

# Effect of SGRQ-Defined Chronic Bronchitis at Baseline on Treatment Outcomes in Patients with COPD Receiving Nebulized Glycopyrrolate

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**Background:** Chronic bronchitis (CB) is one of the conditions that contribute to chronic obstructive pulmonary disease (COPD). Despite its widespread prevalence among patients with COPD and overall negative impact on treatment outcomes, the effect of CB on the efficacy of bronchodilator therapy has not been evaluated. The objective of this post hoc analysis is to assess the effect of nebulized glycopyrrolate (GLY) on lung function and health-related quality of life outcomes in patients with St George's Respiratory Questionnaire (SGRQ)-defined CB at baseline.

**Methods:** Pooled data from the replicate, 12-week GOLDEN 3 and 4 studies (N=861) were grouped by CB status at baseline. The endpoints reported are changes from baseline in trough forced expiratory volume in 1 second (FEV<sub>1</sub>), SGRQ and EXacerbations of Chronic Pulmonary Disease Tool-Respiratory Symptoms (EXACT-RS) scores. Safety of GLY was evaluated by monitoring the incidence of adverse events (AEs).

**Results:** Following 12 weeks of treatment, GLY 25 µg twice-daily (BID) resulted in placebo-adjusted improvements from baseline in FEV<sub>1</sub> of 77.1 mL and 124.4 mL in the CB and non-CB groups, respectively (p<0.0001 vs placebo in both groups). Significant improvements in SGRQ total scores were observed with GLY 25 µg BID compared with placebo, regardless of baseline CB status. Although EXACT-RS improvements were noted in both CB and non-CB groups, significant improvements were observed only in the CB group. GLY 25 µg BID was generally well tolerated through 12 weeks of treatment, with a low incidence of AEs.

**Conclusion:** Treatment with nebulized GLY 25 µg BID for 12 weeks resulted in significant improvements in lung function and SGRQ total scores, compared with placebo. Significant improvements in EXACT-RS total scores were observed only in the CB group. Together, these results support the use of GLY 25 µg BID in patients with COPD, regardless of their CB status.

**Keywords:** chronic bronchitis, COPD, LAMA, nebulized glycopyrrolate

## Background

Progressive, incompletely reversible airflow limitation and persistent respiratory symptoms are the primary characteristics of chronic obstructive pulmonary disease (COPD).<sup>1</sup> Emphysema and chronic bronchitis (CB) are the most important conditions that comprise COPD, with both conditions often coexisting in patients with COPD.<sup>2</sup> The term emphysema refers to the destruction of alveolar walls and pathologic enlargement of the alveolar spaces.<sup>2</sup> CB occurs due to chronic

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inflammation in the bronchi and can accelerate lung function decline, increase exacerbation frequency and risk of respiratory tract infections, reduce health-related quality of life (HRQoL), and raise the risk of mortality.<sup>3</sup> At the pathophysiological level, CB is a result of excessive mucus production in response to inflammatory signals.<sup>3</sup> CB is associated with an increase in the number of mucus-secreting goblet cells in the central and distal airways, and submucosal gland hypertrophy.<sup>4,5</sup> This results in the overproduction and hypersecretion of mucus which, combined with poor ciliary function due to replacement of the surface ciliary epithelium by reserve cells, goblet cells and squamous metaplasia, results in decreased elimination of mucus and increased airway obstruction.<sup>6–8</sup>

CB is common in the general population (3.4–22.0% of the adults),<sup>3</sup> while the reported prevalence of CB among patients with COPD varies widely and ranges from 7.4% to 74%.<sup>6,9–12</sup> The wide variability in prevalence of CB in the COPD patient population and COPD clinical trials is primarily due to differences in study design and the definitions of CB used. The classic definition of CB refers to chronic cough and sputum production due to inflammation of the bronchi on most days for  $\geq 3$  months per year and for  $\geq 2$  consecutive years.<sup>9</sup> The St George's Respiratory Questionnaire (SGRQ) has been used in clinical trials as a surrogate for the classic definition.<sup>13</sup> Patients who report cough and sputum production “most days of the week” or “several days of the week” in the SGRQ Symptoms domain are considered to have CB.<sup>9</sup> The SGRQ-derived definition of CB was shown to be more sensitive than the classic definition in identifying patients with chronic cough and sputum production.<sup>13</sup>

Few clinical trials have addressed the impact of concurrent CB on lung function outcomes with bronchodilator therapy in patients with COPD. The Evaluation of COPD Longitudinally to Identify Predictive Surrogate Endpoints (ECLIPSE) study in 2163 patients with COPD showed that patients with concurrent CB had a mean forced expiratory volume in 1 second (FEV<sub>1</sub>) that was lower by  $43 \pm 20$  mL per year than that of patients without CB; but CB was not associated with an accelerated rate of decline in FEV<sub>1</sub>.<sup>14</sup> Among 1061 patients enrolled in the COPDGene® study, CB in patients with COPD was associated with worse respiratory symptoms and a greater risk for exacerbations,<sup>6</sup> highlighting the roles played by CB in COPD disease progression. The Roflumilast and Exacerbations in patients receiving Appropriate Combination Therapy (REACT) study included patients with severe COPD and CB who

are at risk of frequent exacerbations<sup>15</sup> and showed improvements in lung function with roflumilast, an oral phosphodiesterase-4 inhibitor.

Glycopyrrolate inhalation solution (GLY; Lonhala® [Sunovion Pharmaceuticals, Inc., Marlborough, MA, USA]) 25 µg twice daily (BID) delivered via the eFlow® Closed System (CS) Nebulizer (Magnair® [PARI Pharma GmbH, Starnberg, Germany]) was approved by the US Food and Drug Administration (FDA) for the long-term maintenance treatment of airflow obstruction in patients with moderate-to-very-severe COPD.<sup>16</sup> This was based, in part, on the results from two Phase 3 studies (Glycopyrrolate for Obstructive Lung Disease via Electronic Nebulizer [GOLDEN 3; NCT02347761] and GOLDEN 4 [NCT02347774]; [Figure S1](#)).<sup>17,18</sup> The objective of this post hoc analysis is to assess the effect of nebulized GLY 25 µg BID on lung function, HRQoL outcomes, and safety in patients with SGRQ-defined CB at baseline using pooled data from the GOLDEN 3 and 4 studies. We utilize the SGRQ-derived definition of CB to characterize the impact of baseline CB on the efficacy and safety of nebulized bronchodilator therapy in patients with COPD.

## Methods

### Study Design

This is a post hoc analysis of pooled data from the GOLDEN 3 and 4 studies that were previously described ([Figure S1](#)).<sup>17</sup> Briefly, in the multi-center, placebo-controlled, double-blind studies, patients (N=1293) with moderate-to-very-severe COPD were randomized 1:1:1 to receive either placebo or GLY (25 or 50 µg BID), via the eFlow® CS nebulizer. Patients with prior history of LAMA use were not excluded from the trials. Randomization in each study was stratified by background long-acting  $\beta_2$ -agonist (LABA) use (yes/no) with or without inhaled corticosteroids (ICS) and by cardiovascular (CV) risk (high/low). Supplemental (ipratropium bromide) and rescue (albuterol [salbutamol]) medication use were permitted.

## Patients

Detailed inclusion and exclusion criteria for the GOLDEN 3 and 4 studies have been described.<sup>17</sup> Briefly, patients were at least 40 years of age, current or ex-smokers with  $\geq 10$  pack-year smoking history, with a clinical diagnosis of moderate-to-very-severe COPD (as defined by GOLD

2014 criteria),<sup>1</sup> and qualifying post-bronchodilator (ipratropium 68 µg) spirometry ( $FEV_1$  <80% of predicted normal,  $FEV_1$  >0.7 L and  $FEV_1$ /forced vital capacity ratio [FVC] <0.70).

## Statistical Analysis

In this post hoc analysis, pooled patient data from the GOLDEN 3 and 4 studies were categorized based on the SGRQ definition of CB into those with (CB group) or without CB (non-CB group) at baseline. The SGRQ-based definition of CB is derived from the Symptoms domain of SGRQ. Patients answering “most days of the week” or “several days of the week” to both the following questions are considered to have CB: “How often do you complain of cough during the week?” and “How often do you complain of sputum production during the week?”

This analysis compared GLY and placebo treatment in patients grouped by CB status on the following endpoints: change from baseline in trough  $FEV_1$ , changes from baseline in SGRQ (total and domain scores), and EXacerbations of Chronic Pulmonary Disease Tool-Respiratory Symptoms (EXACT-RS; total and domain scores) at Week 12. Safety data were analyzed using descriptive statistics; adverse events (AEs) and serious adverse events (SAEs) were coded according to MedDRA v15.1 and summarized by treatment, system organ class, and preferred term.

Efficacy analyses were performed using the intent-to-treat (ITT) population, while the safety analyses used the safety population; both populations consisted of all randomized patients who received  $\geq 1$  dose of study drug while on the study. Only on-treatment data were used for this analysis. No multiplicity adjustments were made for the post hoc multiple comparisons. A mixed-model for repeated measures was used to analyze changes from baseline in trough  $FEV_1$  and EXACT-RS total scores at Week 12; analysis of covariance was used to measure changes from baseline in SGRQ scores. SGRQ responders, defined as patients with reduction in SGRQ total score  $\geq 4$  units (defined as minimum clinically important differences),<sup>19</sup> were analyzed using a logistic regression model. EXACT-RS responders, defined as patients with reduction in EXACT-RS total score  $\geq 2$  units,<sup>20</sup> were analyzed using a longitudinal logistic regression analysis. All models included covariates for baseline level of the appropriate outcome measure, CV risk (high/low), and background LABA  $\pm$  ICS use (yes/no); however, data analysis did not split the groups by LABA or ICS use.

All p-value interpretations were made at the 5% significance level and all statistical procedures were performed using SAS<sup>®</sup> v9.2 and v9.4 (SAS Institute Inc., Cary, NC).

## Results

### Patient Demographics and Baseline Characteristics

Pooled data from patients (N=1293) from the GOLDEN 3 and 4 trials were grouped based on baseline CB (Table 1). A high prevalence of CB at baseline (65.1%) was observed in the overall patient population.

Patients were generally well matched in baseline and disease characteristics between the CB and non-CB groups (Table 2). The CB group were younger than the non-CB group and the proportion of current smokers was much greater in the CB group compared with the non-CB group; however, pack-years were similar. In addition, the exacerbation history in the past 12 months shows greater incidence of exacerbations in the CB group compared with the non-CB group. Baseline lung function ( $FEV_1$ ) was similar between the CB and non-CB groups. Baseline SGRQ and EXACT-RS total and domain scores were higher among patients in the CB group, compared with those in the non-CB group.

### Lung Function: Change from Baseline in Trough $FEV_1$

At Week 12, GLY 25 µg BID resulted in significant improvements in change from baseline in trough  $FEV_1$  compared with placebo, regardless of CB status at baseline ( $p < 0.0001$ ; Figure 1A); similar results were observed with GLY 50 µg BID (Table S1). The placebo-adjusted change from baseline  $FEV_1$  with GLY 25 µg BID was greater in the non-CB group compared to the CB group (124.4 mL vs 77.1 mL, respectively; Figure 1A); similarly, the placebo-adjusted change from baseline  $FEV_1$  with GLY 50 µg BID was greater in the non-CB group compared to the CB group

**Table 1** Patient Distribution by CB Status at Baseline

Patients, n (%)	Total N=1293	Placebo N=430	GLY 25 µg BID N=431	GLY 50 µg BID N=432
CB	842 (65.1)	273 (63.5)	281 (65.2)	288 (66.7)
Non-CB	451 (34.9)	157 (36.5)	150 (34.8)	144 (33.3)

**Abbreviations:** BID, twice daily; CB, chronic bronchitis; GLY, nebulized glycopyrrolate.

**Table 2** Baseline Demographics and Disease Characteristics, by CB at Baseline

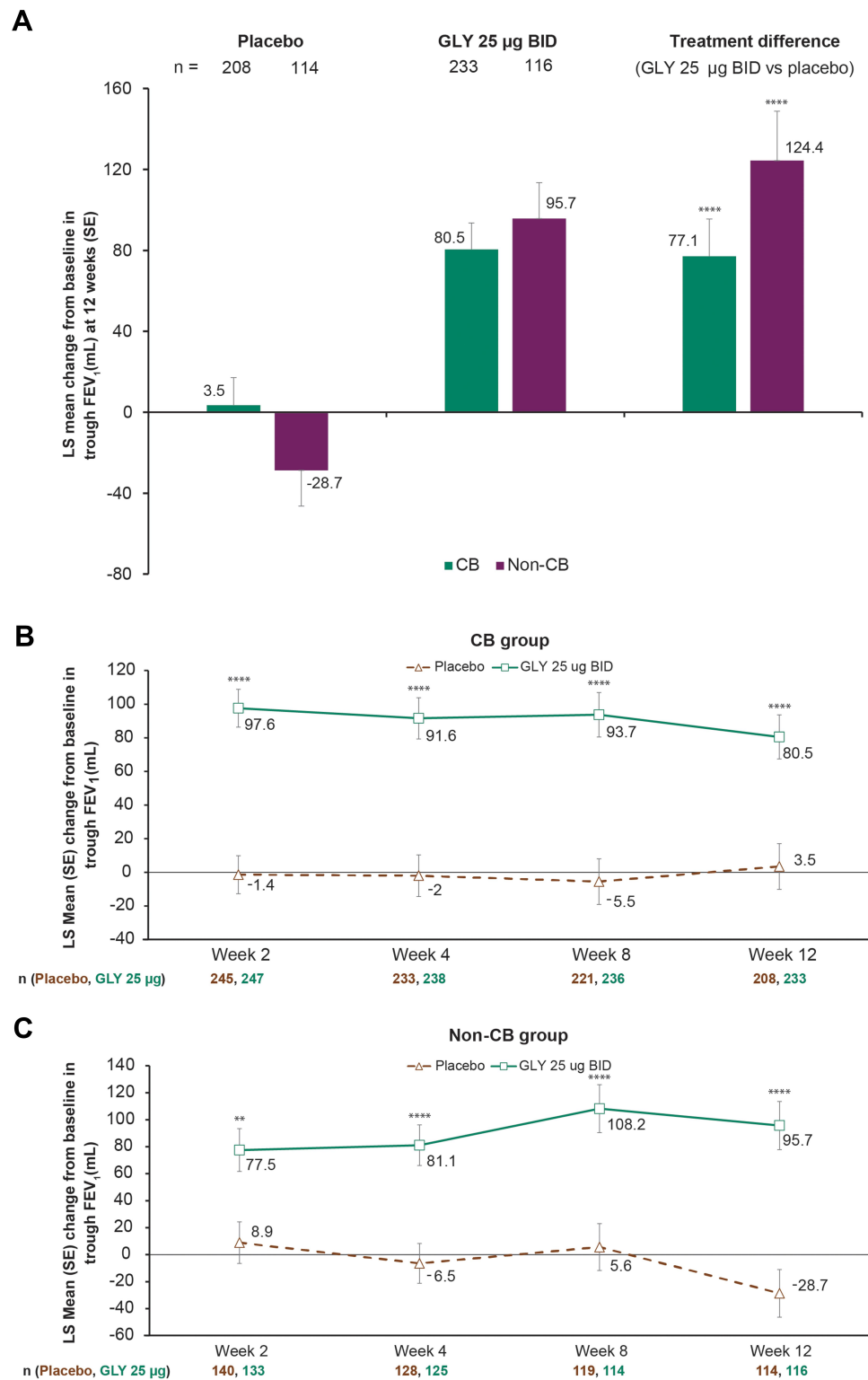
Parameter	CB			Non-CB		
	Placebo N=273	GLY 25 µg BID N=281	GLY 50 µg BID N=288	Placebo N=157	GLY 25 µg BID N=150	GLY 50 µg BID N=144
Age, years, median (range)	63.0 (42–83)	62.0 (40–83)	62.0 (40–85)	68.0 (41–84)	66.0 (42–81)	63.0 (41–87)
Female, n (%)	121 (44.3)	122 (43.4)	113 (39.2)	74 (47.1)	67 (44.7)	72.0 (50.0)
BMI, kg/m <sup>2</sup> , median (range)	28.8 (16.3–71.6)	26.9 (14.7–53.4)	27.2 (16.2–55.8)	27.5 (18.3–52.7)	28.2 (16.9–53.1)	27.97 (17.7–51.0)
Current smoker, n (%)	171 (62.6)	188 (66.9)	174 (60.4)	47 (29.9)	52 (34.7)	52 (36.1)
Pack years, median (range)	48.0 (10–240)	45.0 (10–192)	45.5 (10–147)	45.0 (13–129)	47.0 (11–132)	45.5 (10–214)
Background LABA, n (%)	81 (29.7)	85 (30.2)	93 (32.3)	51 (32.5)	50 (33.3)	42 (29.2)
Background ICS, n (%)	77 (28.2)	78 (27.8)	84 (29.2)	50 (31.8)	48 (32.0)	38 (26.4)
COPD exacerbation within past 12 months, n (%)	58 (21.2)	55 (19.6)	48 (16.7)	35 (22.3)	29 (19.3)	25 (17.4)
FEV <sub>1</sub> (L), median (range)	1.27 (0.54–3.15)	1.28 (0.48–3.23)	1.28 (0.49–3.14)	1.27 (0.51–2.59)	1.21 (0.57–2.92)	1.23 (0.57–3.26)
Post-bronchodilator FEV <sub>1</sub> , n (%)						
<30% predicted	14 (5.1)	20 (7.1)	26 (9.0)	10 (6.4)	9 (6.0)	9 (6.3)
≥30% predicted and <50% predicted	99 (36.3)	95 (33.8)	99 (34.4)	54 (34.4)	61 (40.9)	59 (41.0)
≥50% predicted	160 (58.6)	166 (59.1)	163 (56.6)	93 (59.2)	79 (53.0)	76 (52.8)
Baseline SGRQ total score, median (range)	52.42 (4.04–98.21)	54.55 (11.54–95.94)	54.44 (7.86–90.25)	38.03 (1.13–84.52)	38.78 (0–80.81)	42.55 (0–86.15)
Activity	72.33 (0–100)	72.33 (0–100)	72.63 (0–100)	60.27 (0–100)	59.46 (0–100)	64.84 (0–100)
Symptoms	70.95 (27.48–100)	74.23 (24.44–100)	72.66 (24.55–97.7)	42.79 (0–89.74)	44.41 (0–85.04)	43.62 (0–91.09)
Impacts	37.4 (0–98.88)	38.61 (1.63–96.83)	38.25 (1.63–85.89)	24.39 (0–83.54)	26.68 (0–79.39)	26.72 (0–81.01)
Baseline EXACT-RS total score, median (range)	14.0 (0–34.71)	14.86 (0.14–32.43)	13.14 (1.6–36.14)	8.0 (0–30.86)	7.93 (0–28.0)	9.43 (0–28.0)
Breathlessness	6.85 (0–15.43)	7.07 (0–15.83)	6.29 (0–16.0)	5.0 (0–15.43)	4.64 (0–15.0)	5.86 (0–14.6)
Cough and sputum	4.14 (0–10.0)	4.43 (0–10.0)	4.14 (0.57–10.0)	1.36 (0–7.0)	1.67 (0–6.71)	1.71 (0–7.71)
Chest symptoms	3.0 (0–9.43)	3.16 (0–9.29)	2.86 (0–10.29)	1.57 (0–10.29)	1.08 (0–8.57)	1.20 (0–8.0)

**Abbreviations:** BID, twice daily; BMI, body mass index; CB, chronic bronchitis; COPD, chronic obstructive pulmonary disease; EXACT-RS, EXacerbations of Chronic Pulmonary Disease Tool-Respiratory Symptoms; FEV<sub>1</sub>, forced expiratory volume in 1 second; GLY, glycopyrrolate; ICS, inhaled corticosteroid; LABA, long-acting  $\beta_2$ -agonist; SGRQ, St George's Respiratory Questionnaire.

(148.7 mL vs 81.1 mL, respectively; [Table S1](#)). In both CB and non-CB groups, improvements from baseline in FEV<sub>1</sub> were consistently greater with GLY 25 µg BID treatment compared with placebo at all timepoints tested during the 12-week studies ([Figure 1B](#) and [C](#)). In the CB group, the highest improvements in FEV<sub>1</sub> with GLY 25 µg BID were noted at Week 2 (GLY: 97.6 mL vs placebo: –1.4 mL; [Figure 1B](#)); of note there was a modest decrease in the magnitude of the FEV<sub>1</sub> improvements with GLY 25 µg BID from Week 8 to Week 12. In the non-CB group, FEV<sub>1</sub> improvements with GLY 25 µg BID were highest at Week 8 (GLY: 108.2 mL vs placebo: 5.6 mL; [Figure 1C](#)).

## Patient-Reported Outcomes SGRQ (Total and Domain) Scores and Responder Rates

At 12 weeks, GLY 25 µg BID resulted in significant improvements from baseline in SGRQ total scores compared with placebo in both CB and non-CB groups ([Figure 2A](#)); similar results were observed with GLY 50 µg BID ([Table S1](#)). In the CB group, significant improvements in placebo-adjusted least squares (LS) mean change from baseline with GLY 25 µg BID were observed in all SGRQ domains (activity, symptoms, and impacts; [Figure S2](#)); the greatest improvements with GLY were in the SGRQ symptoms domain ([Figure S2C](#)). In the non-CB



**Figure 1** Pooled analysis of (A) trough FEV<sub>1</sub> (mL) at 12 Weeks by CB at baseline, and (B) change from baseline in FEV<sub>1</sub> (mL) with time in the CB and (C) non-CB groups with GLY 25 µg BID and placebo (ITT population). \*\* $p < 0.01$ ; \*\*\*\* $p < 0.0001$  for GLY 25 µg BID vs placebo.

**Note:** The n values represent the number of patients who have on-treatment change from baseline in trough FEV<sub>1</sub> data at the corresponding treatment week.

**Abbreviations:** BID, twice daily; CB, chronic bronchitis; FEV<sub>1</sub>, forced expiratory volume in 1 second; GLY, nebulized glycopyrrolate; ITT, intent-to-treat; LS, least squares; SE, standard error.



group, while numerical improvements were observed in all three SGRQ domain scores with GLY 25 µg BID compared with placebo, significant improvements from baseline with GLY 25 µg BID were observed only in the impacts domain ([Figure S2B](#)).

The odds of being an SGRQ responder (defined as  $\geq 4$  unit reduction in total score) were significantly greater with GLY compared with placebo in the CB group (odds ratio [95% confidence interval], OR [95% CI]: 1.89 [1.3, 2.73],  $p < 0.001$ ; [Figure 2B](#)), but not in the non-CB group (OR [95% CI]: 1.32 [0.76, 2.29],  $p = 0.3206$ ; [Figure 2B](#)). The odds of being an SGRQ responder were not different between patients receiving GLY 50 µg BID versus placebo in either CB group ([Table S1](#)).

## EXACT-RS (Total and Domain) Scores and Responder Rates

At 12 weeks, the improvements in change from baseline in EXACT-RS total score with GLY 25 µg BID treatment at 12 weeks were significant compared with placebo only in the CB group ( $p < 0.05$ ; [Figure 3](#)). Although numerical improvements with GLY 25 µg BID compared with placebo were observed in each of the three EXACT-RS domain scores in both CB and non-CB groups, significant improvements with GLY 25 µg BID relative to placebo were observed only in the Breathlessness domain in the CB group. The improvements in change from baseline in EXACT-RS total score with GLY 50 µg BID were numerically, but not significantly, different from those observed with placebo ([Table S1](#)).

We analyzed the changes in EXACT-RS scores from baseline with GLY 25 µg BID over the duration of the study ([Figure S3A](#)). In the CB group, improvements in EXACT-RS total scores were noted with time in both the GLY 25 µg BID and placebo treatment groups ([Figure S3A](#)). In the non-CB group, modest improvements in EXACT-RS scores with GLY 25 µg BID were observed throughout the study, while scores were higher than baseline (indicating worse health status) or near baseline in the placebo group ([Figure S3A](#)). In the CB group, modest improvements in EXACT-RS scores were observed in the Cough and Sputum domain, in both GLY 25 µg BID and placebo treatment groups, whereas in the non-CB group, these scores remained at or near baseline levels in both treatment groups ([Figure S3B](#)).

At Week 12, the odds of being an EXACT-RS responder rate (defined as  $\geq 2$  unit reduction in total score) in the

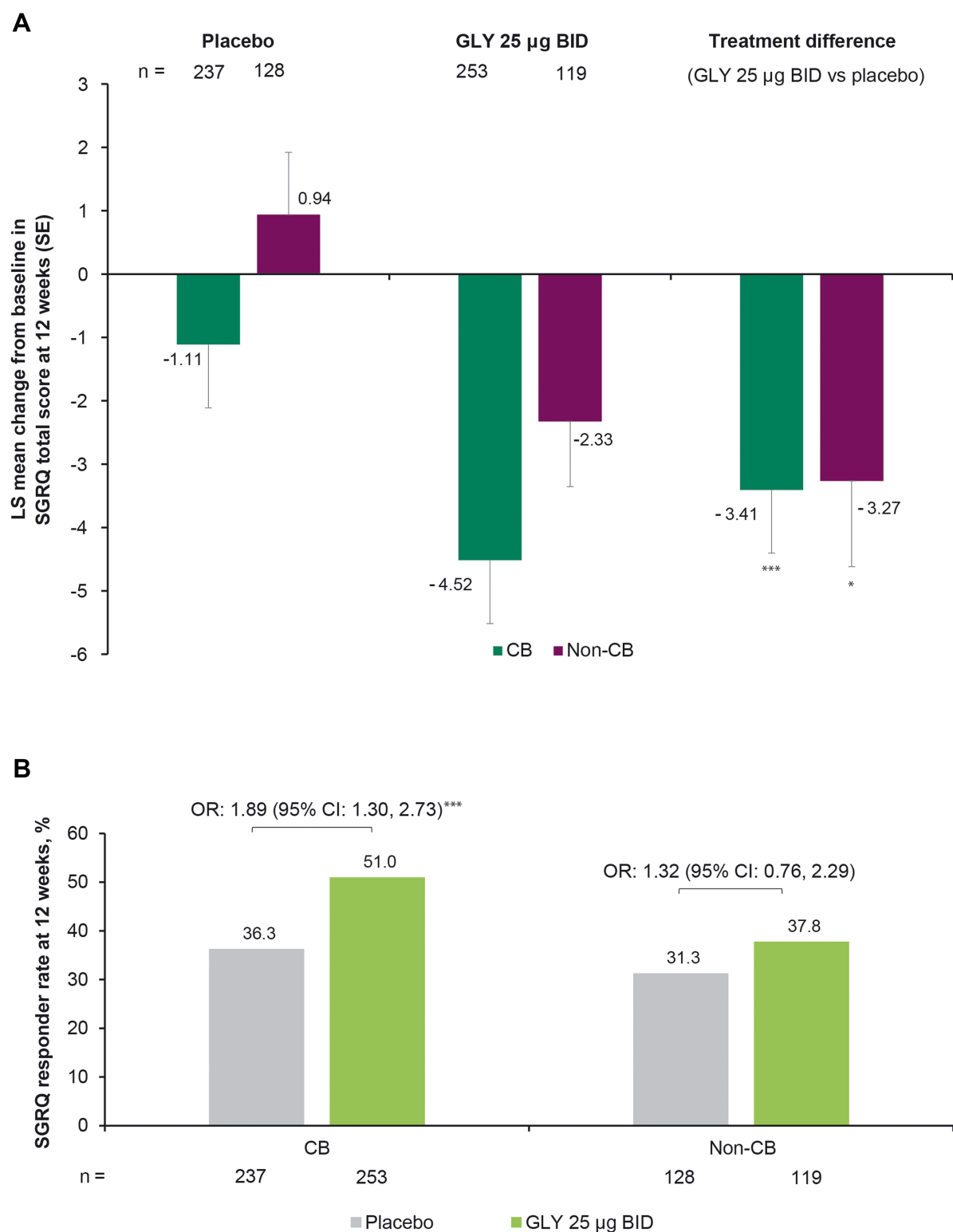
GLY treatment group were significantly greater than placebo in the CB group (OR [95% CI]: 1.72 [1.19, 2.5],  $p < 0.01$ ), but not in the non-CB group (OR [95% CI]: 1.16 [0.66, 2.04],  $p > 0.05$ ; [Figure S4](#)). In the CB group, the odds of being an EXACT-RS responder in the GLY treatment groups were significantly greater than placebo at every time point tested; in the non-CB group, the odds were significant only at Week 2 ([Figure S4](#)). The odds of being an EXACT-RS responder were similar with GLY 50 µg BID and placebo ([Table S1](#)).

## Safety

Overall, GLY was generally well-tolerated regardless of CB status at baseline ([Tables 3](#) and [S2](#)). The most common AEs across treatment groups were worsening of COPD, cough, dyspnea and wheezing. In both CB and non-CB groups, overall incidence of any AEs, SAEs and AEs leading to study drug withdrawal was lower in GLY 25 µg treatment groups compared with placebo ([Table 3](#)). Incidence of any AEs was similar in the placebo and GLY 50 µg BID treatment groups in both CB and non-CB arms; incidence of any SAEs was similar with placebo and GLY 50 µg BID in the CB group, but was lower with GLY 50 µg BID in the non-CB group. Incidence of AEs leading to study withdrawal was lower with GLY 50 µg compared with placebo in both CB and non-CB groups ([Table S2](#)).

## Discussion

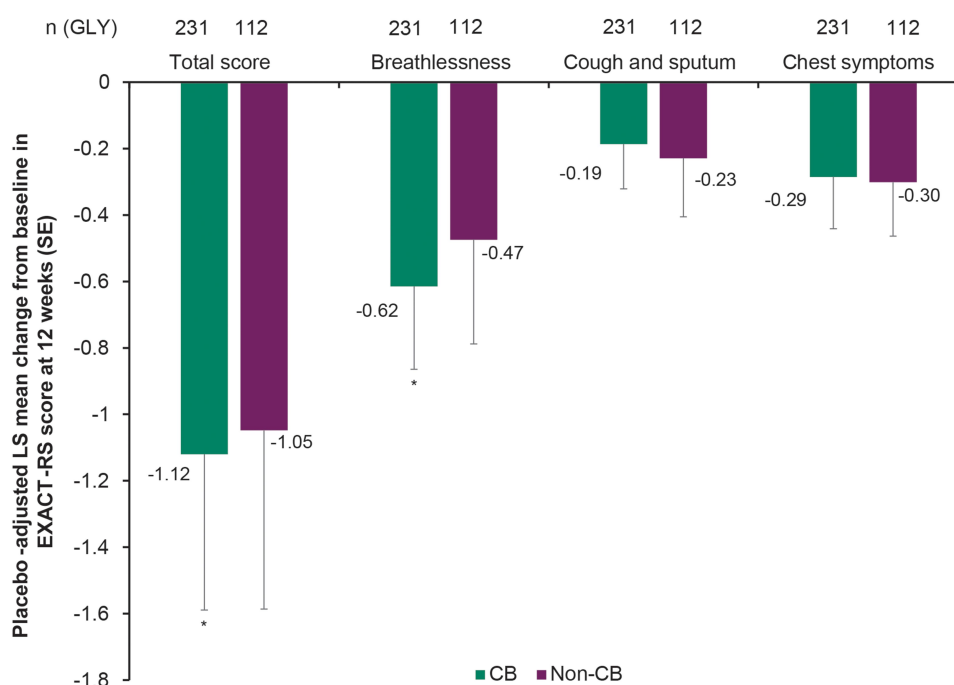
In this post hoc analysis of pooled data from the GOLDEN 3 and 4 studies, we utilized the SGRQ-derived definition for CB to understand the impact of CB at baseline on bronchodilator response in patients with COPD. Treatment with nebulized GLY 25 µg BID for 12 weeks led to significant improvements in FEV<sub>1</sub> compared with placebo, regardless of baseline CB status, although greater improvements were observed in the non-CB group compared with the CB group ([Figure 1A](#)). In both CB and non-CB groups, changes from baseline in trough FEV<sub>1</sub> of  $\geq 77.5$  mL were observed with GLY 25 µg BID through Weeks 2–12, compared to  $-28.7$ – $8.9$  mL with placebo ([Figure 1B](#)). This suggests that treatment with GLY 25 µg BID can show lung function improvements as early as 2 weeks, regardless of baseline CB status. Similar results were observed in changes with FEV<sub>1</sub> with GLY 50 µg BID, with greater improvements in the non-CB group compared with the CB group ([Table S1](#)).



**Figure 2** Pooled analysis of (A) SGRQ total scores and (B) responder rates at 12 weeks with GLY 25 µg BID and placebo, by baseline CB status. \* $p < 0.05$ ; \*\*\* $p < 0.001$  for GLY 25 µg BID vs placebo.

**Note:** The n values represent the number of patients who have on-treatment change from baseline in SGRQ scores at Week 12.

**Abbreviations:** BID, twice daily; CI, confidence interval; CB, chronic bronchitis; GLY, nebulized glycopyrrolate; LS, least squares; OR, odds ratio; SE, standard error; SGRQ, St George's respiratory questionnaire.



**Figure 3** Pooled analysis of placebo-adjusted change from baseline in EXACT-RS total and domain scores at 12 weeks with GLY 25 µg BID, by CB group (ITT population). \* $p < 0.05$  for GLY 25 µg BID vs placebo.

**Note:** The  $n$  values represent the number of patients with on-treatment change from baseline in EXACT-RS scores at Week 12.

**Abbreviations:** BID, twice daily; CB, chronic bronchitis; EXACT-RS, EXacerbations of Chronic Pulmonary Disease Tool-Respiratory Symptoms; GLY, nebulized glycopyrrrolate; ITT, intent-to-treat; LS, least squares; SE, standard error.

The overall prevalence of CB at baseline among patients with COPD varies widely. While population-based studies estimate that the prevalence of CB in patients with COPD is between 14 and 30%, clinical studies have shown a higher prevalence of

27.3–74.1%.<sup>6,10–12</sup> The prevalence of CB was also higher among patients with greater COPD severity, increasing from ~30% among GOLD Stage II to ~40% among GOLD Stage IV.<sup>21</sup> In general agreement with these studies, we observed a CB prevalence of ~65% in patients enrolled in the GOLDEN 3 and 4 studies (inclusion criteria for patients was moderate-to-severe COPD), using an SGRQ-based definition of CB that is more sensitive than the classic definition.<sup>13</sup> Consistent with previous studies,<sup>6,12</sup> we observed a higher prevalence of current smokers (CB: 65%; non-CB: 32%) and younger patients (CB: 62.5 years; non-CB: 67 years) in the CB+ group compared to the CB– group.

In the CB group, there were greater improvements both in SGRQ and EXACT-RS scores with GLY 25 µg BID and 50 µg BID compared with placebo. Improvements in SGRQ total scores with GLY 25 µg BID and 50 µg BID compared with placebo were significant in patients irrespective of their baseline CB status (Figure 2A). However, significant improvements in all SGRQ domain scores with GLY 25 µg BID were observed only in the CB group. Baseline SGRQ scores were generally higher (indicating worse health status) in the CB group compared to the non-CB group; the higher scores at baseline may have provided

**Table 3** Summary of AEs, SAEs, and AEs Leading to Treatment Discontinuation, Including Individual AEs with Incidence  $\geq 3\%$  with Placebo or GLY 25 µg BID, by Baseline CB Status (Safety Population)

Preferred Term, n (%)	CB		Non-CB	
	Placebo N=273	GLY 25 µg BID N=281	Placebo N=157	GLY 25 µg BID N=150
Any AE	139 (50.9)	119 (42.3)	86 (54.8)	68 (45.3)
Worsening of COPD	26 (9.5)	19 (6.8)	11 (7.0)	9 (6.0)
Cough	18 (6.6)	20 (7.1)	18 (11.5)	10 (6.7)
Dyspnea	9 (3.3)	15 (5.3)	4 (2.5)	6 (4.0)
Wheezing	2 (0.7)	1 (0.4)	3 (1.9)	5 (3.3)
Any SAE	16 (5.9)	9 (3.2)	8 (5.1)	4 (2.7)
AEs leading to study treatment withdrawal	21 (7.7)	14 (5.0)	19 (12.1)	8 (5.3)

**Abbreviations:** AE, adverse event; BID, twice daily; CB, chronic bronchitis; COPD, chronic obstructive pulmonary disease; GLY, glycopyrrrolate; SAE, serious adverse event.



an opportunity for greater relative SGRQ improvements among patients in the CB group. It is important to note that the CB classification for this study was based on the Symptoms domain of SGRQ and may explain the ~30-point difference at baseline between the CB and non-CB groups in this domain (Table 2). Additionally, while lung function improvements were greater in the non-CB group, improvements in SGRQ were greater in the CB group; this may be an outcome of the patient grouping based on SGRQ scores, which made this readout more sensitive for differences in the CB phenotype between patients. Other factors that may have influenced this discrepancy between lung function and SGRQ outcomes include the objective vs subjective nature of the assessments, respectively, as well as the baseline disease differences in the level of cough and sputum between the groups which adversely impacts lung function.

For EXACT-RS, significant improvements in total scores with GLY 25 µg BID compared with placebo were noted only in the CB group (Figure 3); in contrast, there were no significant differences in the improvements from baseline in EXACT-RS between GLY 50µg BID and placebo (Table S1). At every time point tested in this study, the odds of being an EXACT-RS responder were significantly greater with GLY compared with placebo only in the CB group (Figure S4). In the CB group, we also observed improvements in EXACT-RS scores with placebo treatment (Figure S3). The improvement with GLY 25 µg BID at Week 12 was greater than the minimum clinically important difference of 2 units; however, the high placebo responses in the CB group may have reduced the magnitude of placebo-adjusted changes from baseline in EXACT-RS scores. Such “placebo effects” have been observed in non-COPD clinical trials and can lead to trial failure.<sup>22</sup> While the exact reasons behind the high placebo response in the CB group is unclear, it is possible that the nebulization process itself (with either the drug or placebo) offers some relief from the dyspnea and cough among these patients.

Cholinergic pathways play a dominant role in mucus secretion in humans.<sup>23</sup> Anticholinergics, such as GLY, inhibit the muscarinic receptors in the airways to induce bronchodilation.<sup>24</sup> Muscarinic receptors are localized to smooth muscles of all human airways and are highest in the large airways.<sup>25,26</sup> Muscarinic receptors are also expressed on airway epithelium and submucosal glands, consistent with cholinergic control of mucus secretion.<sup>25</sup> Therefore, it is likely that inhaled anticholinergics, in

addition to acting as bronchodilators, may also inhibit mucus hypersecretion. However, the impact of anticholinergics on airway mucus secretion has rarely been studied in COPD. The effect of anticholinergics on mucus secretion may be difficult to demonstrate in short-term studies, due to mucus accumulation and plugging in the airways. In addition, it may be important to deposit the anticholinergic medications deep into the lungs to have a substantive inhibition of mucus secretion. In *in vitro* studies, the e-Flow<sup>®</sup> CS nebulizer generated GLY aerosols with a mean mass median aerodynamic diameter of 3.7 µm and a fine particle fraction of 72%;<sup>27</sup> this may facilitate more peripheral drug deposition and may explain the differential effects on SGRQ and EXACT-RS in patients with CB.

To our knowledge, this is one of the first analyses to assess SGRQ-derived baseline CB and its impact on bronchodilator response in patients with COPD. The 1-year, double-blind, placebo-controlled REACT study assessed changes from baseline in post-bronchodilator FEV<sub>1</sub> and HRQoL (COPD Assessment Test [CAT]) in 1945 patients with severe COPD and CB, following once-daily treatment with oral roflumilast 500 µg or placebo, together with fixed-dose ICS-LABA combination.<sup>15</sup> Improvements in post-bronchodilator FEV<sub>1</sub> of 56 mL were observed with roflumilast, compared with placebo ( $p<0.0001$ ); however, no improvements in CAT scores were noted in the study.<sup>15</sup> In the current post hoc analysis, higher placebo-adjusted improvements in FEV<sub>1</sub> were observed with GLY 25 µg BID among patients with COPD and CB (CB group: 77.1 mL;  $p<0.0001$  vs placebo), compared to that previously observed with roflumilast (Figure 1). However, in contrast to the REACT study, significant improvement in SGRQ scores were observed with GLY 25 µg BID, compared with placebo, irrespective of CB status at baseline; improvements were also observed with EXACT-RS scores in both the CB and non-CB groups (Figures 2 and 3).

Some limitations of this study include the post hoc nature of the patient stratification and the lack of adjustment for multiplicity. The definition of CB by SGRQ is limited by patient self-reporting and assessment of their symptoms, which may be inconsistent across the patient population. In addition, a greater proportion of patients in the CB group experienced exacerbations in the 12 months prior to the study, compared with the non-CB group; while exacerbations were not assessed in this analysis, the exacerbation history may have impacted patient symptoms

and reflects disease severity, all of which may have contributed to differences in treatment outcomes between the CB and non-CB groups. Finally, in our study SGRQ scores were assessed at baseline and Week 12. Since SGRQ measures HRQoL over the prior 4 weeks, SGRQ changes through the 12 weeks of study were not monitored. However, it has been reported that an assessment of cough and sputum over the preceding 4 weeks has a greater prognostic value when compared to measurements over the past 2 years.<sup>28</sup> Hence, it is likely that the SGRQ scores at Week 12 are reflective of patient HRQoL during the complete study period.

GLY was well tolerated in both CB and non-CB groups, with no differences in safety outcomes, including a lower incidence of overall AEs, SAEs and AEs leading to discontinuation among patients treated with GLY 25 µg BID compared with those receiving placebo. These results are consistent with the characterized safety profile of nebulized GLY 25 µg BID and support its use in patients, regardless of their baseline CB status.

## Conclusions

In conclusion, results from this post hoc analysis show that patients treated with GLY 25 µg BID had significant improvements in lung function compared with placebo, following 12 weeks of treatment, regardless of CB status at baseline. Patients in the CB group showed significant improvements in SGRQ (total and domain) scores and EXACT-RS total scores with GLY 25 µg BID at 12 weeks. Additionally, responder analysis showed a consistent and clinically meaningful improvement in both SGRQ and EXACT-RS total scores with GLY compared with placebo in the CB group. GLY was generally well tolerated across both treatment groups with a low incidence of AEs and SAEs. Despite some of the limitations of this post hoc analysis, the data presented here support the safety and efficacy of GLY 25 µg BID in patients with moderate-to-very-severe COPD, regardless of CB status. Furthermore, these results demonstrate the impact of CB on bronchodilator therapy and highlight the need to explore the CB phenotype in prospective randomized clinical trials.

## Data Sharing Statement

Sunovion Pharmaceuticals Inc. is part of a clinical trial data sharing consortium that facilitates access for qualified researchers to selected anonymized clinical trial data. For up-to-date information on data availability, please visit:

<https://www.clinicalstudydatarequest.com/Study-Sponsors.aspx> and click on Sunovion.

## Ethics Statement

The GOLDEN 3 (SUN101–301: project approval number 28,481) and GOLDEN 4 (SUN101–302: project approval number 28,482) study protocols were approved by Quorum Review IRB North American (US and Canadian) Board (Panel II) prior to patient enrollment, and were conducted in accordance with the protocols, International Council for Harmonization Good Clinical Practice guidelines, and the Declaration of Helsinki. All patients provided written informed consent.

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## Author Contributions

All authors made substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data, took part in drafting the article or revising it critically for important intellectual content, agreed to submit to the current journal; gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

## Disclosure

DPT declares that he has served as a consultant and speaker for Sunovion, AstraZeneca, Innoviva/Theravance, Mylan, and Boehringer-Ingelheim. AOG was an employee of Sunovion Pharmaceuticals Inc at the time of the study and is currently an employee of Alexion Pharmaceuticals. SSh and SSa are employees of Sunovion Pharmaceuticals Inc. The authors report no other conflicts of interest in this work.

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