

# Role of Immunomodulation of BCG Therapy on AML Remission

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**Abstract:** Bacillus Calmette–Guérin (BCG) has been studied in various cancers for its immune modulation. Although the mechanism is yet to be completely understood, we do have positive experiences in many oncological cases. Hereby, we present a case of an 82-year-old male with acute myeloid leukemia (AML) post allogeneic Stem Cell Transplants (AlloSCT) as a salvage therapy, now in remission, who presented with hematuria. Workup confirmed non-muscle invasive Bladder Cancer (NMIBC), for which he was treated with nine sessions of intravesical BCG therapy. During BCG treatment, the patient developed gradually increasing lymphocyte counts. Flowcytometry of a peripheral blood sample showed polyclonal cell lymphocytosis with CD8+ T-cell expansion. Although further work up his lymphocytosis to be polyclonal, it has persisted at follow-up for the last 4 years. Also, we did not find any evidence of leukemia recurrence at follow-up prompting us to associate the BCG use for this patient and its role as immunomodulation to keep AML disease in remission.

**Keywords:** cancer, bladder, leukemia, vaccine, immunity

## Introduction

The Mycobacterium bovis strain, Bacillus Calmette–Guérin (BCG) is an attenuated, live vaccine developed to prevent tuberculosis. There is evidence to suggest its role as a cancer-fighting immunotherapy, and previously BCG has been used in the treatment of various malignancies such as leukemia and melanoma.<sup>1,2</sup> Nowadays, intravesical administration of BCG for non-muscle invasive Bladder Cancer (NMIBC) is standard of care as it reduces the risk of recurrence and progression.<sup>3,4</sup>

A robust inflammatory infiltrate and T-cell expansion within bladder-draining lymph nodes is characteristic of responders to BCG with bladder cancer.<sup>5,6</sup> Effector CD4+ T cells and CD8+ T-cells are known to be essential for tumor reduction. However, whether cross-antigenicity or another mechanism accounts for this effect remains under active investigation. In addition, past studies on systemic BCG therapy have demonstrated its ability towards immune reconstitution against leukemia-associated antigen (LAA) or leukemia-specific antigens (LSA). In the past, scientists also attempted to develop a vaccine against Acute Myeloid Leukemia (AML) via epigenetic reprogramming.<sup>7</sup> However, so far, vaccine against AML has only shown an increase in remission duration during the maintenance phase following induction and consolidation.<sup>7</sup>

Neither BCG nor other immunotherapies are currently recommended for treatment of AML during any phase. However, AML disease severity has been inversely correlated with the effectiveness of the immune response.<sup>8</sup> Here, we describe a survivor of NMIBC and AML with persistent lymphocytosis 4 years after BCG therapy. He has no evidence of leukemia relapse or bladder cancer progression or recurrence.

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## Case Presentation

A 78-year-old gentleman presented to our hospital 16 years ago for fever and shortness of breath of 2-week duration. Laboratory workup suggested pancytopenia with circulating blast cells. A bone marrow aspirate with biopsy was requested which was consistent with AML with multilineage dysplasia. Subsequently, the patient was treated with daunorubicin/Ara-C “7+3” induction chemotherapy. Repeat bone marrow biopsy on day 28 confirmed a complete remission (CR). This was followed by one cycle of consolidation therapy with high dose Ara-C and autologous Hemopoietic Stem Cell Transplant (HSCT) without complications.

A year later, the patient relapsed, as evidenced by pancytopenia, and had 35% blasts in the bone marrow examination. This time, he was treated with two cycles of the FLAG (fludarabine, cytarabine and filgrastim) regimen. This resulted in a 2nd CR that lasted until January 2009 when biopsy revealed tetraploid karyotype with multiple chromosomal abnormalities. High dose Mitoxantrone/Cytarabine salvage therapy achieved a 3rd CR followed by a repeat autologous HSCT. His bone marrow biopsy showed 1% blasts and cytogenetics revealed minimal residual disease 3 months following HSCT. The patient was followed at a regular interval for disease monitoring.

Five years after 2nd HSCT, the patient's peripheral blood cell counts started to fall again, and 56% blasts were noted in the bone marrow. Salvage therapy with decitabine was initiated for his refractory disease and the patient received four cycles of injection decitabine without severe adverse effects. He then underwent an allogeneic stem cell transplantation in CR4. His posttransplant course was complicated by reactivated Cytomegalovirus (CMV) antigenemia treated with ganciclovir, and BK virus induced urinary tract infections treated symptomatically with pyridium.

For nearly the following 4 years, the patient continued to remain in remission from AML but developed hematuria as confirmed by urinary cytology. Pathology from his subsequent Transurethral Resection of Bladder Tumor (TURBT) showed G1 papillary carcinoma limited to the bladder lining, stage pTa. He was followed with every 3 months surveillance cystoscopy (Figure 1).

He remained asymptomatic for the next 1 year when urinary symptoms returned, and five bladder tumors were identified. He underwent TURBT with bilateral retrograde pyelograms without any complications that revealed that the tumors were of high-grade squamous differentiation without muscle invasion, stage pT1. As the patient was

now immunocompetent with recurrent pT1 superficial bladder cancer, an induction regimen of six weekly intravesical administrations of BCG was planned. Although his fourth treatment was delayed due to the development of a non-mycobacterial pneumonia, the patient successfully completed the treatments without severe adverse effects.

Follow-up cystoscopy after completion of the BCG therapy showed no residual disease. Laboratory results at this time included WBC count:  $11.7 \times 10^3/\mu\text{L}$  ( $4.3\text{--}10.8 \times 10^3/\mu\text{L}$ ), RBC count:  $3.63 \times 10^6/\mu\text{L}$  ( $4.2\text{--}5.8 \times 10^6/\mu\text{L}$ ), platelet count:  $119 \times 10^3/\mu\text{L}$  ( $140\text{--}440 \times 10^3/\mu\text{L}$ ), and absolute lymphocyte count:  $5.6 \times 10^3/\mu\text{L}$  ( $0.9\text{--}3.4 \times 10^3/\mu\text{L}$ ). In the context of lymphocytosis, a peripheral blood flow cytometry was performed, which ruled out co-existent chronic lymphoproliferative disorders. Urinary symptoms and fatigue were stable allowing for three additional BCG treatments as consolidation therapy.

Lymphocytosis peaked at  $12 \times 10^3$  cells/ $\mu\text{L}$ , approximately 6 months following his last BCG treatment (Figure 2). A repeat flow cytometry confirmed a T-cell predominance with 5432 CD3+ cells/ $\mu\text{L}$  (710–2300 cells/ $\mu\text{L}$ ) and a CD4:CD8 ratio of 0.22 (0.8–5.0). So far, the patient remains clinically asymptomatic without lymphadenopathy and in AML remission. The patient is being followed closely by hematology and urology with no further evidence of disease recurrence of AML or bladder cancer. Most recent flow cytometry done in September 2020 was consistent with chronic CD3+ and CD8+ expansion; 5712 CD3+ cells/ $\mu\text{L}$  (710–2300 cells/ $\mu\text{L}$ ) with a CD4:CD8 ratio of 0.2 (0.8–5.0) (Table 1).

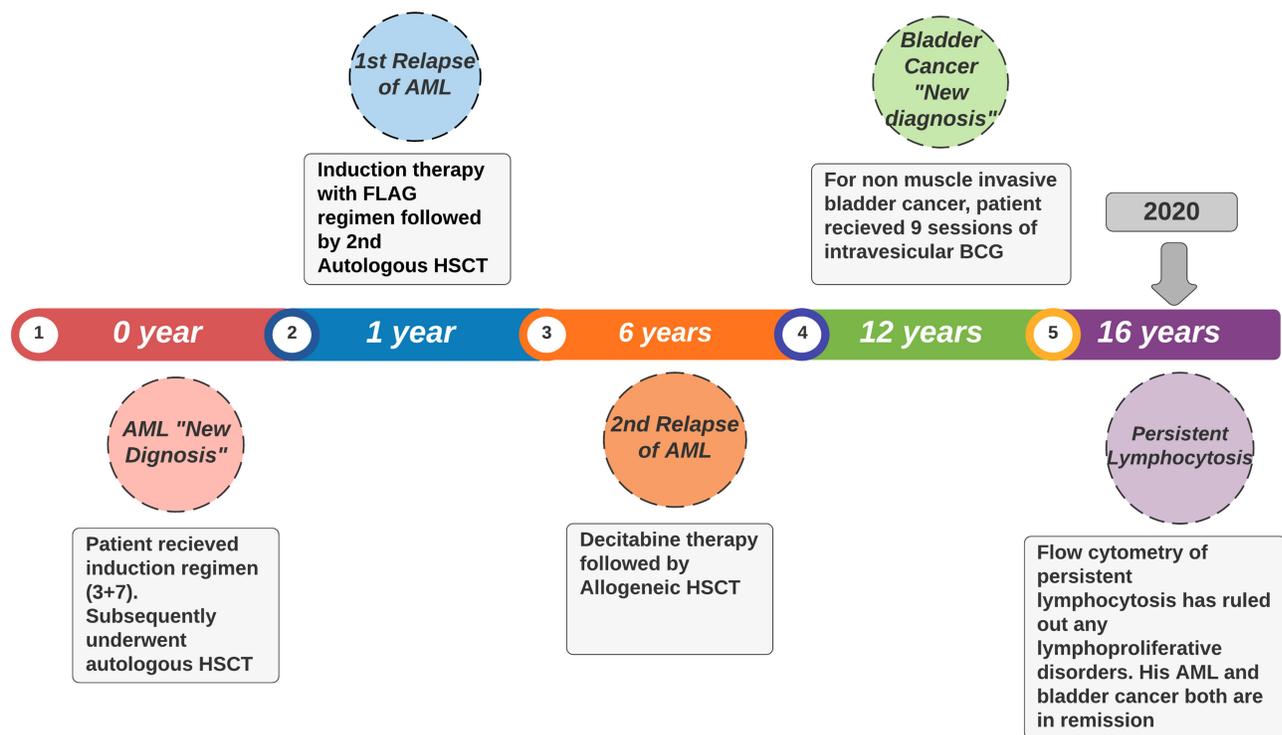
## Discussion

Our case highlights the immunomodulating character of BCG in the context of AML. Recently, immunotherapy has been a widely accepted modality for a variety of cancers.<sup>9</sup> Various microorganisms have been investigated as immunomodulatory agents, including BCG. The best evidence for its efficacy is its activity against NMIBC for which it is a standard of care.<sup>10</sup>

In our case, for high-grade NMIBC, our patient received 6 weeks of induction and 3 weeks of maintenance intravesical BCG installations. Even with this considered optimal therapy schedule, however, 30–35% of superficial bladder do not respond to therapy or recur while on treatment. Optimizing treatment regimens to enhance response is an important area of contemporary research.<sup>11</sup>

Recent studies suggest that exposure to BCG induces epigenetic reprogramming in innate immune cells such as monocytes.<sup>12,13</sup> When subjected to a subsequent pathogen

## DISEASE TIMELINE OF PATIENT FROM DIAGNOSIS OF AML TILL LAST FOLLOW UP



**Figure 1** Disease timeline of the patient course of illness from the time of diagnosis of AML to last follow-up.

or cancerous process, these cells have altered metabolism and augmented cytokine release.

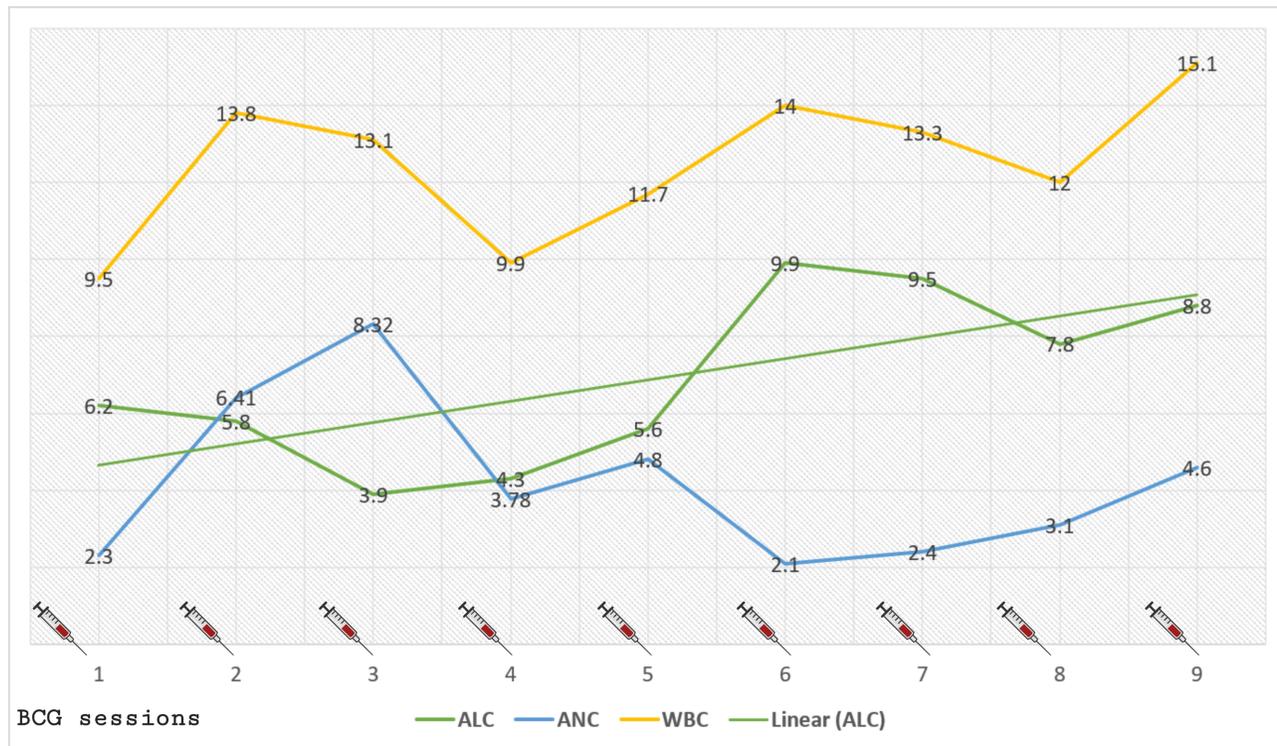
The process of immunomodulation caused by intravesical BCG begins when mycobacteria are internalized by urothelial cells by fibronectin and integrin receptors.<sup>14</sup> Innate immune cells present BCG antigens, release cytokines, and mobilize a granulomatous infiltrate. Granulocytes, CD4+ and CD8+ T cells, natural killer (NK) cells and macrophages infiltrate the bladder, releasing a deluge of primarily TH-1 cytokines.<sup>6</sup> Numerous downstream pathways are activated to achieve tumor cell killing.

In essence, BCG alters the bladder tissue microenvironment to reverse the immunosuppressive phenotype seen in bladder cancer. This allows innate immune cells to mount a vigorous secondary response against unrelated cancer cells.<sup>15</sup> Stimulation of cytotoxic T lymphocytes and NK cells constitute as major contributors to promote anti-tumor effect following BCG therapy in case of bladder cancer. The use of BCG therapy is considered safe as BCG is live attenuated which considerably decreases its infection capability. But, there is a theoretical risk of increased morbidity and sepsis, especially in immunocompromised patients just

like any naturally acquired mycobacteria infection.<sup>16</sup> However, a previous report does describe the safe utilization of intravesical BCG in several post-HSCT patients with refractory NMIBC.<sup>17</sup>

The other facts to note in our case are the persistence of lymphocytosis (consisting mostly of T cells that were CD3+CD8+ cell subtype) post BCG therapy and continued remission of AML disease. Prior to his NMIBC diagnosis, our patient was struggling with multiple relapses of his AML disease and required three HSCTs since the time of the first diagnosis in 2004. Blasts of AML are poorly immunogenic and have immune-evasive properties including suppression of the costimulatory ligands and MHC proteins required for immune activation.<sup>8</sup> This is also one reason that patients who have delayed immune reconstitution following HSCT have a high risk of AML relapse.<sup>18</sup> While there is ample evidence of immune failure in patients with AML, no immunotherapies are first-line treatment.

The success of HSCT depends on the Graft-vs-Leukemia effect when donor lymphocytes attack leukemic cells. This concept is utilized in some patients with Donor Lymphocyte Infusions (DLI).<sup>19,20</sup> Similar functional expansion of CD8+



**Figure 2** Trend of complete blood count parameters, and their association with BCG vaccinations. ( $\times 10^3$  cells/ $\mu$ L)  
**Abbreviations:** WBC, whole blood count; ALC, absolute lymphocyte count; ANC, absolute neutrophil count.

T-cells with anti-leukemic effect has also been noted following BCG therapy. It has been proposed that BCG injection promotes anti-leukemic activity by activation of the immune system by increasing TNF-alpha, IFN-gamma, and IL-2.<sup>21</sup> BCG acts as a Toll-like receptor agonist and stimulates TLR2 and TLR4. TLR activation in turn enhances NK cell-mediated cytotoxicity, enhancing the MHC class I on leukemic cells, that ultimately leads to leukemic cell apoptosis.<sup>22</sup> We hypothesize that the persistent lymphocytosis with T-cell predominance and Toll-like receptor agonism secondary to BCG therapy together with nonspecific effect of live vaccine

or trained immunity contributed to continued anti-leukemic effect against AML in our patient.

## Conclusion

Immuno-oncology is an evolving field in cancer medicine. Understanding of the complex mechanism of BCG therapy in modulating the immune system is the need of the hour. This case suggests the need for further basic and clinical research to develop new treatment strategies for patients suffering from various malignancies.

## Ethical Statement

The article does not contain the participation of any human being and animal.

## Consent

Informed consent was obtained from the patient to publish their case details and accompanying images.

## Author Contributions

All authors contributed to data analysis, drafting or revising the article, have agreed on the journal to which the article will be submitted, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

**Table 1** Flow Cytometry Results at Various Intervals Showing CD3+ Predominant Lymphocytosis ( $\times$  cells/ $\mu$ L)

Date	CD3	CD4:CD8
3/5/2015	3909	0.3
5/28/2015	4514	0.38
7/28/2016	7408	0.14
11/16/2016	6760	0.19
5/24/2017	5432	0.21
11/7/2018	5328	0.18
4/29/2019	6432	0.19
10/30/2019	6160	0.18
9/9/2020	5712	0.2

## Disclosure

Dr Jan Cerny serves in the advisory board/consultancy for Jazz Pharmaceuticals and Amgen. He is aData and Safety Monitoring Board Member for AlloVir, and holds stocks from Actinium Pharmaceuticals, Bluebird Bio Inc., Dynavax Pharma, Atyr Pharmac, Gamida Cell, Miragen Therapeutics, Mustang Bio, Novavax, Ovid Therapeutics, Sorrento Therapeutics, TG Therapeutics, Vaxart Inc, and Veru Inc., outside the submitted work. The authors have no other conflicts of interest to declare.

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