low cost, but the potential problems need to be solved. One of such problem is the possibility of detachment of surfactants from the nanoparticles and causes unexpected side effects in vivo; the physiological effects of the autoxidized and hydroxylated products of nonionic surfactants, and their complement activation in vivo are largely unknown.<sup>76,131–133</sup> One way to address these concerns might be to optimize the interaction between the encapsulated drug and the materials of the nanoparticles. Gagliardi et al compared zein and PLGA as suitable materials for the preparation of nanoparticles encapsulating lipophilic flavonoid (rutin).<sup>134</sup> The results showed that the interaction between rutin and zein exhibited longer drug release kinetics in the zein group compared to the PLGA group, and this effect was most effectively demonstrated when sodium deoxycholate monohydrate (SD) was used in the preparation of nanoparticles. In the future, more useful surfactant-coated nanoparticles will be developed by further optimizing the compatibility of the encapsulated drug, nanoparticle material, and coating surfactant.

## Active and Passive Targeting

In addition to the adsorption and surface modification of the nanoparticles, the particle size is a major factor governing the behavior of nanomedicine. It is generally accepted that the desired particle size for solid particles administered as drugs for circulation in the bloodstream is 10–200 nm (Figure 5A).<sup>135</sup> Particles smaller than 100 nm in size are known to avoid phagocytosis by the RES and have been reported to circulate in the bloodstream for a relatively long time.<sup>136–138</sup> On the other hand, since the diameter of capillaries in the body is 3–9  $\mu\text{m}$ , particles larger than that size can clog capillaries and unintentionally accumulate in organs with large surface areas of capillaries, such as the lungs.<sup>139</sup> Kutscher et al found that particles with a size of 6  $\mu\text{m}$  or larger accumulated in the lungs for more than a week when polystyrene microparticles of different particle sizes (2, 3, 6, and 10  $\mu\text{m}$ ) were administered intravenously to rats.<sup>140</sup> In addition, particles larger than 400 nm in size were captured by splenic filtration, and then removed and degraded by red pulp macrophages.<sup>141</sup> Conversely, it has been also reported



**Figure 5** Active and passive targeting of nanoparticles to the cancer cells.

**Notes:** (A) Normal vasculature. (B) Passive targeting in tumor vasculature. (C) Active targeting in tumor vasculature. (D) Types of active targeting ligands for nanoparticles and its considerations for optimization of their efficacy. **Notes:** (B, C) Adapted from Tran S, DeGiovanni P, Piel B, et al. *Cancer nanomedicine: a review of recent success in drug delivery*. *Clin Transl Med*. 2017;6(1):44. doi:10.1186/s40169-017-0175-0.<sup>153</sup> (D) Adapted from Advanced Drug Delivery Reviews, 143, Alkilany AM, Zhu L, Weller H, et al, Ligand density on nanoparticles: a parameter with critical impact on nanomedicine, 22–36, Copyright 2019, with permission from Elsevier.<sup>158</sup>

that too small particle size can make it difficult to circulate in the bloodstream. Particles smaller than 15 nm are filtered out of the bloodstream by the kidneys and removed from the bloodstream.<sup>142</sup> As the average effective pore size of normal vascular endothelial cell is approximately 5 nm, some reports suggest that particles with a size smaller than 5 nm leak out of vascular endothelial cells and accumulate at unintentional sites, causing them to disappear from the bloodstream in a short time.<sup>143</sup> Particle size is also important in the development of cancer-targeting drug delivery systems. One of the most recognized cancer-targeting effects is the enhanced permeability and retention (EPR) effect, which was reported by Matsumura and Maeda in 1986.<sup>144</sup> The following two phenomena are collectively referred to as the EPR effect: (1) the presence of gaps in the new blood vessels around the tumor due to an incomplete vascular endothelial system, which allows nanoparticles to pass through the vessel wall and accumulate in the tissue; and (2) long-term accumulation of nanoparticles in the tumor tissue due to insufficient intratumoral exclusion system consisting of immature lymphoid tissue in cancer cells than in normal cells (Figure 5B). The EPR effect is referred to as “passive targeting” because it does not require surface modification with targeting ligand. The EPR effect is reported to be exhibited by particles having size of 100–400 nm.<sup>145</sup> Based on this mechanism, a number of studies on cancer targeting chemotherapy using nanoparticles with a particle size of 400 nm or less have been reported to date.<sup>146–150</sup> On the other hand, many researchers believe that EPR effect alone is not sufficient to achieve cancer-targeting therapeutic effect of nanoparticles, and further enhancement is required, as observed in some gastric and pancreatic cancers.<sup>151</sup> Sindhwani et al reported in 2020 that the accumulation of nanoparticles in solid tumors is dominated via trans-endothelial pathways than by EPR effects, which has attracted much attention.<sup>152</sup> In addition to the EPR effect, “active targeting” has been widely attempted to further enhance the therapeutic effects of nanoparticles. Active targeting refers to the modification of nanoparticles with targeting ligands (antibodies, aptamers, carbohydrates, macromolecules, proteins, and small molecules) for cancer cell-specific targets (antigens, lipid components, receptors, or proteins on the cell membrane). The drug encapsulated in the nanoparticles modified with the targeting ligand accumulates around the tumor tissue by the EPR effect (passive targeting) and is delivered and accumulated at the target site of cancer cells through response,

affinity, and binding by the molecular site, shape, and stimulation (such as pH, temperature, and ultrasound) (Figure 5C and D).<sup>153,154</sup> Tumors with a volume of less than 100 mm<sup>3</sup> have insufficient vascular endothelial gaps and are recognized as less effective for drug accumulation via EPR effect, while active targeting is regarded as effective in treating such small tumors and other diseases.<sup>155</sup> Acharya et al prepared rapamycin-encapsulated PLGA nanoparticles.<sup>156</sup> They reported that when their surface was modified with epidermal growth factor receptor monoclonal antibodies (EGFR mAb) (passive targeting + active targeting), their uptake into malignant breast cancer (MCF-7) cells was 13-fold higher than that of bare (passive targeting only) nanoparticles. Poom et al prepared PEG nanomicelles containing anticancer drug (paclitaxel) and reported that the accumulation of paclitaxel in rat tumor tissue decreased to 1% ID/g of tissue after 3 days when the PEG nanomicelles were administered (passive targeting only), whereas the drug accumulation of more than 5% ID/g of tissue was maintained even after 5 days when the PEG nanomicelles modified with folate ligands were administered (passive targeting + active targeting).<sup>157</sup> However, excessive surface modification of nanoparticles with targeting ligands is thought to result in poor targeting to cancer cells due to the following factors: (1) decrease in the stealth effect due to the reduced surface exposure of molecular sites such as PEG, PEO, and PPO, (2) decrease in the EPR effect with the increase in particle size, (3) reduced diffusion of nanoparticles in cancer tissue, (4) decrease in the ability to bind to cancer cell-specific targets due to steric hindrance between targeting ligands, and (5) a decrease in the number of particles taken up by increasing the receptor occupancy per particle (Figure 5D).<sup>158</sup> Therefore, it is suggested to optimize the density of the targeting ligands for specific cancer cell targeting for maximum interaction between nanoparticles and target cells. Recently, several nanomedicine products based on nanoparticles have been approved by the Food and Drug Administration (FDA).<sup>159,160</sup> Although the field of research on nanoparticle-based drug delivery systems is developing rapidly, there are many concerns that need to be considered in the future, especially when nanoparticles are not distributed within the tumor microenvironment depending on the condition of cancer,<sup>161</sup> expression of surface receptors varies depending on the diversity of cancer (for example, active targeting not working well for cancer stem cells),<sup>162</sup> and acquisition of drug resistance in cancer.<sup>163</sup>

## Brain Targeting

Even if a substance is proven effective in treating brain tumors, neurodegenerative diseases and central nervous system diseases, to the most important challenge is to deliver it to the brain. Effective therapeutic antibodies are being developed to target brain diseases, however, brain delivery approach for these antibodies while maintaining their shape has yet to be developed.<sup>164</sup> Nanotechnology is potentially used to protect encapsulated substances. Establishing technologies for transporting nanoparticles to the brain is one of the greatest obstacles in the field of nanomedicine. The major obstacle is the presence of the blood-brain barrier (BBB), which exists between the central nervous system and the blood, and greatly restricts the transport of substances to the brain. Substances circulating in the bloodstream can only pass through the BBB if these are (1) hydrophobic molecules of weight below 450 Da or (2) transported via endogenous transporters present in the BBB.<sup>165,166</sup> Therefore, regardless of the type of material used to prepare nanoparticles; it is difficult for them to reach the brain by simply injecting them intravenously in their original state. On the other hand, it has been reported that nonionic surfactant (for example, polysorbate 80)-coated nanoparticles with active targeting function could reach the brain; although the detailed mechanism of transport of nanoparticles into the brain by modification with polysorbate 80 is still unclear. The current prevailing theory is that apolipoprotein adsorption at the polysorbate 80 site of surfactant-coated nanoparticles circulating in the bloodstream that crosses the BBB through receptor-mediated transcytosis (Figure 4C).<sup>167,168</sup> The use of nonionic surfactants such as polysorbate 80 may also help nanoparticles to accumulate in the brain for a long time due to their inhibitory effect on P-glycoprotein (Pgp/ABCB1, a mechanism of foreign body efflux in the brain).<sup>169,170</sup> Other substances that use this mechanism of brain transport are poly (butyl cyanoacrylate) (PBCA) and PLGA.<sup>171</sup> Wilson et al prepared surfactant-coated nanoparticles (rivastigmine-encapsulated PBCA nanoparticles coated with polysorbate 80) and quantitatively evaluated their transport to the brain.<sup>172</sup> They administered surfactant-coated nanoparticles to a group of rats via tail vein injection and reported a four-fold increase in the concentration of rivastigmine in the brain one hour after administration compared to the group receiving free drug. Tahara et al prepared surfactant-coated nanoparticles (coumarin-6 encapsulated PLGA

nanoparticles coated with polysorbate 80) and quantitatively evaluated their transport to the brain.<sup>173</sup> They reported that the surfactant-coated nanoparticles administered to a group of rats via tail vein injection showed a two-fold increase in the concentration of coumarin-6 in the brain one hour after administration, compared to a group of rats being administered bare nanoparticles (without surfactant coating). Furthermore, they reported that the increased transport to the brain was specific only to the nanoparticles coated with polysorbate 80, and similar result was not demonstrated by chitosan or other nonionic surfactants (poloxamer 188). The transport of surfactant-coated nanoparticles into the brain has also been studied using surfactants other than polysorbate 80, such as polyoxyethylene esters of 12-hydroxystearic acid (Solutol® HS15, BASF corporation, Ludwigshafen, Germany) and D-alpha-tocopherol polyethylene glycol 1000 succinate, however, the mechanism of their transport is not clear.<sup>174,175</sup> Many studies on brain transport of surfactant-coated nanoparticles have reported only blood concentration and brain accumulation, but it is also important to evaluate the drug accumulation in other non-specific organs. Miyazawa et al prepared surfactant-coated nanoparticles (PLGA nanoparticles encapsulated with  $\beta$ -carotene and coated with polysorbate 80), and quantitatively evaluated their accumulation in the brain and other organs in rats via tail vein administration.<sup>176</sup> They reported that the surfactant-coated nanoparticles administered group showed higher drug accumulation in the lungs (350-fold higher concentration compared to the group of bare nanoparticles) than in the brain after one hour of administration. A similar phenomenon has been reported in the study by Tröster et al, who prepared polymethyl methacrylate resin nanoparticles coated with various nonionic surfactants (polysorbates [20, 60, and 80], poloxamers [184, 188, 338, 407, and 908], and polyoxyethylene lauryl ether [Brij 35]) and administered them to rats via tail vein to compare their accumulation in organs over time.<sup>177</sup> In their report, compared to the bare nanoparticles, the particles coated with polysorbate 80 had an approximately 11-fold increase in accumulation in the lungs and a nine-fold increase in accumulation in the brain after 30 min of administration. They also reported that approximately half of the particles that had accumulated in the lungs migrated to the liver two hours after administration. Therefore, increasing drug concentrations at the target site of action can enhance the desired therapeutic effect, but significant toxicity may

also occur because of the increased drug accumulation in non-specific organs.

While polysorbates and poloxamers have been reported to perform such useful functions, there are concerns about their side effects that cause cell membrane damage and cytotoxicity.<sup>178</sup> Recently, potential surfactants other than poloxamer and polysorbate have been discovered for brain targeting. For example, Jeong et al prepared surfactant-coated nanoparticles (PLGA nanoparticles encapsulated with recombinant human erythropoietin [rhEPO] and coated with sodium cholate or polysorbate 80) and evaluated their cellular uptake (human neuroblastoma [SH-SY5Y] cells) and evaluated inhibition rate of glutamate-induced neurotoxicity.<sup>179</sup> The results showed that the sodium cholate-coated nanoparticle group was taken up by SH-SY5Y cells and further reduced glutamate-induced neurotoxicity with less toxicity than the polysorbate 80-coated group. They also examined the efficacy of these nanoparticles in vivo experimental stroke model mice and reported that the symptoms were reduced.<sup>180</sup> It is expected that a variety of surfactants targeting the brain will be developed in the future. In recent years, the importance of “inter-organ communication,” which considers treatment based on the interaction of the drug with entire body’s organs, and not just the individual organ has been recognized; this concept will also be essential for the development of surfactant-coated nanoparticles.<sup>181</sup>

## Surfactant-Coated Inorganic Nanoparticles in Nanomedicine

Various types of inorganic materials have been used in nanomedicine. In this section, nanoparticles composed of gold and silicon, which have been specially studied, and the applications of inorganic nanoparticles in quantum dots and magnetic resonance imaging (MRI) are mainly discussed (Figure 2B).

### Gold Nanoparticles

Gold nanoparticles are one of the most widely used inorganic nanoparticles in nanomedicine due to their ease of preparation, high dispersion, low toxicity, and stability (Figure 2B). And several studies of surfactant-coated gold nanoparticles have been conducted (Table 1). Gold nanoparticles have a long history of research, and the first report on the preparation method by Michael Faraday in 1857 used chloroauric acid solution.<sup>182</sup> A typical method for the preparation of gold nanoparticles is the Turkevich method (method of reducing  $\text{Au}^{3+}$  and  $\text{Au}^+$  to  $\text{Au}^0$ , which

is the electrical state of nanoparticles, using trisodium citrate), which was reported in 1951 and is still widely used today.<sup>183,184</sup> Subsequently, various preparation methods based on chemical reduction of gold ions in the solution have been developed, and gold nanostructures of various shapes (such as nanobowls, nanocages, nanocubes, nanopyramids, nanorods, nanospheres, nanoshells, nanostars, and nanowires) have become available and have been studied and developed into nanomedicine.<sup>185–187</sup> Nanoparticles composed of transition elements such as gold have an optical property called surface plasmon resonance (SPR). SPR is a phenomenon in which plasma oscillation on the surface of a transition element generates an electric field around it, and this electric field resonates with light, resulting in strong absorption and scattering of light at a specific wavelength. This property has been used to study the enhanced Raman imaging of transition-element nanoparticles. The absorption maximum of SPR depends on the type of transition element present in the nanoparticles.<sup>188</sup> Since enhanced Raman imaging directly detects molecular vibrations, the dynamics of biomolecules in living cells can be observed label-free. This advantage has led to the use of gold nanoparticles for molecular imaging of living cells and elucidation of the functions of biomolecules.<sup>189,190</sup> Furthermore, since the extinction coefficient of gold nanoparticles is more than 1000 times higher than that of organic dyes, photothermal treatment using the photothermic properties of SPR is also being investigated.<sup>191,192</sup> Furthermore, the photothermic properties of gold nanoparticles leads to a thermoelastic expansion that is converted into a photoacoustic wave with increase in temperature. This property has also been used in research on photoacoustic imaging.<sup>193,194</sup> The synthesis of gold nanoparticles is not limited to chemical approaches, but the synthesis of gold nanoparticles using living organisms such as algae, bacteria, and plants (bio-based method) is a topic. In general, the bio-based method is regarded as having the advantages of not using harmful chemicals in the synthesis process and being low cost.<sup>195</sup> In addition to the advantages of the bio-based method described above, the use of cultured cell lines to synthesize gold nanoparticles may be less toxic than bio-based method that use bacteria composed of substances that may cause inflammation (surface of synthesized nanoparticle by using bacteria is coated by substances [lipopolysaccharides and endotoxins] derived from bacteria). It is also expected to enable in-situ synthesis of gold nanoparticles inside the tumor for photochemotherapy. Singh et al



confirmed in detail the mechanism by which nanostructures of gold nanoparticles are formed by human breast cancer (MCF7) cells from the medium containing gold (III) chloride hydrate.<sup>196</sup> They found that the cells were stressed by the presence of low doses of gold ions, resulting in a reversible state of cellular senescence. For this stress, under serum containing medium (Dulbecco's Modified Eagles Medium (DMEM) supplemented with 10% fetal bovine serum (FBS)), the cells became unstressed state and gold nanoparticles with rounded facets (single and agglomerated) were deposited. On the other hand, in serum-free medium, the cells were in a stressed state and released various secretions outside the cells. Among these secretions, the presence of gold-binding proteins significantly affected the crystal growth of gold nanoparticles, and gold nanoparticles with sharp facets (triangular and hexagonal) were deposited. They further synthesized gold nanoribbons by incubating human breast cancer (MCF7) cells with gold (III) chloride hydrate.<sup>197</sup> They confirmed that the gold nanoribbons synthesized by this method had anisotropic and high aspect ratio and showed more efficient energy conversion effect than spherical gold nanoparticles or gold nanorods. The molecular dynamic simulation and supported with experimental photothermal therapy shown useful application of these nanomaterials into nanomedicine for promoting the growth of fibroblast, imaging agent,<sup>198</sup> drug carrier with improved bioavailability in vitro<sup>199</sup> and in vivo.<sup>200</sup> Currently, there are no medical devices for imaging of gold nanoparticles that can be used for clinical applications, and further development of this technology is warranted. On the other hand, some clinical uses of gold nanoparticles themselves include Aurimmune® (CYT-6091; CytImmune Sciences, Rockville, MD, USA), which is designed to deliver tumor necrosis factor (TNF- $\alpha$ ) to tumor sites, and AuroShell® (Nanospectra Biosciences Inc., Houston, TX), which is designed to enhance the efficacy of near-infrared laser therapy; both of them have been developed as gold nanomedicine products and are in clinical trials.<sup>201,202</sup>

### Silica Nanoparticles

Silica nanoparticles are inorganic solids that are ubiquitously present in the human body (Figure 2B).<sup>203</sup> Silanol groups on the surface of silica can be easily modified by targeting ligands such as small molecules, carbohydrates, antibodies, aptamers, proteins, and polymers. Therefore, fluorescent compound-encapsulated silica nanoparticle with active targeting function

on its surface has been studied as bioimaging probes and photodynamic therapy for cancer treatment.<sup>204,205</sup> The typical methods for the preparation of silica nanoparticles are the Stöber method, which includes adding acid or alkali to an alkoxysilane solution to progress hydrolysis and polycondensation reactions, and the reverse micelle method, which includes adding an alkaline solution and a surfactant in a hydrophobic organic solvent to form a reverse micelle, and then adding an alkoxysilane solution to proceed with the hydrolysis and polycondensation reactions.<sup>206,207</sup> Attempts have also been made to reduce the toxicity of highly toxic nanoparticles, such as metals by using the properties of silica. Iqbal et al reported that coating the surface of superparamagnetic iron oxide nanoparticles (SPION), which are used as MRI contrast agents, with silica greatly reduced their cytotoxicity while maintaining their function as MRI contrast agents.<sup>208</sup> On the other hand, mesoporous silica nanoparticles composed of porous silica, are relatively new materials whose synthesis was reported by Yanagisawa et al and Mobil Research and Development Corporation in the early 1990s, respectively.<sup>209,210</sup> Typical preparation method of mesoporous silica nanoparticles includes preparation of a porous structure by using a supramolecular structure containing surfactant as a template. The size and shape of the pores can be manipulated to some extent, depending on the preparation conditions.<sup>211</sup> Mesoporous silica nanoparticles have the following characteristics: (1) pores of 2–30 nm can be prepared with uniform size and distribution, (2) a large pore volume of approximately 1 cm<sup>3</sup> g<sup>-1</sup> can be achieved, (3) they have a high density of silanol groups on their surfaces, and (4) they have a chemically stable silica framework; these characteristics are likely to be beneficial for their use in nanomedicine.<sup>212</sup> Although there have been concerns that the chemical structure of silica tends to become unstable in water and humid environments and that the silanol groups on the silica surface induce hemolysis, but the mesoporous silica nanoparticles with a smaller contact area of silanol groups with red blood cells have been found to be less prone to hemolysis than other silica nanoparticles.<sup>213–215</sup> Urata et al prepared an ethylene-bridged silsesquioxane framework containing mesoporous silica nanoparticles to stabilize the skeletal structure and to inhibit the exposure of silanol groups, resulting in decreased hemolysis from 10% to a few percent and further stabilized the skeletal structure compared to ordinary mesoporous silica nanoparticles in vitro.<sup>216</sup> Doxorubicin and paclitaxel have been known to be synergistic due to their different anticancer mechanisms. However, it has been difficult to prepare a stable single nanoparticle containing both drugs because of their different physicochemical

properties. To solve this problem, Yan et al reported the preparation of mesoporous silica nanoparticles with doxorubicin being present inside the pores, and a derivative of paclitaxel to the surface of the particles and further coated the surface with polystyrene sulfonate.<sup>217</sup> The prepared nanoparticles successfully released both the drugs according to the pH and redox status of the cancer cells in vitro. Although research on silica-based nanomedicine continues to make great progress, one of the reasons why it has not yet reached clinical trials may be its potential toxicity, which has not been dispelled. While the toxicity of crystalline silica particles due to occupational exposure is widely recognized, the potential toxicity of silica is considered proportional to its crystallinity, and therefore it is believed that amorphous silica particles are low in toxicity.<sup>218</sup> Currently, epidemiological studies have not yet reached to a clear conclusion, and the safety of silica-based materials needs to be further confirmed.<sup>219</sup> In recent years, a field of nanomedicine called “nanotheranostics” has been developed for the diagnosis and treatment of diseases at the same time, and the development of bio-imaging probes is under intense investigation in this field.<sup>220–222</sup> The fluorescence imaging technology using quantum dots, which enables to visualize the behavior of individual cells in vivo and to treat them at the same time, has been attracting attention (Figure 2B).<sup>223</sup> Quantum dots, which are colloids of semiconducting nanoparticles approximately 2–50 nm in size, have fluorescent properties compared to fluorophores: negligible fluorescence photobleaching in response to the excitation light, a broader excitation spectrum, and a sharper emission peak.<sup>224,225</sup> Quantum dots with large particle sizes have a small band gap and emit red light, while quantum dots with small particle sizes emit blue light owing to their quantum confinement effect. Therefore, the light absorption and fluorescence emission wavelengths are shifted to the shorter wavelengths with higher energy as the particle size decreases. Due to these fluorescent properties, quantum dots can be used with a single light source to simultaneously excite and visualize target cells labeled with various types of quantum dots. The use of quantum dots has enabled imaging that was difficult to achieve with fluorophores including cytometric imaging,<sup>226</sup> lymph node mapping,<sup>227,228</sup> imaging of cancer stem cells,<sup>229</sup> and imaging of circulating tumor cells.<sup>230</sup> However, since quantum dots are composed of semiconductor materials, side effects of heavy metals and their residues (selenium, cadmium, and lead) in living organisms are a major concern.<sup>231,232</sup> The shape of the nanoparticles is also related to cytotoxicity as shown in a study by Yamamoto et al that dendritic titanium dioxide particles with dendritic shape have higher cytotoxicity than spindle and spherical shapes.<sup>233</sup> If

quantum dots are to be used clinically in the future, these potential risks will need to be eliminated in advance. Recently, research and development of quantum dots composed of less toxic carbon, silicon, and germanium has initiated.<sup>234–236</sup> Shen et al prepared surfactant-coated nanoparticles (quantum dots composed of silicon covered with poloxamer 407) and determined whether they could be used for the imaging of mitochondria in human umbilical vein endothelial cells (HUVECs) using confocal microscopy.<sup>237</sup> As a result, they reported that the MitoTracker® (commonly used fluorophore for fluorescent staining of mitochondria) faded in 80 seconds, whereas surfactant-coated nanoparticles accumulated in the mitochondria with low toxicity for further use in living cells, and also maintained nearly constant fluorescence intensity for 30 min.

### Other Inorganic Nanoparticles

Nanomedicine is also used in MRI, which is an important imaging technique in contemporary medicine. MRI is used clinically to observe signals of hydrogen ions ( $^1\text{H}$ ) contained in water molecules ( $\text{H}_2\text{O}$ ), and adipose tissues ( $\text{CH}$ ,  $\text{CH}_2$ , and  $\text{CH}_3$ ). The magnetization of hydrogen ions in a static magnetic field is excited by the magnetic resonance (MR) phenomenon when irradiated with radiofrequency magnetic waves (radiofrequency [RF] pulses). MRI detects the relaxation time for these excited hydrogen ions to return to the ground state. The T1 relaxation time of hydrogen ions is observed to be greatly shortened by the presence of surrounding transition elements ( $\text{Cr}^{2+}$ ,  $\text{Cr}^{3+}$ ,  $\text{Mn}^{2+}$ ,  $\text{Mn}^{3+}$ ,  $\text{Fe}^{2+}$ , and  $\text{Fe}^{3+}$ ) and lanthanides ( $\text{Gd}^{3+}$  and  $\text{Dy}^{3+}$ ), and MRI contrast agent containing nanoparticles composed of these elements have been studied (Figure 2B).<sup>238,239</sup> For example, ferucarbotran (Resovist®, Bayer Healthcare, Leverkusen, Germany), ferumoxides (Feridex®, Bayer Healthcare, Leverkusen, Germany), erumoxtran-10 (Combidx®, AMAG Pharma, Waltham, MA), and NC100150 (Clariscan®, Nycomed, Zürich, Switzerland), which are composed of SPION, have been used in clinical practice as MRI contrast agent nanoparticles.<sup>240</sup> On the other hand, metal ions in MRI contrast agent nanoparticles have the potential risk of disrupting the redox balance in vivo by reacting with hydrogen peroxide to produce reactive oxygen species (ROS), and oxidizing vitamins and proteins, which are antioxidants present in the body; this leads to the progression and development of the disease.<sup>241</sup> The accumulation of inorganic nanoparticles in monocytes,

macrophages, and tissues can also cause inflammation; nanoparticles such as silica, gold, silver, carbon, iron, zinc, and titanium, have been reported to induce the production of various proinflammatory cytokines (interleukin [IL]-1 $\beta$ , IL-6, IL-12, IL-23, and TNF- $\alpha$ ), and ROS associated with classically activated (M1) macrophages.<sup>242</sup> These pro-inflammatory cytokines increase the expression of P-glycoprotein in various organs in vivo, and prevent likely functions of the nanoparticles.<sup>243,244</sup> Radomski et al also reported that carbon nanoparticles interact with platelets and vascular endothelial cells, resulting in localized inflammation in vivo.<sup>245</sup> Further studies are needed to avoid the potential health risks associated with such inorganic nanoparticles.

## Other Nanostructures in Nanomedicine

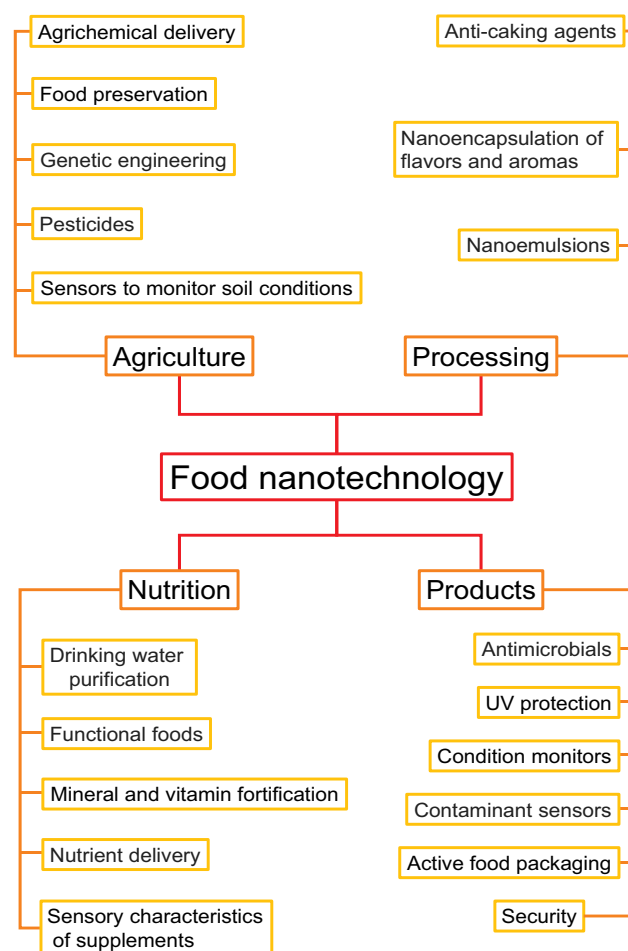
This review focuses on “nanoparticles” among various nanostructures (carbon nanotubes, dendrimers, liposomes, micelles, nanographenes, nanorobots, and nanosheets) used in the field of nanomedicine. Properties and applications of other nanostructure that could not be presented in this review have been reviewed in detail in other reports.<sup>246–250</sup>

## Application of Surfactant-Coated Nanoparticles in Food Nanotechnology

### Food Nanotechnology

The development in the field of nanotechnology has been remarkable, and interest in its application in the global food industry has increased greatly in recent years due to its potential to add new properties and functions to existing food products. The international symposium “Nanotechnology Research: Applications in Nutritional Sciences” was held at Experimental Biology 2009, focusing on the application of nanotechnology to food and nutrition, and this field was widely recognized.<sup>251</sup> Although a definition of nano-based technologies in the field of food and nutrition has not yet been established, the application of nanoscale material properties to the food and nutrition industry is generally named as “food nanotechnology”.<sup>252–254</sup> Currently, there are no established global rules regarding the applications of food nanotechnology, however, the Organization for Economic Cooperation and Development (OECD) launched a sponsorship program for testing of nanomaterials in 2007, and the FDA issued four guidance documents on the use of

nanotechnology in animal products, cosmetics, food, and other products in 2014–2015.<sup>253</sup> In the field of food nanotechnology, the approach of nanoparticles is considered to have the potential to be applied in various technologies, such as pesticides,<sup>255</sup> antimicrobial,<sup>256</sup> anti-solidification,<sup>257</sup> plant genetic engineering,<sup>258</sup> detection of foodborne pathogens,<sup>259</sup> food processing,<sup>260</sup> development of functional foods,<sup>261</sup> purification of drinking water,<sup>262</sup> extension of food preservation,<sup>263</sup> and texture improvement.<sup>252–254,264,265</sup> (Figure 6). Surfactant-coated nanoparticles have been widely used in the field of food nanotechnology. Recent progress of surfactant-coated nanoparticles in this field is summarized in Table 2. Surfactant-coated organic and inorganic nanoparticles in food nanotechnology will be discussed separately in the following sections.



**Figure 6** The potential applications of food nanotechnology.

**Notes:** Data from Martirosyan.<sup>265</sup>



**Table 2** Summary of Surfactant-Coated Nanoparticles Used in the Field of Food Nanotechnology

| Target   | Type of Surfactant                  | Core Material | Size                 | Encapsulated Compound      | In vitro                              | In vivo | Main Conclusions   | References |
|--|-------------------------------------|---------------|----------------------|----------------------------|---------------------------------------|---------|--|------------|
| Enhancement of stability of encapsulated food components | Polysorbate 20                      | Lipid         | 10–20 nm             | $\beta$ -carotene          | Water                                 |         | The preparation of lipid nanoparticles coated with polysorbate 20 was optimized by combining the response surface method (RSM) and central composite design (CCD). Furthermore, the $\beta$ -carotene encapsulated within the nanoparticles was protected from degradation in water. | [418]      |
| Enhancement of stability of encapsulated food components | Polysorbate 80, sorbian monolaurate | Lipid         | 235 $\pm$ 16 nm      | Quercetin                  | In vitro gastrointestinal tract model |         | Solid lipid nanoparticles coated with Polysorbate 80 and Span 20 were prepared. Furthermore, quercetin encapsulated in the nanoparticles showed improved release profiles and bioaccessibility under the conditions of the gastrointestinal fluid model and intestine model.         | [419]      |
| Enhancement of stability of encapsulated food components | Poloxamer 188                       | PLGA          | 154 $\pm$ 12 nm      | Picrothiza kurroa extract  | PBS Buffer                            |         | PLA nanoparticles coated with poloxamer 188 were prepared. It showed a sustained release of encapsulated picrothiza kurroa extracts.   | [420]      |
| Enhancement of stability of encapsulated food components | Poloxamer 188                       | Chitosan      | 414.8 $\pm$ 333.8 nm | Epigallocatechin-3-gallate | Water                                 |         | Succeeded in encapsulating catechin in the chitosan nanoparticles by using poloxamer 188 in the preparation.   | [421]      |

|  |               |              |               |  |       |   |       |
|--|---------------|--------------|---------------|--|-------|---|-------|
| Enhancement of stability of encapsulated food components | Poloxamer 407 | Cocoa butter | 77 nm         | Conjugated linoleic acid               | Water | Poloxamer 407 coated solid lipid nanoparticles enhanced the oxidative stability of the encapsulated conjugated linoleic acid in water. Conjugated linoleic acid in solid lipid nanoparticles was also found to be more stable for thermal processes such as pasteurization. | [422] |
| Enhancement of stability of encapsulated food components | Poloxamer 407 | Lipid        | Below 200 nm  | Lutein                                 | Water | Lipid nanoparticles coated with poloxamer 407 were prepared. The lutein encapsulated within the nanoparticles was protected from degradation in water. The water dispersibility and antioxidant activity of the encapsulated lutein were also increased.                    | [423] |
| Enhancement of stability of encapsulated food components | Poloxamer 407 | Lipid        | 100–110 nm    | Omega-3 fish oil, $\alpha$ -tocopherol | Water | Poloxamer 407 coated solid lipid nanoparticles enhanced the oxidative stability of the encapsulated $\omega$ 3 fatty acids in water. This effect was further enhanced when $\alpha$ -tocopherol was co-encapsulated.  | [424] |
| Enhancement of stability of encapsulated food components | Sophorolipid  | Zein         | Around 200 nm | Lutein                                 | Water | Zein nanoparticles coated with sophorolipid were prepared. The lutein encapsulated in the nanoparticles was protected from degradation in water. The water dispersibility of the encapsulated lutein was improved 80-fold compared to the bare lutein group.                | [425] |

(Continued)

Table 2 (Continued).

| Target   | Type of Surfactant                                   | Core Material               | Size                 | Encapsulated Compound        | In vitro   | In vivo | Main Conclusions   | References |
|--|--|-----------------------------|----------------------|------------------------------|--|---------|--|------------|
| Enhancement of stability of encapsulated food components           | Rhamnolipid  | Propylene glycol alginate   | 228 nm               | Curcumin                     | In vitro gastrointestinal tract model              |         | Curcumin nanoparticles coated with rhamnolipid formed a complex with propylene glycol alginate, which increased the photostability and bioaccessibility of curcumin in an in vitro small intestine model.  | [426]      |
| Enhancement of stability of encapsulated food components           | Rhamnolipid  | Propylene glycol alginate   | 135.10 ± 2.87 nm     | Coenzyme Q10, Resveratrol    | In vitro gastrointestinal tract model              |         | Zein nanoparticles coated with rhamnolipid formed nanoparticle complexes with propylene glycol alginate. Furthermore, coenzyme Q10 and resveratrol encapsulated in the nanoparticles enhanced its stability under the conditions of the in gastrointestinal fluid. | [427]      |
| Elucidation of the intestinal transport mechanism of nanoparticles | Polysorbate 80, poloxamer 188                        | Lipid                       | 247 ± 4 nm           |                              | Caco-2 cells                                       |         | Solid lipid nanoparticles coated with polysorbate 80 and poloxamer 188 were taken up by Caco-2 small intestinal epithelial cells via both the clathrin and caveolae pathways. Furthermore, the efflux activity of P-gp was inhibited.                              | [428]      |
| Increase of the penetration in mucus                               | Pluronics (P65, F38, P103, P105, F68), poloxamer 407 | PLGA, poly (ε-caprolactone) | Approximately 100 nm |                              | Human mucus model using human cervicovaginal mucus |         | Surfactant-coated nanoparticles showed a significant increase in dispersion in mucus. Among them, poloxamer 407 was most effective.  | [310]      |
| Increase of bioavailability of food components                     | Polysorbate 80                                       | Lipid                       | 132.9 nm             | Vitamin D3 (Cholecalciferol) | In vitro gastrointestinal tract model              |         | Nanostructured lipid carriers coated with polysorbate 80 improved the stability of encapsulated vitamin D3 in the gastric juice. On the other hand, the release of vitamin D3 was observed in the intestinal fluid environment in vitro.                           | [429]      |

|  |  |                               |                   |                              |  |   |  |       |
|--|--|-------------------------------|-------------------|------------------------------|--|---|--|-------|
| Increase of bioavailability of food components | Polysorbate 80   | Poly- $\gamma$ -glutamic acid | Around 50 $\mu$ m | Indomethacin                 |  | Male Jcl: ICR mice (5 weeks of age, oral dose)  | Poly- $\gamma$ -glutamic acid nanoparticles coated with polysorbate 80 increased the AUC by oral administration of encapsulated indomethacin.  | [430] |
| Increase of bioavailability of food components | Polysorbate 80   | Galactosylated PLGA           | 150 nm            | Resveratrol                  | Caco-2 cells                                       | Sprague-Dawley rats (220 $\pm$ 20 g, oral dose) | Polysorbate 80 coated PLGA nanoparticles enhanced the bioavailability of encapsulated resveratrol. Galactosylation of PLGA further increased its uptake via sodium glucose-binding transporter 1 (SGLT1).  | [431] |
| Increase of bioavailability of food components | Poloxamer 407  | Lipid                         | Below 100 nm      | Vitamin D3 (Cholecalciferol) |  | Male Wistar rats (oral dose)                    | Poloxamer 407 coated solid lipid nanoparticles showed a stable size distribution of the particles. The bioavailability of vitamin D3 encapsulated in the solid lipid nanoparticles was improved.   | [432] |
| Increase of bioavailability of food components | Poloxamer 407  | Hydroxypropyl methylcellulose | Below 300 nm      | Trans-resveratrol            |  | Male Sprague-Dawley rats (oral dose)            | Poloxamer 407 coated hydroxypropyl methylcellulose nanoparticles enhanced the oral and transdermal absorption of encapsulated trans-resveratrol.   | [433] |
| Increase of bioavailability of food components | D- $\alpha$ -tocopheryl polyethylene glycol 1000 succinate | Curcumin                      | 12.3 $\pm$ 0.1 nm |                              | HT-29 cells, in vitro gastrointestinal tract model | Male Wistar rats (200 $\pm$ 20 g, oral dose)    | Curcumin nanoparticles coated with D- $\alpha$ -tocopheryl polyethylene glycol 1000 succinate released curcumin in the in vitro colon environment and showed toxicity to colon cancer cells. Compared to bare curcumin, localization of curcumin in the colon environment for a longer period of time was confirmed in vivo. | [434] |

(Continued)

Table 2 (Continued).

| Target   | Type of Surfactant   | Core Material              | Size                 | Encapsulated Compound   | In vitro                              | In vivo   | Main Conclusions  | References |
|--|--|----------------------------|----------------------|-------------------------|---------------------------------------|---|---|------------|
| Increase of bioavailability of food components | Propylene glycol monolaurate (Lauroglycol fcc), caprylocaproyl polyoxyl glycéride (Labrasol) | Curcumin                   | Below 200 nm         |                         |                                       | Sprague-Dawley rats (250 ± 20 g, oral dose)     | Curcumin nanoparticles covered with lauroglycol fcc (oil) and labrasol (surfactant) were prepared. Compared to the bare curcumin, AUC of curcumin was increased by 7.6 times in nanoparticle-encapsulated curcumin in vivo.   | [435]      |
| Increase of bioavailability of food components | Kolliphor HS 15  | Lipid                      | N/A                  | Curcumin                |                                       | Male Sprague-Dawley rats (240–280 g, oral dose) | Kolliphor increased the dispersion of curcumin encapsulated solid lipids in water. Furthermore, it increased the amount of curcumin transferred into the bloodstream via oral administration.   | [436]      |
| Increase of bioavailability of food components | Saponin  | Curcumin                   | 52–109 nm            |                         | In vitro gastrointestinal tract model | Male Sprague-Dawley rats (260–300 g, oral dose) | Curcumin nanoparticles coated with saponin were prepared. saponin-coated nanoparticles have improved physicochemical stability and storage time. Compared to the bare curcumin, AUC of curcumin was increased by 8.9 times in nanoparticle-encapsulated curcumin in vivo. | [437]      |
| Supplements for alleviate Zn deficiency        | Poloxamer 188  | Poly (butyl cyanoacrylate) | Approximately 100 nm | Green tea extract, zinc | EBM2 cells                            |   | Green tea extract and Zn encapsulated with Poly (butyl cyanoacrylate) nanoparticles and coated with poloxamer 188 were found to have a controlled release of encapsulated Zn ions, antioxidant activity and biocompatibility.   | [438]      |

|  |  |        |                              |                           |  |                                 |   |       |
|--|--|--------|------------------------------|---------------------------|--|---------------------------------|---|-------|
| Enhancement of the nutritional value of dairy products | Poloxamer 188                          | Lipid  | 243.7 ± 9.46–394.6 ± 4.05 nm | Lipoic acid               |  | Anemia induced rats (oral dose) | Encapsulation of lipoic acid into solid lipid nanoparticles coated with poloxamer 188 enhanced the sustained release of lipoic acid in vitro. Furthermore, it inhibited the decrease in erythrocyte and hemoglobin concentrations in anemia-induced rats. | [439] |
| Enhancement of antibacterial activity                  | Polysorbate 80, sodium dodecyl sulfate | Silver | Approximately 26 nm          | 10 bacterial strains      |  |                                 | Polysorbate 80 and SDS modified silver nanoparticles show a significant increase in antibacterial activity  | [348] |
| Enhancement of antibacterial activity                  | Polysorbate 80                         | Lipid  | 115.6–115.8 nm               | Menthol                   | <i>Escherichia coli</i> ,<br><i>Staphylococcus aureus</i> , <i>Bacillus cereus</i> , Fungi ( <i>Candida albicans</i> )   |                                 | Menthol-loaded solid lipid nanoparticles exerted stronger antimicrobial activity against fungi than bacteria. Menthol-loaded solid lipid nanoparticles exerted more efficient against Gram-positive bacteria than Gram-negative bacteria.                 | [440] |
| Enhancement of antibacterial activity                  | Poloxamer 188                          | Lipid  | 177.2 ± 3.99 nm              | Furosemide silver complex | <i>Escherichia coli</i> ,<br><i>Staphylococcus aureus</i> , <i>Pseudomonas aeruginosa</i>  |                                 | Encapsulation of Furosemide silver complex into poloxamer 188 coated nanoparticles enhanced the sustained release of Furosemide silver complex (sustained release of Ag-FSE for more than 96 h) and significantly improved its antimicrobial effect.      | [441] |
| Enhancement of antibacterial activity                  | Poloxamer 188                          | PLGA   | 158 nm                       | Curcumin                  | <i>Escherichia coli</i> ,<br><i>Staphylococcus aureus</i> , <i>Salmonella</i> , <i>Pseudomonas aeruginosa</i> , <i>Bacillus sonorensis</i> , <i>Bacillus licheniformis</i> |                                 | The encapsulation of curcumin into the nanoparticles increased the dispersion of curcumin in water. Curcumin-loaded solid lipid nanoparticles coated with poloxamer 188 found to have higher in vitro antimicrobial properties.                           | [442] |

(Continued)

Table 2 (Continued).

| Target   | Type of Surfactant  | Core Material           | Size                 | Encapsulated Compound  | In vitro  | In vivo | Main Conclusions   | References |
|--|---|-------------------------|----------------------|------------------------|---|---------|--|------------|
| Enhancement of antibacterial activity                            | Poloxamer 407   | PLGA                    | Approximately 290 nm | Antimicrobial peptides | <i>Escherichia coli</i> O157:H7 and Methicillin resistant <i>Staphylococcus aureus</i>  |         | PLGA nanoparticles coated with poloxamer 407 enhanced the antimicrobial activity of the encapsulated peptides by several times.  | [443]      |
| Enhancement of antibacterial activity                            | Poloxamer 407, poloxamer 188  | Silver sulfadiazine     | 369 nm               |                        | L929 cells, <i>Staphylococcus aureus</i> , <i>Escherichia coli</i> , <i>Pseudomonas aeruginosa</i>  |         | The hydrogel (containing poloxamer 407, 188 and silver sulfadiazine nanoparticles) showed strong antimicrobial activity, while showing low toxicity to fibroblasts.  | [444]      |
| Enhancement of antibacterial activity                            | Poloxamer 338, poloxamer 407  | Bacterial nanocellulose | Below 10 nm          | Octenidine             | <i>Staphylococcus aureus</i> , <i>Pseudomonas aeruginosa</i>  |         | Bacterial nanocellulose nanoparticles modified with Polysorbate 338 and 407 and encapsulated octenidine exhibited high release antimicrobial activity (release of the octenidine for 8 days), resulting in the antimicrobial activity. | [445]      |
| Enhancement of antibacterial activity                            | Cetyltrimethylammonium Bromide, dodecyltrimethylammonium bromide, tetradecyltrimethylammonium bromide | Silver                  | Below 100 nm         |                        | HepG2 cells, <i>Staphylococcus aureus</i> , <i>Escherichia coli</i> , <i>Candida albicans</i>   |         | Single-chain cationic surfactant stabilized the dispersion of silver nanoparticles and increased their antimicrobial properties. Furthermore, the cytotoxicity was predominantly lower than that of the surfactant-only group.         | [446]      |
| Enhancement of antibacterial activity and antioxidative activity | Poloxamer 407   | Lipid                   | 121 nm               | Turmeric extract       | <i>Escherichia coli</i> , <i>Staphylococcus aureus</i> , <i>Bacillus cereus</i> , <i>Pseudomonas aeruginosa</i> , <i>Streptococcus mutans</i> , <i>Candida fungus</i> |         | Lipid nanoparticles coated with poloxamer 407 protected the encapsulated turmeric extracts from degradation and improved their antimicrobial and antibacterial activity.   | [447]      |

|  |   |          |               |        |   |  |       |
|--|---|----------|---------------|--------|---|--|-------|
| Elucidation of the antimicrobial activity mechanism of nanoparticles | Cetyltrimethylammonium bromide, sodium dodecyl sulfate, polyethylene glycol hexadecyl ether | Selenium | 20-220 nm     |        | <i>Echinodontium taxodii</i> , seeds of <i>Vigna radiate</i>  | Selenium nanoparticles coated with cationic, anionic, and nonionic surfactants differed significantly in their antimicrobial activity and phytotoxicity, respectively. Therefore, surfactants on the surface of the nanoparticles may be involved in the development of various physiological effects. | [448] |
| Development of antibacterial biofilm                                 | Curcumin  | Selenium | 300-400 nm    |        | <i>Staphylococcus aureus</i> , <i>Pseudomonas aeruginosa</i> , L929 cells   | Curcumin nanoparticles coated with poloxamer 488 and gelatin (containing silver nanoparticles) showed strong antimicrobial activity, while showing low cytotoxicity to fibroblasts.  | [449] |
| Improve of shelf life and decontamination of foods                   | Polysorbate 80  | Lipid    | 78.8 ± 5.3 nm | Citral | <i>Escherichia coli</i> , <i>Staphylococcus aureus</i> , <i>Bacillus cereus</i> , Fungi ( <i>Candida albicans</i> ) | Citral-loaded solid lipid nanoparticles coated with polysorbate 80 were found to have significantly higher in vitro antimicrobial properties than conventional Citral-loaded emulsions.  | [450] |
| Improve of shelf life and decontamination of foods                   | Poloxamer 407   | Geraniol | 26-412 nm     |        | <i>Salmonella Typhimurium</i> , <i>Escherichia coli</i> O157:H7   | The geraniol nanoparticles coated with poloxamer 407 showed a sustained release of geraniol for 24 hours and remained antipathogenic effect for a long period of time. Treatment with these nanoparticles resulted in a reduction of pathogens on the surface of spinach.                              | [451] |
| Water treatment  | Polysorbate 80  | Silver   | 50 nm         |        | Gram-positive bacteria, Gram-negative bacteria  | Polysorbate 80 coated silver nanoparticles exhibited anti microbial activity for gram-positive and gram-negative bacteria.   | [452] |

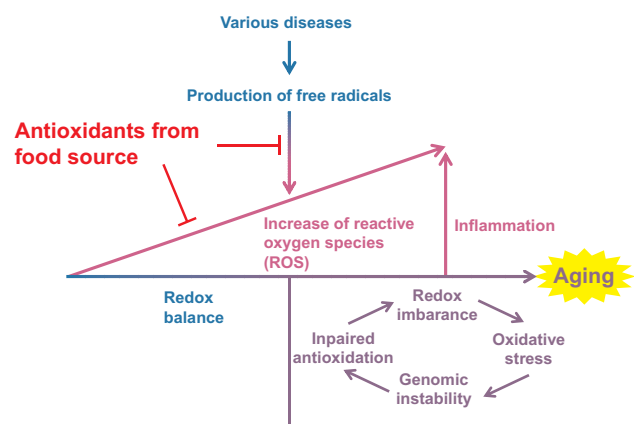
(Continued)



Table 2 (Continued).

| Target                                | Type of Surfactant             | Core Material                          | Size        | Encapsulated Compound | In vitro                           | In vivo | Main Conclusions  | References |
|---------------------------------------|--------------------------------|--|-------------|-----------------------|------------------------------------|---------|---|------------|
| Water treatment                       | Cetyltrimethylammonium bromide | Fe <sub>3</sub> O <sub>4</sub>         | 5-10 nm     |                       |                                    |         | Fe <sub>3</sub> O <sub>4</sub> nanoparticles coated with cetyltrimethylammonium bromide adsorb antimony on the surface of the nanoparticles, resulting in water purification.   | [453]      |
| Detection of allergens in food        | Polysorbate 20                 | Ovalbumin antibody-coated sensor chips |             |                       | White and rose wines               |         | Label free SPR based immunoassay for the sensitive detection of ovalbumin in wine was developed by optimizing the analytical conditions (ionic strength and Tween 20 concentration).  | [454]      |
| Active food packaging                 | Cetyltrimethylammonium bromide | Palladium                              | Below 25 nm |                       |                                    |         | Poly(3-hydroxybutyrate) films with palladium nanoparticles dispersed in cetyltrimethylammonium bromide were prepared. Prepared films showed oxygen scavenging activity and selective permeability (water vapor and limonene). | [455]      |
| Oil separation from the corn stillage | Polysorbate 80                 | Silica                                 | 10-20 nm    |                       | Condensed corn distillers solubles |         | A mixture of polysorbate 80 and silica nanoparticles increased the recovery of corn oil from condensed corn distillers solubles by 5-10%.   | [456]      |

**Abbreviations:** AUC, area under the curves; Caco-2 cells, human colorectal adenocarcinoma cells; HT-29 cells, human colon cancer cell line; HepG2 cells, human liver cancer cells; Kolliphor HS 15, polyethylene glycol (15)-hydroxystearate; L929 cells, mouse fibroblast-like cells; PLA, poly lactic acid; PLGA, poly(lactic-co-glycolic acid); SDS, sodium dodecyl sulfate; poloxamer, copolymer of polyoxyethylene and polyoxypropylene; polysorbate, polyoxyethylene sorbitan monooleate.



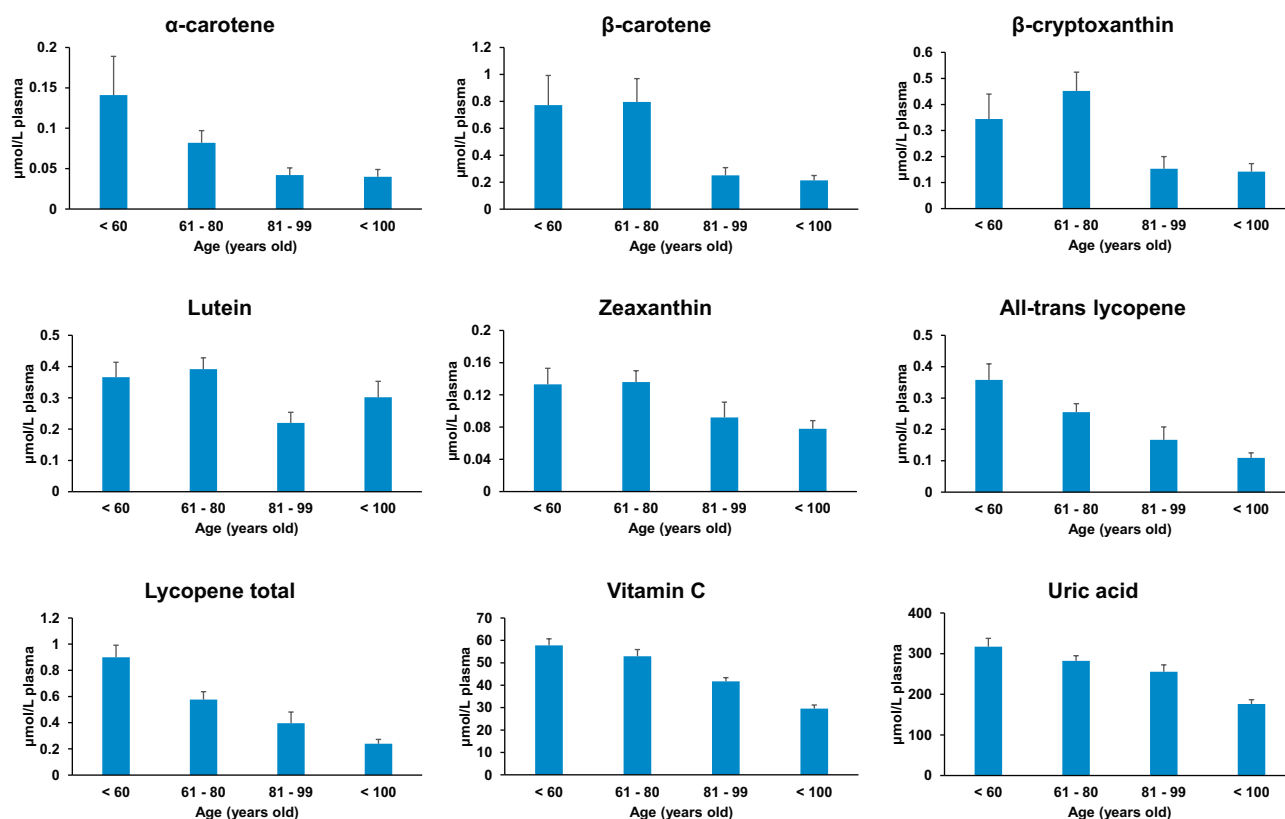
**Figure 7** Illustration of the relationships between diseases, free radicals, reactive oxygen species, and aging in the body, and its regulation by antioxidants from food source.

**Notes:** Data from Miyazawa<sup>241</sup> and Adapted from Elsevier Books, 191, Fajardo AM, Bisoffi M, Chapter 18 - Curcumin analogs, oxidative stress, and prostate cancer, 191-202, Copyright 2014, with permission from Elsevier.<sup>267</sup>.

## Surfactant-Coated Organic Nanoparticles in Food Nanotechnology Smart Food

Food components are supplied to the human body on a daily basis via oral intake, and are maintained in the body at optimal concentrations in various tissues for life support. However, their concentrations fluctuate due to various disorders such as disease and aging, leading to the disruption of the redox balance in the body. Certain types of diseases and obesity also increase the amount of ROS produced in the human body, resulting in accelerated aging and disease progression (Figure 7).<sup>176,266,267</sup> It is recognized that the concentration of antioxidants in human blood decreases with aging. Mecocci et al measured the concentrations of various antioxidants ( $\alpha$ -carotene,  $\beta$ -carotene,  $\beta$ -cryptoxanthin, lutein, zeaxanthin, all-trans lycopene, lycopene total, retinol, ascorbic acid, uric acid,  $\alpha$ -tocopherol, thiols, plasma superoxide dismutase [SOD], red blood cells [RBCs] SOD, glutathione peroxidase [GPX] and nicotinamide adenine dinucleotide phosphate [NADPH]) in the blood of healthy subjects under 60, 61–80, 81–99, and over 100 years of age, and reported that several food-derived antioxidants ( $\alpha$ -carotene,  $\beta$ -carotene,  $\beta$ -cryptoxanthin, lutein, zeaxanthin, all-trans lycopene, lycopene total, ascorbic acid and uric acid) tended to decrease with age (Figure 8).<sup>268</sup> It is hoped that these problems can be overcome by daily dietary intake of food-derived antioxidants to achieve longevity (Figure 7). Research on the inhibition of disease progression and

development by daily intake of food components has been widely conducted. For example, it has been reported that the blood of dementia patients with Alzheimer's disease has higher concentrations of RBCs with high levels of phospholipid hydroperoxides in their lipid membranes (also named as "aged RBCs" which are responsible for poor oxygenation and deterioration of blood rheology) compared to healthy subjects.<sup>269–272</sup> Since the presence of these aged RBCs are considered to be one of the causes of the progression and onset of Alzheimer's disease, food ingredients that prevent the peroxidation of red blood cell membrane lipids are expected. Nakagawa et al reported that daily intake of polar carotenoid (astaxanthin [6 or 12 mg/day] or lutein [9.67 mg/day]) capsules for more than two weeks suppressed the appearance of aged RBCs in human blood.<sup>273,274</sup> Obesity is regarded as a low-grade inflammatory disease.<sup>275</sup> Miyazawa et al reported that 20 weeks of simultaneous intake of polyphenol (curcumin [1 g/kg diet in this study], which is abundant in turmeric) and alkaloid (piperine [50 mg/kg diet in this study], enhancer of curcumin's bioavailability, which is abundant in pepper) reduced inflammation (interleukin [IL]-1 $\beta$  and keratinocyte chemoattractant/growth-regulated oncogene chemokines [KC/GRO] in plasma) and body fat in obese under caloric restriction model (C57BL/6) mice.<sup>276</sup> Gregor et al reported that a 3-week intake of a vitamin E analog (rice bran tocotrienol [5 or 10 mg/day]) reduced triglyceride and phospholipid hydroperoxide levels in the blood and liver in F344 rats.<sup>277</sup> Vitamin C has been around for 100 years since its discovery. Its prevailing theory of its mechanism of anticancer effect was due to cytotoxicity caused by hydrogen peroxide ( $H_2O_2$ ) produced by the oxidation of vitamin C (ascorbic acid) in extracellular environment.<sup>278</sup> On the other hand, Yun et al reported in 2014 a new theory in which the oxidized product of ascorbic acid (dehydroascorbic acid) is taken up by cancer cells via glucose transporter (GLUT) and inhibit the production of adenosine triphosphate (ATP) and lead the cell death to cancer.<sup>279</sup> Since this report, there has been a surge of interest in elucidating more detailed mechanisms of the anticancer effects of vitamin C.<sup>280,281</sup> As introduced in section "Food Nanotechnology" in this review, nanotechnology has been expected to have a variety of applications in the fields of basic research and industry of foods. Not only that, attempts to maximize the useful effects of various food ingredients such as those described above using nanotechnology have also attracted attention. Nanotechnology is being developed to encapsulate food



**Figure 8** Age-related decrease in plasma concentrations of antioxidants from food source.

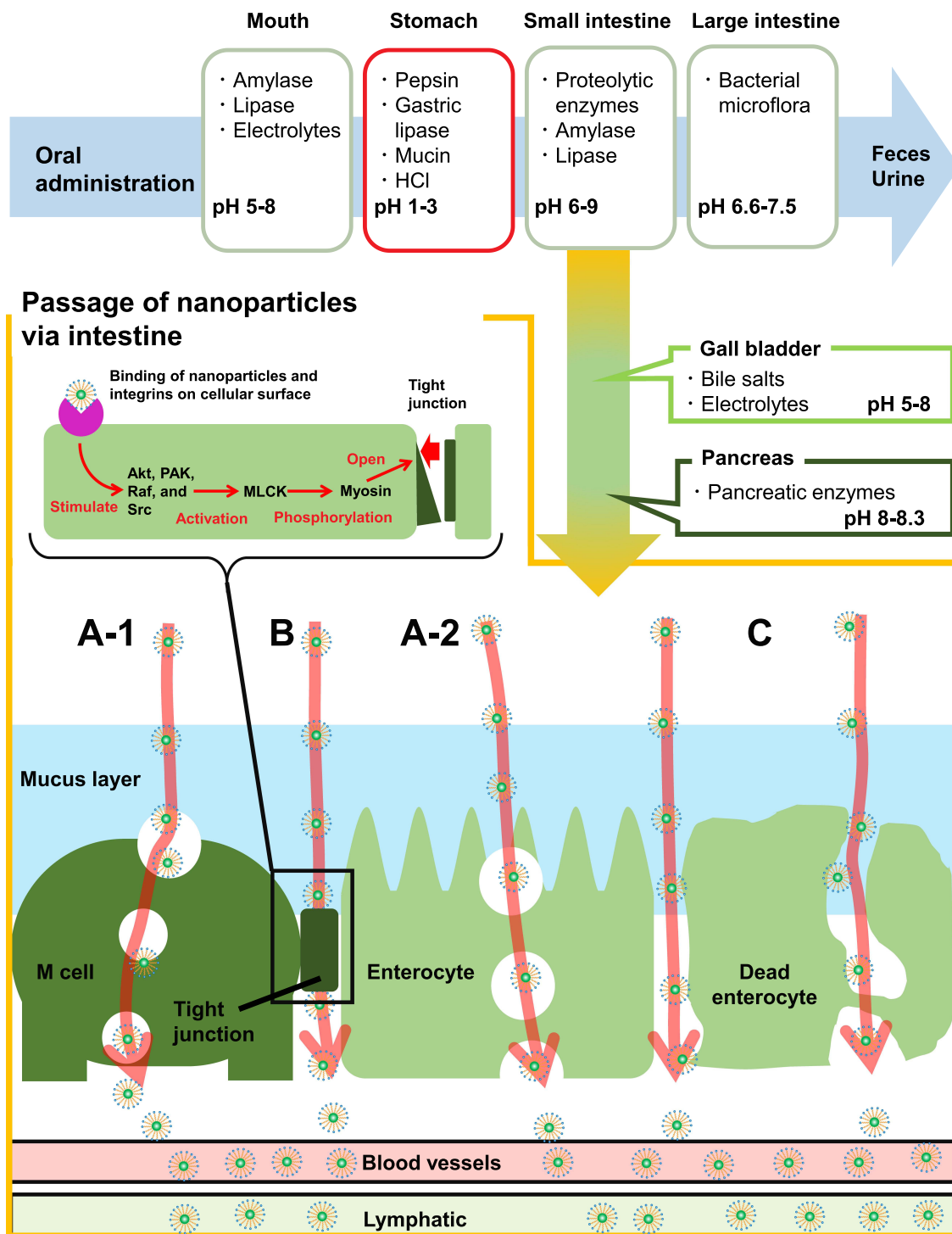
Notes: Data from Mecocci.<sup>268</sup>

components into organic nanoparticles to perform various functions. This attempt to improve human health was named “Smart food” by Martínez-Ballesta et al.<sup>282,283</sup> The components of the nanoparticles used in smart food are selected to have low toxicity in living organisms. Typical examples include polymers (chitosan, collagen, gelatin, hyaluronic acid, and PLGA), solid lipids (cholesterol, palmitic acid and stearic acid), and proteins (milk protein, nisin, and zein) (Figure 2B).<sup>284–286</sup> It will be of great significance if this smart food can extend healthy life expectancy through daily dietary habits. Davis et al reported a decrease of nearly half in the content of useful antioxidants in crops in 1999 compared to 1950.<sup>287</sup> From the perspective of the food crisis, there will be great value in this smart food that can efficiently supply nutrients to the body.

### Application of Food Nanotechnology in the Digestive System

Encapsulating food ingredients into nanoparticles extends their shelf life and protects them from degradation in the digestive system.<sup>288–290</sup> Dietary patterns, food matrix, and

passage through the gastrointestinal tract were often important factor for food nanotechnology. These factors may have a major impact on nanoparticle characteristics, behavior, and toxicity.<sup>291</sup> As described briefly in section “Nonionic Surfactants in the Food Industry” in this review, the presence of surfactants occupies an important position in this mechanism of digestion and absorption. In complex of in vivo digestive system, not yet have an integrated knowledge of how the different types of surfactants used in surfactant-coated nanoparticles affect the above factors. However, these are important for understanding of the absorption and metabolism of nanoparticles, and their necessity has also been suggested in other reviews.<sup>292–294</sup> The orally administered nanoparticles are known to avoid various digestive enzymes (such as amylase, lipase, and pepsin) and the highly acidic environment of the stomach (pH 1–3), reach the small intestinal epithelium, and are absorbed into the body (Figure 9).<sup>49,295,296</sup> As a result, the bioavailability of the encapsulated food components in the nanoparticles is improved.<sup>260,261</sup> The balance between the hydrophilic and hydrophobic nature of the nanoparticle interface and the digestive system is an important factor



**Figure 9** The digestive stages after oral administration and the mechanisms of in vivo uptake of surfactant-coated nanoparticles through the small intestine.

**Notes:** (A-1) Transcellular route, through the M cells. (A-2) Transcellular route, through the enterocyte. (B) Paracellular route. (C) Persorption route. Data from these published studies <sup>318 295 49</sup>

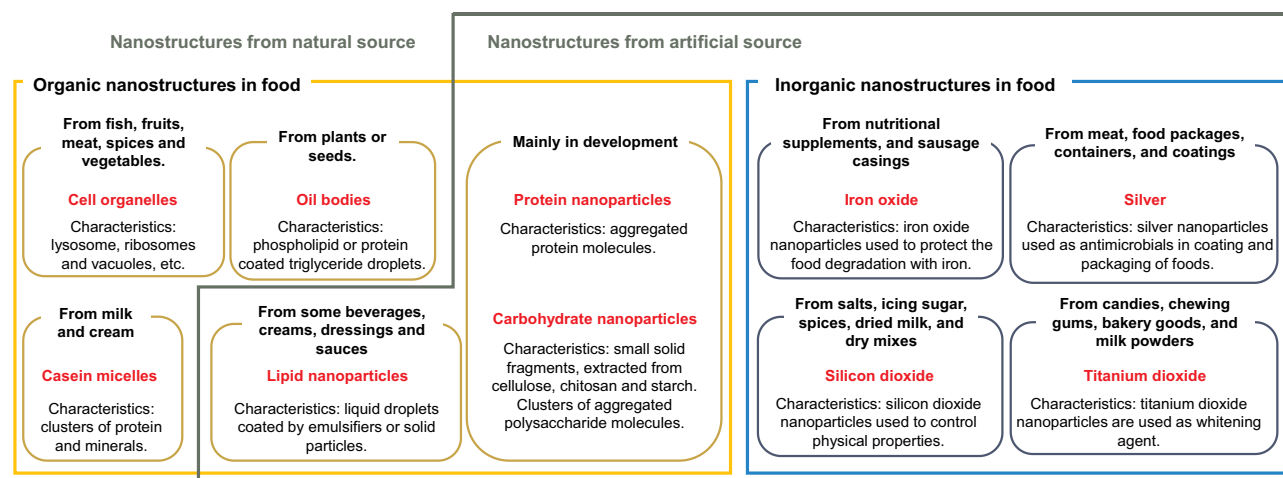
responsible for absorption into the body, and hydrophilic coating on the surface of hydrophobic nanoparticles has been widely accepted to increase their absorption.<sup>297–299</sup>

Maisel et al prepared polystyrene nanoparticles coated with a hydrophilic polymer (PEG) and confirmed their localization to the small intestine in ex vivo studies in

mice.<sup>300</sup> The results showed that bare nanoparticles (unmodified nanoparticles) accumulated in the mucosal layer of the small intestine, whereas the PEG-coated nanoparticles reached closer to the small intestinal villi with a homogeneous distribution. Bourganis et al demonstrated a similar phenomenon in a transwell model using porcine mucosa.<sup>301</sup> It has also been reported that coating the surface of nanoparticles with hydrophilic natural polysaccharides (chitosan) also increase the absorption of food components. This nanoparticle coating enhances mucosal adhesion and protects the nanoparticles from acidic environment and digestive enzymes.<sup>302,303</sup> The digestive system is constantly secreting mucus, and a  $15.5 \pm 4.5 \mu\text{m}$  thick mucus barrier (pH 5.5–7.5) physically blocks microorganisms and hydrophobic substances entering the intestinal tissues.<sup>304–306</sup> This mucus forms a hydrogel (primarily composed of water and lipids, mucin, nucleic acids, and proteins) with a mucin skeleton cross-linked by hydrophobic interactions and disulfide bonds.<sup>307</sup> Therefore, the nanoparticles that reach the small intestine must have the ability to penetrate the mucus barrier to reach the intestinal tissues and be absorbed into the body. It has been discovered that the surfactant-coated nanoparticles can pass through the mucus layer to reach the intestinal tissues (Figure 6A). Ensign et al reported that pretreatment with a nonionic surfactant (poloxamer 407) uniformly dispersed mucus-adherent nanoparticles (polystyrene nanoparticles) in the mucus while maintaining the barrier function of the mucus to herpes simplex virus type-1 (HSV-1).<sup>308</sup> In support of this result, Xin et al confirmed that cationic

surfactant (SDS) and nonionic surfactants (poloxamers [188, 407], polysorbate 80)-pretreated ileum of rats showed enhanced penetration of PLGA nanoparticles into the intestinal epithelium at 30 min of administration, compared to the bare nanoparticles group.<sup>309</sup> Nanoparticles coated with a nonionic surfactant (poloxamer 407) are known to inhibit the interaction between the core of particles and mucus components. Yang et al prepared surfactant-coated nanoparticles (poloxamer 407-coated fluorescently tagged PLGA nanoparticles) and estimated their penetration in the human mucosa.<sup>310</sup> The results showed that less than 1% of bare nanoparticles were dispersed in the mucus layer within 30 min observation time, while 60–80% of the surfactant-coated nanoparticles were dispersed in the mucus layer. They also reported that this effect was exerted by coating with poloxamer 407, regardless of the type of nanoparticles.

When the nanoparticles pass through the mucus layer, as described above, they reach the small intestine. In the small intestine, three pathways are being reported related to the passage of nanoparticles (Figure 9A–C). (Figure 9A): Transcellular route, which involves the process of transcytosis via intestinal epithelial cells. Particles present in the intestinal lumen are thought to be endocytosed into small intestinal epithelial cells via four different mechanisms: clathrin-mediated endocytosis, caveola-mediated endocytosis, micropinocytosis, and phagocytosis.<sup>295,311</sup> In general, transcytosis via small intestinal epithelial cells has been reported to be easier for particles with smaller size.<sup>312,313</sup> Rejman et al



**Figure 10** A wide variety of nanoscale materials potentially present in foods from both natural and artificial sources.

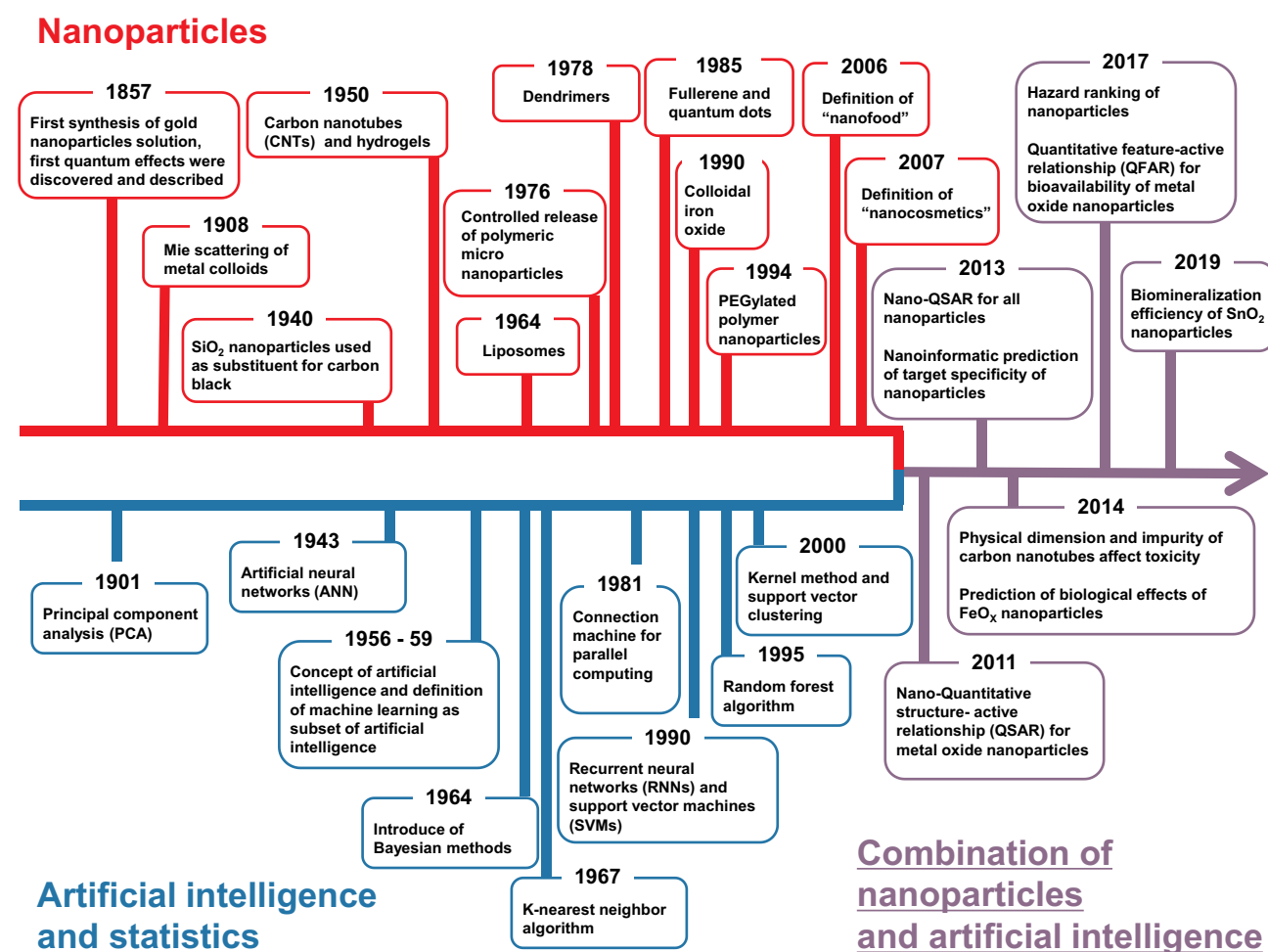
**Notes:** Data from McClements and Xiao.<sup>291</sup>

demonstrated the uptake mechanism and intracellular accumulation of fluorescent latex beads with a particle size of 50–1000 nm into non-phagocytic B16 melanoma cells.<sup>314</sup> They found that particles less than 200 nm in size were taken up into the cells via clathrin-mediated endocytosis, while particles more than 200 nm in size were taken up into the cells via caveola-mediated endocytosis. There are two routes possible for uptake, one through the M cells (Figure 9A-1) and the other through the enterocyte (Figure 9A-2). Compared to enterocytes, M cells are less protected by the mucus barrier and are therefore easier for nanoparticles to reach, but their total area is only about 1% of the total absorbed surface area of the gut.<sup>295,315</sup> (Figure 9B): Paracellular route, which involves the transportation through the gaps between small intestinal epithelial cells. The intercellular gap is said to be between 30 and 100 Å, with a total area of only 0.1% of the total absorbed surface area of the gut.<sup>316</sup> Furthermore, only a few solid nanoparticles are considered to pass through this route, because the majority of nanoparticles are blocked by the presence of tight junctions between epithelial cells. (Figure 9C): Persorption mechanism, which involves a passage through the gap formed in the epithelium by the extrusion of dead intestinal cells from the epithelial layer of the small intestine.<sup>317</sup> Although the persorption mechanism is considered to allow nanoparticles to pass through in their native state, however due to the small number of research reports, their detailed mechanism is still largely unknown. Nanoparticles are thought to pass through the small intestinal epithelium from either of the above routes and are subsequently transported into the lymph and bloodstream (Figure 9A–C). Lamson et al reported that when negatively charged silica nanoparticles were administered orally to mice, these bound to integrins in small intestinal epithelial cells, induced relaxation of tight junctions, and increased intestinal permeability, which prompted the protein migration further into the bloodstream.<sup>318</sup> The mechanism involves the binding of negatively charged silica nanoparticles (<100 nm) to integrins present on epithelial cell surface receptors, thereby stimulating various signaling pathways (Akt, PAK, Raf and Src pathways) that activate the enzyme myosin light chain kinase (MLCK). Activated MLCK phosphorylates the myosin portion of the cytoskeleton and exerts tension on the tight junctions, then leading them to open. The gaps in the tight junctions opened in this way allow the passage of macromolecules without causing cell damage (Figure 9B). Furthermore, they confirmed the effect of

insulin (model protein) on blood glucose levels in C57BL/6 mice in which tight junctions were opened by oral administration of above negatively charged silica nanoparticles. The results reported that the orally administered insulin (10 U/kg) group showed hypoglycemia for several hours longer than the subcutaneously injected insulin (1 U/kg) group. Other institutions have reported that ultrasound-induced cavitation temporarily weakens the barrier function of the intestinal tract and increases the absorption of drugs (hydrocortisone, insulin, mesalamine) from the small intestine.<sup>319</sup> A variety of surfactants have been used in the preparation of “smart foods,” and many studies on “smart foods” using surfactant-coated nanoparticles have been reported (Table 2). However, there are few reports on the effect of different surfactants on absorption and metabolism *in vivo*. Although the latter could not be included in this review, future studies are of vital importance for such integrated information.

Along with the uptake of the nanoparticles, their dispersibility in the intestinal lumen before reaching the intestinal mucosa may have a significant impact on the absorption of the food components encapsulated in nanoparticles. Harigae et al prepared PLGA nanoparticles encapsulating curcumin (a polyphenol compound) and compared its oral absorption (area under the curves [AUCs] of curcumin and its main metabolite [curcumin glucuronide]) with the control group of free curcumin in rats.<sup>320</sup> The results revealed that high concentrations of curcumin glucuronide were present in the blood in case of PLGA nanoparticle-treated group compared to the control group, but the blood concentrations of curcumin were lower in both groups. Furthermore, they confirmed the transport mechanism of curcumin in PLGA nanoparticles to mixed micelles in a human colorectal adenocarcinoma (Caco-2) cell transwell model. As a result, the major factor responsible for the enhanced absorption of curcumin glucuronide in bile acid micelles from PLGA nanoparticles was the high dispersibility of the PLGA nanoparticles in the solution, instead of the pathways described above (Figure 9A–C) or metabolic resistance. It is generally believed that orally administered nutritional components are taken up from the small intestine and transferred to the liver via the portal vein or through the mesenteric lymph nodes, which are subsequently transported to various organs via the lymphoid network and bloodstream.<sup>321</sup> There are only a few studies available on the behavior of nanoparticles, either metabolism or modification, during their uptake from the small intestine and transfer to the





**Figure 11** Overlapping timelines of the development of artificial intelligence and nanomaterials. Since 2010, these two fields have developed a powerful synergy.

**Notes:** Modified from Singh AV, Rosenkranz D, Ansari MHD, et al Artificial intelligence and machine learning empower advanced biomedical material design to toxicity prediction. *Advanced Intelligent Systems*. 2020;2:2000084.<sup>379</sup>

lymph and bloodstream. Itaya et al compared the uptake of curcuminoids-encapsulated PLGA nanoparticles and free curcuminoids into human monocytic leukemia (THP-1) cells.<sup>322</sup> The results confirmed that only curcumin was selectively taken up among the curcuminoids in both groups, suggesting that curcuminoids are released from PLGA nanoparticles and taken up by the cells after becoming educt. Further investigation of the detailed uptake mechanism revealed that curcuminoids with a higher affinity for albumin (a major transport protein in the blood), were less likely to be taken up by monocytes. Yan et al supported this phenomenon and elucidated that when albumin adheres to the surface of disulfide-stabilized poly (methacrylic acid) nanoporous particles, the nanoparticles are evaded from macrophage uptake.<sup>323</sup> Future studies are necessary to elucidate the phenomenon of orally

administrated nanoparticles in the blood and its further interaction with the blood components through quantitative measurements.

### Application of Food Nanotechnology to New Packaging Technologies

The development of new packaging technologies for food products is important for ensuring food safety.<sup>256,257,263</sup> The packaging requirements like to allow desirable outside air to pass through depends on the type of foods and beverages to be packaged. For example, carbonated beverage packaging needs to minimize the ingress of oxygen and the loss of carbon dioxide.<sup>324</sup> In case of fruits and vegetables that require breathing, packaging techniques are required to exchange outside air according to each type. For potatoes, tomatoes and apples, gas transfer of 1–2% of O<sub>2</sub>, 15–20% of

CO<sub>2</sub>, and 25–30% of ethylene, respectively is required for their storage.<sup>325</sup> As a food packaging material, polymeric nanocomposites with organic nanoparticles dispersed in a polymeric matrix can be more precisely manipulated for strength and selective permeability of outside air than the traditional packaging materials used in the past, such as metal, ceramic, paper, and plastic.<sup>326</sup> Poverenov et al developed alginate nanoparticle-dispersed chitosan polymeric nanocomposites and reported that when coated on fresh-cut melons, it protected against dehydration and ethanol evaporation for 15 days while maintaining selective permeability of the outside air, ideal for storage.<sup>327</sup> Azeredo et al developed thin films of polymeric nanocomposites containing 15% cellulose nanofibers and 18% glycerol as food plasticizers and reported that they exhibited ductility and hydrophobicity while maintaining higher strength than synthetic polymers such as low-density polyethylene and polypropylene.<sup>328</sup> Furthermore, the film is biodegradable and environmental friendly. Surfactants are used as dispersants for organic nanoparticles in the manufacturing stage of polymeric nanocomposites.<sup>329–331</sup> Despite the development of polymeric nanocomposites, there are few reports available on the safety of long-term ingestion,<sup>332</sup> which needs to be considered.

The antimicrobial activity of natural products such as mushrooms in food packaging may help to overcome the food industry challenges of food contamination and spoilage by bacteria. Mushrooms synthesize a variety of metabolites with antitumor, antiviral, anti-inflammatory, antibacterial, antifungal, and anti-yeast activities.<sup>333</sup> Therefore, there is a growing need for bioprospecting of mushrooms.<sup>334</sup> And mushrooms are also known to produce multidrug resistance inhibitors that enhance the activity of antimicrobial compounds, and the synergy with silver nanoparticles dramatically improves resistant microorganisms and their antimicrobial activity. This use of mushrooms for application of food nanotechnology to new packaging technologies is thoroughly reviewed in the report by Pandey et al in 2020.<sup>334</sup> The application of nanotechnology to food packaging to maintain food quality will become even more important in the future.<sup>335</sup>

## Surfactant-Coated Inorganic Nanoparticles in Food Nanotechnology

Food nanosensing, which uses inorganic nanoparticles for sensing of food (adulterant sensing, artificial smell and taste sensing, bacterial toxin sensing, brand protection

and product authenticity, freshness sensing, pathogenic bacteria sensing), plays an important role in better food quality and safety evaluation.<sup>336</sup> Karatapanis et al reported that silica-modified magnetite nanoparticles coated with cationic surfactants can be used as adsorbents for Cu(II), Ni(II), Co(II), Cd(II), Pb(II) and Mn(II).<sup>337</sup> The detection limits of these elements in aqueous solution were 4.7, 9.1, 9.5, 2.3, 7.4, and 15.3 ng/L, respectively. Zahid et al developed an electrochemical sensor with a surfactant (1-(2, 4-dinitrophenyl)-dodecanoylthiourea (DAN), which has soil fertility enhancing characteristics) immobilized at the interface to detect Hg (II) in drinking water with a detection limit of 0.64 µg/L.<sup>338</sup> These sensing of food using nanoparticles has been described in detail in other reviews.<sup>339,340</sup>

Among the inorganic nanoparticles used in food nanotechnology, silver nanoparticles are the most widely used in the food industry due to their antimicrobial properties. Approximately 55.4% of all consumer products using nanoparticles in the market are made with silver nanoparticles.<sup>341</sup> In addition, several countries are already using silver nanoparticles as antimicrobial agents in food supplements and food packaging materials.<sup>342</sup> When silver nanoparticles reach the bacterial cell surface, they form irregularly shaped pits on the membrane surface, reducing the barrier function of lipopolysaccharides present on the cell surface, and thereby altering the membrane permeability.<sup>343</sup> Subsequently, silver cation (Ag<sup>+</sup>) is generated by protons and enzymes present in the bacterial cell, causing an increase in oxidative stress due to ROS and inhibition of deoxyribonucleic acid (DNA) replication, leading to bacterial cell death.<sup>344,345</sup> Costa et al prepared an alginate film containing silver nanoparticles and reported that coating it on fresh-cut carrots protected the carrots from dehydration and microbial spoilage and extended the shelf life from four days (in non-additive group) to 70 days.<sup>346</sup> Hedayati et al prepared surfactant-coated nanoparticles (gum Arabic containing silver nanoparticles and a nonionic surfactant (glyceryl monostearate [Figure 1])).<sup>347</sup> They reported that coating green bell pepper with this product protected the antioxidant (vitamin C) in the green bell pepper from dehydration and microbial spoilage and maintained marketable quality even after 21-days of storage. Since silver nanoparticles need to be in a dispersed state to exert their antibacterial effect, the approach of coating their surface with a non-ionic surfactant and dispersing them in a system is widely used. Kvitek et al prepared surfactant-coated nanoparticles



(silver nanoparticles coated with SDS or polysorbate 80) and confirmed their antimicrobial activity.<sup>348</sup> Results showed that coating with both surfactants had increased antimicrobial activity of silver against 10 strains of bacteria compared to bare nanoparticles, and the SDS-coated nanoparticles exhibited more potency. In addition to silver nanoparticles, zinc oxide nanoparticles are used as supplements, antibacterial agents, and anti-browning agents.<sup>349</sup> Li et al reported the use of zinc oxide nanoparticles as an anti-browning agent for food products.<sup>350</sup> They developed a polyvinyl chloride nanoparticles containing zinc oxide (ZnO), and when Fuji apples were coated with it, the activity of polyphenol oxidase and pyrogallol peroxidase was suppressed, resulting in reduced formation of malondialdehyde (reduced from 74.9 nmol/g (untreated group) to 53.9 nmol/g) and decrease in the browning index (reduced from 31.7 (untreated group) to 23.9), after 12-days. Several studies on the use of silver nanoparticles and their potential application for antiviral effects have also been reported. Huy et al reported that silver nanoparticles were not toxic to normal cells (human rhabdomyosarcoma cell line), while they exhibited toxicity to a non-enveloped virus (poliovirus) in vitro.<sup>351</sup> Sreekanth et al also reported that the preparation of silver nanoparticles using terpenoid and flavonoid mixtures extracted from the roots of ginseng by green synthesis and showed toxicity to the influenza A virus, while they did not exhibit toxicity to assumed normal cells (Madin-Darby canine kidney [MDCK] cell line).<sup>352</sup> Antoine et al reported that zinc oxide nanoparticles greatly inhibited herpes simplex virus type 2 (HSV-2) infection of the reproductive organs in female BALB/c mice and reduced mortality.<sup>353</sup> Gurunathan et al reviewed in 2020 the possibility that antiviral potential of inorganic nanoparticles might be a fight against coronaviruses.<sup>354</sup> Other inorganic nanoparticles such as those containing iron oxide (supplements and colorants), titanium dioxide (food additives), silica (anti-caking agents and flavors), and selenium (supplements) are used in food nanotechnology.<sup>290,355–357</sup> It is believed that surfactants can also be used for these inorganic nanoparticles other than silver nanoparticles to further enhance their functions in the future. On the contrary, Gram-negative bacteria such as *Escherichia coli* 013, *Pseudomonas aeruginosa* CCM3955, and *Escherichia coli* CCM3954 gradually acquire resistance to inorganic nanoparticles.<sup>358</sup> In addition, silver, titanium dioxide, zinc oxide, and silica nanoparticles reach the colon after oral administration, and their antimicrobial properties can affect the intestinal

microbiota and aggravate the immune response of the gut-associated lymphoid tissue.<sup>359</sup> New technologies in food nanotechnology are expected to overcome these current concerns.

## Nanoparticles Originally Contained in Food

Humans consume food products containing nanoscale substances on daily basis. There are numerous nanostructures (such as emulsion, nanoparticles and micelles, and colloids) composed of proteins, carbohydrates, and lipids that exist in the food matrix. For example, milk contains nanostructures such as casein micelles (50–300 nm in diameter), whey protein (4–6 nm in diameter), and lactose (0.5 nm in diameter). A wide variety of nanoscale materials, from both natural and artificial sources, might be present in foods (Figure 10).<sup>291</sup> Several studies have been conducted on these nanostructures present in food; beer (containing microplastics),<sup>360</sup> chewing gum (containing titanium dioxide),<sup>361</sup> chicken meat (containing silver nanoparticles),<sup>362</sup> drink products (containing silver, gold, copper, iridium, palladium, platinum, silicon, and zinc nanomaterials),<sup>363</sup> drinking water (containing titanium dioxide, silver, and gold nanoparticles),<sup>364</sup> and honey (containing non-pollen particles).<sup>365</sup> Zhang et al used atomic force microscopy to examine the nanostructure of pectin in cherries and found a close relationship between its structure and fruit firmness.<sup>366</sup> Dang et al also reported that cooking and processing of foods can change their nanostructures, which further changes the physical properties of the foods.<sup>367</sup> It has been reported that ferritin nanoparticles contained in plant-based foods are taken up from small intestinal epithelial cells and used as a source of iron in the body.<sup>368</sup> Nanostructures are also produced during oral ingestion, for example, orally ingested foods can be physically (emulsification, mastication, and peristalsis) and chemically (acidic pH environment and interaction with various digestive enzymes) stimulated in various organs of the digestive system, some of which are miniaturized to the nanoscale. It is believed that the food components (amino acids, inorganic salts, monosaccharides, polyphenols, and vitamins) are miniaturized in this way and reaches the small intestine, where they are subsequently absorbed.<sup>241,321</sup> Some nanoparticles are formed in the digestive organs by chemical precipitation. For example, when food-derived calcium and phosphate ions are present in the small intestine, calcium phosphate nanoparticles are deposited.<sup>369</sup> Thus, nanoparticles are present in many food products, however,

the “nanostructures that are not purpose-designed and are originally contained in food”, as described in this section, are not considered as the products of food nanotechnology.<sup>265</sup>

## Safety of Nanoparticles in the Food Industry

The safety of nanoparticles in food industry is an important concern that cannot be ignored. Many studies have claimed that nanoparticles may migrate from packaging materials into food, then taken up and accumulated in the human body via oral intake.<sup>370</sup> In addition, nanoscale pesticide residues present in food and other foreign substances that have contaminated the food products during their manufacturing process are unintentional contaminants. Distinguishing such contaminants from the nanoparticles prepared by food nanotechnology or nanoparticles originally contained in food or food-derived nanoparticles is important for food quality, safety, and environmental considerations. Inorganic nanoparticles that may be contaminated in the food include transition metals (for example, silver, iron, titanium, and zinc), alkali earth metals (calcium and magnesium), and non-metals (selenium and silicate). In developed countries, it is estimated that more than  $10^{12}$  inorganic nanoparticles are taken into the human body per day.<sup>371</sup> Some nanoparticles are also unintentionally contaminated in animal and plant breeding environments. For example, Lin et al examined the transfer and accumulation of two types of nanostructures (C70 fullerene and multiwalled carbon nanotubes) into plants through their growing environment.<sup>372</sup> Rice seedlings were grown in a germination culture medium containing C70 fullerene or multiwalled carbon nanotubes for two weeks. Then, they were transplanted into the soil and grown to maturity. Rice seeds were taken from the first generation of plants grown in this manner, and the second generation was grown in a nanoparticle-free germination buffer. They found that C70 fullerenes were present in the first generation of seeds grown in an environment containing nanoparticles for a long period. The presence of C70 fullerene was also observed in the leaves of the second generation grown from the C70 fullerene-accumulating seeds obtained in the first generation. In contrast, the plants grown in the germination culture medium containing multi-walled carbon

nanotubes did not show their accumulation in either first or second generation. This suggests that C70 fullerene and multiwalled carbon nanotubes accumulate differently in the plant body depending on their nanostructures. Such uptake of nanoparticles into plants has also been studied with metal nanoparticles such as gold, silver and silica.<sup>373–375</sup> The nanostructures have the potential risk of exposure and accumulation through various routes in the human body, such as unintentional inhalation and dermal contact.<sup>376</sup> At this stage, the types and amounts of nanostructures present in the environment, their accumulation in plants and animals, and the risk of pollution in the food supply are not yet well understood, which is very important considering the use of nanoparticles in food industry. Bieberstein et al assessed consumer inclination towards purchase of products of food nanotechnology in France and Germany, focusing on two applications: “nano vitamin” and “nano packaging.” The results reported that consumers in both countries tended to be reluctant to accept food nanotechnology.<sup>377</sup> These trends are due to unresolved concerns about the safety of products of food nanotechnology, which needs to be assessed through research that is more extensive.

## Conclusions and Outlook

Surfactants have a long history of use by humans, and various products have been made that make use of their properties. Moreover, the surfactant-coated nanoparticles demonstrated the crucial importance of surfactants in the fields of nanomedicine and food nanotechnology. In both fields, it has been demonstrated that surfactants can further enhance the functions of the nanoparticles. Various approaches that take advantage of the synergistic effects of nanoparticles and surfactants have the potential to create many useful new technologies in the future, although challenges are yet to be overcome, including safety of nanoparticles and surfactants. There are many cases where technologies that are highly effective in vitro do not work well in vivo because of unexpected problems that arise when applied to humans. While new technologies are being developed everyday, the rapid development of nanomedicine and food nanotechnology has also ignited consumer concerns. Current information on nanoparticles and surfactants is insufficient to overcome these concerns. Therefore, continuing research is needed to obtain reliable information in the future. Recently, advances in machine learning and artificial intelligence immensely decoded and

empowered, the cell-nanomaterial interaction modelling, which gave modern to nanomedicine to predict the biosafety and efficacy<sup>378,379</sup> and in-silico methods<sup>380,381</sup> to potentially decipher the quantitative nanostructure activity-relationship (Nano-QSAR). In 2010, the two timelines (nanoparticles and artificial intelligence) merged as artificial intelligence was applied to the task of identifying and predicting of grouping according to their properties, interaction, and toxicity of nanoparticles (Figure 11).<sup>379</sup> The fields of nanoparticles and artificial intelligence will continue to complement each other. There will be significant progress in research field of surfactant-coated nanoparticles as the develop of these technologies. This review is not limited to either nanomedicine or food nanotechnology, but is intended to be of interest to people in both fields and to bridge these fields. We hope that this review will serve as an impetus for the development of new technologies in interdisciplinary fields.

## Abbreviations

ABC, accelerated blood clearance; AOT, sodium bis(2-ethyl-hexyl) sulfosuccinate; ATP, adenosine triphosphate; AUCs, area under the curves; BBB, blood-brain barrier; BDM, Bockris/Devanathan/Muller; Brij 35, polyoxyethylene lauryl ether; BSA, bovine serum albumin; CAGR, compound annual growth rate; CPP, critical packing parameter; CTAB, cetyltrimethylammonium bromide; DAN, 1-(2, 4-dinitrophenyl)-dodecanoylthiourea; DDAB, didodecyldimethylammonium bromide; DLT, dose-limiting toxicity; DLVO, Derjaguin-Landau-Verwey-Overbeek; DMEM, Dulbecco's Modified Eagles Medium; DNA, deoxyribonucleic acid; DOTAP, 1, 2-dioleoyl-3-trimethylammonium-propane; EGFR mAb, epidermal growth factor receptor monoclonal antibodies; EPR, enhanced permeability and retention; FBS, fetal bovine serum; FDA, Food and Drug Administration; GLUT, glucose transporter; GPX, glutathione peroxidase; HLB, hydrophilic-hydrophobic balance; HIV-1, human immunodeficiency virus type 1; HSV-1, herpes simplex virus type-1; HSV-2, herpes simplex virus type 2; HUVECs, human umbilical vein endothelial cells; KC/GRO, keratinocyte chemoattractant / growth-regulated oncogene chemokines; M1, classically activated; MPS, mononuclear phagocyte system; IgG, immunoglobulin G; IL, interleukin; MDCK, Madin-Darby canine kidney; MLCK, myosin light chain kinase; MR, magnetic resonance; MRI, magnetic resonance imaging; NADPH, nicotinamide adenine dinucleotide phosphate; Nano-QSAR, quantitative nanostructure activity-relationship; OAPB, oleyl

amidopropyl betaine; OECD, Organization for Economic Cooperation and Development; O/W, oil in water; PBCA, poly (butyl cyanoacrylate); PBOB, perfluorooctyl bromide; PEG, polyethylene glycol; PEO, polyoxyethylene oxide; PPO, polypropylene oxide; Pgp/ABCB1, P-glycoprotein; PLA, polylactic acid; PLGA, poly(Poly lactic-co-glycolic acid); PVA, polyvinyl alcohol; poloxamer 188, poly(ethylene glycol)-block-poly(propylene glycol)-block-poly(ethylene glycol); polysorbate 80, polyoxyethylene (20) sorbitan monooleate; RBCs, red blood cells; RES, reticuloendothelial system; RF, radiofrequency; rhEPO, recombinant human erythropoietin; ROS, reactive oxygen species; SB3-14, 3-[N,N-dimethyltetradecylammonio]propane-1-sulfonate; SC, sodium cholate; SD, sodium deoxycholate monohydrate; SDS, sodium dodecyl sulfate; SLS, sodium lauryl sulfate; siRNA, small interfering RNA; SOD, superoxide dismutase; span85, sorbitan trioleate; SPION, superparamagnetic iron oxide nanoparticles; SPR, surface plasmon resonance; TC, sodium taurocholate; TEM, transmission electron microscopy; Th1, type 1 helper; TNF- $\alpha$ , tumor necrosis factor.

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